### **Optimisation**

The Travelling Salesman Problem was originally conceived of as an optimisation problem

to find a minimum cost tour.

We forced it into the mould of a decision problem – TSP – in order to fit it into our theory of NP-completeness.

Similar arguments can be made about the problems CLIQUE and IND.

This is still reasonable, as we are establishing the  $\frac{difficulty}{difficulty}$  of the problems.

A polynomial time solution to the optimisation version would give a polynomial time solution to the decision problem.

Also, a polynomial time solution to the decision problem would allow a polynomial time algorithm for *finding the optimal value*, using binary search, if necessary.

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#### **Function Problems**

Still, there is something interesting to be said for *function problems* arising from NP problems.

Suppose

$$L = \{x \mid \exists y R(x, y)\}$$

where R is a polynomially-balanced, polynomial time decidable relation.

A witness function for L is any function f such that:

- if  $x \in L$ , then f(x) = y for some y such that R(x, y);
- f(x) = "no" otherwise.

The class FNP is the collection of all witness functions for languages in NP.

**FNP** and **FP** 

A function which, for any given Boolean expression  $\phi$ , gives a satisfying truth assignment if  $\phi$  is satisfiable, and returns "no" otherwise, is a witness function for SAT.

If any witness function for SAT is computable in polynomial time, then P = NP.

If P = NP, then for every language in NP, some witness function is computable in polynomial time, by a binary search algorithm.

P = NP if, and only if, FNP = FP

Under a suitable definition of reduction, the witness functions for SAT are FNP-complete.

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#### **Factorisation**

The factorisation function maps a number n to its prime factorisation:

$$2^{k_1}3^{k_2}\cdots p_m^{k_m}$$
.

This function is in FNP.

The corresponding decision problem (for which it is a witness function) is trivial - it is the set of all numbers.

Still, it is not known whether this function can be computed in polynomial time.

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### **Private Key**

In a private key system, there are two secret keys

e – the encryption key

d – the decryption key

and two functions D and E such that:

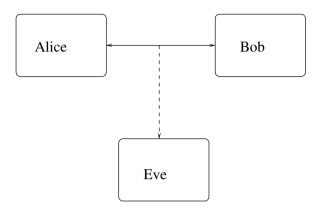
for any x,

$$D(E(x,e),d) = x$$

For instance, taking d = e and both D and E as exclusive or, we have the one time pad:

$$(x \oplus e) \oplus e = x$$

# **Cryptography**



Alice wishes to communicate with **Bob** without **Eve** eavesdropping.

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# **One Time Pad**

The one time pad is provably secure, in that the only way Eve can decode a message is by knowing the key.

If the original message x and the encrypted message y are known, then so is the key:

 $e = x \oplus y$ 

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## **Public Key**

In public key cryptography, the encryption key e is public, and the decryption key d is private.

We still have,

for any x,

$$D(E(x,e),d) = x$$

If E is polynomial time computable (and it must be if communication is not to be painfully slow), then the function that takes y = E(x, e) to x (without knowing d), must be in FNP.

Thus, public key cryptography is not *provably secure* in the way that the one time pad is. It relies on the existence of functions in FNP - FP.

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#### UP

Though one cannot hope to prove that the RSA function is one-way without separating P and NP, we might hope to make it as secure as a proof of NP-completeness.

#### Definition

A nondeterministic machine is unambiguous if, for any input x, there is at most one accepting computation of the machine.

UP is the class of languages accepted by unambiguous machines in polynomial time.

### **One Way Functions**

A function f is called a *one way function* if it satisfies the following conditions:

- 1. f is one-to-one.
- 2. for each x,  $|x|^{1/k} \le |f(x)| \le |x|^k$  for some k.
- 3.  $f \in \mathsf{FP}$ .
- 4.  $f^{-1} \notin \mathsf{FP}$ .

We cannot hope to prove the existence of one-way functions without at the same time proving  $P \neq NP$ .

It is strongly believed that the RSA function:

$$f(x, e, p, q) = (x^e \bmod pq, pq, e)$$

is a one-way function.

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**UP** 

Equivalently, UP is the class of languages of the form

 $\{x \mid \exists y R(x,y)\}$ 

Where R is polynomial time computable, polynomially balanced, and for each x, there is at most one y such that R(x, y).

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# **UP One-way Functions**

We have

$$\mathsf{P}\subseteq\mathsf{UP}\subseteq\mathsf{NP}$$

It seems unlikely that there are any NP-complete problems in UP.

One-way functions exist *if, and only if,*  $P \neq UP$ .

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