# In-memory processing of big data via succinct data structures

Rajeev Raman

University of Leicester

SDP Workshop, University of Cambridge

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

Introduction 00	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End o
	C	Verview		

#### Introduction

Succinct Data Structuring

Succinct Tries

Applications & Libraries

End

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End
•0	000	0000	00	0

### Big Data vs. big data

- Big Data: 10s of TB+.
  - Must be processed in streaming / parallel manner.
- Data mining is often done on big data: 10s-100s of GBs.
  - Graphs with 100s of millions of nodes, protein databases 100s of millions of compounds, 100s of genomes etc.

- Often, we use Big Data techniques to mine big data.
  - Parallelization is hard to do well [Canny, Zhao, KDD'13].
  - Streaming is inherently limiting.
- Instead of changing the way we *process* the data, why not change the way we *represent* the data?

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End
0•	000	0000	00	0

# Processing big data

- Essential that data fits in main memory.
  - Complex memory access patterns: out-of-core  $\Rightarrow$  thrashing.

- Data accessed in a complex way is usually represented in a data structure that supports these access patterns.
  - Often data structure is MUCH LARGER than data!
  - Cannot process big data if this is the case.
- Examples:
  - Suffix Tree (text pattern search).
  - Range Tree (geometric search).
  - FP-Tree (frequent pattern matching).
  - Multi-bit Tree (similarity search).
  - DOM Tree (XML processing).

# Succinct/Compressed Data Structures

Store data *in memory* in *succinct* or *compressed* format and operate directly on it.

- (Usually) no need to decompress before operating.
- Better use of memory levels close to processor, processor-memory bandwidth.
  - Usually compensates for some overhead in CPU operations.
- Programs = Algorithms + Data Structures
  - If compressed data structure implements same/similar ADT to uncompressed data structure, can reuse existing code.

Introduction	n
00	

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

# Compression vs. Data Structuring

Answering queries requires an *index* in *in addition to* the data.

Space usage = "space for data" + "space for index".

Index may be larger than the data:

- *Suffix tree:* data structure for indexing a text of *n* bytes.
  - Supports many indexing and search operations.
  - Careful implementation: 20*n* bytes of index data in worst case [Kurtz, *SPrEx '99*]
- *Range Trees:* data structures for answering 2-D orthogonal range queries on *n* points.
  - Good worst-case performance but  $\Theta(n \log n)$  space.

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End
00	○○●	0000		O

#### "Space for Data"

#### Information-Theoretic Lower Bound

If the object x that you want to represent is drawn from a set S, x must take at least  $\log_2 |S|$  bits to represent.

- Example: object x is a binary tree with n nodes.
  - x is from the set S of all binary trees on n nodes.
  - There are  $\sim 4^n$  different binary trees on *n* nodes.
  - Need  $\sim \log_2 4^n = 2n$  bits, or 2 bits per node.
  - A normal representation: 2 pointers, or  $2\log_2 n$  bits, per node.

#### Succinct Data Structuring

Space usage for 
$$x = \underbrace{\text{"space for data"}}_{\text{ITLB for } x} + \underbrace{\text{"space for index"}}_{\text{lower-order term}}$$
,

and support fast operations on x.

- Not really compression: ITLB applies even to random x.
- Probably over 1000 papers on SDS in algorithms venues.

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End
00	000	0000	00	0
	The "t	rie" ADT		

- Object is a rooted tree with *n* nodes.
- Each node from a parent to a child is labelled with a *distinct* letter c from an alphabet Σ, where Σ = {0,..., σ − 1}.
- All possible children may not be present.
- Represents a collection of strings over Σ.



 $\Sigma = \{0, 1, 2, 3\}, n = 50$ 

- 日本 - 1 日本 - 日本 - 日本

#### Operations

- parent(x);
- *child*(*x*, *c*);
- desc(x), nextsib(x), prevsib(x), ....

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End
00	000	0000	00	0





Introduction 00	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End O
		-		



• Each node points to parent, first-child and next-sibling.

- Space: 3 pointers (192 bits) per node.
- child:  $O(\sigma)$  time.



• Each node has array of  $\sigma$  pointers, one to each possible child.

▲ロト ▲帰 ト ▲ ヨ ト ▲ ヨ ト ・ ヨ ・ の Q ()

- Space:  $\sigma + 1$  pointers per internal node.
- *child*: *O*(1) time.

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End
00	000	0000	00	0



• Ternary search tree [Bentley/Sedgewick, SODA'97]. Siblings arranged in a binary tree.

- Space: 4 pointers (256 bits) per node.
- child:  $O(\lg \sigma)$  time.

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End
00	000	0000	00	0



• Ternary search tree [Bentley/Sedgewick, SODA'97]. Siblings arranged in a binary tree.

- Space: 4 pointers (256 bits) per node.
- child: O(lg σ) time.

• ITLB = 
$$\left\lceil \log_2\left(\frac{1}{\sigma n+1} \binom{\sigma n+1}{n}\right) \right\rceil \sim n \log_2 \sigma + O(n)$$
 bits.

▷ One *character* per node.

Introduction 00	Succinct Data Structuring	Succinct Tries 00●0	Applications & Libraries	End O
	Suc	cinct Tries		

 Output a 1. Then visit each node in level-order and output σ bits that indicate which labels are present. [Jacobson, FOCS'89]



- Bit-string is of length  $\sigma n + 1$  bits. It has n 1s.
- Its ITLB is  $\left\lceil \log_2 {\binom{\sigma n+1}{n}} \right\rceil \sim n \log_2 \sigma + O(n)$  bits.
- Representation is *static*, but a lot of operations in O(1) time.

Introduction 00	Succinct Data Structuring	Succinct Tries 000●	Applications & Libraries	End

#### Dynamic Tries

- ADT:
  - parent(x);
  - child(x, c);
  - add(x, c);
- Bonsai tree [Darragh et al., *Soft. Prac. Exp'93*],[PR, *SPIRE'15*].
- Data structure: open hash table of  $(1 + \epsilon)n$  entries.
  - Nodes of trie reside in hash table.
  - ID of a node: location where it resides.
  - ID of child labelled c of x:
    - Create key  $\langle x, c \rangle$  and insert.
- Hash table entries only store "quotients", require only  $\log_2 \sigma + O(1)$  bits.
- Space usage  $(1 + \epsilon)n \log_2 \sigma + O(n)$  bits, O(1) time.
- Fast in practice (2-3 times slower than TST).

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End			
00		0000	●○	0			
Applications							

SDS have been applied in a number of domains:

- Information retrieval.
- NGS: Bowtie read aligner.
- Representing XML data:
  - "SiXML" project, XML DOM with order of magnitude less space.

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

- Data store for Zorba XQuery processor.
- Many data mining tasks (papers in KDD'14, KDD'16).

Introduction	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End
00	000	0000	0•	0

#### Library sdsl-lite

- Comprehensive (but low-level) library. [Gog et al., SEA '14]
- Structured to facilitate flexible prototyping of new high-level structures (building upon bases such as bit vectors).
- Robust in terms of scale, handling input sequences of arbitrary length over arbitrary alphabets.
- Serialization to disk and loading, memory usage visualization.



Introduction 00	Succinct Data Structuring	Succinct Tries	Applications & Libraries	End ●
	-			

# Conclusions

- Use of succinct data structures can allow scalable processing of big data *using existing algorithms*.
  - With machines with 100s of GB RAM, maybe even Big Data can be processed using compressed data structures.
- Many of the basic theoretical foundations have been laid, and succinct data structures have never been easier to use.
- Succinct data structures need to be chosen and used appropriately. Optimized to ADT.
  - Even "simple" operations can't necessarily be added later.
  - E.g. in Bonsai tree, all of a node's descendants' IDs are derived from its ID; can't delete internal nodes cheaply.
  - Single-threaded dynamic SDS much less developed, let alone concurrent SDS.
- Many individual applications, but no complex systems built around SDS.