

Isabelle/HOLCF — Higher-Order Logic of Computable Functions

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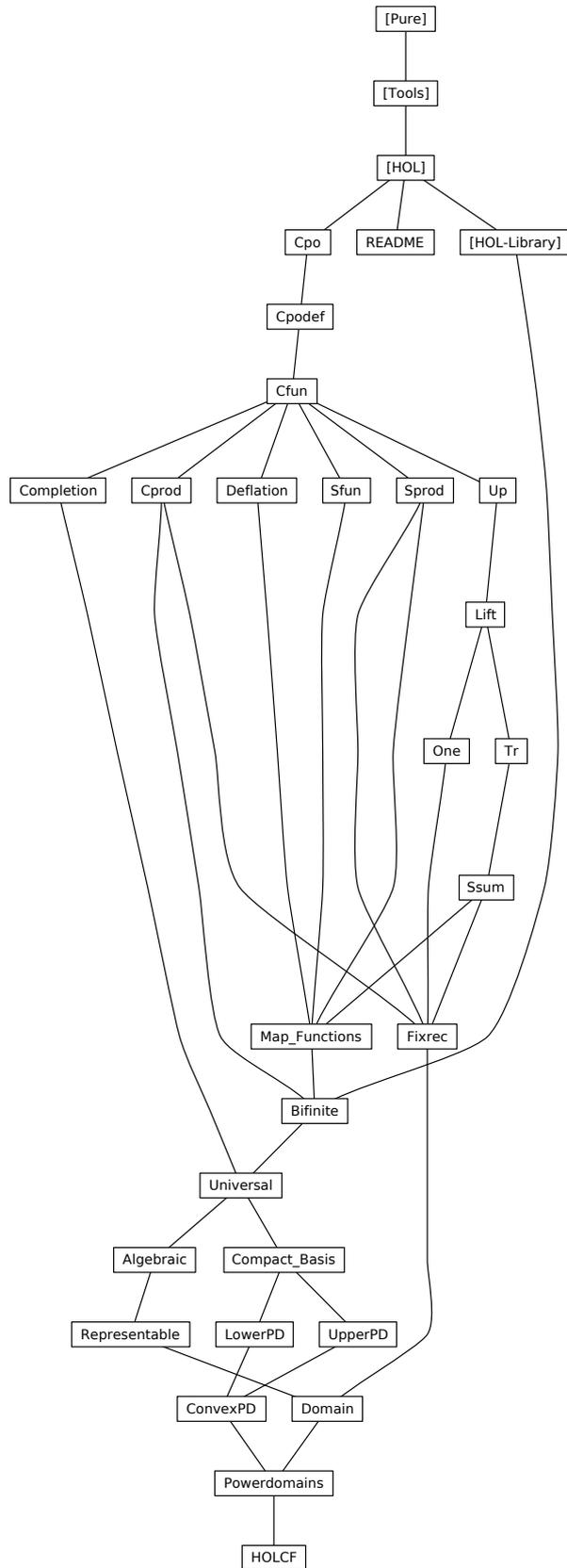
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```

theory Cpo
  imports Main
begin

```

1 Partial orders

```

declare [[typedef-overloaded]]

```

1.1 Type class for partial orders

```

class below =
  fixes below :: 'a ⇒ 'a ⇒ bool
begin

```

```

notation (ASCII)
  below (infix <<<> 50)

```

```

notation
  below (infix <⊆> 50)

```

```

abbreviation not-below :: 'a ⇒ 'a ⇒ bool (infix <⊈> 50)
  where not-below x y ≡ ¬ below x y

```

```

notation (ASCII)
  not-below (infix <~<<> 50)

```

```

lemma below-eq-trans: a ⊆ b ⇒ b = c ⇒ a ⊆ c
  by (rule subst)

```

```

lemma eq-below-trans: a = b ⇒ b ⊆ c ⇒ a ⊆ c
  by (rule ssubst)

```

```

end

```

```

class po = below +
  assumes below-refl [iff]: x ⊆ x
  assumes below-trans: x ⊆ y ⇒ y ⊆ z ⇒ x ⊆ z
  assumes below-antisym: x ⊆ y ⇒ y ⊆ x ⇒ x = y
begin

```

```

lemma eq-imp-below: x = y ⇒ x ⊆ y
  by simp

```

```

lemma box-below: a ⊆ b ⇒ c ⊆ a ⇒ b ⊆ d ⇒ c ⊆ d
  by (rule below-trans [OF below-trans])

```

```

lemma po-eq-conv: x = y ↔ x ⊆ y ∧ y ⊆ x

```

by (*fast intro!*: *below-antisym*)

lemma *rev-below-trans*: $y \sqsubseteq z \implies x \sqsubseteq y \implies x \sqsubseteq z$
by (*rule below-trans*)

lemma *not-below2not-eq*: $x \not\sqsubseteq y \implies x \neq y$
by *auto*

end

lemmas *HOLCF-trans-rules* [*trans*] =
below-trans
below-antisym
below-eq-trans
eq-below-trans

context *po*
begin

1.2 Upper bounds

definition *is-ub* :: 'a set \Rightarrow 'a \Rightarrow bool (**infix** $\langle \langle | \rangle \rangle$ 55)
where $S \langle | x \longleftrightarrow (\forall y \in S. y \sqsubseteq x)$

lemma *is-ubI*: $(\bigwedge x. x \in S \implies x \sqsubseteq u) \implies S \langle | u$
by (*simp add: is-ub-def*)

lemma *is-ubD*: $\llbracket S \langle | u; x \in S \rrbracket \implies x \sqsubseteq u$
by (*simp add: is-ub-def*)

lemma *ub-imageI*: $(\bigwedge x. x \in S \implies f x \sqsubseteq u) \implies (\lambda x. f x) \langle S \langle | u$
unfolding *is-ub-def* **by** *fast*

lemma *ub-imageD*: $\llbracket f \langle S \langle | u; x \in S \rrbracket \implies f x \sqsubseteq u$
unfolding *is-ub-def* **by** *fast*

lemma *ub-rangeI*: $(\bigwedge i. S i \sqsubseteq x) \implies \text{range } S \langle | x$
unfolding *is-ub-def* **by** *fast*

lemma *ub-rangeD*: $\text{range } S \langle | x \implies S i \sqsubseteq x$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-empty* [*simp*]: $\{\} \langle | u$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-insert* [*simp*]: $(\text{insert } x A) \langle | y = (x \sqsubseteq y \wedge A \langle | y)$
unfolding *is-ub-def* **by** *fast*

lemma *is-ub-upward*: $\llbracket S \langle | x; x \sqsubseteq y \rrbracket \implies S \langle | y$

unfolding *is-ub-def* **by** (*fast intro: below-trans*)

1.3 Least upper bounds

definition *is-lub* :: 'a set \Rightarrow 'a \Rightarrow bool (**infix** \lll 55)
where $S \lll x \iff S \ll x \wedge (\forall u. S \ll u \longrightarrow x \sqsubseteq u)$

definition *lub* :: 'a set \Rightarrow 'a
where $\text{lub } S = (\text{THE } x. S \lll x)$

end

syntax (*ASCII*)

-*BLub* :: [*pttrn*, 'a set, 'b] \Rightarrow 'b ($\langle\langle\text{indent}=3 \text{ notation}=\langle\text{binder } LUB\rangle\rangle LUB \text{ } \text{--} \text{/}$
 $\text{--}\rangle [0,0, 10] 10)$

syntax

-*BLub* :: [*pttrn*, 'a set, 'b] \Rightarrow 'b ($\langle\langle\text{indent}=3 \text{ notation}=\langle\text{binder } \sqcup\rangle\rangle \sqcup \text{ } \text{--} \text{/}$
 $\text{--}\rangle [0,0, 10] 10)$

syntax-consts

-*BLub* \iff *lub*

translations

LUB $x:A. t \iff \text{CONST } \text{lub } ((\lambda x. t) ' A)$

context *po*

begin

abbreviation *Lub* (**binder** $\langle\sqcup\rangle 10)$

where $\sqcup n. t n \equiv \text{lub } (\text{range } t)$

notation (*ASCII*)

Lub (**binder** $\langle LUB \rangle 10)$

access to some definition as inference rule

lemma *is-lubD1*: $S \lll x \implies S \ll x$

unfolding *is-lub-def* **by** *fast*

lemma *is-lubD2*: $\lll S \lll x; S \ll u \implies x \sqsubseteq u$

unfolding *is-lub-def* **by** *fast*

lemma *is-lubI*: $\lll S \ll x; \bigwedge u. S \ll u \implies x \sqsubseteq u \implies S \lll x$

unfolding *is-lub-def* **by** *fast*

lemma *is-lub-below-iff*: $S \lll x \implies x \sqsubseteq u \iff S \ll u$

unfolding *is-lub-def is-ub-def* **by** (*metis below-trans*)

lubs are unique

lemma *is-lub-unique*: $S \ll x \implies S \ll y \implies x = y$
unfolding *is-lub-def is-ub-def* **by** (*blast intro: below-antisym*)

technical lemmas about *lub* and \ll

lemma *is-lub-lub*: $M \ll x \implies M \ll \text{lub } M$
unfolding *lub-def* **by** (*rule theI [OF - is-lub-unique]*)

lemma *lub-eqI*: $M \ll l \implies \text{lub } M = l$
by (*rule is-lub-unique [OF is-lub-lub]*)

lemma *is-lub-singleton* [*simp*]: $\{x\} \ll x$
by (*simp add: is-lub-def*)

lemma *lub-singleton* [*simp*]: $\text{lub } \{x\} = x$
by (*rule is-lub-singleton [THEN lub-eqI]*)

lemma *is-lub-bin*: $x \sqsubseteq y \implies \{x, y\} \ll y$
by (*simp add: is-lub-def*)

lemma *lub-bin*: $x \sqsubseteq y \implies \text{lub } \{x, y\} = y$
by (*rule is-lub-bin [THEN lub-eqI]*)

lemma *is-lub-maximal*: $S \ll x \implies x \in S \implies S \ll x$
by (*erule is-lubI, erule (1) is-ubD*)

lemma *lub-maximal*: $S \ll x \implies x \in S \implies \text{lub } S = x$
by (*rule is-lub-maximal [THEN lub-eqI]*)

1.4 Countable chains

definition *chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$
where — Here we use countable chains and I prefer to code them as functions!
chain $Y = (\forall i. Y\ i \sqsubseteq Y\ (\text{Suc } i))$

lemma *chainI*: $(\bigwedge i. Y\ i \sqsubseteq Y\ (\text{Suc } i)) \implies \text{chain } Y$
unfolding *chain-def* **by** *fast*

lemma *chainE*: $\text{chain } Y \implies Y\ i \sqsubseteq Y\ (\text{Suc } i)$
unfolding *chain-def* **by** *fast*

chains are monotone functions

lemma *chain-mono-less*: $\text{chain } Y \implies i < j \implies Y\ i \sqsubseteq Y\ j$
by (*erule less-Suc-induct, erule chainE, erule below-trans*)

lemma *chain-mono*: $\text{chain } Y \implies i \leq j \implies Y\ i \sqsubseteq Y\ j$
by (*cases i = j*) (*simp-all add: chain-mono-less*)

lemma *chain-shift*: $\text{chain } Y \implies \text{chain } (\lambda i. Y\ (i + j))$
by (*rule chainI, simp, erule chainE*)

technical lemmas about (least) upper bounds of chains

lemma *is-lub-rangeD1*: $\text{range } S \ll\!| x \implies S\ i \sqsubseteq x$
by (*rule is-lubD1 [THEN ub-rangeD]*)

lemma *is-ub-range-shift*: $\text{chain } S \implies \text{range } (\lambda i. S\ (i + j)) \ll\!| x = \text{range } S \ll\!| x$
apply (*rule iffI*)
apply (*rule ub-rangeI*)
apply (*rule-tac y=S (i + j) in below-trans*)
apply (*erule chain-mono*)
apply (*rule le-add1*)
apply (*erule ub-rangeD*)
apply (*rule ub-rangeI*)
apply (*erule ub-rangeD*)
done

lemma *is-lub-range-shift*: $\text{chain } S \implies \text{range } (\lambda i. S\ (i + j)) \ll\!| x = \text{range } S \ll\!| x$
by (*simp add: is-lub-def is-ub-range-shift*)

the lub of a constant chain is the constant

lemma *chain-const [simp]*: $\text{chain } (\lambda i. c)$
by (*simp add: chainI*)

lemma *is-lub-const*: $\text{range } (\lambda x. c) \ll\!| c$
by (*blast dest: ub-rangeD intro: is-lubI ub-rangeI*)

lemma *lub-const [simp]*: $(\bigsqcup i. c) = c$
by (*rule is-lub-const [THEN lub-eqI]*)

1.5 Finite chains

definition *max-in-chain* :: $\text{nat} \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$
where — finite chains, needed for monotony of continuous functions
 $\text{max-in-chain } i\ C \iff (\forall j. i \leq j \longrightarrow C\ i = C\ j)$

definition *finite-chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$
where $\text{finite-chain } C = (\text{chain } C \wedge (\exists i. \text{max-in-chain } i\ C))$

results about finite chains

lemma *max-in-chainI*: $(\bigwedge j. i \leq j \implies Y\ i = Y\ j) \implies \text{max-in-chain } i\ Y$
unfolding *max-in-chain-def* **by** *fast*

lemma *max-in-chainD*: $\text{max-in-chain } i\ Y \implies i \leq j \implies Y\ i = Y\ j$
unfolding *max-in-chain-def* **by** *fast*

lemma *finite-chainI*: $\text{chain } C \implies \text{max-in-chain } i\ C \implies \text{finite-chain } C$
unfolding *finite-chain-def* **by** *fast*

lemma *finite-chainE*: $\llbracket \text{finite-chain } C; \bigwedge i. \llbracket \text{chain } C; \text{max-in-chain } i \ C \rrbracket \implies R \rrbracket \implies R$

unfolding *finite-chain-def* **by** *fast*

lemma *lub-finch1*: $\text{chain } C \implies \text{max-in-chain } i \ C \implies \text{range } C \ll\langle | \ C \ i$

apply (*rule is-lubI*)
apply (*rule ub-rangeI, rename-tac j*)
apply (*rule-tac x=i and y=j in linorder-le-cases*)
apply (*drule (1) max-in-chainD, simp*)
apply (*erule (1) chain-mono*)
apply (*erule ub-rangeD*)
done

lemma *lub-finch2*: $\text{finite-chain } C \implies \text{range } C \ll\langle | \ C \ (\text{LEAST } i. \text{max-in-chain } i \ C)$

apply (*erule finite-chainE*)
apply (*erule LeastI2 [where Q= $\lambda i. \text{range } C \ll\langle | \ C \ i$]*)
apply (*erule (1) lub-finch1*)
done

lemma *finch-imp-finite-range*: $\text{finite-chain } Y \implies \text{finite } (\text{range } Y)$

apply (*erule finite-chainE*)
apply (*rule-tac B=Y ‘{..i} in finite-subset*)
apply (*rule subsetI*)
apply (*erule rangeE, rename-tac j*)
apply (*rule-tac x=i and y=j in linorder-le-cases*)
apply (*subgoal-tac Y j = Y i, simp*)
apply (*simp add: max-in-chain-def*)
apply *simp*
apply *simp*
done

lemma *finite-range-has-max*:

fixes $f :: \text{nat} \Rightarrow 'a$
and $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
assumes *mono*: $\bigwedge i \ j. \ i \leq \ j \implies r \ (f \ i) \ (f \ j)$
assumes *finite-range*: $\text{finite } (\text{range } f)$
shows $\exists k. \forall i. r \ (f \ i) \ (f \ k)$

proof (*intro exI allI*)

fix $i :: \text{nat}$
let $?j = \text{LEAST } k. f \ k = f \ i$
let $?k = \text{Max } ((\lambda x. \text{LEAST } k. f \ k = x) \text{ ‘range } f)$
have $?j \leq ?k$
proof (*rule Max-ge*)
show $\text{finite } ((\lambda x. \text{LEAST } k. f \ k = x) \text{ ‘range } f)$
using *finite-range by (rule finite-imageI)*
show $?j \in ((\lambda x. \text{LEAST } k. f \ k = x) \text{ ‘range } f)$
by (*intro imageI rangeI*)

qed

hence $r (f ?j) (f ?k)$
by (*rule mono*)
also have $f ?j = f i$
by (*rule LeastI, rule refl*)
finally show $r (f i) (f ?k)$.
qed

lemma *finite-range-imp-finch*: $\text{chain } Y \implies \text{finite } (\text{range } Y) \implies \text{finite-chain } Y$
apply (*subgoal-tac* $\exists k. \forall i. Y i \sqsubseteq Y k$)
apply (*erule exE*)
apply (*rule finite-chainI, assumption*)
apply (*rule max-in-chainI*)
apply (*rule below-antisym*)
apply (*erule (1) chain-mono*)
apply (*erule spec*)
apply (*rule finite-range-has-max*)
apply (*erule (1) chain-mono*)
apply *assumption*
done

lemma *bin-chain*: $x \sqsubseteq y \implies \text{chain } (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$
by (*rule chainI simp*)

lemma *bin-chainmax*: $x \sqsubseteq y \implies \text{max-in-chain } (\text{Suc } 0) (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$
by (*simp add: max-in-chain-def*)

lemma *is-lub-bin-chain*: $x \sqsubseteq y \implies \text{range } (\lambda i::\text{nat}. \text{if } i=0 \text{ then } x \text{ else } y) \ll\ll y$
apply (*frule bin-chain*)
apply (*drule bin-chainmax*)
apply (*drule (1) lub-finch1*)
apply *simp*
done

the maximal element in a chain is its lub

lemma *lub-chain-maxelem*: $Y i = c \implies \forall i. Y i \sqsubseteq c \implies \text{lub } (\text{range } Y) = c$
by (*blast dest: ub-rangeD intro: lub-eqI is-lubI ub-rangeI*)

end

2 Classes cpo and pcpo

2.1 Complete partial orders

The class cpo of chain complete partial orders

class *cpo* = *po* +
assumes *cpo*: $\text{chain } S \implies \exists x. \text{range } S \ll\ll x$

default-sort *cpo*

context *cpo*

begin

in cpo’s everthing equal to THE lub has lub properties for every chain

lemma *cpo-lubI*: $\text{chain } S \implies \text{range } S \ll\mid (\bigsqcup i. S i)$

by (*fast dest: cpo elim: is-lub-lub*)

lemma *thelubE*: $\llbracket \text{chain } S; (\bigsqcup i. S i) = l \rrbracket \implies \text{range } S \ll\mid l$

by (*blast dest: cpo intro: is-lub-lub*)

Properties of the lub

lemma *is-ub-thelub*: $\text{chain } S \implies S x \sqsubseteq (\bigsqcup i. S i)$

by (*blast dest: cpo intro: is-lub-lub [THEN is-lub-rangeD1]*)

lemma *is-lub-thelub*: $\llbracket \text{chain } S; \text{range } S \ll\mid x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$

by (*blast dest: cpo intro: is-lub-lub [THEN is-lubD2]*)

lemma *lub-below-iff*: $\text{chain } S \implies (\bigsqcup i. S i) \sqsubseteq x \iff (\forall i. S i \sqsubseteq x)$

by (*simp add: is-lub-below-iff [OF cpo-lubI] is-ub-def*)

lemma *lub-below*: $\llbracket \text{chain } S; \bigwedge i. S i \sqsubseteq x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$

by (*simp add: lub-below-iff*)

lemma *below-lub*: $\llbracket \text{chain } S; x \sqsubseteq S i \rrbracket \implies x \sqsubseteq (\bigsqcup i. S i)$

by (*erule below-trans, erule is-ub-thelub*)

lemma *lub-range-mono*: $\llbracket \text{range } X \subseteq \text{range } Y; \text{chain } Y; \text{chain } X \rrbracket \implies (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$

apply (*erule lub-below*)

apply (*subgoal-tac $\exists j. X i = Y j$*)

apply *clarsimp*

apply (*erule is-ub-thelub*)

apply *auto*

done

lemma *lub-range-shift*: $\text{chain } Y \implies (\bigsqcup i. Y (i + j)) = (\bigsqcup i. Y i)$

apply (*rule below-antisym*)

apply (*rule lub-range-mono*)

apply *fast*

apply *assumption*

apply (*erule chain-shift*)

apply (*rule lub-below*)

apply *assumption*

apply (*rule-tac $i=i$ in below-lub*)

apply (*erule chain-shift*)

apply (*erule chain-mono*)

apply (*rule le-add1*)

done

lemma *maxinch-is-thelub*: $\text{chain } Y \implies \text{max-in-chain } i \ Y = ((\sqcup i. Y \ i) = Y \ i)$
apply (*rule iffI*)
apply (*fast intro!*: *lub-eqI lub-finch1*)
apply (*unfold max-in-chain-def*)
apply (*safe intro!*: *below-antisym*)
apply (*fast elim!*: *chain-mono*)
apply (*drule sym*)
apply (*force elim!*: *is-ub-thelub*)
done

the \sqsubseteq relation between two chains is preserved by their lubs

lemma *lub-mono*: $\llbracket \text{chain } X; \text{chain } Y; \bigwedge i. X \ i \sqsubseteq Y \ i \rrbracket \implies (\sqcup i. X \ i) \sqsubseteq (\sqcup i. Y \ i)$
by (*fast elim*: *lub-below below-lub*)

the $=$ relation between two chains is preserved by their lubs

lemma *lub-eq*: $(\bigwedge i. X \ i = Y \ i) \implies (\sqcup i. X \ i) = (\sqcup i. Y \ i)$
by *simp*

lemma *ch2ch-lub*:
assumes 1: $\bigwedge j. \text{chain } (\lambda i. Y \ i \ j)$
assumes 2: $\bigwedge i. \text{chain } (\lambda j. Y \ i \ j)$
shows $\text{chain } (\lambda i. \sqcup j. Y \ i \ j)$
apply (*rule chainI*)
apply (*rule lub-mono* [*OF* 2 2])
apply (*rule chainE* [*OF* 1])
done

lemma *diag-lub*:
assumes 1: $\bigwedge j. \text{chain } (\lambda i. Y \ i \ j)$
assumes 2: $\bigwedge i. \text{chain } (\lambda j. Y \ i \ j)$
shows $(\sqcup i. \sqcup j. Y \ i \ j) = (\sqcup i. Y \ i \ i)$
proof (*rule below-antisym*)
have 3: $\text{chain } (\lambda i. Y \ i \ i)$
apply (*rule chainI*)
apply (*rule below-trans*)
apply (*rule chainE* [*OF* 1])
apply (*rule chainE* [*OF* 2])
done
have 4: $\text{chain } (\lambda i. \sqcup j. Y \ i \ j)$
by (*rule ch2ch-lub* [*OF* 1 2])
show $(\sqcup i. \sqcup j. Y \ i \ j) \sqsubseteq (\sqcup i. Y \ i \ i)$
apply (*rule lub-below* [*OF* 4])
apply (*rule lub-below* [*OF* 2])
apply (*rule below-lub* [*OF* 3])
apply (*rule below-trans*)
apply (*rule chain-mono* [*OF* 1 *max.cobounded1*])
apply (*rule chain-mono* [*OF* 2 *max.cobounded2*])
done

```

show ( $\sqcup i. Y i i$ )  $\sqsubseteq$  ( $\sqcup i. \sqcup j. Y i j$ )
  apply (rule lub-mono [OF 3 4])
  apply (rule is-ub-the lub [OF 2])
done
qed

```

```

lemma ex-lub:
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y i j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y i j)$ 
  shows ( $\sqcup i. \sqcup j. Y i j$ ) = ( $\sqcup j. \sqcup i. Y i j$ )
  by (simp add: diag-lub 1 2)

end

```

2.2 Pointed cpos

The class pcpo of pointed cpos

```

class pcpo = cpo +
  assumes least:  $\exists x. \forall y. x \sqsubseteq y$ 
begin

```

```

definition bottom :: 'a ( $\langle \perp \rangle$ )
  where bottom = (THE x.  $\forall y. x \sqsubseteq y$ )

```

```

lemma minimal [iff]:  $\perp \sqsubseteq x$ 
  unfolding bottom-def
  apply (rule the1I2)
  apply (rule ex-ex1I)
  apply (rule least)
  apply (blast intro: below-antisym)
  apply simp
done

```

end

Old "UU" syntax:

```

abbreviation (input) UU  $\equiv$  bottom

```

Simproc to rewrite $\perp = x$ to $x = \perp$.

```

setup  $\langle$ Reorient-Proc.add (fn Const- $\langle$ bottom  $\rightarrow$   $\Rightarrow$  true |  $\rightarrow$   $\Rightarrow$  false) $\rangle$ 
simproc-setup reorient-bottom ( $\perp = x$ ) =  $\langle$ K Reorient-Proc.proc $\rangle$ 

```

useful lemmas about \perp

```

lemma below-bottom-iff [simp]:  $x \sqsubseteq \perp \iff x = \perp$ 
  by (simp add: po-eq-conv)

```

```

lemma eq-bottom-iff:  $x = \perp \iff x \sqsubseteq \perp$ 
  by simp

```

lemma *bottomI*: $x \sqsubseteq \perp \implies x = \perp$
by (*subst eq-bottom-iff*)

lemma *lub-eq-bottom-iff*: $\text{chain } Y \implies (\bigsqcup i. Y\ i) = \perp \longleftrightarrow (\forall i. Y\ i = \perp)$
by (*simp only: eq-bottom-iff lub-below-iff*)

2.3 Chain-finite and flat cpos

further useful classes for HOLCF domains

class *chfin* = *pcpo* +
assumes *chfin*: $\text{chain } Y \implies \exists n. \text{max-in-chain } n\ Y$
begin

subclass *cpo*
apply *standard*
apply (*frule chfin*)
apply (*blast intro: lub-finch1*)
done

lemma *chfin2finch*: $\text{chain } Y \implies \text{finite-chain } Y$
by (*simp add: chfin finite-chain-def*)

end

class *flat* = *pcpo* +
assumes *ax-flat*: $x \sqsubseteq y \implies x = \perp \vee x = y$
begin

subclass *chfin*
proof
fix *Y*
assume *: *chain Y*
show $\exists n. \text{max-in-chain } n\ Y$
apply (*unfold max-in-chain-def*)
apply (*cases* $\forall i. Y\ i = \perp$)
apply *simp*
apply *simp*
apply (*erule exE*)
apply (*rule-tac x=i in exI*)
apply *clarify*
using * **apply** (*blast dest: chain-mono ax-flat*)
done

qed

lemma *flat-below-iff*: $x \sqsubseteq y \longleftrightarrow x = \perp \vee x = y$
by (*safe dest!: ax-flat*)

lemma *flat-eq*: $a \neq \perp \implies a \sqsubseteq b = (a = b)$

```

  by (safe dest!: ax-flat)

end

```

2.4 Discrete cpos

```

class discrete-cpo = below +
  assumes discrete-cpo [simp]:  $x \sqsubseteq y \longleftrightarrow x = y$ 
begin

```

```

subclass po
  by standard simp-all

```

In a discrete cpo, every chain is constant

```

lemma discrete-chain-const:
  assumes S: chain S
  shows  $\exists x. S = (\lambda i. x)$ 
proof (intro exI ext)
  fix i :: nat
  from S le0 have  $S 0 \sqsubseteq S i$  by (rule chain-mono)
  then have  $S 0 = S i$  by simp
  then show  $S i = S 0$  by (rule sym)
qed

```

```

subclass chfin
proof
  fix S :: nat  $\Rightarrow$  'a
  assume S: chain S
  then have  $\exists x. S = (\lambda i. x)$ 
    by (rule discrete-chain-const)
  then have max-in-chain 0 S
    by (auto simp: max-in-chain-def)
  then show  $\exists i. \text{max-in-chain } i S ..$ 
qed

```

```

end

```

3 Continuity and monotonicity

3.1 Definitions

```

definition monofun :: ('a::po  $\Rightarrow$  'b::po)  $\Rightarrow$  bool — monotonicity
  where monofun f  $\longleftrightarrow (\forall x y. x \sqsubseteq y \longrightarrow f x \sqsubseteq f y)$ 

```

```

definition cont :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool
  where cont f =  $(\forall Y. \text{chain } Y \longrightarrow \text{range } (\lambda i. f (Y i)) \ll\!| f (\bigsqcup i. Y i))$ 

```

```

lemma contI:  $(\bigwedge Y. \text{chain } Y \Longrightarrow \text{range } (\lambda i. f (Y i)) \ll\!| f (\bigsqcup i. Y i)) \Longrightarrow \text{cont } f$ 
  by (simp add: cont-def)

```

lemma *contE*: $\text{cont } f \implies \text{chain } Y \implies \text{range } (\lambda i. f (Y i)) \ll\mid f (\bigsqcup i. Y i)$
by (*simp add: cont-def*)

lemma *monofunI*: $(\bigwedge x y. x \sqsubseteq y \implies f x \sqsubseteq f y) \implies \text{monofun } f$
by (*simp add: monofun-def*)

lemma *monofunE*: $\text{monofun } f \implies x \sqsubseteq y \implies f x \sqsubseteq f y$
by (*simp add: monofun-def*)

3.2 Equivalence of alternate definition

monotone functions map chains to chains

lemma *ch2ch-monofun*: $\text{monofun } f \implies \text{chain } Y \implies \text{chain } (\lambda i. f (Y i))$
apply (*rule chainI*)
apply (*erule monofunE*)
apply (*erule chainE*)
done

monotone functions map upper bound to upper bounds

lemma *ub2ub-monofun*: $\text{monofun } f \implies \text{range } Y \ll\mid u \implies \text{range } (\lambda i. f (Y i)) \ll\mid f u$
apply (*rule ub-rangeI*)
apply (*erule monofunE*)
apply (*erule ub-rangeD*)
done

a lemma about binary chains

lemma *binchain-cont*: $\text{cont } f \implies x \sqsubseteq y \implies \text{range } (\lambda i::\text{nat}. f (\text{if } i = 0 \text{ then } x \text{ else } y)) \ll\mid f y$
apply (*subgoal-tac* $f (\bigsqcup i::\text{nat}. \text{if } i = 0 \text{ then } x \text{ else } y) = f y$)
apply (*erule subst*)
apply (*erule contE*)
apply (*erule bin-chain*)
apply (*rule-tac* $f=f$ **in** *arg-cong*)
apply (*erule is-lub-bin-chain* [*THEN* *lub-eqI*])
done

continuity implies monotonicity

lemma *cont2mono*: $\text{cont } f \implies \text{monofun } f$
apply (*rule monofunI*)
apply (*drule* (1) *binchain-cont*)
apply (*drule-tac* $i=0$ **in** *is-lub-rangeD1*)
apply *simp*
done

lemmas *cont2monofunE* = *cont2mono* [*THEN* *monofunE*]

lemmas *ch2ch-cont = cont2mono* [THEN *ch2ch-monofun*]

continuity implies preservation of lubs

lemma *cont2contlubE*: $\text{cont } f \implies \text{chain } Y \implies f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i))$
apply (*rule lub-eqI* [*symmetric*])
apply (*erule* (1) *contE*)
done

lemma *contI2*:

fixes $f :: 'a \Rightarrow 'b$
assumes *mono*: *monofun* f
assumes *below*: $\bigwedge Y. \llbracket \text{chain } Y; \text{chain } (\lambda i. f (Y i)) \rrbracket \implies f (\bigsqcup i. Y i) \sqsubseteq (\bigsqcup i. f (Y i))$
shows *cont* f
proof (*rule contI*)
fix $Y :: \text{nat} \Rightarrow 'a$
assume $Y: \text{chain } Y$
with *mono* **have** $fY: \text{chain } (\lambda i. f (Y i))$
by (*rule ch2ch-monofun*)
have $(\bigsqcup i. f (Y i)) = f (\bigsqcup i. Y i)$
apply (*rule below-antisym*)
apply (*rule lub-below* [*OF fY*])
apply (*rule monofunE* [*OF mono*])
apply (*rule is-ub-thelub* [*OF Y*])
apply (*rule below* [*OF Y fY*])
done
with fY **show** $\text{range } (\lambda i. f (Y i)) \ll\ll f (\bigsqcup i. Y i)$
by (*rule thelubE*)
qed

3.3 Collection of continuity rules

named-theorems *cont2cont continuity intro rule*

3.4 Continuity of basic functions

The identity function is continuous

lemma *cont-id* [*simp, cont2cont*]: *cont* $(\lambda x. x)$
apply (*rule contI*)
apply (*erule cpo-lubI*)
done

constant functions are continuous

lemma *cont-const* [*simp, cont2cont*]: *cont* $(\lambda x. c)$
using *is-lub-const* **by** (*rule contI*)

application of functions is continuous

lemma *cont-apply*:

```

fixes  $f :: 'a \Rightarrow 'b \Rightarrow 'c$  and  $t :: 'a \Rightarrow 'b$ 
assumes 1:  $cont (\lambda x. t x)$ 
assumes 2:  $\bigwedge x. cont (\lambda y. f x y)$ 
assumes 3:  $\bigwedge y. cont (\lambda x. f x y)$ 
shows  $cont (\lambda x. (f x) (t x))$ 
proof (rule contI2 [OF monofunI])
  fix  $x y :: 'a$ 
  assume  $x \sqsubseteq y$ 
  then show  $f x (t x) \sqsubseteq f y (t y)$ 
    by (auto intro: cont2monofunE [OF 1]
      cont2monofunE [OF 2]
      cont2monofunE [OF 3]
      below-trans)
  next
  fix  $Y :: nat \Rightarrow 'a$ 
  assume chain  $Y$ 
  then show  $f (\bigsqcup i. Y i) (t (\bigsqcup i. Y i)) \sqsubseteq (\bigsqcup i. f (Y i) (t (Y i)))$ 
    by (simp only: cont2contlubE [OF 1] ch2ch-cont [OF 1]
      cont2contlubE [OF 2] ch2ch-cont [OF 2]
      cont2contlubE [OF 3] ch2ch-cont [OF 3]
      diag-lub below-refl)
qed

```

lemma *cont-compose*: $cont c \Longrightarrow cont (\lambda x. f x) \Longrightarrow cont (\lambda x. c (f x))$
by (rule *cont-apply* [*OF - - cont-const*])

Least upper bounds preserve continuity

```

lemma cont2cont-lub [simp]:
  assumes chain:  $\bigwedge x. chain (\lambda i. F i x)$ 
  and cont:  $\bigwedge i. cont (\lambda x. F i x)$ 
  shows  $cont (\lambda x. \bigsqcup i. F i x)$ 
  apply (rule contI2)
  apply (simp add: monofunI cont2monofunE [OF cont] lub-mono chain)
  apply (simp add: cont2contlubE [OF cont])
  apply (simp add: diag-lub ch2ch-cont [OF cont] chain)
  done

```

if-then-else is continuous

lemma *cont-if* [*simp, cont2cont*]: $cont f \Longrightarrow cont g \Longrightarrow cont (\lambda x. if b then f x else g x)$
by (*induct* b) *simp-all*

3.5 Finite chains and flat pcpos

Monotone functions map finite chains to finite chains.

lemma *monofun-finch2finch*: $monofun f \Longrightarrow finite-chain Y \Longrightarrow finite-chain (\lambda n. f (Y n))$
by (*force simp add*: *finite-chain-def ch2ch-monofun max-in-chain-def*)

The same holds for continuous functions.

lemma *cont-finch2finch*: $cont\ f \implies finite-chain\ Y \implies finite-chain\ (\lambda n. f\ (Y\ n))$
by (*rule cont2mono [THEN monofun-finch2finch]*)

All monotone functions with chain-finite domain are continuous.

lemma *chfindom-monofun2cont*: $monofun\ f \implies cont\ f$
for $f :: 'a::chfin \Rightarrow 'b$
apply (*erule contI2*)
apply (*frule chfin2finch*)
apply (*clarsimp simp add: finite-chain-def*)
apply (*subgoal-tac max-in-chain i (\lambda i. f (Y i))*)
apply (*simp add: maxinch-is-thelub ch2ch-monofun*)
apply (*force simp add: max-in-chain-def*)
done

All strict functions with flat domain are continuous.

lemma *flatdom-strict2mono*: $f\ \perp = \perp \implies monofun\ f$
for $f :: 'a::flat \Rightarrow 'b::pcpo$
apply (*rule monofunI*)
apply (*drule ax-flat*)
apply *auto*
done

lemma *flatdom-strict2cont*: $f\ \perp = \perp \implies cont\ f$
for $f :: 'a::flat \Rightarrow 'b::pcpo$
by (*rule flatdom-strict2mono [THEN chfindom-monofun2cont]*)

All functions with discrete domain are continuous.

lemma *cont-discrete-cpo* [*simp, cont2cont*]: $cont\ f$
for $f :: 'a::discrete-cpo \Rightarrow 'b$
apply (*rule contI*)
apply (*drule discrete-chain-const, clarify*)
apply *simp*
done

4 Admissibility and compactness

4.1 Definitions

context *cpo*
begin

definition *adm* :: $('a \Rightarrow bool) \Rightarrow bool$
where $adm\ P \longleftrightarrow (\forall Y. chain\ Y \longrightarrow (\forall i. P\ (Y\ i)) \longrightarrow P\ (\bigsqcup i. Y\ i))$

lemma *admI*: $(\bigwedge Y. \llbracket chain\ Y; \forall i. P\ (Y\ i) \rrbracket \implies P\ (\bigsqcup i. Y\ i)) \implies adm\ P$
unfolding *adm-def* **by** *fast*

lemma *admD*: $adm\ P \implies chain\ Y \implies (\bigwedge i. P\ (Y\ i)) \implies P\ (\bigsqcup i. Y\ i)$
unfolding *adm-def* **by** *fast*

lemma *admD2*: $adm\ (\lambda x. \neg P\ x) \implies chain\ Y \implies P\ (\bigsqcup i. Y\ i) \implies \exists i. P\ (Y\ i)$
unfolding *adm-def* **by** *fast*

lemma *triv-admI*: $\forall x. P\ x \implies adm\ P$
by (*rule admI*) (*erule spec*)

end

4.2 Admissibility on chain-finite types

For chain-finite (easy) types every formula is admissible.

lemma *adm-chfin* [*simp*]: $adm\ P\ \mathbf{for}\ P\ ::\ 'a::chfin \implies bool$
by (*rule admI*, *frule chfin*, *auto simp add: maxinch-is-theub*)

4.3 Admissibility of special formulae and propagation

context *cpo*
begin

lemma *adm-const* [*simp*]: $adm\ (\lambda x. t)$
by (*rule admI*, *simp*)

lemma *adm-conj* [*simp*]: $adm\ (\lambda x. P\ x) \implies adm\ (\lambda x. Q\ x) \implies adm\ (\lambda x. P\ x \wedge Q\ x)$
by (*fast intro: admI elim: admD*)

lemma *adm-all* [*simp*]: $(\bigwedge y. adm\ (\lambda x. P\ x\ y)) \implies adm\ (\lambda x. \forall y. P\ x\ y)$
by (*fast intro: admI elim: admD*)

lemma *adm-ball* [*simp*]: $(\bigwedge y. y \in A \implies adm\ (\lambda x. P\ x\ y)) \implies adm\ (\lambda x. \forall y \in A. P\ x\ y)$
by (*fast intro: admI elim: admD*)

Admissibility for disjunction is hard to prove. It requires 2 lemmas.

lemma *adm-disj-lemma1*:

assumes *adm*: $adm\ P$
assumes *chain*: $chain\ Y$
assumes *P*: $\forall i. \exists j \geq i. P\ (Y\ j)$
shows $P\ (\bigsqcup i. Y\ i)$

proof –

define *f* **where** $f\ i = (LEAST\ j. i \leq j \wedge P\ (Y\ j))$ **for** *i*
have *chain'*: $chain\ (\lambda i. Y\ (f\ i))$
unfolding *f-def*
apply (*rule chainI*)
apply (*rule chain-mono* [*OF chain*])
apply (*rule Least-le*)

```

apply (rule LeastI2-ex)
apply (simp-all add: P)
done
have f1:  $\bigwedge i. i \leq f i$  and f2:  $\bigwedge i. P (Y (f i))$ 
using LeastI-ex [OF P [rule-format]] by (simp-all add: f-def)
have lub-eq:  $(\bigsqcup i. Y i) = (\bigsqcup i. Y (f i))$ 
apply (rule below-antisym)
apply (rule lub-mono [OF chain chain'])
apply (rule chain-mono [OF chain f1])
apply (rule lub-range-mono [OF - chain chain'])
apply clarsimp
done
show P  $(\bigsqcup i. Y i)$ 
unfolding lub-eq using adm chain' f2 by (rule admD)
qed

```

lemma adm-disj-lemma2: $\forall n::nat. P n \vee Q n \implies (\forall i. \exists j \geq i. P j) \vee (\forall i. \exists j \geq i. Q j)$

```

apply (erule contrapos-pp)
apply (clarsimp, rename-tac a b)
apply (rule-tac x=max a b in exI)
apply simp
done

```

lemma adm-disj [simp]: $\text{adm } (\lambda x. P x) \implies \text{adm } (\lambda x. Q x) \implies \text{adm } (\lambda x. P x \vee Q x)$

```

apply (rule admI)
apply (erule adm-disj-lemma2 [THEN disjE])
apply (erule (2) adm-disj-lemma1 [THEN disjI1])
apply (erule (2) adm-disj-lemma1 [THEN disjI2])
done

```

lemma adm-imp [simp]: $\text{adm } (\lambda x. \neg P x) \implies \text{adm } (\lambda x. Q x) \implies \text{adm } (\lambda x. P x \longrightarrow Q x)$

```

by (subst imp-conv-disj) (rule adm-disj)

```

lemma adm-iff [simp]: $\text{adm } (\lambda x. P x \longrightarrow Q x) \implies \text{adm } (\lambda x. Q x \longrightarrow P x) \implies \text{adm } (\lambda x. P x \longleftrightarrow Q x)$

```

by (subst iff-conv-conj-imp) (rule adm-conj)

```

end

admissibility and continuity

lemma adm-below [simp]: $\text{cont } (\lambda x. u x) \implies \text{cont } (\lambda x. v x) \implies \text{adm } (\lambda x. u x \sqsubseteq v x)$

```

by (simp add: adm-def cont2contlubE lub-mono ch2ch-cont)

```

lemma adm-eq [simp]: $\text{cont } (\lambda x. u x) \implies \text{cont } (\lambda x. v x) \implies \text{adm } (\lambda x. u x = v x)$

```

by (simp add: po-eq-conv)

```

lemma *adm-subst*: $\text{cont } (\lambda x. t x) \Longrightarrow \text{adm } P \Longrightarrow \text{adm } (\lambda x. P (t x))$
by (*simp add: adm-def cont2contlubE ch2ch-cont*)

lemma *adm-not-below* [*simp*]: $\text{cont } (\lambda x. t x) \Longrightarrow \text{adm } (\lambda x. t x \not\sqsubseteq u)$
by (*rule admI*) (*simp add: cont2contlubE ch2ch-cont lub-below-iff*)

4.4 Compactness

context *cpo*

begin

definition *compact* :: 'a \Rightarrow bool
where *compact* *k* = $\text{adm } (\lambda x. k \not\sqsubseteq x)$

lemma *compactI*: $\text{adm } (\lambda x. k \not\sqsubseteq x) \Longrightarrow \text{compact } k$
unfolding *compact-def* .

lemma *compactD*: $\text{compact } k \Longrightarrow \text{adm } (\lambda x. k \not\sqsubseteq x)$
unfolding *compact-def* .

lemma *compactI2*: $(\bigwedge Y. \llbracket \text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i) \rrbracket \Longrightarrow \exists i. x \sqsubseteq Y i) \Longrightarrow \text{compact } x$
unfolding *compact-def adm-def* **by** *fast*

lemma *compactD2*: $\text{compact } x \Longrightarrow \text{chain } Y \Longrightarrow x \sqsubseteq (\bigsqcup i. Y i) \Longrightarrow \exists i. x \sqsubseteq Y i$
unfolding *compact-def adm-def* **by** *fast*

lemma *compact-below-lub-iff*: $\text{compact } x \Longrightarrow \text{chain } Y \Longrightarrow x \sqsubseteq (\bigsqcup i. Y i) \longleftrightarrow (\exists i. x \sqsubseteq Y i)$
by (*fast intro: compactD2 elim: below-lub*)

end

lemma *compact-chfin* [*simp*]: *compact* *x* **for** *x* :: 'a::chfin
by (*rule compactI [OF adm-chfin]*)

lemma *compact-imp-max-in-chain*: $\text{chain } Y \Longrightarrow \text{compact } (\bigsqcup i. Y i) \Longrightarrow \exists i. \text{max-in-chain } i Y$
apply (*drule* (1) *compactD2, simp*)
apply (*erule exE, rule-tac x=i in exI*)
apply (*rule max-in-chainI*)
apply (*rule below-antisym*)
apply (*erule* (1) *chain-mono*)
apply (*erule* (1) *below-trans [OF is-ub-the-lub]*)
done

admissibility and compactness

lemma *adm-compact-not-below* [*simp*]:

compact $k \implies \text{cont } (\lambda x. t x) \implies \text{adm } (\lambda x. k \sqsubseteq t x)$
unfolding *compact-def* **by** (*rule adm-subst*)

lemma *adm-neq-compact* [*simp*]: *compact* $k \implies \text{cont } (\lambda x. t x) \implies \text{adm } (\lambda x. t x \neq k)$
by (*simp add: po-eq-conv*)

lemma *adm-compact-neq* [*simp*]: *compact* $k \implies \text{cont } (\lambda x. t x) \implies \text{adm } (\lambda x. k \neq t x)$
by (*simp add: po-eq-conv*)

lemma *compact-bottom* [*simp, intro*]: *compact* \perp
by (*rule compactI*) *simp*

Any upward-closed predicate is admissible.

lemma *adm-upward*:
assumes $P: \bigwedge x y. \llbracket P x; x \sqsubseteq y \rrbracket \implies P y$
shows *adm* P
by (*rule admI, drule spec, erule P, erule is-ub-thelub*)

lemmas *adm-lemmas* =
adm-const adm-conj adm-all adm-ball adm-disj adm-imp adm-iff
adm-below adm-eq adm-not-below
adm-compact-not-below adm-compact-neq adm-neq-compact

5 Class instances for the full function space

5.1 Full function space is a partial order

instantiation *fun* :: (*type, below*) *below*
begin

definition *below-fun-def*: $(\sqsubseteq) \equiv (\lambda f g. \forall x. f x \sqsubseteq g x)$

instance ..
end

instance *fun* :: (*type, po*) *po*
proof
fix $f g h :: 'a \Rightarrow 'b$
show $f \sqsubseteq f$
by (*simp add: below-fun-def*)
show $f \sqsubseteq g \implies g \sqsubseteq f \implies f = g$
by (*simp add: below-fun-def fun-eq-iff below-antisym*)
show $f \sqsubseteq g \implies g \sqsubseteq h \implies f \sqsubseteq h$
unfolding *below-fun-def* **by** (*fast elim: below-trans*)
qed

lemma *fun-below-iff*: $f \sqsubseteq g \longleftrightarrow (\forall x. f x \sqsubseteq g x)$

by (simp add: below-fun-def)

lemma *fun-belowI*: $(\bigwedge x. f x \sqsubseteq g x) \implies f \sqsubseteq g$
 by (simp add: below-fun-def)

lemma *fun-belowD*: $f \sqsubseteq g \implies f x \sqsubseteq g x$
 by (simp add: below-fun-def)

5.2 Full function space is chain complete

Properties of chains of functions.

lemma *fun-chain-iff*: $\text{chain } S \iff (\forall x. \text{chain } (\lambda i. S i x))$
 by (auto simp: chain-def fun-below-iff)

lemma *ch2ch-fun*: $\text{chain } S \implies \text{chain } (\lambda i. S i x)$
 by (simp add: chain-def below-fun-def)

lemma *ch2ch-lambda*: $(\bigwedge x. \text{chain } (\lambda i. S i x)) \implies \text{chain } S$
 by (simp add: chain-def below-fun-def)

Type $'a \Rightarrow 'b$ is chain complete

lemma *is-lub-lambda*: $(\bigwedge x. \text{range } (\lambda i. Y i x) \ll\ll f x) \implies \text{range } Y \ll\ll f$
 by (simp add: is-lub-def is-ub-def below-fun-def)

lemma *is-lub-fun*: $\text{chain } S \implies \text{range } S \ll\ll (\lambda x. \bigsqcup i. S i x)$
 for $S :: \text{nat} \Rightarrow 'a::\text{type} \Rightarrow 'b$
 apply (rule is-lub-lambda)
 apply (rule cpo-lubI)
 apply (erule ch2ch-fun)
 done

lemma *lub-fun*: $\text{chain } S \implies (\bigsqcup i. S i) = (\lambda x. \bigsqcup i. S i x)$
 for $S :: \text{nat} \Rightarrow 'a::\text{type} \Rightarrow 'b$
 by (rule is-lub-fun [THEN lub-eqI])

instance *fun* :: (type, cpo) cpo
 by intro-classes (rule exI, erule is-lub-fun)

instance *fun* :: (type, discrete-cpo) discrete-cpo
proof

fix $f g :: 'a \Rightarrow 'b$

show $f \sqsubseteq g \iff f = g$

by (simp add: fun-below-iff fun-eq-iff)

qed

5.3 Full function space is pointed

lemma *minimal-fun*: $(\lambda x. \perp) \sqsubseteq f$
 by (simp add: below-fun-def)

instance *fun* :: (*type*, *pcpo*) *pcpo*
by *standard* (*fast intro: minimal-fun*)

lemma *inst-fun-pcpo*: $\perp = (\lambda x. \perp)$
by (*rule minimal-fun* [*THEN bottomI, symmetric*])

lemma *app-strict* [*simp*]: $\perp x = \perp$
by (*simp add: inst-fun-pcpo*)

lemma *lambda-strict*: $(\lambda x. \perp) = \perp$
by (*rule bottomI, rule minimal-fun*)

5.4 Propagation of monotonicity and continuity

The lub of a chain of monotone functions is monotone.

lemma *adm-monofun*: *adm monofun*
by (*rule admI*) (*simp add: lub-fun fun-chain-iff monofun-def lub-mono*)

The lub of a chain of continuous functions is continuous.

lemma *adm-cont*: *adm cont*
by (*rule admI*) (*simp add: lub-fun fun-chain-iff*)

Function application preserves monotonicity and continuity.

lemma *mono2mono-fun*: *monofun f* \implies *monofun* $(\lambda x. f x y)$
by (*simp add: monofun-def fun-below-iff*)

lemma *cont2cont-fun*: *cont f* \implies *cont* $(\lambda x. f x y)$
apply (*rule contI2*)
apply (*erule cont2mono* [*THEN mono2mono-fun*])
apply (*simp add: cont2contlubE lub-fun ch2ch-cont*)
done

lemma *cont-fun*: *cont* $(\lambda f. f x)$
using *cont-id* **by** (*rule cont2cont-fun*)

Lambda abstraction preserves monotonicity and continuity. (Note $(\lambda x. \lambda y. f x y) = f$.)

lemma *mono2mono-lambda*: $(\bigwedge y. \text{monofun } (\lambda x. f x y)) \implies \text{monofun } f$
by (*simp add: monofun-def fun-below-iff*)

lemma *cont2cont-lambda* [*simp*]:
assumes *f*: $\bigwedge y. \text{cont } (\lambda x. f x y)$
shows *cont f*
by (*rule contI, rule is-lub-lambda, rule contE* [*OF f*])

What D.A.Schmidt calls continuity of abstraction; never used here

lemma *contlub-lambda*: $(\bigwedge x. \text{chain } (\lambda i. S i x)) \implies (\lambda x. \bigsqcup i. S i x) = (\bigsqcup i. (\lambda x. S i x))$
for $S :: \text{nat} \Rightarrow 'a::\text{type} \Rightarrow 'b$
by (*simp add: lub-fun ch2ch-lambda*)

6 The cpo of cartesian products

6.1 Unit type is a pcpo

instantiation *unit* :: *discrete-cpo*
begin

definition *below-unit-def* [*simp*]: $x \sqsubseteq (y::\text{unit}) \longleftrightarrow \text{True}$

instance
by *standard simp*

end

instance *unit* :: *pcpo*
by *standard simp*

6.2 Product type is a partial order

instantiation *prod* :: (*below, below*) *below*
begin

definition *below-prod-def*: $(\sqsubseteq) \equiv \lambda p1 p2. (\text{fst } p1 \sqsubseteq \text{fst } p2 \wedge \text{snd } p1 \sqsubseteq \text{snd } p2)$

instance ..

end

instance *prod* :: (*po, po*) *po*

proof

fix $x y z :: 'a \times 'b$

show $x \sqsubseteq x$

by (*simp add: below-prod-def*)

show $x \sqsubseteq y \implies y \sqsubseteq x \implies x = y$

unfolding *below-prod-def prod-eq-iff*

by (*fast intro: below-antisym*)

show $x \sqsubseteq y \implies y \sqsubseteq z \implies x \sqsubseteq z$

unfolding *below-prod-def*

by (*fast intro: below-trans*)

qed

6.3 Monotonicity of *Pair*, *fst*, *snd*

lemma *prod-belowI*: $\text{fst } p \sqsubseteq \text{fst } q \implies \text{snd } p \sqsubseteq \text{snd } q \implies p \sqsubseteq q$

by (simp add: below-prod-def)

lemma *Pair-below-iff* [simp]: $(a, b) \sqsubseteq (c, d) \iff a \sqsubseteq c \wedge b \sqsubseteq d$
 by (simp add: below-prod-def)

Pair $(-, -)$ is monotone in both arguments

lemma *monofun-pair1*: *monofun* $(\lambda x. (x, y))$
 by (simp add: monofun-def)

lemma *monofun-pair2*: *monofun* $(\lambda y. (x, y))$
 by (simp add: monofun-def)

lemma *monofun-pair*: $x1 \sqsubseteq x2 \implies y1 \sqsubseteq y2 \implies (x1, y1) \sqsubseteq (x2, y2)$
 by simp

lemma *ch2ch-Pair* [simp]: $\text{chain } X \implies \text{chain } Y \implies \text{chain } (\lambda i. (X\ i, Y\ i))$
 by (rule chainI, simp add: chainE)

fst and *snd* are monotone

lemma *fst-monofun*: $x \sqsubseteq y \implies \text{fst } x \sqsubseteq \text{fst } y$
 by (simp add: below-prod-def)

lemma *snd-monofun*: $x \sqsubseteq y \implies \text{snd } x \sqsubseteq \text{snd } y$
 by (simp add: below-prod-def)

lemma *monofun-fst*: *monofun* *fst*
 by (simp add: monofun-def below-prod-def)

lemma *monofun-snd*: *monofun* *snd*
 by (simp add: monofun-def below-prod-def)

lemmas *ch2ch-fst* [simp] = *ch2ch-monofun* [OF *monofun-fst*]

lemmas *ch2ch-snd* [simp] = *ch2ch-monofun* [OF *monofun-snd*]

lemma *prod-chain-cases*:

assumes *chain*: *chain* *Y*

obtains *A B*

where *chain* *A* and *chain* *B* and $Y = (\lambda i. (A\ i, B\ i))$

proof

from *chain* **show** *chain* $(\lambda i. \text{fst } (Y\ i))$

by (rule *ch2ch-fst*)

from *chain* **show** *chain* $(\lambda i. \text{snd } (Y\ i))$

by (rule *ch2ch-snd*)

show $Y = (\lambda i. (\text{fst } (Y\ i), \text{snd } (Y\ i)))$

by *simp*

qed

6.4 Product type is a cpo

lemma *is-lub-Pair*: $\text{range } A \ll\mid x \implies \text{range } B \ll\mid y \implies \text{range } (\lambda i. (A\ i, B\ i)) \ll\mid (x, y)$
by (*simp add: is-lub-def is-ub-def below-prod-def*)

lemma *lub-Pair*: $\text{chain } A \implies \text{chain } B \implies (\bigsqcup i. (A\ i, B\ i)) = (\bigsqcup i. A\ i, \bigsqcup i. B\ i)$
for $A :: \text{nat} \Rightarrow 'a$ **and** $B :: \text{nat} \Rightarrow 'b$
by (*fast intro: lub-eqI is-lub-Pair elim: thelubE*)

lemma *is-lub-prod*:
fixes $S :: \text{nat} \Rightarrow ('a \times 'b)$
assumes *chain S*
shows $\text{range } S \ll\mid (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$
using *assms* **by** (*auto elim: prod-chain-cases simp: is-lub-Pair cpo-lubI*)

lemma *lub-prod*: $\text{chain } S \implies (\bigsqcup i. S\ i) = (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$
for $S :: \text{nat} \Rightarrow 'a \times 'b$
by (*rule is-lub-prod [THEN lub-eqI]*)

instance *prod* :: (*cpo, cpo*) *cpo*
proof
fix $S :: \text{nat} \Rightarrow ('a \times 'b)$
assume *chain S*
then have $\text{range } S \ll\mid (\bigsqcup i. \text{fst } (S\ i), \bigsqcup i. \text{snd } (S\ i))$
by (*rule is-lub-prod*)
then show $\exists x. \text{range } S \ll\mid x$..
qed

instance *prod* :: (*discrete-cpo, discrete-cpo*) *discrete-cpo*
proof
show $x \sqsubseteq y \longleftrightarrow x = y$ **for** $x\ y :: 'a \times 'b$
by (*simp add: below-prod-def prod-eq-iff*)
qed

6.5 Product type is pointed

lemma *minimal-prod*: $(\perp, \perp) \sqsubseteq p$
by (*simp add: below-prod-def*)

instance *prod* :: (*pcpo, pcpo*) *pcpo*
by *intro-classes* (*fast intro: minimal-prod*)

lemma *inst-prod-pcpo*: $\perp = (\perp, \perp)$
by (*rule minimal-prod [THEN bottomI, symmetric]*)

lemma *Pair-bottom-iff* [*simp*]: $(x, y) = \perp \longleftrightarrow x = \perp \wedge y = \perp$
by (*simp add: inst-prod-pcpo*)

lemma *fst-strict* [*simp*]: $\text{fst } \perp = \perp$

unfolding *inst-prod-pcpo* **by** (*rule fst-conv*)

lemma *snd-strict* [*simp*]: $snd \perp = \perp$
unfolding *inst-prod-pcpo* **by** (*rule snd-conv*)

lemma *Pair-strict* [*simp*]: $(\perp, \perp) = \perp$
by *simp*

lemma *split-strict* [*simp*]: $case\text{-}prod\ f\ \perp = f\ \perp\ \perp$
by (*simp add: split-def*)

6.6 Continuity of *Pair*, *fst*, *snd*

lemma *cont-pair1*: $cont\ (\lambda x. (x, y))$
apply (*rule contI*)
apply (*rule is-lub-Pair*)
apply (*erule cpo-lubI*)
apply (*rule is-lub-const*)
done

lemma *cont-pair2*: $cont\ (\lambda y. (x, y))$
apply (*rule contI*)
apply (*rule is-lub-Pair*)
apply (*rule is-lub-const*)
apply (*erule cpo-lubI*)
done

lemma *cont-fst*: $cont\ fst$
apply (*rule contI*)
apply (*simp add: lub-prod*)
apply (*erule cpo-lubI [OF ch2ch-fst]*)
done

lemma *cont-snd*: $cont\ snd$
apply (*rule contI*)
apply (*simp add: lub-prod*)
apply (*erule cpo-lubI [OF ch2ch-snd]*)
done

lemma *cont2cont-Pair* [*simp, cont2cont*]:
assumes $f: cont\ (\lambda x. f\ x)$
assumes $g: cont\ (\lambda x. g\ x)$
shows $cont\ (\lambda x. (f\ x, g\ x))$
apply (*rule cont-apply [OF f cont-pair1]*)
apply (*rule cont-apply [OF g cont-pair2]*)
apply (*rule cont-const*)
done

lemmas *cont2cont-fst* [*simp, cont2cont*] = *cont-compose [OF cont-fst]*

lemmas *cont2cont-snd* [*simp*, *cont2cont*] = *cont-compose* [*OF cont-snd*]

lemma *cont2cont-case-prod*:

assumes *f1*: $\bigwedge a b. \text{cont } (\lambda x. f x a b)$
assumes *f2*: $\bigwedge x b. \text{cont } (\lambda a. f x a b)$
assumes *f3*: $\bigwedge x a. \text{cont } (\lambda b. f x a b)$
assumes *g*: $\text{cont } (\lambda x. g x)$
shows $\text{cont } (\lambda x. \text{case } g x \text{ of } (a, b) \Rightarrow f x a b)$
unfolding *split-def*
apply (*rule cont-apply* [*OF g*])
apply (*rule cont-apply* [*OF cont-fst f2*])
apply (*rule cont-apply* [*OF cont-snd f3*])
apply (*rule cont-const*)
apply (*rule f1*)
done

lemma *prod-contI*:

assumes *f1*: $\bigwedge y. \text{cont } (\lambda x. f (x, y))$
assumes *f2*: $\bigwedge x. \text{cont } (\lambda y. f (x, y))$
shows $\text{cont } f$

proof –

have $\text{cont } (\lambda(x, y). f (x, y))$
by (*intro cont2cont-case-prod f1 f2 cont2cont*)
then show $\text{cont } f$
by (*simp only: case-prod-eta*)

qed

lemma *prod-cont-iff*: $\text{cont } f \longleftrightarrow (\forall y. \text{cont } (\lambda x. f (x, y))) \wedge (\forall x. \text{cont } (\lambda y. f (x, y)))$

apply *safe*
apply (*erule cont-compose* [*OF - cont-pair1*])
apply (*erule cont-compose* [*OF - cont-pair2*])
apply (*simp only: prod-contI*)
done

lemma *cont2cont-case-prod'* [*simp*, *cont2cont*]:

assumes *f*: $\text{cont } (\lambda p. f (\text{fst } p) (\text{fst } (\text{snd } p)) (\text{snd } (\text{snd } p)))$
assumes *g*: $\text{cont } (\lambda x. g x)$
shows $\text{cont } (\lambda x. \text{case-prod } (f x) (g x))$
using *assms* **by** (*simp add: cont2cont-case-prod prod-cont-iff*)

The simple version (due to Joachim Breitner) is needed if either element type of the pair is not a cpo.

lemma *cont2cont-split-simple* [*simp*, *cont2cont*]:

assumes $\bigwedge a b. \text{cont } (\lambda x. f x a b)$
shows $\text{cont } (\lambda x. \text{case } p \text{ of } (a, b) \Rightarrow f x a b)$
using *assms* **by** (*cases p*) *auto*

Admissibility of predicates on product types.

lemma *adm-case-prod* [*simp*]:
assumes *adm* ($\lambda x. P x (fst (f x)) (snd (f x))$)
shows *adm* ($\lambda x. case f x of (a, b) \Rightarrow P x a b$)
unfolding *case-prod-beta* **using** *assms* .

6.7 Compactness and chain-finiteness

lemma *fst-below-iff*: $fst x \sqsubseteq y \longleftrightarrow x \sqsubseteq (y, snd x)$ **for** $x :: 'a \times 'b$
by (*simp add: below-prod-def*)

lemma *snd-below-iff*: $snd x \sqsubseteq y \longleftrightarrow x \sqsubseteq (fst x, y)$ **for** $x :: 'a \times 'b$
by (*simp add: below-prod-def*)

lemma *compact-fst*: $compact x \Longrightarrow compact (fst x)$
by (*rule compactI*) (*simp add: fst-below-iff*)

lemma *compact-snd*: $compact x \Longrightarrow compact (snd x)$
by (*rule compactI*) (*simp add: snd-below-iff*)

lemma *compact-Pair*: $compact x \Longrightarrow compact y \Longrightarrow compact (x, y)$
by (*rule compactI*) (*simp add: below-prod-def*)

lemma *compact-Pair-iff* [*simp*]: $compact (x, y) \longleftrightarrow compact x \wedge compact y$
apply (*safe intro!: compact-Pair*)
apply (*drule compact-fst, simp*)
apply (*drule compact-snd, simp*)
done

instance *prod* :: (*chfin, chfin*) *chfin*
apply *intro-classes*
apply (*erule compact-imp-max-in-chain*)
apply (*case-tac* \square *i. Y i, simp*)
done

7 Discrete cpo types

datatype $'a\ discr = Discr\ 'a::type$

7.1 Discrete cpo class instance

instantiation *discr* :: (*type*) *discrete-cpo*
begin

definition ($(\sqsubseteq) :: 'a\ discr \Rightarrow 'a\ discr \Rightarrow bool$) = (=)

instance
by *standard* (*simp add: below-discr-def*)

end

7.2 *undiscr*

definition *undiscr* :: 'a::type *discr* \Rightarrow 'a
 where *undiscr* x = (case x of *Discr* y \Rightarrow y)

lemma *undiscr-Discr* [*simp*]: *undiscr* (*Discr* x) = x
 by (*simp* add: *undiscr-def*)

lemma *Discr-undiscr* [*simp*]: *Discr* (*undiscr* y) = y
 by (*induct* y) *simp*

end

8 Subtypes of pcpo

theory *Cpodef*
 imports *Cpo*
 keywords *pcpodef cpodef* :: *thy-goal-defn*
begin

8.1 Proving a subtype is a partial order

A subtype of a partial order is itself a partial order, if the ordering is defined in the standard way.

theorem (in *below*) *typedef-class-po*:
 fixes *Abs* :: 'b::po \Rightarrow 'a
 assumes *type*: *type-definition* *Rep* *Abs* *A*
 and *below*: (\sqsubseteq) \equiv $\lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
 shows *class.po* *below*
 apply (*rule class.po.intro*)
 apply (*unfold below*)
 apply (*rule below-refl*)
 apply (*fact below-trans*)
 apply (*rule type-definition.Rep-inject* [*OF type*, *THEN iffD1*])
 apply (*fact below-antisym*)
 done

lemmas *typedef-po-class* = *below typedef-class-po* [*THEN po.intro-of-class*]

8.2 Proving a subtype is finite

lemma *typedef-finite-UNIV*:
 fixes *Abs* :: 'a::type \Rightarrow 'b::type
 assumes *type*: *type-definition* *Rep* *Abs* *A*
 shows *finite* *A* \Longrightarrow *finite* (*UNIV* :: 'b set)
proof –

```

assume finite A
then have finite (Abs ‘ A)
  by (rule finite-imageI)
then show finite (UNIV :: 'b set)
  by (simp only: type-definition.Abs-image [OF type])
qed

```

8.3 Proving a subtype is chain-finite

```

lemma ch2ch-Rep:
  assumes below: ( $\sqsubseteq$ )  $\equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  shows chain S  $\implies$  chain ( $\lambda i. Rep (S\ i)$ )
  unfolding chain-def below .

```

```

theorem typedef-chfin:
  fixes Abs :: 'a::chfin  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  shows OFCLASS('b, chfin-class)
  apply intro-classes
  apply (drule ch2ch-Rep [OF below])
  apply (drule chfin)
  apply (unfold max-in-chain-def)
  apply (simp add: type-definition.Rep-inject [OF type])
  done

```

8.4 Proving a subtype is complete

A subtype of a cpo is itself a cpo if the ordering is defined in the standard way, and the defining subset is closed with respect to limits of chains. A set is closed if and only if membership in the set is an admissible predicate.

```

lemma typedef-is-lubI:
  assumes below: ( $\sqsubseteq$ )  $\equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  shows range ( $\lambda i. Rep (S\ i)$ )  $\ll\ Rep\ x \implies range\ S \ll\ x$ 
  by (simp add: is-lub-def is-ub-def below)

```

```

lemma Abs-inverse-lub-Rep:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. Rep\ x \sqsubseteq Rep\ y$ 
  and adm: adm ( $\lambda x. x \in A$ )
  shows chain S  $\implies Rep (Abs (\bigsqcup i. Rep (S\ i))) = (\bigsqcup i. Rep (S\ i))$ 
  apply (rule type-definition.Abs-inverse [OF type])
  apply (erule admD [OF adm ch2ch-Rep [OF below]])
  apply (rule type-definition.Rep [OF type])
  done

```

```

theorem typedef-is-lub:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po

```

```

assumes type: type-definition Rep Abs A
  and below:  $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and adm: adm  $(\lambda x. x \in A)$ 
assumes S: chain S
shows range S  $\ll\mid \text{Abs } (\bigsqcup i. \text{Rep } (S\ i))$ 
proof –
  from S have chain  $(\lambda i. \text{Rep } (S\ i))$ 
    by (rule ch2ch-Rep [OF below])
  then have range  $(\lambda i. \text{Rep } (S\ i)) \ll\mid (\bigsqcup i. \text{Rep } (S\ i))$ 
    by (rule cpo-lubI)
  then have range  $(\lambda i. \text{Rep } (S\ i)) \ll\mid \text{Rep } (\text{Abs } (\bigsqcup i. \text{Rep } (S\ i)))$ 
    by (simp only: Abs-inverse-lub-Rep [OF type below adm S])
  then show range S  $\ll\mid \text{Abs } (\bigsqcup i. \text{Rep } (S\ i))$ 
    by (rule typedef-is-lubI [OF below])
qed

```

lemmas *typedef-lub* = *typedef-is-lub* [*THEN lub-eqI*]

```

theorem typedef-cpo:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs A
    and below:  $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and adm: adm  $(\lambda x. x \in A)$ 
  shows OFCLASS('b, cpo-class)
proof
  fix S :: nat  $\Rightarrow$  'b
  assume chain S
  then have range S  $\ll\mid \text{Abs } (\bigsqcup i. \text{Rep } (S\ i))$ 
    by (rule typedef-is-lub [OF type below adm])
  then show  $\exists x. \text{range } S \ll\mid x ..$ 
qed

```

8.4.1 Continuity of *Rep* and *Abs*

For any sub-cpo, the *Rep* function is continuous.

```

theorem typedef-cont-Rep:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and below:  $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and adm: adm  $(\lambda x. x \in A)$ 
  shows cont  $(\lambda x. f\ x) \Longrightarrow \text{cont } (\lambda x. \text{Rep } (f\ x))$ 
  apply (erule cont-apply [OF - - cont-const])
  apply (rule contI)
  apply (simp only: typedef-lub [OF type below adm])
  apply (simp only: Abs-inverse-lub-Rep [OF type below adm])
  apply (rule cpo-lubI)
  apply (erule ch2ch-Rep [OF below])
done

```

For a sub-cpo, we can make the *Abs* function continuous only if we restrict its domain to the defining subset by composing it with another continuous function.

theorem *typedef-cont-Abs*:
fixes *Abs* :: 'a::cpo \Rightarrow 'b::cpo
fixes *f* :: 'c::cpo \Rightarrow 'a::cpo
assumes *type*: *type-definition* *Rep* *Abs* *A*
and *below*: $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
and *adm*: *adm* $(\lambda x. x \in A)$
and *f-in-A*: $\bigwedge x. f x \in A$
shows *cont f* \Longrightarrow *cont* $(\lambda x. \text{Abs } (f x))$
unfolding *cont-def is-lub-def is-ub-def ball-simps below*
by (*simp add: type-definition.Abs-inverse [OF type f-in-A]*)

8.5 Proving subtype elements are compact

theorem *typedef-compact*:
fixes *Abs* :: 'a::cpo \Rightarrow 'b::cpo
assumes *type*: *type-definition* *Rep* *Abs* *A*
and *below*: $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
and *adm*: *adm* $(\lambda x. x \in A)$
shows *compact* $(\text{Rep } k) \Longrightarrow$ *compact* *k*
proof (*unfold compact-def*)
have *cont-Rep*: *cont* *Rep*
by (*rule typedef-cont-Rep [OF type below adm cont-id]*)
assume *adm* $(\lambda x. \text{Rep } k \not\sqsubseteq x)$
with *cont-Rep* **have** *adm* $(\lambda x. \text{Rep } k \not\sqsubseteq \text{Rep } x)$ **by** (*rule adm-subst*)
then show *adm* $(\lambda x. k \not\sqsubseteq x)$ **by** (*unfold below*)
qed

8.6 Proving a subtype is pointed

A subtype of a cpo has a least element if and only if the defining subset has a least element.

theorem *typedef-pcpo-generic*:
fixes *Abs* :: 'a::cpo \Rightarrow 'b::cpo
assumes *type*: *type-definition* *Rep* *Abs* *A*
and *below*: $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$
and *z-in-A*: $z \in A$
and *z-least*: $\bigwedge x. x \in A \Longrightarrow z \sqsubseteq x$
shows *OFCLASS*('b, *pcpo-class*)
apply (*intro-classes*)
apply (*rule-tac x=Abs z in exI, rule allI*)
apply (*unfold below*)
apply (*subst type-definition.Abs-inverse [OF type z-in-A]*)
apply (*rule z-least [OF type-definition.Rep [OF type]]*)
done

As a special case, a subtype of a pcpo has a least element if the defining subset contains \perp .

theorem *typedef-pcpo*:

fixes *Abs* :: 'a::pcpo \Rightarrow 'b::cpo

assumes *type*: type-definition *Rep Abs A*

and *below*: $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$

and *bottom-in-A*: $\perp \in A$

shows *OFCLASS*('b, *pcpo-class*)

by (*rule typedef-pcpo-generic* [*OF type below bottom-in-A*], *rule minimal*)

8.6.1 Strictness of *Rep* and *Abs*

For a sub-pcpo where \perp is a member of the defining subset, *Rep* and *Abs* are both strict.

theorem *typedef-Abs-strict*:

assumes *type*: type-definition *Rep Abs A*

and *below*: $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$

and *bottom-in-A*: $\perp \in A$

shows *Abs* $\perp = \perp$

apply (*rule bottomI*, *unfold below*)

apply (*simp add*: *type-definition.Abs-inverse* [*OF type bottom-in-A*])

done

theorem *typedef-Rep-strict*:

assumes *type*: type-definition *Rep Abs A*

and *below*: $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$

and *bottom-in-A*: $\perp \in A$

shows *Rep* $\perp = \perp$

apply (*rule typedef-Abs-strict* [*OF type below bottom-in-A, THEN subst*])

apply (*rule type-definition.Abs-inverse* [*OF type bottom-in-A*])

done

theorem *typedef-Abs-bottom-iff*:

assumes *type*: type-definition *Rep Abs A*

and *below*: $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$

and *bottom-in-A*: $\perp \in A$

shows $x \in A \implies (\text{Abs } x = \perp) = (x = \perp)$

apply (*rule typedef-Abs-strict* [*OF type below bottom-in-A, THEN subst*])

apply (*simp add*: *type-definition.Abs-inject* [*OF type bottom-in-A*])

done

theorem *typedef-Rep-bottom-iff*:

assumes *type*: type-definition *Rep Abs A*

and *below*: $(\sqsubseteq) \equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$

and *bottom-in-A*: $\perp \in A$

shows $(\text{Rep } x = \perp) = (x = \perp)$

apply (*rule typedef-Rep-strict* [*OF type below bottom-in-A, THEN subst*])

apply (*simp add*: *type-definition.Rep-inject* [*OF type*])

done

8.7 Proving a subtype is flat

```

theorem typedef-flat:
  fixes Abs :: 'a::flat  $\Rightarrow$  'b::pcpo
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv$   $\lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows OFCLASS('b, flat-class)
  apply (intro-classes)
  apply (unfold below)
  apply (simp add: type-definition.Rep-inject [OF type, symmetric])
  apply (simp add: typedef-Rep-strict [OF type below bottom-in-A])
  apply (simp add: ax-flat)
  done

```

8.8 HOLCF type definition package

ML-file $\langle \text{Tools}/\text{cpodef}.ML \rangle$

end

9 The type of continuous functions

```

theory Cfun
  imports Cpodef
begin

```

9.1 Definition of continuous function type

definition $\text{cfun} = \{f :: 'a \Rightarrow 'b. \text{cont } f\}$

cpodef ('a, 'b) cfun ($\langle \langle \text{notation} = \langle \text{infix } \rightarrow \rangle \rightarrow / - \rangle [1, 0] 0 \rangle = \text{cfun} :: ('a \Rightarrow 'b) \text{ set}$

by (auto simp: cfun-def intro: cont-const adm-cont)

type-notation (ASCII)

cfun (infixr $\langle - \rangle \rangle 0$)

notation (ASCII)

Rep-cfun ($\langle \langle \text{notation} = \langle \text{infix } \$ \rangle \cdot \$ / - \rangle [999, 1000] 999 \rangle$)

notation

Rep-cfun ($\langle \langle \text{notation} = \langle \text{infix } \cdot \rangle \cdot - / - \rangle [999, 1000] 999 \rangle$)

9.2 Syntax for continuous lambda abstraction

syntax -cabs :: $[logic, logic] \Rightarrow logic$

```

parse-translation <
(* rewrite (-cabs x t) => (Abs-cfun (%x. t)) *)
  [Syntax-Trans.mk-binder-tr (syntax-const <-cabs>, const-syntax <Abs-cfun>)]
>

```

```

print-translation <
  [(const-syntax <Abs-cfun>, fn ctxt => fn [Abs abs] =>
    let val (x, t) = Syntax-Trans.atomic-abs-tr' ctxt abs
    in Syntax.const syntax-const <-cabs> $ x $ t end)]
> — To avoid eta-contraction of body

```

Syntax for nested abstractions

```

syntax (ASCII)
  -Lambda :: [cargs, logic] => logic (<(<indent=3 notation=<binder LAM>>LAM -./
-)> [1000, 10] 10)

```

```

syntax
  -Lambda :: [cargs, logic] => logic (<(<indent=3 notation=<binder  $\Lambda$ >> $\Lambda$  -./ -)>
[1000, 10] 10)

```

```

syntax-consts
  -Lambda  $\rightleftharpoons$  Abs-cfun

```

```

parse-ast-translation <
(* rewrite (LAM x y z. t) => (-cabs x (-cabs y (-cabs z t))) *)
(* cf. Syntax.lambda-ast-tr from src/Pure/Syntax/syn-trans.ML *)
  let
    fun Lambda-ast-tr [pats, body] =
      Ast.fold-ast-p syntax-const <-cabs>
        (Ast.unfold-ast syntax-const <-cargs> (Ast.strip-positions pats), body)
    | Lambda-ast-tr asts = raise Ast.AST (Lambda-ast-tr, asts);
  in [(syntax-const <-Lambda>, K Lambda-ast-tr)] end
>

```

```

print-ast-translation <
(* rewrite (-cabs x (-cabs y (-cabs z t))) => (LAM x y z. t) *)
(* cf. Syntax.abs-ast-tr' from src/Pure/Syntax/syn-trans.ML *)
  let
    fun cabs-ast-tr' asts =
      (case Ast.unfold-ast-p syntax-const <-cabs>
        (Ast.Appl (Ast.Constant syntax-const <-cabs> :: asts)) of
        ([], -) => raise Ast.AST (cabs-ast-tr', asts)
        | (xs, body) => Ast.Appl
          [Ast.Constant syntax-const <-Lambda>,
           Ast.fold-ast syntax-const <-cargs> xs, body]);
  in [(syntax-const <-cabs>, K cabs-ast-tr')] end
>

```

Dummy patterns for continuous abstraction

translations

$\Lambda \cdot. t \rightarrow \text{CONST Abs-cfun } (\lambda \cdot. t)$

9.3 Continuous function space is pointed

lemma *bottom-cfun*: $\perp \in \text{cfun}$

by (*simp add: cfun-def inst-fun-pcpo*)

instance *cfun* :: (*cpo, discrete-cpo*) *discrete-cpo*

by (*intro-classes (simp add: below-cfun-def Rep-cfun-inject)*)

instance *cfun* :: (*cpo, pcpo*) *pcpo*

by (*rule typedef-pcpo [OF type-definition-cfun below-cfun-def bottom-cfun]*)

lemmas *Rep-cfun-strict* =

typedef-Rep-strict [OF type-definition-cfun below-cfun-def bottom-cfun]

lemmas *Abs-cfun-strict* =

typedef-Abs-strict [OF type-definition-cfun below-cfun-def bottom-cfun]

function application is strict in its first argument

lemma *Rep-cfun-strict1* [*simp*]: $\perp \cdot x = \perp$

by (*simp add: Rep-cfun-strict*)

lemma *LAM-strict* [*simp*]: $(\Lambda x. \perp) = \perp$

by (*simp add: inst-fun-pcpo [symmetric] Abs-cfun-strict*)

for compatibility with old HOLCF-Version

lemma *inst-cfun-pcpo*: $\perp = (\Lambda x. \perp)$

by *simp*

9.4 Basic properties of continuous functions

Beta-equality for continuous functions

lemma *Abs-cfun-inverse2*: $\text{cont } f \implies \text{Rep-cfun } (\text{Abs-cfun } f) = f$

by (*simp add: Abs-cfun-inverse cfun-def*)

lemma *beta-cfun*: $\text{cont } f \implies (\Lambda x. f x) \cdot u = f u$

by (*simp add: Abs-cfun-inverse2*)

9.4.1 Beta-reduction simproc

Given the term $(\Lambda x. f x) \cdot y$, the procedure tries to construct the theorem $(\Lambda x. f x) \cdot y \equiv f y$. If this theorem cannot be completely solved by the *cont2cont* rules, then the procedure returns the ordinary conditional *beta-cfun* rule.

The *simproc* does not solve any more goals that would be solved by using *beta-cfun* as a *simp* rule. The advantage of the *simproc* is that it can avoid

deeply-nested calls to the simplifier that would otherwise be caused by large continuity side conditions.

Update: The simproc now uses rule *Abs-cfun-inverse2* instead of *beta-cfun*, to avoid problems with eta-contraction.

```
simproc-setup beta-cfun-proc (Rep-cfun (Abs-cfun f)) = ⟨
  K (fn ctxt => fn ct =>
    let
      val f = Thm.dest-arg (Thm.dest-arg ct);
      val [T, U] = Thm.dest-ctyp (Thm.ctyp-of-cterm f);
      val tr = Thm.instantiate' [SOME T, SOME U] [SOME f] (mk-meta-eq @ {thm
Abs-cfun-inverse2});
      val rules = Named-Theorems.get ctxt named-theorems <cont2cont>;
      val tac = SOLVED' (REPEAT-ALL-NEW (match-tac ctxt (rev rules)));
      in SOME (perhaps (SINGLE (tac 1)) tr) end
    )
  ⟩
```

Eta-equality for continuous functions

lemma *eta-cfun*: $(\Lambda x. f \cdot x) = f$
by (rule *Rep-cfun-inverse*)

Extensionality for continuous functions

lemma *cfun-eq-iff*: $f = g \longleftrightarrow (\forall x. f \cdot x = g \cdot x)$
by (*simp add: Rep-cfun-inject [symmetric] fun-eq-iff*)

lemma *cfun-eqI*: $(\bigwedge x. f \cdot x = g \cdot x) \Longrightarrow f = g$
by (*simp add: cfun-eq-iff*)

Extensionality wrt. ordering for continuous functions

lemma *cfun-below-iff*: $f \sqsubseteq g \longleftrightarrow (\forall x. f \cdot x \sqsubseteq g \cdot x)$
by (*simp add: below-cfun-def fun-below-iff*)

lemma *cfun-belowI*: $(\bigwedge x. f \cdot x \sqsubseteq g \cdot x) \Longrightarrow f \sqsubseteq g$
by (*simp add: cfun-below-iff*)

Congruence for continuous function application

lemma *cfun-cong*: $f = g \Longrightarrow x = y \Longrightarrow f \cdot x = g \cdot y$
by *simp*

lemma *cfun-fun-cong*: $f = g \Longrightarrow f \cdot x = g \cdot x$
by *simp*

lemma *cfun-arg-cong*: $x = y \Longrightarrow f \cdot x = f \cdot y$
by *simp*

9.5 Continuity of application

lemma *cont-Rep-cfun1*: *cont* $(\lambda f. f \cdot x)$

by (rule cont-Rep-cfun [OF cont-id, THEN cont2cont-fun])

lemma cont-Rep-cfun2: cont $(\lambda x. f \cdot x)$
 using Rep-cfun [where $x = f$] by (simp add: cfun-def)

lemmas monofun-Rep-cfun = cont-Rep-cfun [THEN cont2mono]

lemmas monofun-Rep-cfun1 = cont-Rep-cfun1 [THEN cont2mono]
lemmas monofun-Rep-cfun2 = cont-Rep-cfun2 [THEN cont2mono]

contlub, cont properties of Rep-cfun in each argument

lemma contlub-cfun-arg: chain $Y \implies f \cdot (\bigsqcup i. Y i) = (\bigsqcup i. f \cdot (Y i))$
 by (rule cont-Rep-cfun2 [THEN cont2contlubE])

lemma contlub-cfun-fun: chain $F \implies (\bigsqcup i. F i) \cdot x = (\bigsqcup i. F i \cdot x)$
 by (rule cont-Rep-cfun1 [THEN cont2contlubE])

monotonicity of application

lemma monofun-cfun-fun: $f \sqsubseteq g \implies f \cdot x \sqsubseteq g \cdot x$
 by (simp add: cfun-below-iff)

lemma monofun-cfun-arg: $x \sqsubseteq y \implies f \cdot x \sqsubseteq f \cdot y$
 by (rule monofun-Rep-cfun2 [THEN monofunE])

lemma monofun-cfun: $f \sqsubseteq g \implies x \sqsubseteq y \implies f \cdot x \sqsubseteq g \cdot y$
 by (rule below-trans [OF monofun-cfun-fun monofun-cfun-arg])

ch2ch - rules for the type $'a \rightarrow 'b$

lemma chain-monofun: chain $Y \implies chain (\lambda i. f \cdot (Y i))$
 by (erule monofun-Rep-cfun2 [THEN ch2ch-monofun])

lemma ch2ch-Rep-cfunR: chain $Y \implies chain (\lambda i. f \cdot (Y i))$
 by (rule monofun-Rep-cfun2 [THEN ch2ch-monofun])

lemma ch2ch-Rep-cfunL: chain $F \implies chain (\lambda i. (F i) \cdot x)$
 by (rule monofun-Rep-cfun1 [THEN ch2ch-monofun])

lemma ch2ch-Rep-cfun [simp]: chain $F \implies chain Y \implies chain (\lambda i. (F i) \cdot (Y i))$
 by (simp add: chain-def monofun-cfun)

lemma ch2ch-LAM [simp]:
 $(\bigwedge x. chain (\lambda i. S i x)) \implies (\bigwedge i. cont (\lambda x. S i x)) \implies chain (\lambda i. \bigwedge x. S i x)$
 by (simp add: chain-def cfun-below-iff)

contlub, cont properties of Rep-cfun in both arguments

lemma lub-APP: chain $F \implies chain Y \implies (\bigsqcup i. F i \cdot (Y i)) = (\bigsqcup i. F i) \cdot (\bigsqcup i. Y i)$
 by (simp add: contlub-cfun-fun contlub-cfun-arg diag-lub)

lemma *lub-LAM*:

assumes $\bigwedge x. \text{chain } (\lambda i. F i x)$
and $\bigwedge i. \text{cont } (\lambda x. F i x)$
shows $(\bigsqcup i. \Lambda x. F i x) = (\Lambda x. \bigsqcup i. F i x)$
using *assms* **by** (*simp add: lub-cfun lub-fun ch2ch-lambda*)

lemmas *lub-distrib* = *lub-APP lub-LAM*

strictness

lemma *strictI*: $f \cdot x = \perp \implies f \cdot \perp = \perp$
apply (*rule bottomI*)
apply (*erule subst*)
apply (*rule minimal [THEN monofun-cfun-arg]*)
done

type $'a \rightarrow 'b$ is chain complete

lemma *lub-cfun*: $\text{chain } F \implies (\bigsqcup i. F i) = (\Lambda x. \bigsqcup i. F i \cdot x)$
by (*simp add: lub-cfun lub-fun ch2ch-lambda*)

9.6 Continuity simplification procedure

cont2cont lemma for *Rep-cfun*

lemma *cont2cont-APP* [*simp, cont2cont*]:

assumes $f: \text{cont } (\lambda x. f x)$
assumes $t: \text{cont } (\lambda x. t x)$
shows $\text{cont } (\lambda x. (f x) \cdot (t x))$

proof –

from *cont-Rep-cfun1 f* **have** $\text{cont } (\lambda x. (f x) \cdot y)$ **for** y
by (*rule cont-compose*)
with t *cont-Rep-cfun2* **show** $\text{cont } (\lambda x. (f x) \cdot (t x))$
by (*rule cont-apply*)

qed

Two specific lemmas for the combination of LCF and HOL terms. These lemmas are needed in theories that use types like $'a \rightarrow 'b \Rightarrow 'c$.

lemma *cont-APP-app* [*simp*]: $\text{cont } f \implies \text{cont } g \implies \text{cont } (\lambda x. ((f x) \cdot (g x)) s)$
by (*rule cont2cont-APP [THEN cont2cont-fun]*)

lemma *cont-APP-app-app* [*simp*]: $\text{cont } f \implies \text{cont } g \implies \text{cont } (\lambda x. ((f x) \cdot (g x)) s t)$
by (*rule cont-APP-app [THEN cont2cont-fun]*)

cont2mono Lemma for $\lambda x. \Lambda y. c1 x y$

lemma *cont2mono-LAM*:

$\llbracket \bigwedge x. \text{cont } (\lambda y. f x y); \bigwedge y. \text{monofun } (\lambda x. f x y) \rrbracket$
 $\implies \text{monofun } (\lambda x. \Lambda y. f x y)$
by (*simp add: monofun-def cfun-below-iff*)

cont2cont Lemma for $\lambda x. \Lambda y. f x y$

Not suitable as a cont2cont rule, because on nested lambdas it causes exponential blow-up in the number of subgoals.

```

lemma cont2cont-LAM:
  assumes f1:  $\bigwedge x. \text{cont } (\lambda y. f x y)$ 
  assumes f2:  $\bigwedge y. \text{cont } (\lambda x. f x y)$ 
  shows cont  $(\lambda x. \Lambda y. f x y)$ 
proof (rule cont-Abs-cfun)
  from f1 show  $f x \in \text{cfun}$  for x
    by (simp add: cfun-def)
  from f2 show cont f
    by (rule cont2cont-lambda)
qed

```

This version does work as a cont2cont rule, since it has only a single subgoal.

```

lemma cont2cont-LAM' [simp, cont2cont]:
  fixes f :: 'a::cpo  $\Rightarrow$  'b::cpo  $\Rightarrow$  'c::cpo
  assumes f: cont  $(\lambda p. f (\text{fst } p) (\text{snd } p))$ 
  shows cont  $(\lambda x. \Lambda y. f x y)$ 
  using assms by (simp add: cont2cont-LAM prod-cont-iff)

```

```

lemma cont2cont-LAM-discrete [simp, cont2cont]:
   $(\bigwedge y::'a::\text{discrete-cpo}. \text{cont } (\lambda x. f x y)) \Longrightarrow \text{cont } (\lambda x. \Lambda y. f x y)$ 
  by (simp add: cont2cont-LAM)

```

9.7 Miscellaneous

Monotonicity of Abs-cfun

```

lemma monofun-LAM: cont f  $\Longrightarrow$  cont g  $\Longrightarrow$   $(\bigwedge x. f x \sqsubseteq g x) \Longrightarrow (\Lambda x. f x) \sqsubseteq (\Lambda x. g x)$ 
  by (simp add: cfun-below-iff)

```

some lemmata for functions with flat/chfin domain/range types

```

lemma chfin-Rep-cfunR: chain Y  $\Longrightarrow \forall s. \exists n. (\text{LUB } i. Y i) \cdot s = Y n \cdot s$ 
  for Y :: nat  $\Rightarrow$  'a::cpo  $\rightarrow$  'b::chfin
  apply (rule allI)
  apply (subst contlub-cfun-fun)
  apply assumption
  apply (fast intro!: lub-eqI chfin lub-finch2 chfin2finch ch2ch-Rep-cfunL)
  done

```

```

lemma adm-chfindom: adm  $(\lambda(u::'a::cpo \rightarrow 'b::chfin). P(u \cdot s))$ 
  by (rule adm-subst, simp, rule adm-chfin)

```

9.8 Continuous injection-retraction pairs

Continuous retractions are strict.

```

lemma retraction-strict:  $\forall x. f \cdot (g \cdot x) = x \implies f \cdot \perp = \perp$ 
  apply (rule bottomI)
  apply (drule-tac  $x = \perp$  in spec)
  apply (erule subst)
  apply (rule monofun-cfun-arg)
  apply (rule minimal)
  done

lemma injection-eq:  $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x = g \cdot y) = (x = y)$ 
  apply (rule iffI)
  apply (drule-tac  $f = f$  in cfun-arg-cong)
  apply simp
  apply simp
  done

lemma injection-below:  $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x \sqsubseteq g \cdot y) = (x \sqsubseteq y)$ 
  apply (rule iffI)
  apply (drule-tac  $f = f$  in monofun-cfun-arg)
  apply simp
  apply (erule monofun-cfun-arg)
  done

lemma injection-defined-rev:  $\forall x. f \cdot (g \cdot x) = x \implies g \cdot z = \perp \implies z = \perp$ 
  apply (drule-tac  $f = f$  in cfun-arg-cong)
  apply (simp add: retraction-strict)
  done

lemma injection-defined:  $\forall x. f \cdot (g \cdot x) = x \implies z \neq \perp \implies g \cdot z \neq \perp$ 
  by (erule contrapos-nn, rule injection-defined-rev)

a result about functions with flat codomain

lemma flat-eqI:  $x \sqsubseteq y \implies x \neq \perp \implies x = y$ 
  for  $x\ y :: 'a::\text{flat}$ 
  by (drule ax-flat) simp

lemma flat-codom:  $f \cdot x = c \implies f \cdot \perp = \perp \vee (\forall z. f \cdot z = c)$ 
  for  $c :: 'b::\text{flat}$ 
  apply (cases  $f \cdot x = \perp$ )
  apply (rule disjI1)
  apply (rule bottomI)
  apply (erule-tac  $t = \perp$  in subst)
  apply (rule minimal [THEN monofun-cfun-arg])
  apply clarify
  apply (rule-tac  $a = f \cdot \perp$  in refl [THEN box-equals])
  apply (erule minimal [THEN monofun-cfun-arg, THEN flat-eqI])
  apply (erule minimal [THEN monofun-cfun-arg, THEN flat-eqI])
  done

```

9.9 Identity and composition

definition $ID :: 'a \rightarrow 'a$
where $ID = (\Lambda x. x)$

definition $cfcomp :: ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'c$
where $oo\text{-def}: cfcomp = (\Lambda f g x. f \cdot (g \cdot x))$

abbreviation $cfcomp\text{-syn} :: ['b \rightarrow 'c, 'a \rightarrow 'b] \Rightarrow 'a \rightarrow 'c$ (**infixr** $\langle oo \rangle$ 100)
where $f oo g == cfcomp \cdot f \cdot g$

lemma $ID1$ [*simp*]: $ID \cdot x = x$
by (*simp add: ID-def*)

lemma $cfcomp1$: $(f oo g) = (\Lambda x. f \cdot (g \cdot x))$
by (*simp add: oo-def*)

lemma $cfcomp2$ [*simp*]: $(f oo g) \cdot x = f \cdot (g \cdot x)$
by (*simp add: cfcomp1*)

lemma $cfcomp\text{-LAM}$: $cont\ g \Longrightarrow f oo (\Lambda x. g\ x) = (\Lambda x. f \cdot (g\ x))$
by (*simp add: cfcomp1*)

lemma $cfcomp\text{-strict}$ [*simp*]: $\perp oo f = \perp$
by (*simp add: cfun-eq-iff*)

Show that interpretation of $(pcpo, \dashrightarrow)$ is a category.

- The class of objects is interpretation of syntactical class $pcpo$.
- The class of arrows between objects $'a$ and $'b$ is interpret. of $'a \rightarrow 'b$.
- The identity arrow is interpretation of ID .
- The composition of f and g is interpretation of oo .

lemma $ID2$ [*simp*]: $f oo ID = f$
by (*rule cfun-eqI, simp*)

lemma $ID3$ [*simp*]: $ID oo f = f$
by (*rule cfun-eqI, simp*)

lemma $assoc\text{-oo}$: $f oo (g oo h) = (f oo g) oo h$
by (*rule cfun-eqI, simp*)

9.10 Strictified functions

definition $seq :: 'a::pcpo \rightarrow 'b::pcpo \rightarrow 'b$
where $seq = (\Lambda x. \text{if } x = \perp \text{ then } \perp \text{ else } ID)$

lemma *cont2cont-if-bottom* [*cont2cont*, *simp*]:
assumes $f: \text{cont } (\lambda x. f x)$
and $g: \text{cont } (\lambda x. g x)$
shows $\text{cont } (\lambda x. \text{if } f x = \perp \text{ then } \perp \text{ else } g x)$
proof (*rule cont-apply* [*OF f*])
show $\text{cont } (\lambda y. \text{if } y = \perp \text{ then } \perp \text{ else } g x)$ **for** x
unfolding *cont-def is-lub-def is-ub-def ball-simps*
by (*simp add: lub-eq-bottom-iff*)
show $\text{cont } (\lambda x. \text{if } y = \perp \text{ then } \perp \text{ else } g x)$ **for** y
by (*simp add: g*)
qed

lemma *seq-conv-if*: $\text{seq}.x = (\text{if } x = \perp \text{ then } \perp \text{ else } ID)$
by (*simp add: seq-def*)

lemma *seq-simps* [*simp*]:
 $\text{seq}.\perp = \perp$
 $\text{seq}.x.\perp = \perp$
 $x \neq \perp \implies \text{seq}.x = ID$
by (*simp-all add: seq-conv-if*)

definition *strictify* :: $(a::\text{pcpo} \rightarrow b::\text{pcpo}) \rightarrow a \rightarrow b$
where $\text{strictify} = (\Lambda f x. \text{seq}.x.(f.x))$

lemma *strictify-conv-if*: $\text{strictify}.f.x = (\text{if } x = \perp \text{ then } \perp \text{ else } f.x)$
by (*simp add: strictify-def*)

lemma *strictify1* [*simp*]: $\text{strictify}.f.\perp = \perp$
by (*simp add: strictify-conv-if*)

lemma *strictify2* [*simp*]: $x \neq \perp \implies \text{strictify}.f.x = f.x$
by (*simp add: strictify-conv-if*)

9.11 Continuity of let-bindings

lemma *cont2cont-Let*:
assumes $f: \text{cont } (\lambda x. f x)$
assumes $g1: \bigwedge y. \text{cont } (\lambda x. g x y)$
assumes $g2: \bigwedge x. \text{cont } (\lambda y. g x y)$
shows $\text{cont } (\lambda x. \text{let } y = f x \text{ in } g x y)$
unfolding *Let-def* **using** $f g2 g1$ **by** (*rule cont-apply*)

lemma *cont2cont-Let'* [*simp*, *cont2cont*]:
assumes $f: \text{cont } (\lambda x. f x)$
assumes $g: \text{cont } (\lambda p. g (\text{fst } p) (\text{snd } p))$
shows $\text{cont } (\lambda x. \text{let } y = f x \text{ in } g x y)$
using f
proof (*rule cont2cont-Let*)
from g **show** $\text{cont } (\lambda y. g x y)$ **for** x

```

  by (simp add: prod-cont-iff)
  from g show cont ( $\lambda x. g x y$ ) for y
  by (simp add: prod-cont-iff)
qed

```

The simple version (suggested by Joachim Breitner) is needed if the type of the defined term is not a cpo.

```

lemma cont2cont-Let-simple [simp, cont2cont]:
  assumes  $\bigwedge y. cont (\lambda x. g x y)$ 
  shows cont ( $\lambda x. let y = t in g x y$ )
  unfolding Let-def using assms .

```

end

10 Continuous deflations and ep-pairs

```

theory Deflation
  imports Cfun
begin

```

10.1 Continuous deflations

```

locale deflation =
  fixes d :: 'a  $\rightarrow$  'a
  assumes idem:  $\bigwedge x. d \cdot (d \cdot x) = d \cdot x$ 
  assumes below:  $\bigwedge x. d \cdot x \sqsubseteq x$ 
begin

```

```

lemma below-ID:  $d \sqsubseteq ID$ 
  by (rule cfun-belowI) (simp add: below)

```

The set of fixed points is the same as the range.

```

lemma fixes-eq-range:  $\{x. d \cdot x = x\} = range (\lambda x. d \cdot x)$ 
  by (auto simp add: eq-sym-conv idem)

```

```

lemma range-eq-fixes:  $range (\lambda x. d \cdot x) = \{x. d \cdot x = x\}$ 
  by (auto simp add: eq-sym-conv idem)

```

The pointwise ordering on deflation functions coincides with the subset ordering of their sets of fixed-points.

```

lemma belowI:
  assumes f:  $\bigwedge x. d \cdot x = x \implies f \cdot x = x$ 
  shows  $d \sqsubseteq f$ 
proof (rule cfun-belowI)
  fix x
  from below have  $f \cdot (d \cdot x) \sqsubseteq f \cdot x$ 
  by (rule monofun-cfun-arg)
  also from idem have  $f \cdot (d \cdot x) = d \cdot x$ 

```

by (rule f)
 finally show $d \cdot x \sqsubseteq f \cdot x$.
 qed

lemma belowD: $\llbracket f \sqsubseteq d; f \cdot x = x \rrbracket \implies d \cdot x = x$
 proof (rule below-antisym)
 from below show $d \cdot x \sqsubseteq x$.
 assume $f \sqsubseteq d$
 then have $f \cdot x \sqsubseteq d \cdot x$ by (rule monofun-cfun-fun)
 also assume $f \cdot x = x$
 finally show $x \sqsubseteq d \cdot x$.
 qed

end

lemma deflation-strict: deflation $d \implies d \cdot \perp = \perp$
 by (rule deflation.below [THEN bottomI])

lemma adm-deflation: adm $(\lambda d. \text{deflation } d)$
 by (simp add: deflation-def)

lemma deflation-ID: deflation ID
 by (simp add: deflation.intro)

lemma deflation-bottom: deflation \perp
 by (simp add: deflation.intro)

lemma deflation-below-iff: deflation $p \implies \text{deflation } q \implies p \sqsubseteq q \iff (\forall x. p \cdot x = x \longrightarrow q \cdot x = x)$
 apply safe
 apply (simp add: deflation.belowD)
 apply (simp add: deflation.belowI)
 done

The composition of two deflations is equal to the lesser of the two (if they are comparable).

lemma deflation-below-comp1:
 assumes deflation f
 assumes deflation g
 shows $f \sqsubseteq g \implies f \cdot (g \cdot x) = f \cdot x$
 proof (rule below-antisym)
 interpret g : deflation g by fact
 from g .below show $f \cdot (g \cdot x) \sqsubseteq f \cdot x$ by (rule monofun-cfun-arg)
 next
 interpret f : deflation f by fact
 assume $f \sqsubseteq g$
 then have $f \cdot x \sqsubseteq g \cdot x$ by (rule monofun-cfun-fun)
 then have $f \cdot (f \cdot x) \sqsubseteq f \cdot (g \cdot x)$ by (rule monofun-cfun-arg)
 also have $f \cdot (f \cdot x) = f \cdot x$ by (rule f.idem)

finally show $f \cdot x \sqsubseteq f \cdot (g \cdot x)$.
qed

lemma *deflation-below-comp2*: *deflation* $f \implies$ *deflation* $g \implies f \sqsubseteq g \implies g \cdot (f \cdot x) = f \cdot x$
by (*simp only*: *deflation.belowD deflation.idem*)

10.2 Deflations with finite range

lemma *finite-range-imp-finite-fixes*:

assumes *finite* (*range f*)

shows *finite* $\{x. f \ x = x\}$

proof –

have $\{x. f \ x = x\} \subseteq \text{range } f$

by (*clarify, erule subst, rule rangeI*)

from *this assms* **show** *finite* $\{x. f \ x = x\}$

by (*rule finite-subset*)

qed

locale *finite-deflation* = *deflation* +

assumes *finite-fixes*: *finite* $\{x. d \cdot x = x\}$

begin

lemma *finite-range*: *finite* (*range* $(\lambda x. d \cdot x)$)

by (*simp add*: *range-eq-fixes finite-fixes*)

lemma *finite-image*: *finite* $((\lambda x. d \cdot x) \text{ ‘ } A)$

by (*rule finite-subset [OF image-mono [OF subset-UNIV] finite-range]*)

lemma *compact*: *compact* $(d \cdot x)$

proof (*rule compactI2*)

fix $Y :: \text{nat} \Rightarrow 'a$

assume Y : *chain* Y

have *finite-chain* $(\lambda i. d \cdot (Y \ i))$

proof (*rule finite-range-imp-finch*)

from Y **show** *chain* $(\lambda i. d \cdot (Y \ i))$ **by** *simp*

have *range* $(\lambda i. d \cdot (Y \ i)) \subseteq \text{range } (\lambda x. d \cdot x)$ **by** *auto*

then show *finite* $(\text{range } (\lambda i. d \cdot (Y \ i)))$

using *finite-range* **by** (*rule finite-subset*)

qed

then have $\exists j. (\bigsqcup i. d \cdot (Y \ i)) = d \cdot (Y \ j)$

by (*simp add*: *finite-chain-def maxinch-is-thelub Y*)

then obtain j **where** $j: (\bigsqcup i. d \cdot (Y \ i)) = d \cdot (Y \ j)$..

assume $d \cdot x \sqsubseteq (\bigsqcup i. Y \ i)$

then have $d \cdot (d \cdot x) \sqsubseteq d \cdot (\bigsqcup i. Y \ i)$

by (*rule monofun-cfun-arg*)

then have $d \cdot x \sqsubseteq (\bigsqcup i. d \cdot (Y \ i))$

by (*simp add*: *contlub-cfun-arg Y idem*)

with j have $d \cdot x \sqsubseteq d \cdot (Y j)$ by *simp*
 then have $d \cdot x \sqsubseteq Y j$
 using *below* by (rule *below-trans*)
 then show $\exists j. d \cdot x \sqsubseteq Y j$..
 qed

end

lemma *finite-deflation-intro*: deflation $d \implies \text{finite } \{x. d \cdot x = x\} \implies \text{finite-deflation } d$
 by (intro *finite-deflation.intro* *finite-deflation-axioms.intro*)

lemma *finite-deflation-imp-deflation*: *finite-deflation* $d \implies \text{deflation } d$
 by (*simp* add: *finite-deflation-def*)

lemma *finite-deflation-bottom*: *finite-deflation* \perp
 by *standard simp-all*

10.3 Continuous embedding-projection pairs

locale *ep-pair* =
 fixes $e :: 'a \rightarrow 'b$ and $p :: 'b \rightarrow 'a$
 assumes *e-inverse* [*simp*]: $\bigwedge x. p \cdot (e \cdot x) = x$
 and *e-p-below*: $\bigwedge y. e \cdot (p \cdot y) \sqsubseteq y$
 begin

lemma *e-below-iff* [*simp*]: $e \cdot x \sqsubseteq e \cdot y \longleftrightarrow x \sqsubseteq y$

proof

assume $e \cdot x \sqsubseteq e \cdot y$
 then have $p \cdot (e \cdot x) \sqsubseteq p \cdot (e \cdot y)$ by (rule *monofun-cfun-arg*)
 then show $x \sqsubseteq y$ by *simp*

next

assume $x \sqsubseteq y$
 then show $e \cdot x \sqsubseteq e \cdot y$ by (rule *monofun-cfun-arg*)

qed

lemma *e-eq-iff* [*simp*]: $e \cdot x = e \cdot y \longleftrightarrow x = y$
 unfolding *po-eq-conv e-below-iff* ..

lemma *p-eq-iff*: $e \cdot (p \cdot x) = x \implies e \cdot (p \cdot y) = y \implies p \cdot x = p \cdot y \longleftrightarrow x = y$
 by (*safe, erule subst, erule subst, simp*)

lemma *p-inverse*: $(\exists x. y = e \cdot x) \longleftrightarrow e \cdot (p \cdot y) = y$
 by (*auto, rule exI, erule sym*)

lemma *e-below-iff-below-p*: $e \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq p \cdot y$

proof

assume $e \cdot x \sqsubseteq y$
 then have $p \cdot (e \cdot x) \sqsubseteq p \cdot y$ by (rule *monofun-cfun-arg*)

then show $x \sqsubseteq p \cdot y$ **by** *simp*
next
assume $x \sqsubseteq p \cdot y$
then have $e \cdot x \sqsubseteq e \cdot (p \cdot y)$ **by** (*rule monofun-cfun-arg*)
then show $e \cdot x \sqsubseteq y$ **using** *e-p-below* **by** (*rule below-trans*)
qed

lemma *compact-e-rev*: $\text{compact } (e \cdot x) \implies \text{compact } x$
proof –
assume $\text{compact } (e \cdot x)$
then have $\text{adm } (\lambda y. e \cdot x \not\sqsubseteq y)$ **by** (*rule compactD*)
then have $\text{adm } (\lambda y. e \cdot x \not\sqsubseteq e \cdot y)$ **by** (*rule adm-subst [OF cont-Rep-cfun2]*)
then have $\text{adm } (\lambda y. x \not\sqsubseteq y)$ **by** *simp*
then show $\text{compact } x$ **by** (*rule compactI*)
qed

lemma *compact-e*:
assumes $\text{compact } x$
shows $\text{compact } (e \cdot x)$
proof –
from *assms* **have** $\text{adm } (\lambda y. x \not\sqsubseteq y)$ **by** (*rule compactD*)
then have $\text{adm } (\lambda y. x \not\sqsubseteq p \cdot y)$ **by** (*rule adm-subst [OF cont-Rep-cfun2]*)
then have $\text{adm } (\lambda y. e \cdot x \not\sqsubseteq y)$ **by** (*simp add: e-below-iff-below-p*)
then show $\text{compact } (e \cdot x)$ **by** (*rule compactI*)
qed

lemma *compact-e-iff*: $\text{compact } (e \cdot x) \longleftrightarrow \text{compact } x$
by (*rule iffI [OF compact-e-rev compact-e]*)

Deflations from ep-pairs

lemma *deflation-e-p*: $\text{deflation } (e \text{ oo } p)$
by (*simp add: deflation.intro e-p-below*)

lemma *deflation-e-d-p*:
assumes $\text{deflation } d$
shows $\text{deflation } (e \text{ oo } d \text{ oo } p)$
proof
interpret $\text{deflation } d$ **by** *fact*
fix $x :: 'b$
show $(e \text{ oo } d \text{ oo } p) \cdot ((e \text{ oo } d \text{ oo } p) \cdot x) = (e \text{ oo } d \text{ oo } p) \cdot x$
by (*simp add: idem*)
show $(e \text{ oo } d \text{ oo } p) \cdot x \sqsubseteq x$
by (*simp add: e-below-iff-below-p below*)
qed

lemma *finite-deflation-e-d-p*:
assumes $\text{finite-deflation } d$
shows $\text{finite-deflation } (e \text{ oo } d \text{ oo } p)$
proof

```

interpret finite-deflation d by fact
fix x :: 'b
show (e oo d oo p)·((e oo d oo p)·x) = (e oo d oo p)·x
  by (simp add: idem)
show (e oo d oo p)·x  $\sqsubseteq$  x
  by (simp add: e-below-iff-below-p below)
have finite (( $\lambda$ x. e·x) ‘ ( $\lambda$ x. d·x) ‘ range ( $\lambda$ x. p·x))
  by (simp add: finite-image)
then have finite (range ( $\lambda$ x. (e oo d oo p)·x))
  by (simp add: image-image)
then show finite {x. (e oo d oo p)·x = x}
  by (rule finite-range-imp-finite-fixes)
qed

```

```

lemma deflation-p-d-e:
  assumes deflation d
  assumes d:  $\bigwedge$ x. d·x  $\sqsubseteq$  e·(p·x)
  shows deflation (p oo d oo e)
proof –
interpret d: deflation d by fact
have p-d-e-below: (p oo d oo e)·x  $\sqsubseteq$  x for x
proof –
  have d·(e·x)  $\sqsubseteq$  e·x
  by (rule d.below)
  then have p·(d·(e·x))  $\sqsubseteq$  p·(e·x)
  by (rule monofun-cfun-arg)
  then show ?thesis by simp
qed
show ?thesis
proof
  show (p oo d oo e)·x  $\sqsubseteq$  x for x
  by (rule p-d-e-below)
  show (p oo d oo e)·((p oo d oo e)·x) = (p oo d oo e)·x for x
  proof (rule below-antisym)
    show (p oo d oo e)·((p oo d oo e)·x)  $\sqsubseteq$  (p oo d oo e)·x
    by (rule p-d-e-below)
    have p·(d·(d·(d·(e·x))))  $\sqsubseteq$  p·(d·(e·(p·(d·(e·x)))))
    by (intro monofun-cfun-arg d)
    then have p·(d·(e·x))  $\sqsubseteq$  p·(d·(e·(p·(d·(e·x)))))
    by (simp only: d.idem)
    then show (p oo d oo e)·x  $\sqsubseteq$  (p oo d oo e)·((p oo d oo e)·x)
    by simp
  qed
qed
qed
qed

```

```

lemma finite-deflation-p-d-e:
  assumes finite-deflation d
  assumes d:  $\bigwedge$ x. d·x  $\sqsubseteq$  e·(p·x)

```

```

shows finite-deflation (p oo d oo e)
proof –
interpret d: finite-deflation d by fact
show ?thesis
proof (rule finite-deflation-intro)
  have deflation d ..
  then show deflation (p oo d oo e)
    using d by (rule deflation-p-d-e)
next
have finite ((λx. d·x) ‘ range (λx. e·x))
  by (rule d.finite-image)
then have finite ((λx. p·x) ‘ (λx. d·x) ‘ range (λx. e·x))
  by (rule finite-imageI)
then have finite (range (λx. (p oo d oo e)·x))
  by (simp add: image-image)
then show finite {x. (p oo d oo e)·x = x}
  by (rule finite-range-imp-finite-fixes)
qed
qed
end

```

10.4 Uniqueness of ep-pairs

lemma *ep-pair-unique-e-lemma*:

```

assumes 1: ep-pair e1 p
        and 2: ep-pair e2 p
shows e1 ⊆ e2
proof (rule cfun-belowI)
  fix x
  have e1·(p·(e2·x)) ⊆ e2·x
    by (rule ep-pair.e-p-below [OF 1])
  then show e1·x ⊆ e2·x
    by (simp only: ep-pair.e-inverse [OF 2])
qed

```

lemma *ep-pair-unique-e*: $ep\text{-pair } e1\ p \implies ep\text{-pair } e2\ p \implies e1 = e2$
 by (fast intro: below-antisym elim: ep-pair-unique-e-lemma)

lemma *ep-pair-unique-p-lemma*:

```

assumes 1: ep-pair e p1
        and 2: ep-pair e p2
shows p1 ⊆ p2
proof (rule cfun-belowI)
  fix x
  have e·(p1·x) ⊆ x
    by (rule ep-pair.e-p-below [OF 1])
  then have p2·(e·(p1·x)) ⊆ p2·x
    by (rule monofun-cfun-arg)

```

then show $p1 \cdot x \sqsubseteq p2 \cdot x$
by (*simp only: ep-pair.e-inverse [OF 2]*)
qed

lemma *ep-pair-unique-p*: $ep\text{-pair } e \ p1 \implies ep\text{-pair } e \ p2 \implies p1 = p2$
by (*fast intro: below-antisym elim: ep-pair-unique-p-lemma*)

10.5 Composing ep-pairs

lemma *ep-pair-ID-ID*: $ep\text{-pair } ID \ ID$
by *standard simp-all*

lemma *ep-pair-comp*:
assumes $ep\text{-pair } e1 \ p1$ **and** $ep\text{-pair } e2 \ p2$
shows $ep\text{-pair } (e2 \ oo \ e1) \ (p1 \ oo \ p2)$
proof
interpret $ep1$: $ep\text{-pair } e1 \ p1$ **by** *fact*
interpret $ep2$: $ep\text{-pair } e2 \ p2$ **by** *fact*
fix $x \ y$
show $(p1 \ oo \ p2) \cdot ((e2 \ oo \ e1) \cdot x) = x$
by *simp*
have $e1 \cdot (p1 \cdot (p2 \cdot y)) \sqsubseteq p2 \cdot y$
by (*rule ep1.e-p-below*)
then have $e2 \cdot (e1 \cdot (p1 \cdot (p2 \cdot y))) \sqsubseteq e2 \cdot (p2 \cdot y)$
by (*rule monofun-cfun-arg*)
also have $e2 \cdot (p2 \cdot y) \sqsubseteq y$
by (*rule ep2.e-p-below*)
finally show $(e2 \ oo \ e1) \cdot ((p1 \ oo \ p2) \cdot y) \sqsubseteq y$
by *simp*
qed

locale *pcpo-ep-pair* = $ep\text{-pair } e \ p$
for $e :: 'a::pcpo \rightarrow 'b::pcpo$
and $p :: 'b::pcpo \rightarrow 'a::pcpo$
begin

lemma *e-strict [simp]*: $e \cdot \perp = \perp$
proof –
have $\perp \sqsubseteq p \cdot \perp$ **by** (*rule minimal*)
then have $e \cdot \perp \sqsubseteq e \cdot (p \cdot \perp)$ **by** (*rule monofun-cfun-arg*)
also have $e \cdot (p \cdot \perp) \sqsubseteq \perp$ **by** (*rule e-p-below*)
finally show $e \cdot \perp = \perp$ **by** *simp*
qed

lemma *e-bottom-iff [simp]*: $e \cdot x = \perp \iff x = \perp$
by (*rule e-eq-iff [where y= \perp , unfolded e-strict]*)

lemma *e-defined*: $x \neq \perp \implies e \cdot x \neq \perp$
by *simp*

lemma *p-strict* [*simp*]: $p \cdot \perp = \perp$
by (*rule e-inverse* [**where** $x = \perp$, *unfolded e-strict*])

lemmas *stricts = e-strict p-strict*

end

end

11 The type of strict products

theory *Sprod*
imports *Cfun*
begin

11.1 Definition of strict product type

definition *sprod* = $\{p :: 'a::pcpo \times 'b::pcpo. p = \perp \vee (fst\ p \neq \perp \wedge snd\ p \neq \perp)\}$

pcpodef ($'a::pcpo, 'b::pcpo$) *sprod* ($\langle\langle notation = \langle infix\ strict\ product \rangle - \otimes / - \rangle$
 $[21,20]\ 20$) =
sprod :: ($'a \times 'b$) *set*
by (*simp-all add: sprod-def*)

instance *sprod* :: ($\{chfin,pcpo\}, \{chfin,pcpo\}$) *chfin*
by (*rule typedef-chfin* [*OF type-definition-sprod below-sprod-def*])

type-notation (*ASCII*)
sprod (**infixr** $\langle ** \rangle\ 20$)

11.2 Definitions of constants

definition *sfst* :: ($'a::pcpo ** 'b::pcpo$) $\rightarrow 'a$
where *sfst* = $(\Lambda\ p. fst\ (Rep\ sprod\ p))$

definition *ssnd* :: ($'a::pcpo ** 'b::pcpo$) $\rightarrow 'b$
where *ssnd* = $(\Lambda\ p. snd\ (Rep\ sprod\ p))$

definition *spair* :: $'a::pcpo \rightarrow 'b::pcpo \rightarrow ('a ** 'b)$
where *spair* = $(\Lambda\ a\ b. Abs\ sprod\ (seq\ b\ a, seq\ a\ b))$

definition *ssplit* :: ($'a::pcpo \rightarrow 'b::pcpo \rightarrow 'c::pcpo$) $\rightarrow ('a ** 'b) \rightarrow 'c$
where *ssplit* = $(\Lambda\ f\ p. seq\ p\ (f\cdot(sfst\ p)\cdot(ssnd\ p)))$

syntax
 $-stuple$:: $[logic, args] \Rightarrow logic\ (\langle\langle indent = 1\ notation = \langle mixfix\ strict\ tuple \rangle \langle (-, / - :') \rangle \rangle)$
syntax-consts

-stuple \rightleftharpoons spair

translations

$(:x, y, z:) \rightleftharpoons (:x, (:y, z):)$

$(:x, y:) \rightleftharpoons \text{CONST spair} \cdot x \cdot y$

translations

$\Lambda(\text{CONST spair} \cdot x \cdot y). t \rightleftharpoons \text{CONST ssplit} \cdot (\Lambda x y. t)$

11.3 Case analysis

lemma *spair-sprod*: $(\text{seq} \cdot b \cdot a, \text{seq} \cdot a \cdot b) \in \text{sprod}$

by (*simp add: sprod-def seq-conv-if*)

lemma *Rep-sprod-spair*: $\text{Rep-sprod} (:a, b) = (\text{seq} \cdot b \cdot a, \text{seq} \cdot a \cdot b)$

by (*simp add: spair-def cont-Abs-sprod Abs-sprod-inverse spair-sprod*)

lemmas *Rep-sprod-simps* =

Rep-sprod-inject [*symmetric*] *below-sprod-def*

prod-eq-iff *below-prod-def*

Rep-sprod-strict *Rep-sprod-spair*

lemma *sprodE* [*case-names bottom spair, cases type: sprod*]:

obtains $p = \perp \mid x y$ **where** $p = (:x, y:)$ **and** $x \neq \perp$ **and** $y \neq \perp$

using *Rep-sprod* [*of p*] **by** (*auto simp add: sprod-def Rep-sprod-simps*)

lemma *sprod-induct* [*case-names bottom spair, induct type: sprod*]:

$\llbracket P \perp; \bigwedge x y. \llbracket x \neq \perp; y \neq \perp \rrbracket \implies P (:x, y:) \rrbracket \implies P x$

by (*cases x*) *simp-all*

11.4 Properties of *spair*

lemma *spair-strict1* [*simp*]: $(:\perp, y:) = \perp$

by (*simp add: Rep-sprod-simps*)

lemma *spair-strict2* [*simp*]: $(:x, \perp:) = \perp$

by (*simp add: Rep-sprod-simps*)

lemma *spair-bottom-iff* [*simp*]: $(:x, y:) = \perp \iff x = \perp \vee y = \perp$

by (*simp add: Rep-sprod-simps seq-conv-if*)

lemma *spair-below-iff*: $(:a, b:) \sqsubseteq (:c, d:) \iff a = \perp \vee b = \perp \vee (a \sqsubseteq c \wedge b \sqsubseteq d)$

by (*simp add: Rep-sprod-simps seq-conv-if*)

lemma *spair-eq-iff*: $(:a, b:) = (:c, d:) \iff a = c \wedge b = d \vee (a = \perp \vee b = \perp) \wedge (c = \perp \vee d = \perp)$

by (*simp add: Rep-sprod-simps seq-conv-if*)

lemma *spair-strict*: $x = \perp \vee y = \perp \implies (:x, y:) = \perp$

by *simp*

lemma *spair-strict-rev*: $(:x, y:) \neq \perp \implies x \neq \perp \wedge y \neq \perp$
by *simp*

lemma *spair-defined*: $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \neq \perp$
by *simp*

lemma *spair-defined-rev*: $(:x, y:) = \perp \implies x = \perp \vee y = \perp$
by *simp*

lemma *spair-below*: $x \neq \perp \implies y \neq \perp \implies (:x, y:) \sqsubseteq (:a, b:) \longleftrightarrow x \sqsubseteq a \wedge y \sqsubseteq b$
by (*simp add: spair-below-iff*)

lemma *spair-eq*: $x \neq \perp \implies y \neq \perp \implies (:x, y:) = (:a, b:) \longleftrightarrow x = a \wedge y = b$
by (*simp add: spair-eq-iff*)

lemma *spair-inject*: $x \neq \perp \implies y \neq \perp \implies (:x, y:) = (:a, b:) \implies x = a \wedge y = b$
by (*rule spair-eq [THEN iffD1]*)

lemma *inst-sprod-pcpo2*: $\perp = (:\perp, \perp:)$
by *simp*

lemma *sprodE2*: $(\bigwedge x y. p = (:x, y:) \implies Q) \implies Q$
by (*cases p*) (*simp only: inst-sprod-pcpo2, simp*)

11.5 Properties of *sfst* and *ssnd*

lemma *sfst-strict* [*simp*]: $sfst.\perp = \perp$
by (*simp add: sfst-def cont-Rep-sprod Rep-sprod-strict*)

lemma *ssnd-strict* [*simp*]: $ssnd.\perp = \perp$
by (*simp add: ssnd-def cont-Rep-sprod Rep-sprod-strict*)

lemma *sfst-spair* [*simp*]: $y \neq \perp \implies sfst.(:x, y:) = x$
by (*simp add: sfst-def cont-Rep-sprod Rep-sprod-spair*)

lemma *ssnd-spair* [*simp*]: $x \neq \perp \implies ssnd.(:x, y:) = y$
by (*simp add: ssnd-def cont-Rep-sprod Rep-sprod-spair*)

lemma *sfst-bottom-iff* [*simp*]: $sfst.p = \perp \longleftrightarrow p = \perp$
by (*cases p*) *simp-all*

lemma *ssnd-bottom-iff* [*simp*]: $ssnd.p = \perp \longleftrightarrow p = \perp$
by (*cases p*) *simp-all*

lemma *sfst-defined*: $p \neq \perp \implies sfst.p \neq \perp$
by *simp*

lemma *ssnd-defined*: $p \neq \perp \implies ssnd.p \neq \perp$
by *simp*

lemma *spair-sfst-ssnd*: $(:sfst.p, ssnd.p) = p$
by (*cases p*) *simp-all*

lemma *below-sprod*: $x \sqsubseteq y \iff sfst.x \sqsubseteq sfst.y \wedge ssnd.x \sqsubseteq ssnd.y$
by (*simp add: Rep-sprod-simps sfst-def ssnd-def cont-Rep-sprod*)

lemma *eq-sprod*: $x = y \iff sfst.x = sfst.y \wedge ssnd.x = ssnd.y$
by (*auto simp add: po-eq-conv below-sprod*)

lemma *sfst-below-iff*: $sfst.x \sqsubseteq y \iff x \sqsubseteq (:y, ssnd.x)$
by (*cases x = \perp , simp, cases y = \perp , simp, simp add: below-sprod*)

lemma *ssnd-below-iff*: $ssnd.x \sqsubseteq y \iff x \sqsubseteq (:sfst.x, y)$
by (*cases x = \perp , simp, cases y = \perp , simp, simp add: below-sprod*)

11.6 Compactness

lemma *compact-sfst*: $compact\ x \implies compact\ (sfst.x)$
by (*rule compactI*) (*simp add: sfst-below-iff*)

lemma *compact-ssnd*: $compact\ x \implies compact\ (ssnd.x)$
by (*rule compactI*) (*simp add: ssnd-below-iff*)

lemma *compact-spair*: $compact\ x \implies compact\ y \implies compact\ (:x, y)$
by (*rule compact-sprod*) (*simp add: Rep-sprod-spair seq-conv-if*)

lemma *compact-spair-iff*: $compact\ (:x, y) \iff x = \perp \vee y = \perp \vee (compact\ x \wedge compact\ y)$
apply (*safe elim!: compact-spair*)
apply (*drule compact-sfst, simp*)
apply (*drule compact-ssnd, simp*)
apply *simp*
apply *simp*
done

11.7 Properties of *ssplit*

lemma *ssplit1* [*simp*]: $ssplit.f.\perp = \perp$
by (*simp add: ssplit-def*)

lemma *ssplit2* [*simp*]: $x \neq \perp \implies y \neq \perp \implies ssplit.f.(:x, y) = f.x.y$
by (*simp add: ssplit-def*)

lemma *ssplit3* [*simp*]: $ssplit.spair.z = z$
by (*cases z*) *simp-all*

11.8 Strict product preserves flatness

instance *sprod* :: (*flat, flat*) *flat*

```

proof
  fix  $x\ y :: 'a \otimes 'b$ 
  assume  $x \sqsubseteq y$ 
  then show  $x = \perp \vee x = y$ 
    apply (induct  $x$ , simp)
    apply (induct  $y$ , simp)
    apply (simp add: spair-below-iff flat-below-iff)
  done
qed

end

```

12 The type of lifted values

```

theory Up
  imports Cfun
begin

```

12.1 Definition of new type for lifting

```

datatype  $'a\ u$  (notation= postfix lifting  $-\perp$ ) [1000] 999) = Ibottom | Iup  $'a$ 

```

```

primrec Ifup :: ( $'a \rightarrow 'b::pcpo$ )  $\Rightarrow 'a\ u \Rightarrow 'b$ 
  where
    Ifup  $f\ Ibottom = \perp$ 
  | Ifup  $f\ (Iup\ x) = f \cdot x$ 

```

12.2 Ordering on lifted cpo

```

instantiation  $u :: (cpo)\ below$ 
begin

```

```

definition below-up-def:
  ( $\sqsubseteq$ )  $\equiv$ 
  ( $\lambda x\ y.$ 
    (case  $x$  of
      Ibottom  $\Rightarrow True$ 
    | Iup  $a \Rightarrow (case\ y\ of\ Ibottom \Rightarrow False \mid Iup\ b \Rightarrow a \sqsubseteq b)$ ))

```

```

instance ..

```

```

end

```

```

lemma minimal-up [iff]:  $Ibottom \sqsubseteq z$ 
  by (simp add: below-up-def)

```

```

lemma not-Iup-below [iff]:  $Iup\ x \not\sqsubseteq Ibottom$ 
  by (simp add: below-up-def)

```

lemma *Iup-below* [iff]: $(Iup\ x \sqsubseteq Iup\ y) = (x \sqsubseteq y)$
by (*simp add: below-up-def*)

12.3 Lifted cpo is a partial order

instance *u* :: (cpo) po
proof
fix *x* :: 'a *u*
show $x \sqsubseteq x$
by (*simp add: below-up-def split: u.split*)
next
fix *x y* :: 'a *u*
assume $x \sqsubseteq y\ y \sqsubseteq x$
then show $x = y$
by (*auto simp: below-up-def split: u.split-asm intro: below-antisym*)
next
fix *x y z* :: 'a *u*
assume $x \sqsubseteq y\ y \sqsubseteq z$
then show $x \sqsubseteq z$
by (*auto simp: below-up-def split: u.split-asm intro: below-trans*)
qed

12.4 Lifted cpo is a cpo

lemma *is-lub-Iup*: $range\ S \ll\ x \implies range\ (\lambda i. Iup\ (S\ i)) \ll\ Iup\ x$
by (*auto simp: is-lub-def is-ub-def ball-simps below-up-def split: u.split*)

lemma *up-chain-lemma*:
assumes *Y*: *chain* *Y*
obtains $\forall i. Y\ i = Ibottom$
| *A* *k* **where** $\forall i. Iup\ (A\ i) = Y\ (i + k)$ **and** *chain* *A* **and** $range\ Y \ll\ Iup\ (\bigsqcup i. A\ i)$
proof (*cases* $\exists k. Y\ k \neq Ibottom$)
case *True*
then obtain *k* **where** $k: Y\ k \neq Ibottom$..
define *A* **where** $A\ i = (THE\ a. Iup\ a = Y\ (i + k))$ **for** *i*
have *Iup-A*: $\forall i. Iup\ (A\ i) = Y\ (i + k)$
proof
fix *i* :: nat
from *Y le-add2* **have** $Y\ k \sqsubseteq Y\ (i + k)$ **by** (*rule chain-mono*)
with *k* **have** $Y\ (i + k) \neq Ibottom$ **by** (*cases* *Y k*) *auto*
then show $Iup\ (A\ i) = Y\ (i + k)$
by (*cases* $Y\ (i + k)$, *simp-all add: A-def*)
qed
from *Y* **have** *chain-A*: *chain* *A*
by (*simp add: chain-def Iup-below [symmetric] Iup-A*)
then have $range\ A \ll\ (\bigsqcup i. A\ i)$
by (*rule cpo-lubI*)
then have $range\ (\lambda i. Iup\ (A\ i)) \ll\ Iup\ (\bigsqcup i. A\ i)$
by (*rule is-lub-Iup*)

```

then have range ( $\lambda i. Y (i + k)$ )  $\ll$  |  $Iup (\bigsqcup i. A i)$ 
  by (simp only: Iup-A)
then have range ( $\lambda i. Y i$ )  $\ll$  |  $Iup (\bigsqcup i. A i)$ 
  by (simp only: is-lub-range-shift [OF Y])
with Iup-A chain-A show ?thesis ..
next
  case False
  then have  $\forall i. Y i = Ibottom$  by simp
  then show ?thesis ..
qed

instance  $u :: (cpo) cpo$ 
proof
  fix  $S :: nat \Rightarrow 'a$   $u$ 
  assume  $S: chain S$ 
  then show  $\exists x. range (\lambda i. S i) \ll$  |  $x$ 
  proof (rule up-chain-lemma)
    assume  $\forall i. S i = Ibottom$ 
    then have range ( $\lambda i. S i$ )  $\ll$  |  $Ibottom$ 
      by (simp add: is-lub-const)
    then show ?thesis ..
  next
  fix  $A :: nat \Rightarrow 'a$ 
  assume range  $S \ll$  |  $Iup (\bigsqcup i. A i)$ 
  then show ?thesis ..
  qed
qed

```

12.5 Lifted cpo is pointed

```

instance  $u :: (cpo) pcpo$ 
  by intro-classes fast

```

for compatibility with old HOLCF-Version

```

lemma inst-up-pcpo:  $\perp = Ibottom$ 
  by (rule minimal-up [THEN bottomI, symmetric])

```

12.6 Continuity of *Iup* and *Ifup*

continuity for *Iup*

```

lemma cont-Iup: cont Iup
  apply (rule contI)
  apply (rule is-lub-Iup)
  apply (erule cpo-lubI)
  done

```

continuity for *Ifup*

```

lemma cont-Ifup1: cont ( $\lambda f. Ifup f x$ )

```

by (induct x) simp-all

lemma *monofun-Ifup2*: monofun ($\lambda x. \text{Ifup } f \ x$)
apply (rule monofunI)
apply (case-tac x, simp)
apply (case-tac y, simp)
apply (simp add: monofun-cfun-arg)
done

lemma *cont-Ifup2*: cont ($\lambda x. \text{Ifup } f \ x$)
proof (rule contI2)
fix Y
assume Y: chain Y **and** Y': chain ($\lambda i. \text{Ifup } f \ (Y \ i)$)
from Y **show** $\text{Ifup } f \ (\bigsqcup i. Y \ i) \sqsubseteq (\bigsqcup i. \text{Ifup } f \ (Y \ i))$
proof (rule up-chain-lemma)
fix A **and** k
assume A: $\forall i. \text{Iup } (A \ i) = Y \ (i + k)$
assume chain A **and** range Y $\ll \text{Iup } (\bigsqcup i. A \ i)$
then have $\text{Ifup } f \ (\bigsqcup i. Y \ i) = (\bigsqcup i. \text{Ifup } f \ (\text{Iup } (A \ i)))$
by (simp add: lub-eqI contlub-cfun-arg)
also have $\dots = (\bigsqcup i. \text{Ifup } f \ (Y \ (i + k)))$
by (simp add: A)
also have $\dots = (\bigsqcup i. \text{Ifup } f \ (Y \ i))$
using Y' **by** (rule lub-range-shift)
finally show ?thesis **by** simp
qed simp
qed (rule monofun-Ifup2)

12.7 Continuous versions of constants

definition *up* :: 'a \rightarrow 'a u
where *up* = ($\Lambda x. \text{Iup } x$)

definition *fup* :: ('a \rightarrow 'b::pcpo) \rightarrow 'a u \rightarrow 'b
where *fup* = ($\Lambda f \ p. \text{Ifup } f \ p$)

translations

case l of XCONST *up*.x $\Rightarrow t \Leftrightarrow \text{CONST } fup \cdot (\Lambda x. t) \cdot l$
case l of (XCONST *up* :: 'a).x $\Rightarrow t \rightarrow \text{CONST } fup \cdot (\Lambda x. t) \cdot l$
 $\Lambda(\text{XCONST } up \cdot x). t \Leftrightarrow \text{CONST } fup \cdot (\Lambda x. t)$

continuous versions of lemmas for 'a_⊥

lemma *Exh-Up*: $z = \perp \vee (\exists x. z = up \cdot x)$
by (induct z) (simp add: inst-up-pcpo, simp add: up-def cont-Iup)

lemma *up-eq* [simp]: $(up \cdot x = up \cdot y) = (x = y)$
by (simp add: up-def cont-Iup)

lemma *up-inject*: $up \cdot x = up \cdot y \Longrightarrow x = y$

by *simp*

lemma *up-defined* [*simp*]: $up\cdot x \neq \perp$
 by (*simp add: up-def cont-Iup inst-up-pcpo*)

lemma *not-up-less-UU*: $up\cdot x \not\sqsubseteq \perp$
 by *simp*

lemma *up-below* [*simp*]: $up\cdot x \sqsubseteq up\cdot y \longleftrightarrow x \sqsubseteq y$
 by (*simp add: up-def cont-Iup*)

lemma *upE* [*case-names bottom up, cases type: u*]: $\llbracket p = \perp \implies Q; \bigwedge x. p = up\cdot x \implies Q \rrbracket \implies Q$
 by (*cases p*) (*simp add: inst-up-pcpo, simp add: up-def cont-Iup*)

lemma *up-induct* [*case-names bottom up, induct type: u*]: $P \perp \implies (\bigwedge x. P (up\cdot x)) \implies P x$
 by (*cases x*) *simp-all*

lifting preserves chain-finiteness

lemma *up-chain-cases*:
 assumes $Y: chain\ Y$
 obtains $\forall i. Y\ i = \perp$
 | $A\ k$ **where** $\forall i. up\cdot(A\ i) = Y\ (i + k)$ **and** *chain* A **and** $(\bigsqcup i. Y\ i) = up\cdot(\bigsqcup i. A\ i)$
 by (*rule up-chain-lemma [OF Y]*) (*simp-all add: inst-up-pcpo up-def cont-Iup lub-eqI*)

lemma *compact-up*: $compact\ x \implies compact\ (up\cdot x)$
 apply (*rule compactI2*)
 apply (*erule up-chain-cases*)
 apply *simp*
 apply (*drule (1) compactD2, simp*)
 apply (*erule exE*)
 apply (*drule-tac f=up and x=x in monofun-cfun-arg*)
 apply (*simp, erule exI*)
 done

lemma *compact-upD*: $compact\ (up\cdot x) \implies compact\ x$
 unfolding *compact-def*
 by (*drule adm-subst [OF cont-Rep-cfun2 [where f=up]], simp*)

lemma *compact-up-iff* [*simp*]: $compact\ (up\cdot x) = compact\ x$
 by (*safe elim!: compact-up compact-upD*)

instance $u :: (chfin)\ chfin$
 apply *intro-classes*
 apply (*erule compact-imp-max-in-chain*)
 apply (*rule-tac p=\bigsqcup i. Y i in upE, simp-all*)

```

done

properties of fup
lemma fup1 [simp]: fup.f.⊥ = ⊥
  by (simp add: fup-def cont-Ifup1 cont-Ifup2 inst-up-pcpo cont2cont-LAM)

lemma fup2 [simp]: fup.f.(up.x) = f.x
  by (simp add: up-def fup-def cont-Iup cont-Ifup1 cont-Ifup2 cont2cont-LAM)

lemma fup3 [simp]: fup.up.x = x
  by (cases x) simp-all

end

```

13 Lifting types of class type to flat pcpo’s

```

theory Lift
imports Up
begin

pcpodef 'a::type lift = UNIV :: 'a discr u set
by simp-all

lemmas inst-lift-pcpo = Abs-lift-strict [symmetric]

```

```

definition
  Def :: 'a::type ⇒ 'a lift where
  Def x = Abs-lift (up.(Discr x))

```

13.1 Lift as a datatype

```

lemma lift-induct:  $\llbracket P \perp; \bigwedge x. P (Def x) \rrbracket \implies P y$ 
apply (induct y)
apply (rule-tac p=y in upE)
apply (simp add: Abs-lift-strict)
apply (case-tac x)
apply (simp add: Def-def)
done

```

```

old-rep-datatype ⊥::'a::type lift Def
  by (erule lift-induct) (simp-all add: Def-def Abs-lift-inject inst-lift-pcpo)

```

⊥ and Def

```

lemma not-Undef-is-Def:  $(x \neq \perp) = (\exists y. x = Def y)$ 
  by (cases x) simp-all

```

```

lemma lift-definedE:  $\llbracket x \neq \perp; \bigwedge a. x = Def a \rrbracket \implies R$ 
  by (cases x) simp-all

```

For $x \neq \perp$ in assumptions *defined* replaces x by *Def a* in conclusion.

```
method-setup defined = ⟨
  Scan.succeed (fn ctxt => SIMPLE-METHOD'
    (eresolve-tac ctxt @ { thms lift-definedE } THEN' asm-simp-tac ctxt))
⟩
```

lemma *DefE*: $Def\ x = \perp \implies R$
by *simp*

lemma *DefE2*: $\llbracket x = Def\ s; x = \perp \rrbracket \implies R$
by *simp*

lemma *Def-below-Def*: $Def\ x \sqsubseteq Def\ y \longleftrightarrow x = y$
by (*simp add: below-lift-def Def-def Abs-lift-inverse*)

lemma *Def-below-iff [simp]*: $Def\ x \sqsubseteq y \longleftrightarrow Def\ x = y$
by (*induct y, simp, simp add: Def-below-Def*)

13.2 Lift is flat

```
instance lift :: (type) flat
proof
  fix x y :: 'a lift
  assume  $x \sqsubseteq y$  thus  $x = \perp \vee x = y$ 
  by (induct x auto)
qed
```

13.3 Continuity of case-lift

```
lemma case-lift-eq:  $case\ lift\ \perp\ f\ x = fup\ (\Lambda\ y.\ f\ (undiscr\ y))\ \cdot\ (Rep\ lift\ x)$ 
apply (induct x, unfold lift.case)
apply (simp add: Rep-lift-strict)
apply (simp add: Def-def Abs-lift-inverse)
done
```

```
lemma cont2cont-case-lift [simp]:
 $\llbracket \Lambda y.\ cont\ (\lambda x.\ f\ x\ y); cont\ g \rrbracket \implies cont\ (\lambda x.\ case\ lift\ \perp\ (f\ x)\ (g\ x))$ 
unfolding case-lift-eq by (simp add: cont-Rep-lift)
```

13.4 Further operations

```
definition
  flift1 :: ('a::type  $\Rightarrow$  'b::pcpo)  $\Rightarrow$  ('a lift  $\rightarrow$  'b) (binder <FLIFT > 10) where
  flift1 = ( $\lambda f.\ (\Lambda x.\ case\ lift\ \perp\ f\ x)$ )
```

translations

```
 $\Lambda (XCONST\ Def\ x).\ t \Rightarrow CONST\ flift1\ (\lambda x.\ t)$ 
 $\Lambda (CONST\ Def\ x).\ FLIFT\ y.\ t \leq FLIFT\ x\ y.\ t$ 
 $\Lambda (CONST\ Def\ x).\ t \leq FLIFT\ x.\ t$ 
```

definition

$f\text{lift2} :: ('a::\text{type} \Rightarrow 'b::\text{type}) \Rightarrow ('a \text{ lift} \rightarrow 'b \text{ lift})$ **where**
 $f\text{lift2 } f = (\text{FLIFT } x. \text{Def } (f x))$

lemma $f\text{lift1-Def}$ $[\text{simp}]$: $f\text{lift1 } f \cdot (\text{Def } x) = (f x)$
by ($\text{simp add: } f\text{lift1-def}$)

lemma $f\text{lift2-Def}$ $[\text{simp}]$: $f\text{lift2 } f \cdot (\text{Def } x) = \text{Def } (f x)$
by ($\text{simp add: } f\text{lift2-def}$)

lemma $f\text{lift1-strict}$ $[\text{simp}]$: $f\text{lift1 } f \cdot \perp = \perp$
by ($\text{simp add: } f\text{lift1-def}$)

lemma $f\text{lift2-strict}$ $[\text{simp}]$: $f\text{lift2 } f \cdot \perp = \perp$
by ($\text{simp add: } f\text{lift2-def}$)

lemma $f\text{lift2-defined}$ $[\text{simp}]$: $x \neq \perp \Longrightarrow (f\text{lift2 } f) \cdot x \neq \perp$
by ($\text{erule lift-definedE, simp}$)

lemma $f\text{lift2-bottom-iff}$ $[\text{simp}]$: $(f\text{lift2 } f \cdot x = \perp) = (x = \perp)$
by ($\text{cases } x, \text{simp-all}$)

lemma FLIFT-mono :

$(\bigwedge x. f x \sqsubseteq g x) \Longrightarrow (\text{FLIFT } x. f x) \sqsubseteq (\text{FLIFT } x. g x)$
by ($\text{rule cfun-belowI, case-tac } x, \text{simp-all}$)

lemma cont2cont-flift1 $[\text{simp, cont2cont}]$:

$\llbracket \bigwedge y. \text{cont } (\lambda x. f x y) \rrbracket \Longrightarrow \text{cont } (\lambda x. \text{FLIFT } y. f x y)$
by ($\text{simp add: } f\text{lift1-def cont2cont-LAM}$)

end

14 The type of lifted booleans

theory Tr

imports Lift

begin

14.1 Type definition and constructors

type-synonym $\text{tr} = \text{bool lift}$

translations

$(\text{type}) \text{tr} \leftarrow (\text{type}) \text{bool lift}$

definition $\text{TT} :: \text{tr}$

where $\text{TT} = \text{Def True}$

definition $FF :: tr$
where $FF = Def\ False$

Exhaustion and Elimination for type tr

lemma $Exh-tr: t = \perp \vee t = TT \vee t = FF$
by (*induct t*) (*auto simp: FF-def TT-def*)

lemma trE [*case-names bottom TT FF, cases type: tr*]:
 $\llbracket p = \perp \implies Q; p = TT \implies Q; p = FF \implies Q \rrbracket \implies Q$
by (*induct p*) (*auto simp: FF-def TT-def*)

lemma $tr-induct$ [*case-names bottom TT FF, induct type: tr*]:
 $P \perp \implies P\ TT \implies P\ FF \implies P\ x$
by (*cases x*) *simp-all*

distinctness for type tr

lemma $dist-below-tr$ [*simp*]:
 $TT \not\sqsubseteq \perp\ FF \not\sqsubseteq \perp\ TT \not\sqsubseteq FF\ FF \not\sqsubseteq TT$
by (*simp-all add: TT-def FF-def*)

lemma $dist-eq-tr$ [*simp*]: $TT \neq \perp\ FF \neq \perp\ TT \neq FF\ \perp \neq TT\ \perp \neq FF\ FF \neq TT$
by (*simp-all add: TT-def FF-def*)

lemma $TT-below-iff$ [*simp*]: $TT \sqsubseteq x \longleftrightarrow x = TT$
by (*induct x*) *simp-all*

lemma $FF-below-iff$ [*simp*]: $FF \sqsubseteq x \longleftrightarrow x = FF$
by (*induct x*) *simp-all*

lemma $not-below-TT-iff$ [*simp*]: $x \not\sqsubseteq TT \longleftrightarrow x = FF$
by (*induct x*) *simp-all*

lemma $not-below-FF-iff$ [*simp*]: $x \not\sqsubseteq FF \longleftrightarrow x = TT$
by (*induct x*) *simp-all*

14.2 Case analysis

definition $tr-case :: 'a::pcpo \rightarrow 'a \rightarrow tr \rightarrow 'a$
where $tr-case = (\Lambda\ t\ e\ (Def\ b).\ if\ b\ then\ t\ else\ e)$

abbreviation $cifte-syn :: [tr, 'c::pcpo, 'c] \Rightarrow 'c$ ($\langle\langle notation = \langle mixfix\ If\ expression \rangle\rangle If\ (-)/\ then\ (-)/\ else\ (-) \rangle [0, 0, 60] 60$)
where $If\ b\ then\ e1\ else\ e2 \equiv tr-case.e1.e2.b$

translations

$\Lambda\ (XCONST\ TT). t \rightleftharpoons CONST\ tr-case.t.\perp$
 $\Lambda\ (XCONST\ FF). t \rightleftharpoons CONST\ tr-case.\perp.t$

lemma $ifte-thms$ [*simp*]:

If \perp then $e1$ else $e2 = \perp$
If FF then $e1$ else $e2 = e2$
If TT then $e1$ else $e2 = e1$
by (*simp-all add: tr-case-def TT-def FF-def*)

14.3 Boolean connectives

definition *trand* :: $tr \rightarrow tr \rightarrow tr$
where *andalso-def*: *trand* = ($\Lambda x y. \text{If } x \text{ then } y \text{ else } FF$)

abbreviation *andalso-syn* :: $tr \Rightarrow tr \Rightarrow tr$ ($\langle\leftarrow$ *andalso* \rightarrow [36,35] 35)
where $x \text{ andalso } y \equiv \text{trand} \cdot x \cdot y$

definition *tror* :: $tr \rightarrow tr \rightarrow tr$
where *orelse-def*: *tror* = ($\Lambda x y. \text{If } x \text{ then } TT \text{ else } y$)

abbreviation *orelse-syn* :: $tr \Rightarrow tr \Rightarrow tr$ ($\langle\leftarrow$ *orelse* \rightarrow [31,30] 30)
where $x \text{ or else } y \equiv \text{tror} \cdot x \cdot y$

definition *neg* :: $tr \rightarrow tr$
where *neg* = *flift2 Not*

definition *If2* :: $tr \Rightarrow 'c::\text{pcpo} \Rightarrow 'c \Rightarrow 'c$
where *If2* $Q x y = (\text{If } Q \text{ then } x \text{ else } y)$

tactic for tr-thms with case split

lemmas *tr-defs* = *andalso-def or else-def neg-def tr-case-def TT-def FF-def*

lemmas about andalso, or else, neg and if

lemma *andalso-thms* [*simp*]:
 $(TT \text{ andalso } y) = y$
 $(FF \text{ andalso } y) = FF$
 $(\perp \text{ andalso } y) = \perp$
 $(y \text{ andalso } TT) = y$
 $(y \text{ andalso } y) = y$
apply (*unfold andalso-def, simp-all*)
apply (*cases y, simp-all*)
apply (*cases y, simp-all*)
done

lemma *orelse-thms* [*simp*]:
 $(TT \text{ or else } y) = TT$
 $(FF \text{ or else } y) = y$
 $(\perp \text{ or else } y) = \perp$
 $(y \text{ or else } FF) = y$
 $(y \text{ or else } y) = y$
apply (*unfold or else-def, simp-all*)
apply (*cases y, simp-all*)
apply (*cases y, simp-all*)

done

lemma *neg-thms* [*simp*]:

neg·*TT* = *FF*

neg·*FF* = *TT*

neg· \perp = \perp

by (*simp-all add: neg-def TT-def FF-def*)

split-tac for If via If2 because the constant has to be a constant

lemma *split-If2*: $P (If2\ Q\ x\ y) \longleftrightarrow ((Q = \perp \longrightarrow P\ \perp) \wedge (Q = TT \longrightarrow P\ x) \wedge (Q = FF \longrightarrow P\ y))$

by (*cases Q*) (*simp-all add: If2-def*)

ML ‹

fun split-If-tac ctxt =

simp-tac (put-simpset HOL-basic-ss ctxt addsimps [@{thm If2-def} RS sym])

THEN' (split-tac ctxt [@{thm split-If2}])

›

14.4 Rewriting of HOLCF operations to HOL functions

lemma *andalso-or*: $t \neq \perp \implies (t\ \text{andalso}\ s) = FF \longleftrightarrow t = FF \vee s = FF$

by (*cases t*) *simp-all*

lemma *andalso-and*: $t \neq \perp \implies ((t\ \text{andalso}\ s) \neq FF) \longleftrightarrow t \neq FF \wedge s \neq FF$

by (*cases t*) *simp-all*

lemma *Def-bool1* [*simp*]: $Def\ x \neq FF \longleftrightarrow x$

by (*simp add: FF-def*)

lemma *Def-bool2* [*simp*]: $Def\ x = FF \longleftrightarrow \neg x$

by (*simp add: FF-def*)

lemma *Def-bool3* [*simp*]: $Def\ x = TT \longleftrightarrow x$

by (*simp add: TT-def*)

lemma *Def-bool4* [*simp*]: $Def\ x \neq TT \longleftrightarrow \neg x$

by (*simp add: TT-def*)

lemma *If-and-if*: $(If\ Def\ P\ then\ A\ else\ B) = (if\ P\ then\ A\ else\ B)$

by (*cases Def P*) (*auto simp add: TT-def[symmetric] FF-def[symmetric]*)

14.5 Compactness

lemma *compact-TT*: *compact TT*

by (*rule compact-chfin*)

lemma *compact-FF*: *compact FF*

by (rule compact-chfin)

end

15 The type of strict sums

theory Ssum
 imports Tr
 begin

15.1 Definition of strict sum type

definition ssum =

$$\{p :: tr \times ('a::pcpo \times 'b::pcpo). p = \perp \vee$$

$$(fst\ p = TT \wedge fst\ (snd\ p) \neq \perp \wedge snd\ (snd\ p) = \perp) \vee$$

$$(fst\ p = FF \wedge fst\ (snd\ p) = \perp \wedge snd\ (snd\ p) \neq \perp)\}$$

pcpodef ('a::pcpo, 'b::pcpo) ssum (⟨⟨notation=⟨infix strict sum⟩⟩- ⊕/ -⟩ [21,
 20] 20) =

ssum :: (tr × 'a × 'b) set
 by (simp-all add: ssum-def)

instance ssum :: ({chfin,pcpo}, {chfin,pcpo}) chfin
 by (rule typedef-chfin [OF type-definition-ssum below-ssum-def])

type-notation (ASCII)
 ssum (infixr <+> 10)

15.2 Definitions of constructors

definition sinl :: 'a::pcpo → ('a ++ 'b::pcpo)
 where sinl = (λ a. Abs-ssum (seq·a·TT, a, ⊥))

definition sinr :: 'b::pcpo → ('a::pcpo ++ 'b)
 where sinr = (λ b. Abs-ssum (seq·b·FF, ⊥, b))

lemma sinl-ssum: (seq·a·TT, a, ⊥) ∈ ssum
 by (simp add: ssum-def seq-conv-if)

lemma sinr-ssum: (seq·b·FF, ⊥, b) ∈ ssum
 by (simp add: ssum-def seq-conv-if)

lemma Rep-ssum-sinl: Rep-ssum (sinl·a) = (seq·a·TT, a, ⊥)
 by (simp add: sinl-def cont-Abs-ssum Abs-ssum-inverse sinl-ssum)

lemma Rep-ssum-sinr: Rep-ssum (sinr·b) = (seq·b·FF, ⊥, b)
 by (simp add: sinr-def cont-Abs-ssum Abs-ssum-inverse sinr-ssum)

lemmas Rep-ssum-simps =

Rep-ssum-inject [*symmetric*] *below-ssum-def*
prod-eq-iff below-prod-def
Rep-ssum-strict Rep-ssum-sinl Rep-ssum-sinr

15.3 Properties of *sinl* and *sinr*

Ordering

lemma *sinl-below* [*simp*]: $\text{sinl}\cdot x \sqsubseteq \text{sinl}\cdot y \longleftrightarrow x \sqsubseteq y$
by (*simp add: Rep-ssum-simps seq-conv-if*)

lemma *sinr-below* [*simp*]: $\text{sinr}\cdot x \sqsubseteq \text{sinr}\cdot y \longleftrightarrow x \sqsubseteq y$
by (*simp add: Rep-ssum-simps seq-conv-if*)

lemma *sinl-below-sinr* [*simp*]: $\text{sinl}\cdot x \sqsubseteq \text{sinr}\cdot y \longleftrightarrow x = \perp$
by (*simp add: Rep-ssum-simps seq-conv-if*)

lemma *sinr-below-sinl* [*simp*]: $\text{sinr}\cdot x \sqsubseteq \text{sinl}\cdot y \longleftrightarrow x = \perp$
by (*simp add: Rep-ssum-simps seq-conv-if*)

Equality

lemma *sinl-eq* [*simp*]: $\text{sinl}\cdot x = \text{sinl}\cdot y \longleftrightarrow x = y$
by (*simp add: po-eq-conv*)

lemma *sinr-eq* [*simp*]: $\text{sinr}\cdot x = \text{sinr}\cdot y \longleftrightarrow x = y$
by (*simp add: po-eq-conv*)

lemma *sinl-eq-sinr* [*simp*]: $\text{sinl}\cdot x = \text{sinr}\cdot y \longleftrightarrow x = \perp \wedge y = \perp$
by (*subst po-eq-conv*) *simp*

lemma *sinr-eq-sinl* [*simp*]: $\text{sinr}\cdot x = \text{sinl}\cdot y \longleftrightarrow x = \perp \wedge y = \perp$
by (*subst po-eq-conv*) *simp*

lemma *sinl-inject*: $\text{sinl}\cdot x = \text{sinl}\cdot y \implies x = y$
by (*rule sinl-eq [THEN iffD1]*)

lemma *sinr-inject*: $\text{sinr}\cdot x = \text{sinr}\cdot y \implies x = y$
by (*rule sinr-eq [THEN iffD1]*)

Strictness

lemma *sinl-strict* [*simp*]: $\text{sinl}\cdot \perp = \perp$
by (*simp add: Rep-ssum-simps*)

lemma *sinr-strict* [*simp*]: $\text{sinr}\cdot \perp = \perp$
by (*simp add: Rep-ssum-simps*)

lemma *sinl-bottom-iff* [*simp*]: $\text{sinl}\cdot x = \perp \longleftrightarrow x = \perp$
using *sinl-eq [of x \perp]* **by** *simp*

lemma *sinr-bottom-iff* [*simp*]: $\text{sinr}\cdot x = \perp \longleftrightarrow x = \perp$
using *sinr-eq* [*of x* \perp] **by** *simp*

lemma *sinl-defined*: $x \neq \perp \implies \text{sinl}\cdot x \neq \perp$
by *simp*

lemma *sinr-defined*: $x \neq \perp \implies \text{sinr}\cdot x \neq \perp$
by *simp*

Compactness

lemma *compact-sinl*: $\text{compact } x \implies \text{compact } (\text{sinl}\cdot x)$
by (*rule compact-ssum*) (*simp add: Rep-ssum-sinl*)

lemma *compact-sinr*: $\text{compact } x \implies \text{compact } (\text{sinr}\cdot x)$
by (*rule compact-ssum*) (*simp add: Rep-ssum-sinr*)

lemma *compact-sinlD*: $\text{compact } (\text{sinl}\cdot x) \implies \text{compact } x$
unfolding *compact-def*
by (*drule adm-subst* [*OF cont-Rep-cfun2* [**where** $f=\text{sinl}$]], *simp*)

lemma *compact-sinrD*: $\text{compact } (\text{sinr}\cdot x) \implies \text{compact } x$
unfolding *compact-def*
by (*drule adm-subst* [*OF cont-Rep-cfun2* [**where** $f=\text{sinr}$]], *simp*)

lemma *compact-sinl-iff* [*simp*]: $\text{compact } (\text{sinl}\cdot x) = \text{compact } x$
by (*safe elim!*: *compact-sinl compact-sinlD*)

lemma *compact-sinr-iff* [*simp*]: $\text{compact } (\text{sinr}\cdot x) = \text{compact } x$
by (*safe elim!*: *compact-sinr compact-sinrD*)

15.4 Case analysis

lemma *ssumE* [*case-names bottom sinl sinr, cases type: ssum*]:
obtains $p = \perp$
| x **where** $p = \text{sinl}\cdot x$ **and** $x \neq \perp$
| y **where** $p = \text{sinr}\cdot y$ **and** $y \neq \perp$
using *Rep-ssum* [*of p*] **by** (*auto simp add: ssum-def Rep-ssum-simps*)

lemma *ssum-induct* [*case-names bottom sinl sinr, induct type: ssum*]:
 $\llbracket P \perp;$
 $\bigwedge x. x \neq \perp \implies P (\text{sinl}\cdot x);$
 $\bigwedge y. y \neq \perp \implies P (\text{sinr}\cdot y) \rrbracket \implies P x$
by (*cases x*) *simp-all*

lemma *ssumE2* [*case-names sinl sinr*]:
 $\llbracket \bigwedge x. p = \text{sinl}\cdot x \implies Q; \bigwedge y. p = \text{sinr}\cdot y \implies Q \rrbracket \implies Q$
by (*cases p, simp only: sinl-strict* [*symmetric*], *simp, simp*)

lemma *below-sinlD*: $p \sqsubseteq \text{sinl}\cdot x \implies \exists y. p = \text{sinl}\cdot y \wedge y \sqsubseteq x$

by (cases p, rule-tac x= \perp in exI, simp-all)

lemma below-sinrD: $p \sqsubseteq \text{sinr} \cdot x \implies \exists y. p = \text{sinr} \cdot y \wedge y \sqsubseteq x$
 by (cases p, rule-tac x= \perp in exI, simp-all)

15.5 Case analysis combinator

definition sscase :: ($'a::\text{pcpo} \rightarrow 'c::\text{pcpo}$) \rightarrow ($'b::\text{pcpo} \rightarrow 'c$) \rightarrow ($'a ++ 'b$) \rightarrow $'c$
 where sscase = ($\Lambda f g s. (\lambda(t, x, y). \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y)$) (Rep-ssum s)

translations

case s of XCONST sinl $\cdot x \Rightarrow t1$ | XCONST sinr $\cdot y \Rightarrow t2 \iff \text{CONST sscase} \cdot (\Lambda x. t1) \cdot (\Lambda y. t2) \cdot s$

case s of (XCONST sinl :: $'a$) $\cdot x \Rightarrow t1$ | XCONST sinr $\cdot y \Rightarrow t2 \rightarrow \text{CONST sscase} \cdot (\Lambda x. t1) \cdot (\Lambda y. t2) \cdot s$

translations

$\Lambda(\text{XCONST sinl} \cdot x). t \iff \text{CONST sscase} \cdot (\Lambda x. t) \cdot \perp$
 $\Lambda(\text{XCONST sinr} \cdot y). t \iff \text{CONST sscase} \cdot \perp \cdot (\Lambda y. t)$

lemma beta-sscase: $\text{sscase} \cdot f \cdot g \cdot s = (\lambda(t, x, y). \text{If } t \text{ then } f \cdot x \text{ else } g \cdot y)$ (Rep-ssum s)
 by (simp add: sscase-def cont-Rep-ssum)

lemma sscase1 [simp]: $\text{sscase} \cdot f \cdot g \cdot \perp = \perp$
 by (simp add: beta-sscase Rep-ssum-strict)

lemma sscase2 [simp]: $x \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = f \cdot x$
 by (simp add: beta-sscase Rep-ssum-sinl)

lemma sscase3 [simp]: $y \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (\text{sinr} \cdot y) = g \cdot y$
 by (simp add: beta-sscase Rep-ssum-sinr)

lemma sscase4 [simp]: $\text{sscase} \cdot \text{sinl} \cdot \text{sinr} \cdot z = z$
 by (cases z) simp-all

15.6 Strict sum preserves flatness

instance ssum :: (flat, flat) flat
 apply (intro-classes, clarify)
 apply (case-tac x, simp)
 apply (case-tac y, simp-all add: flat-below-iff)
 apply (case-tac y, simp-all add: flat-below-iff)
 done

end

16 The Strict Function Type

theory Sfun

```

imports Cfun
begin

pcpodef ('a::pcpo, 'b::pcpo) sfun (infixr <->! 0) = {f :: 'a → 'b. f·⊥ = ⊥}
  by simp-all

type-notation (ASCII)
  sfun (infixr <->! 0)

TODO: Define nice syntax for abstraction, application.

definition sfun-abs :: ('a::pcpo → 'b::pcpo) → ('a →! 'b)
  where sfun-abs = (λ f. Abs-sfun (strictify.f))

definition sfun-rep :: ('a::pcpo →! 'b::pcpo) → 'a → 'b
  where sfun-rep = (λ f. Rep-sfun f)

lemma sfun-rep-beta: sfun-rep.f = Rep-sfun f
  by (simp add: sfun-rep-def cont-Rep-sfun)

lemma sfun-rep-strict1 [simp]: sfun-rep.⊥ = ⊥
  unfolding sfun-rep-beta by (rule Rep-sfun-strict)

lemma sfun-rep-strict2 [simp]: sfun-rep.f·⊥ = ⊥
  unfolding sfun-rep-beta by (rule Rep-sfun [simplified])

lemma strictify-cancel: f·⊥ = ⊥ ⇒ strictify.f = f
  by (simp add: cfun-eq-iff strictify-conv-if)

lemma sfun-abs-sfun-rep [simp]: sfun-abs.(sfun-rep.f) = f
  unfolding sfun-abs-def sfun-rep-def
  apply (simp add: cont-Abs-sfun cont-Rep-sfun)
  apply (simp add: Rep-sfun-inject [symmetric] Abs-sfun-inverse)
  apply (simp add: cfun-eq-iff strictify-conv-if)
  apply (simp add: Rep-sfun [simplified])
  done

lemma sfun-rep-sfun-abs [simp]: sfun-rep.(sfun-abs.f) = strictify.f
  unfolding sfun-abs-def sfun-rep-def
  apply (simp add: cont-Abs-sfun cont-Rep-sfun)
  apply (simp add: Abs-sfun-inverse)
  done

lemma sfun-eq-iff: f = g ⇔ sfun-rep.f = sfun-rep.g
  by (simp add: sfun-rep-def cont-Rep-sfun Rep-sfun-inject)

lemma sfun-below-iff: f ⊆ g ⇔ sfun-rep.f ⊆ sfun-rep.g
  by (simp add: sfun-rep-def cont-Rep-sfun below-sfun-def)

end

```

17 Map functions for various types

```
theory Map-Functions
  imports Deflation Sprod Ssum Sfun Up
begin
```

17.1 Map operator for continuous function space

```
definition cfun-map :: ('b → 'a) → ('c → 'd) → ('a → 'c) → ('b → 'd)
  where cfun-map = (λ a b f x. b.(f.(a.x)))
```

```
lemma cfun-map-beta [simp]: cfun-map.a.b.f.x = b.(f.(a.x))
  by (simp add: cfun-map-def)
```

```
lemma cfun-map-ID: cfun-map.ID.ID = ID
  by (simp add: cfun-eq-iff)
```

```
lemma cfun-map-map: cfun-map.f1.g1.(cfun-map.f2.g2.p) = cfun-map.(λ x. f2.(f1.x)).(λ
x. g1.(g2.x)).p
  by (rule cfun-eqI) simp
```

```
lemma ep-pair-cfun-map:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (cfun-map.p1.e2) (cfun-map.e1.p2)
```

proof

```
interpret e1p1: ep-pair e1 p1 by fact
interpret e2p2: ep-pair e2 p2 by fact
show cfun-map.e1.p2.(cfun-map.p1.e2.f) = f for f
  by (simp add: cfun-eq-iff)
show cfun-map.p1.e2.(cfun-map.e1.p2.g) ⊆ g for g
  apply (rule cfun-belowI, simp)
  apply (rule below-trans [OF e2p2.e-p-below])
  apply (rule monofun-cfun-arg)
  apply (rule e1p1.e-p-below)
done
```

qed

```
lemma deflation-cfun-map:
  assumes deflation d1 and deflation d2
  shows deflation (cfun-map.d1.d2)
```

proof

```
interpret d1: deflation d1 by fact
interpret d2: deflation d2 by fact
fix f
show cfun-map.d1.d2.(cfun-map.d1.d2.f) = cfun-map.d1.d2.f
  by (simp add: cfun-eq-iff d1.idem d2.idem)
show cfun-map.d1.d2.f ⊆ f
  apply (rule cfun-belowI, simp)
  apply (rule below-trans [OF d2.below])
  apply (rule monofun-cfun-arg)
```

```

  apply (rule d1.below)
done
qed

```

lemma *finite-range-cfun-map*:

```

  assumes a: finite (range (λx. a·x))
  assumes b: finite (range (λy. b·y))
  shows finite (range (λf. cfun-map·a·b·f)) (is finite (range ?h))
proof (rule finite-imageD)
  let ?f = λg. range (λx. (a·x, g·x))
  show finite (?f ‘ range ?h)
  proof (rule finite-subset)
    let ?B = Pow (range (λx. a·x) × range (λy. b·y))
    show ?f ‘ range ?h ⊆ ?B
      by clarsimp
    show finite ?B
      by (simp add: a b)
  qed
  show inj-on ?f (range ?h)
proof (rule inj-onI, rule cfun-eqI, clarsimp)
  fix x f g
  assume range (λx. (a·x, b·(f·(a·x)))) = range (λx. (a·x, b·(g·(a·x))))
  then have range (λx. (a·x, b·(f·(a·x)))) ⊆ range (λx. (a·x, b·(g·(a·x))))
    by (rule equalityD1)
  then have (a·x, b·(f·(a·x))) ∈ range (λx. (a·x, b·(g·(a·x))))
    by (simp add: subset-eq)
  then obtain y where (a·x, b·(f·(a·x))) = (a·y, b·(g·(a·y)))
    by (rule rangeE)
  then show b·(f·(a·x)) = b·(g·(a·x))
    by clarsimp
  qed
qed

```

lemma *finite-deflation-cfun-map*:

```

  assumes finite-deflation d1 and finite-deflation d2
  shows finite-deflation (cfun-map·d1·d2)
proof (rule finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  from d1.deflation-axioms d2.deflation-axioms show deflation (cfun-map·d1·d2)
    by (rule deflation-cfun-map)
  have finite (range (λf. cfun-map·d1·d2·f))
    using d1.finite-range d2.finite-range
    by (rule finite-range-cfun-map)
  then show finite {f. cfun-map·d1·d2·f = f}
    by (rule finite-range-imp-finite-fixes)
qed

```

Finite deflations are compact elements of the function space

```

lemma finite-deflation-imp-compact: finite-deflation d  $\implies$  compact d
  apply (frule finite-deflation-imp-deflation)
  apply (subgoal-tac compact (cfun-map·d·d·d))
  apply (simp add: cfun-map-def deflation.idem eta-cfun)
  apply (rule finite-deflation.compact)
  apply (simp only: finite-deflation-cfun-map)
  done

```

17.2 Map operator for product type

```

definition prod-map :: ('a  $\rightarrow$  'b)  $\rightarrow$  ('c  $\rightarrow$  'd)  $\rightarrow$  'a  $\times$  'c  $\rightarrow$  'b  $\times$  'd
  where prod-map = ( $\Lambda$  f g p. (f·(fst p), g·(snd p)))

```

```

lemma prod-map-Pair [simp]: prod-map·f·g·(x, y) = (f·x, g·y)
  by (simp add: prod-map-def)

```

```

lemma prod-map-ID: prod-map·ID·ID = ID
  by (auto simp: cfun-eq-iff)

```

```

lemma prod-map-map: prod-map·f1·g1·(prod-map·f2·g2·p) = prod-map·(Λ x. f1·(f2·x))·(Λ
x. g1·(g2·x))·p
  by (induct p) simp

```

```

lemma ep-pair-prod-map:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (prod-map·e1·e2) (prod-map·p1·p2)
proof
  interpret e1p1: ep-pair e1 p1 by fact
  interpret e2p2: ep-pair e2 p2 by fact
  show prod-map·p1·p2·(prod-map·e1·e2·x) = x for x
    by (induct x) simp
  show prod-map·e1·e2·(prod-map·p1·p2·y)  $\sqsubseteq$  y for y
    by (induct y) (simp add: e1p1.e-p-below e2p2.e-p-below)
qed

```

```

lemma deflation-prod-map:
  assumes deflation d1 and deflation d2
  shows deflation (prod-map·d1·d2)
proof
  interpret d1: deflation d1 by fact
  interpret d2: deflation d2 by fact
  fix x
  show prod-map·d1·d2·(prod-map·d1·d2·x) = prod-map·d1·d2·x
    by (induct x) (simp add: d1.idem d2.idem)
  show prod-map·d1·d2·x  $\sqsubseteq$  x
    by (induct x) (simp add: d1.below d2.below)
qed

```

```

lemma finite-deflation-prod-map:

```

assumes *finite-deflation d1 and finite-deflation d2*
shows *finite-deflation (prod-map.d1.d2)*
proof (*rule finite-deflation-intro*)
interpret *d1: finite-deflation d1 by fact*
interpret *d2: finite-deflation d2 by fact*
from *d1.deflation-axioms d2.deflation-axioms show deflation (prod-map.d1.d2)*
by (*rule deflation-prod-map*)
have $\{p. \text{prod-map.d1.d2.p} = p\} \subseteq \{x. d1.x = x\} \times \{y. d2.y = y\}$
by *auto*
then show *finite {p. prod-map.d1.d2.p} = p*
by (*rule finite-subset, simp add: d1.finite-fixes d2.finite-fixes*)
qed

17.3 Map function for lifted cpo

definition $u\text{-map} :: ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'b$
where $u\text{-map} = (\Lambda f. f \circ \text{up} \circ \text{oo } f)$

lemma *u-map-strict [simp]: u-map.f.⊥ = ⊥*
by (*simp add: u-map-def*)

lemma *u-map-up [simp]: u-map.f.(up.x) = up.(f.x)*
by (*simp add: u-map-def*)

lemma *u-map-ID: u-map.ID = ID*
by (*simp add: u-map-def cfun-eq-iff eta-cfun*)

lemma *u-map-map: u-map.f.(u-map.g.p) = u-map.(Λ x. f.(g.x)).p*
by (*induct p*) *simp-all*

lemma *u-map-oo: u-map.(f oo g) = u-map.f oo u-map.g*
by (*simp add: ccomp1 u-map-map eta-cfun*)

lemma *ep-pair-u-map: ep-pair e p \implies ep-pair (u-map.e) (u-map.p)*
apply *standard*
subgoal for x by (*cases x*) (*simp-all add: ep-pair.e-inverse*)
subgoal for y by (*cases y*) (*simp-all add: ep-pair.e-p-below*)
done

lemma *deflation-u-map: deflation d \implies deflation (u-map.d)*
apply *standard*
subgoal for x by (*cases x*) (*simp-all add: deflation.idem*)
subgoal for x by (*cases x*) (*simp-all add: deflation.below*)
done

lemma *finite-deflation-u-map:*
assumes *finite-deflation d*
shows *finite-deflation (u-map.d)*
proof (*rule finite-deflation-intro*)

```

interpret d: finite-deflation d by fact
from d.deflation-axioms show deflation (u-map.d)
  by (rule deflation-u-map)
have {x. u-map.d.x = x} ⊆ insert ⊥ ((λx. up.x) ‘ {x. d.x = x})
  by (rule subsetI, case-tac x, simp-all)
then show finite {x. u-map.d.x = x}
  by (rule finite-subset) (simp add: d.finite-fixes)
qed

```

17.4 Map function for strict products

```

definition sprod-map :: ('a::pcpo → 'b::pcpo) → ('c::pcpo → 'd::pcpo) → 'a ⊗ 'c
→ 'b ⊗ 'd
  where sprod-map = (λ f g. ssplit.(λ x y. (:f.x, g.y:)))

```

```

lemma sprod-map-strict [simp]: sprod-map.a.b.⊥ = ⊥
  by (simp add: sprod-map-def)

```

```

lemma sprod-map-spair [simp]: x ≠ ⊥ ⇒ y ≠ ⊥ ⇒ sprod-map.f.g.(:x, y:) =
(:f.x, g.y:)
  by (simp add: sprod-map-def)

```

```

lemma sprod-map-spair': f.⊥ = ⊥ ⇒ g.⊥ = ⊥ ⇒ sprod-map.f.g.(:x, y:) = (:f.x,
g.y:)
  by (cases x = ⊥ ∨ y = ⊥) auto

```

```

lemma sprod-map-ID: sprod-map.ID.ID = ID
  by (simp add: sprod-map-def cfun-eq-iff eta-cfun)

```

```

lemma sprod-map-map:
  [[f1.⊥ = ⊥; g1.⊥ = ⊥]] ⇒
  sprod-map.f1.g1.(sprod-map.f2.g2.p) =
  sprod-map.(λ x. f1.(f2.x)).(λ x. g1.(g2.x)).p

```

```

proof (induct p)
  case bottom
  then show ?case by simp
next
  case (spair x y)
  then show ?case
    apply (cases f2.x = ⊥, simp)
    apply (cases g2.y = ⊥, simp)
    apply simp
  done
qed

```

```

lemma ep-pair-sprod-map:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (sprod-map.e1.e2) (sprod-map.p1.p2)
proof

```

```

interpret e1p1: pcpo-ep-pair e1 p1 unfolding pcpo-ep-pair-def by fact
interpret e2p2: pcpo-ep-pair e2 p2 unfolding pcpo-ep-pair-def by fact
show sprod-map.p1.p2.(sprod-map.e1.e2.x) = x for x
  by (induct x) simp-all
show sprod-map.e1.e2.(sprod-map.p1.p2.y)  $\sqsubseteq$  y for y
proof (induct y)
  case bottom
  then show ?case by simp
next
  case (spair x y)
  then show ?case
    apply simp
    apply (cases p1.x =  $\perp$ , simp, cases p2.y =  $\perp$ , simp)
    apply (simp add: monofun-cfun e1p1.e-p-below e2p2.e-p-below)
    done
qed
qed

```

```

lemma deflation-sprod-map:
  assumes deflation d1 and deflation d2
  shows deflation (sprod-map.d1.d2)
proof
  interpret d1: deflation d1 by fact
  interpret d2: deflation d2 by fact
  fix x
  show sprod-map.d1.d2.(sprod-map.d1.d2.x) = sprod-map.d1.d2.x
  proof (induct x)
    case bottom
    then show ?case by simp
  next
    case (spair x y)
    then show ?case
      apply (cases d1.x =  $\perp$ , simp, cases d2.y =  $\perp$ , simp)
      apply (simp add: d1.idem d2.idem)
      done
  qed
  show sprod-map.d1.d2.x  $\sqsubseteq$  x
  proof (induct x)
    case bottom
    then show ?case by simp
  next
    case spair
    then show ?case by (simp add: monofun-cfun d1.below d2.below)
  qed
qed

```

```

lemma finite-deflation-sprod-map:
  assumes finite-deflation d1 and finite-deflation d2
  shows finite-deflation (sprod-map.d1.d2)

```

proof (rule finite-deflation-intro)
interpret $d1$: finite-deflation $d1$ **by** fact
interpret $d2$: finite-deflation $d2$ **by** fact
from $d1$.deflation-axioms $d2$.deflation-axioms **show** deflation (sprod-map. $d1$ · $d2$)
by (rule deflation-sprod-map)
have $\{x. \text{sprod-map} \cdot d1 \cdot d2 \cdot x = x\} \subseteq$
 $\text{insert } \perp ((\lambda(x, y). (:x, y:)) '(\{x. d1 \cdot x = x\} \times \{y. d2 \cdot y = y\}))$
by (rule subsetI, case-tac x , auto simp add: spair-eq-iff)
then show finite $\{x. \text{sprod-map} \cdot d1 \cdot d2 \cdot x = x\}$
by (rule finite-subset) (simp add: $d1$.finite-fixes $d2$.finite-fixes)
qed

17.5 Map function for strict sums

definition $\text{ssum-map} :: ('a::pcpo \rightarrow 'b::pcpo) \rightarrow ('c::pcpo \rightarrow 'd::pcpo) \rightarrow 'a \oplus 'c$
 $\rightarrow 'b \oplus 'd$
where $\text{ssum-map} = (\Lambda f g. \text{sscase} \cdot (\text{sinl} \text{ oo } f) \cdot (\text{sinr} \text{ oo } g))$

lemma ssum-map-strict [simp]: $\text{ssum-map} \cdot f \cdot g \cdot \perp = \perp$
by (simp add: ssum-map-def)

lemma ssum-map-sinl [simp]: $x \neq \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$
by (simp add: ssum-map-def)

lemma ssum-map-sinr [simp]: $x \neq \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$
by (simp add: ssum-map-def)

lemma $\text{ssum-map-sinl}'$: $f \cdot \perp = \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$
by (cases $x = \perp$) simp-all

lemma $\text{ssum-map-sinr}'$: $g \cdot \perp = \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$
by (cases $x = \perp$) simp-all

lemma ssum-map-ID : $\text{ssum-map} \cdot \text{ID} \cdot \text{ID} = \text{ID}$
by (simp add: ssum-map-def cfun-eq-iff eta-cfun)

lemma ssum-map-map :
 $\llbracket f1 \cdot \perp = \perp; g1 \cdot \perp = \perp \rrbracket \implies$
 $\text{ssum-map} \cdot f1 \cdot g1 \cdot (\text{ssum-map} \cdot f2 \cdot g2 \cdot p) =$
 $\text{ssum-map} \cdot (\Lambda x. f1 \cdot (f2 \cdot x)) \cdot (\Lambda x. g1 \cdot (g2 \cdot x)) \cdot p$

proof (induct p)
case bottom
then show ?case **by** simp
next
case (sinl x)
then show ?case **by** (cases $f2 \cdot x = \perp$) simp-all
next
case (sinr y)
then show ?case **by** (cases $g2 \cdot y = \perp$) simp-all

qed

lemma *ep-pair-ssum-map*:

assumes *ep-pair* *e1* *p1* and *ep-pair* *e2* *p2*

shows *ep-pair* (*ssum-map*·*e1*·*e2*) (*ssum-map*·*p1*·*p2*)

proof

interpret *e1p1*: *pcpo-ep-pair* *e1* *p1* unfolding *pcpo-ep-pair-def* by fact

interpret *e2p2*: *pcpo-ep-pair* *e2* *p2* unfolding *pcpo-ep-pair-def* by fact

show *ssum-map*·*p1*·*p2*·(*ssum-map*·*e1*·*e2*·*x*) = *x* for *x*

by (*induct* *x*) *simp-all*

show *ssum-map*·*e1*·*e2*·(*ssum-map*·*p1*·*p2*·*y*) \sqsubseteq *y* for *y*

proof (*induct* *y*)

case *bottom*

then show ?*case* by *simp*

next

case (*sinl* *x*)

then show ?*case* by (*cases* *p1*·*x* = \perp) (*simp-all* add: *e1p1.e-p-below*)

next

case (*sinr* *y*)

then show ?*case* by (*cases* *p2*·*y* = \perp) (*simp-all* add: *e2p2.e-p-below*)

qed

qed

lemma *deflation-ssum-map*:

assumes *deflation* *d1* and *deflation* *d2*

shows *deflation* (*ssum-map*·*d1*·*d2*)

proof

interpret *d1*: *deflation* *d1* by fact

interpret *d2*: *deflation* *d2* by fact

fix *x*

show *ssum-map*·*d1*·*d2*·(*ssum-map*·*d1*·*d2*·*x*) = *ssum-map*·*d1*·*d2*·*x*

proof (*induct* *x*)

case *bottom*

then show ?*case* by *simp*

next

case (*sinl* *x*)

then show ?*case* by (*cases* *d1*·*x* = \perp) (*simp-all* add: *d1.idem*)

next

case (*sinr* *y*)

then show ?*case* by (*cases* *d2*·*y* = \perp) (*simp-all* add: *d2.idem*)

qed

show *ssum-map*·*d1*·*d2*·*x* \sqsubseteq *x*

proof (*induct* *x*)

case *bottom*

then show ?*case* by *simp*

next

case (*sinl* *x*)

then show ?*case* by (*cases* *d1*·*x* = \perp) (*simp-all* add: *d1.below*)

next

```

  case (sinr y)
  then show ?case by (cases d2·y = ⊥) (simp-all add: d2.below)
qed
qed

```

lemma *finite-deflation-ssum-map*:

```

  assumes finite-deflation d1 and finite-deflation d2
  shows finite-deflation (ssum-map·d1·d2)
proof (rule finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  from d1.deflation-axioms d2.deflation-axioms show deflation (ssum-map·d1·d2)
  by (rule deflation-ssum-map)
  have {x. ssum-map·d1·d2·x = x} ⊆
    (λx. sinl·x) ‘ {x. d1·x = x} ∪
    (λx. sinr·x) ‘ {x. d2·x = x} ∪ {⊥}
  by (rule subsetI, case-tac x, simp-all)
  then show finite {x. ssum-map·d1·d2·x = x}
  by (rule finite-subset, simp add: d1.finite-fixes d2.finite-fixes)
qed

```

17.6 Map operator for strict function space

definition *sfun-map* :: (*'b*::*pcpo* → *'a*::*pcpo*) → (*'c*::*pcpo* → *'d*::*pcpo*) → (*'a* →! *'c*) → (*'b* →! *'d*)
 where *sfun-map* = (Λ *a b*. *sfun-abs* oo *cfun-map*·*a*·*b* oo *sfun-rep*)

lemma *sfun-map-ID*: *sfun-map*·*ID*·*ID* = *ID*

by (*simp* add: *sfun-map-def* *cfun-map-ID* *cfun-eq-iff*)

lemma *sfun-map-map*:

```

  assumes f2·⊥ = ⊥ and g2·⊥ = ⊥
  shows sfun-map·f1·g1·(sfun-map·f2·g2·p) =
    sfun-map·(Λ x. f2·(f1·x))·(Λ x. g1·(g2·x))·p
  by (simp add: sfun-map-def cfun-eq-iff strictify-cancel assms cfun-map-map)

```

lemma *ep-pair-sfun-map*:

```

  assumes 1: ep-pair e1 p1
  assumes 2: ep-pair e2 p2
  shows ep-pair (sfun-map·p1·e2) (sfun-map·e1·p2)

```

proof

```

  interpret e1p1: pcpo-ep-pair e1 p1
  unfolding pcpo-ep-pair-def by fact
  interpret e2p2: pcpo-ep-pair e2 p2
  unfolding pcpo-ep-pair-def by fact
  show sfun-map·e1·p2·(sfun-map·p1·e2·f) = f for f
  unfolding sfun-map-def
  apply (simp add: sfun-eq-iff strictify-cancel)
  apply (rule ep-pair.e-inverse)

```

```

  apply (rule ep-pair-cfun-map [OF 1 2])
  done
show sfun-map.p1.e2.(sfun-map.e1.p2.g)  $\sqsubseteq$  g for g
  unfolding sfun-map-def
  apply (simp add: sfun-below-iff strictify-cancel)
  apply (rule ep-pair.e-p-below)
  apply (rule ep-pair-cfun-map [OF 1 2])
  done
qed

```

```

lemma deflation-sfun-map:
  assumes 1: deflation d1
  assumes 2: deflation d2
  shows deflation (sfun-map.d1.d2)
  apply (simp add: sfun-map-def)
  apply (rule deflation.intro)
  apply simp
  apply (subst strictify-cancel)
  apply (simp add: cfun-map-def deflation-strict 1 2)
  apply (simp add: cfun-map-def deflation.idem 1 2)
  apply (simp add: sfun-below-iff)
  apply (subst strictify-cancel)
  apply (simp add: cfun-map-def deflation-strict 1 2)
  apply (rule deflation.below)
  apply (rule deflation-cfun-map [OF 1 2])
  done

```

```

lemma finite-deflation-sfun-map:
  assumes finite-deflation d1
  and finite-deflation d2
  shows finite-deflation (sfun-map.d1.d2)
proof (intro finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  from d1.deflation-axioms d2.deflation-axioms show deflation (sfun-map.d1.d2)
  by (rule deflation-sfun-map)
  from assms have finite-deflation (cfun-map.d1.d2)
  by (rule finite-deflation-cfun-map)
  then have finite {f. cfun-map.d1.d2.f = f}
  by (rule finite-deflation.finite-fixes)
  moreover have inj ( $\lambda f. sfun-rep.f$ )
  by (rule inj-onI) (simp add: sfun-eq-iff)
  ultimately have finite (( $\lambda f. sfun-rep.f$ ) - ‘ {f. cfun-map.d1.d2.f = f})
  by (rule finite-vimageI)
  with <deflation d1> <deflation d2> show finite {f. sfun-map.d1.d2.f = f}
  by (simp add: sfun-map-def sfun-eq-iff strictify-cancel deflation-strict)
qed
end

```

18 The cpo of cartesian products

```
theory Cprod
  imports Cfun
begin
```

18.1 Continuous case function for unit type

```
definition unit-when :: 'a → unit → 'a
  where unit-when = (λ a . a)
```

translations

```
Λ(). t ⇒ CONST unit-when.t
```

```
lemma unit-when [simp]: unit-when.a.u = a
  by (simp add: unit-when-def)
```

18.2 Continuous version of split function

```
definition csplit :: ('a → 'b → 'c) → ('a × 'b) → 'c
  where csplit = (λ f p. f.(fst p).(snd p))
```

translations

```
Λ(CONST Pair x y). t ⇒ CONST csplit.(λ x y. t)
```

```
abbreviation cfst :: 'a × 'b → 'a
  where cfst ≡ Abs-cfun fst
```

```
abbreviation csnd :: 'a × 'b → 'b
  where csnd ≡ Abs-cfun snd
```

18.3 Convert all lemmas to the continuous versions

```
lemma csplit1 [simp]: csplit.f.⊥ = f.⊥.⊥
  by (simp add: csplit-def)
```

```
lemma csplit-Pair [simp]: csplit.f.(x, y) = f.x.y
  by (simp add: csplit-def)
```

end

19 Profinite and bifinite cpos

```
theory Bifinite
  imports Map-Functions Cprod Sprod Sfun Up HOL-Library.Countable
begin
```

19.1 Chains of finite deflations

```
locale approx-chain =
```

```

fixes approx :: nat ⇒ 'a → 'a
assumes chain-approx [simp]: chain (λi. approx i)
assumes lub-approx [simp]: (⊔ i. approx i) = ID
assumes finite-deflation-approx [simp]: ∧i. finite-deflation (approx i)
begin

```

```

lemma deflation-approx: deflation (approx i)
using finite-deflation-approx by (rule finite-deflation-imp-deflation)

```

```

lemma approx-idem: approx i.(approx i.x) = approx i.x
using deflation-approx by (rule deflation.idem)

```

```

lemma approx-below: approx i.x ⊑ x
using deflation-approx by (rule deflation.below)

```

```

lemma finite-range-approx: finite (range (λx. approx i.x))
apply (rule finite-deflation.finite-range)
apply (rule finite-deflation-approx)
done

```

```

lemma compact-approx [simp]: compact (approx n.x)
apply (rule finite-deflation.compact)
apply (rule finite-deflation-approx)
done

```

```

lemma compact-eq-approx: compact x ⇒ ∃i. approx i.x = x
by (rule admD2, simp-all)

```

end

19.2 Omega-profinite and bifinite domains

```

class bifinite = pcpo +
  assumes bifinite: ∃(a::nat ⇒ 'a → 'a). approx-chain a

```

```

class profinite = cpo +
  assumes profinite: ∃(a::nat ⇒ 'a⊥ → 'a⊥). approx-chain a

```

19.3 Building approx chains

```

lemma approx-chain-iso:
  assumes a: approx-chain a
  assumes [simp]: ∧x. f.(g.x) = x
  assumes [simp]: ∧y. g.(f.y) = y
  shows approx-chain (λi. f oo a i oo g)
proof –
  have 1: f oo g = ID by (simp add: cfun-eqI)
  have 2: ep-pair f g by (simp add: ep-pair-def)
  from 1 2 show ?thesis
  using a unfolding approx-chain-def

```

by (*simp add: lub-APP ep-pair.finite-deflation-e-d-p*)
qed

lemma *approx-chain-u-map*:
assumes *approx-chain a*
shows *approx-chain* ($\lambda i. u\text{-map}\cdot(a\ i)$)
using *assms unfolding approx-chain-def*
by (*simp add: lub-APP u-map-ID finite-deflation-u-map*)

lemma *approx-chain-sfun-map*:
assumes *approx-chain a and approx-chain b*
shows *approx-chain* ($\lambda i. sfun\text{-map}\cdot(a\ i)\cdot(b\ i)$)
using *assms unfolding approx-chain-def*
by (*simp add: lub-APP sfun-map-ID finite-deflation-sfun-map*)

lemma *approx-chain-sprod-map*:
assumes *approx-chain a and approx-chain b*
shows *approx-chain* ($\lambda i. spro\text{-map}\cdot(a\ i)\cdot(b\ i)$)
using *assms unfolding approx-chain-def*
by (*simp add: lub-APP spro\text{-map-ID finite-deflation-sprod-map*)

lemma *approx-chain-ssum-map*:
assumes *approx-chain a and approx-chain b*
shows *approx-chain* ($\lambda i. ssum\text{-map}\cdot(a\ i)\cdot(b\ i)$)
using *assms unfolding approx-chain-def*
by (*simp add: lub-APP ssum-map-ID finite-deflation-ssum-map*)

lemma *approx-chain-cfun-map*:
assumes *approx-chain a and approx-chain b*
shows *approx-chain* ($\lambda i. cfun\text{-map}\cdot(a\ i)\cdot(b\ i)$)
using *assms unfolding approx-chain-def*
by (*simp add: lub-APP cfun-map-ID finite-deflation-cfun-map*)

lemma *approx-chain-prod-map*:
assumes *approx-chain a and approx-chain b*
shows *approx-chain* ($\lambda i. prod\text{-map}\cdot(a\ i)\cdot(b\ i)$)
using *assms unfolding approx-chain-def*
by (*simp add: lub-APP prod-map-ID finite-deflation-prod-map*)

Approx chains for countable discrete types.

definition *discr-approx* :: *nat* \Rightarrow *'a::countable discr u* \rightarrow *'a discr u*
where *discr-approx* = ($\lambda i. \Lambda(up.x). \text{if } to\text{-nat } (undiscr\ x) < i \text{ then } up\cdot x \text{ else } \perp$)

lemma *chain-discr-approx* [*simp*]: *chain discr-approx*
unfolding *discr-approx-def*
by (*rule chainI, simp add: monofun-cfun monofun-LAM*)

lemma *lub-discr-approx* [*simp*]: $(\bigsqcup i. \text{discr-approx } i) = ID$
apply (*rule cfun-eqI*)

```

apply (simp add: contlub-cfun-fun)
apply (simp add: discr-approx-def)
subgoal for  $x$ 
  apply (cases  $x$ )
  apply simp
  apply (rule lub-eqI)
  apply (rule is-lubI)
  apply (rule ub-rangeI, simp)
  apply (drule ub-rangeD)
  apply (erule rev-below-trans)
  apply simp
  apply (rule lessI)
done
done

```

lemma *inj-on-undiscr* [simp]: *inj-on undiscr A*
using *Discr-undiscr* **by** (rule *inj-on-inverseI*)

lemma *finite-deflation-discr-approx*: *finite-deflation (discr-approx i)*

```

proof
  fix  $x :: 'a$  discr u
  show discr-approx i· $x \sqsubseteq x$ 
    unfolding discr-approx-def
    by (cases  $x$ , simp, simp)
  show discr-approx i·(discr-approx i· $x$ ) = discr-approx i· $x$ 
    unfolding discr-approx-def
    by (cases  $x$ , simp, simp)
  show finite { $x :: 'a$  discr u. discr-approx i· $x = x$ }
  proof (rule finite-subset)
    let ? $S$  = insert ( $\perp :: 'a$  discr u) (( $\lambda x$ . up· $x$ ) ‘undiscr - ‘to-nat - ‘{.. $i$ })
    show { $x :: 'a$  discr u. discr-approx i· $x = x$ }  $\subseteq$  ? $S$ 
      unfolding discr-approx-def
      by (rule subsetI, case-tac  $x$ , simp, simp split: if-split-asm)
    show finite ? $S$ 
      by (simp add: finite-vimageI)
  qed
qed

```

lemma *discr-approx*: *approx-chain discr-approx*
using *chain-discr-approx lub-discr-approx finite-deflation-discr-approx*
by (rule *approx-chain.intro*)

19.4 Class instance proofs

instance *bifinite* \subseteq *profinite*

proof

```

show  $\exists (a :: \text{nat} \Rightarrow 'a_{\perp} \rightarrow 'a_{\perp})$ . approx-chain a
  using bifinite [where ' $a = 'a$ ]
  by (fast intro!: approx-chain-u-map)

```

qed

instance $u :: (\text{profinite}) \text{ bifinite}$
 by *standard* (rule *profinite*)

Types $'a \rightarrow 'b$ and $'a_{\perp} \rightarrow! 'b$ are isomorphic.

definition $\text{encode-cfun} = (\Lambda f. \text{sfun-abs} \cdot (\text{fup} \cdot f))$

definition $\text{decode-cfun} = (\Lambda g x. \text{sfun-rep} \cdot g \cdot (\text{up} \cdot x))$

lemma $\text{decode-encode-cfun}$ [*simp*]: $\text{decode-cfun} \cdot (\text{encode-cfun} \cdot x) = x$
unfolding *encode-cfun-def decode-cfun-def*
by (*simp add: eta-cfun*)

lemma $\text{encode-decode-cfun}$ [*simp*]: $\text{encode-cfun} \cdot (\text{decode-cfun} \cdot y) = y$
unfolding *encode-cfun-def decode-cfun-def*
apply (*simp add: sfun-eq-iff strictify-cancel*)
apply (*rule cfun-eqI, case-tac x, simp-all*)
done

instance $\text{cfun} :: (\text{profinite}, \text{bifinite}) \text{ bifinite}$

proof

obtain $a :: \text{nat} \Rightarrow 'a_{\perp} \rightarrow 'a_{\perp}$ **where** $a: \text{approx-chain } a$
 using *profinite ..*
obtain $b :: \text{nat} \Rightarrow 'b \rightarrow 'b$ **where** $b: \text{approx-chain } b$
 using *bifinite ..*
have $\text{approx-chain } (\lambda i. \text{decode-cfun} \text{ oo } \text{sfun-map} \cdot (a \ i) \cdot (b \ i) \text{ oo } \text{encode-cfun})$
 using $a \ b$ **by** (*simp add: approx-chain-iso approx-chain-sfun-map*)
thus $\exists (a :: \text{nat} \Rightarrow ('a \rightarrow 'b) \rightarrow ('a \rightarrow 'b)). \text{approx-chain } a$
 by - (*rule exI*)

qed

Types $('a \times 'b)_{\perp}$ and $'a_{\perp} \otimes 'b_{\perp}$ are isomorphic.

definition $\text{encode-prod-u} = (\Lambda (\text{up} \cdot (x, y)). (: \text{up} \cdot x, \text{up} \cdot y))$

definition $\text{decode-prod-u} = (\Lambda (: \text{up} \cdot x, \text{up} \cdot y). \text{up} \cdot (x, y))$

lemma $\text{decode-encode-prod-u}$ [*simp*]: $\text{decode-prod-u} \cdot (\text{encode-prod-u} \cdot x) = x$
unfolding *encode-prod-u-def decode-prod-u-def*
apply (*cases x*)
apply *simp*
subgoal for y **by** (*cases y*) *simp*
done

lemma $\text{encode-decode-prod-u}$ [*simp*]: $\text{encode-prod-u} \cdot (\text{decode-prod-u} \cdot y) = y$
unfolding *encode-prod-u-def decode-prod-u-def*
apply (*cases y*)
apply *simp*
subgoal for $a \ b$

```

    apply (cases a, simp)
    apply (cases b, simp, simp)
  done
done

instance prod :: (profinite, profinite) profinite
proof
  obtain a :: nat ⇒ 'a⊥ → 'a⊥ where a: approx-chain a
    using profinite ..
  obtain b :: nat ⇒ 'b⊥ → 'b⊥ where b: approx-chain b
    using profinite ..
  have approx-chain (λi. decode-prod-u oo sprod-map.(a i).(b i) oo encode-prod-u)
    using a b by (simp add: approx-chain-iso approx-chain-sprod-map)
  thus ∃(a::nat ⇒ ('a × 'b)⊥ → ('a × 'b)⊥). approx-chain a
    by - (rule exI)
qed

instance prod :: (bifinite, bifinite) bifinite
proof
  show ∃(a::nat ⇒ ('a × 'b) → ('a × 'b)). approx-chain a
    using bifinite [where 'a='a] and bifinite [where 'a='b]
    by (fast intro!: approx-chain-prod-map)
qed

instance sfun :: (bifinite, bifinite) bifinite
proof
  show ∃(a::nat ⇒ ('a →! 'b) → ('a →! 'b)). approx-chain a
    using bifinite [where 'a='a] and bifinite [where 'a='b]
    by (fast intro!: approx-chain-sfun-map)
qed

instance sprod :: (bifinite, bifinite) bifinite
proof
  show ∃(a::nat ⇒ ('a ⊗ 'b) → ('a ⊗ 'b)). approx-chain a
    using bifinite [where 'a='a] and bifinite [where 'a='b]
    by (fast intro!: approx-chain-sprod-map)
qed

instance ssum :: (bifinite, bifinite) bifinite
proof
  show ∃(a::nat ⇒ ('a ⊕ 'b) → ('a ⊕ 'b)). approx-chain a
    using bifinite [where 'a='a] and bifinite [where 'a='b]
    by (fast intro!: approx-chain-ssum-map)
qed

lemma approx-chain-unit: approx-chain (⊥ :: nat ⇒ unit → unit)
by (simp add: approx-chain-def cfun-eq-iff finite-deflation-bottom)

instance unit :: bifinite

```

```

    by standard (fast intro!: approx-chain-unit)

instance discr :: (countable) profinite
  by standard (fast intro!: discr-approx)

instance lift :: (countable) bifinite
proof
  note [simp] = cont-Abs-lift cont-Rep-lift Rep-lift-inverse Abs-lift-inverse
  obtain a :: nat  $\Rightarrow$  ('a discr) $_{\perp}$   $\rightarrow$  ('a discr) $_{\perp}$  where a: approx-chain a
    using profinite ..
  hence approx-chain ( $\lambda i. (\Lambda y. Abs-lift y) \circ a \circ i \circ (\Lambda x. Rep-lift x)$ )
    by (rule approx-chain-iso) simp-all
  thus  $\exists (a::nat \Rightarrow 'a lift \rightarrow 'a lift). approx-chain a$ 
    by - (rule exI)
qed
end

```

20 Defining algebraic domains by ideal completion

```

theory Completion
imports Cfun
begin

```

20.1 Ideals over a preorder

```

locale preorder =
  fixes r :: 'a::type  $\Rightarrow$  'a  $\Rightarrow$  bool (infix <math>\preceq</math> 50)
  assumes r-refl:  $x \preceq x$ 
  assumes r-trans:  $\llbracket x \preceq y; y \preceq z \rrbracket \Longrightarrow x \preceq z$ 
begin

```

definition

```

ideal :: 'a set  $\Rightarrow$  bool where
ideal A = ( $\exists x. x \in A$ )  $\wedge$  ( $\forall x \in A. \forall y \in A. \exists z \in A. x \preceq z \wedge y \preceq z$ )  $\wedge$ 
  ( $\forall x y. x \preceq y \longrightarrow y \in A \longrightarrow x \in A$ )

```

lemma idealI:

```

  assumes  $\exists x. x \in A$ 
  assumes  $\bigwedge x y. \llbracket x \in A; y \in A \rrbracket \Longrightarrow \exists z \in A. x \preceq z \wedge y \preceq z$ 
  assumes  $\bigwedge x y. \llbracket x \preceq y; y \in A \rrbracket \Longrightarrow x \in A$ 
  shows ideal A
unfolding ideal-def using assms by fast

```

lemma idealD1:

```

  ideal A  $\Longrightarrow \exists x. x \in A$ 
unfolding ideal-def by fast

```

lemma idealD2:

$\llbracket \text{ideal } A; x \in A; y \in A \rrbracket \implies \exists z \in A. x \preceq z \wedge y \preceq z$
unfolding *ideal-def* **by** *fast*

lemma *idealD3*:

$\llbracket \text{ideal } A; x \preceq y; y \in A \rrbracket \implies x \in A$
unfolding *ideal-def* **by** *fast*

lemma *ideal-principal*: *ideal* $\{x. x \preceq z\}$

apply (*rule idealI*)
apply (*rule exI* [**where** $x = z$])
apply (*fast intro: r-refl*)
apply (*rule bexI* [**where** $x = z$], *fast*)
apply (*fast intro: r-refl*)
apply (*fast intro: r-trans*)
done

lemma *ex-ideal*: $\exists A. A \in \{A. \text{ideal } A\}$

by (*fast intro: ideal-principal*)

The set of ideals is a cpo

lemma *ideal-UN*:

fixes $A :: \text{nat} \Rightarrow 'a \text{ set}$
assumes *ideal-A*: $\bigwedge i. \text{ideal } (A \ i)$
assumes *chain-A*: $\bigwedge i \ j. i \leq j \implies A \ i \subseteq A \ j$
shows *ideal* $(\bigcup i. A \ i)$
apply (*rule idealI*)
using *idealD1* [*OF ideal-A*] **apply** *fast*
apply (*clarify*)
subgoal for $i \ j$
apply (*drule subsetD* [*OF chain-A* [*OF max.cobounded1*]])
apply (*drule subsetD* [*OF chain-A* [*OF max.cobounded2*]])
apply (*drule* (1) *idealD2* [*OF ideal-A*])
apply *blast*
done
apply *clarify*
apply (*drule* (1) *idealD3* [*OF ideal-A*])
apply *fast*
done

lemma *typedef-ideal-po*:

fixes $\text{Abs} :: 'a \text{ set} \Rightarrow 'b::\text{below}$
assumes *type*: *type-definition* *Rep* $\text{Abs} \{S. \text{ideal } S\}$
assumes *below*: $\bigwedge x \ y. x \sqsubseteq y \iff \text{Rep } x \subseteq \text{Rep } y$
shows *OFCLASS*('b, *po-class*)
apply (*intro-classes, unfold below*)
apply (*rule subset-refl*)
apply (*erule* (1) *subset-trans*)
apply (*rule type-definition.Rep-inject* [*OF type, THEN iffD1*])
apply (*erule* (1) *subset-antisym*)

done

lemma

fixes $Abs :: 'a \text{ set} \Rightarrow 'b::po$
 assumes $type: type\text{-}definition \ Rep \ Abs \ \{S. \ ideal \ S\}$
 assumes $below: \bigwedge x \ y. \ x \sqsubseteq y \longleftrightarrow \ Rep \ x \subseteq \ Rep \ y$
 assumes $S: chain \ S$
 shows $typedef\text{-}ideal\text{-}lub: range \ S \ll\mid Abs \ (\bigcup i. \ Rep \ (S \ i))$
 and $typedef\text{-}ideal\text{-}rep\text{-}lub: \ Rep \ (\bigsqcup i. \ S \ i) = (\bigcup i. \ Rep \ (S \ i))$

proof –

have $1: ideal \ (\bigcup i. \ Rep \ (S \ i))$
 apply (rule ideal-UN)
 apply (rule type-definition.Rep [OF type, unfolded mem-Collect-eq])
 apply (subst below [symmetric])
 apply (erule chain-mono [OF S])
 done

hence $2: \ Rep \ (Abs \ (\bigcup i. \ Rep \ (S \ i))) = (\bigcup i. \ Rep \ (S \ i))$
 by (simp add: type-definition.Abs-inverse [OF type])

show $3: range \ S \ll\mid Abs \ (\bigcup i. \ Rep \ (S \ i))$
 apply (rule is-lubI)
 apply (rule is-ubI)
 apply (simp add: below 2, fast)
 apply (simp add: below 2 is-ub-def, fast)
 done

hence $4: (\bigsqcup i. \ S \ i) = Abs \ (\bigcup i. \ Rep \ (S \ i))$
 by (rule lub-eqI)

show $5: \ Rep \ (\bigsqcup i. \ S \ i) = (\bigcup i. \ Rep \ (S \ i))$
 by (simp add: 4 2)

qed

lemma $typedef\text{-}ideal\text{-}cpo$:

fixes $Abs :: 'a \text{ set} \Rightarrow 'b::po$
 assumes $type: type\text{-}definition \ Rep \ Abs \ \{S. \ ideal \ S\}$
 assumes $below: \bigwedge x \ y. \ x \sqsubseteq y \longleftrightarrow \ Rep \ x \subseteq \ Rep \ y$
 shows $OFCLASS('b, cpo\text{-}class)$
 by standard (rule exI, erule typedef-ideal-lub [OF type below])

end

interpretation $below: preorder \ below :: 'a::po \Rightarrow 'a \Rightarrow bool$

apply unfold-locales
 apply (rule below-refl)
 apply (erule (1) below-trans)
 done

20.2 Lemmas about least upper bounds

lemma $is\text{-}ub\text{-}thelub\text{-}ex: [\exists u. \ S \ll\mid u; \ x \in S] \Longrightarrow x \sqsubseteq lub \ S$
 apply (erule exE, drule is-lub-lub)

apply (*drule is-lubD1*)
apply (*erule (1) is-ubD*)
done

lemma *is-lub-the-lub-ex*: $\llbracket \exists u. S \ll\ll u; S \ll\ll x \rrbracket \implies \text{lub } S \sqsubseteq x$
by (*erule exE, drule is-lub-lub, erule is-lubD2*)

20.3 Locale for ideal completion

hide-const (**open**) *Filter.principal*

locale *ideal-completion* = *preorder* +
fixes *principal* :: 'a::type \Rightarrow 'b
fixes *rep* :: 'b \Rightarrow 'a::type set
assumes *ideal-rep*: $\bigwedge x. \text{ideal } (\text{rep } x)$
assumes *rep-lub*: $\bigwedge Y. \text{chain } Y \implies \text{rep } (\bigsqcup i. Y i) = (\bigcup i. \text{rep } (Y i))$
assumes *rep-principal*: $\bigwedge a. \text{rep } (\text{principal } a) = \{b. b \preceq a\}$
assumes *belowI*: $\bigwedge x y. \text{rep } x \subseteq \text{rep } y \implies x \sqsubseteq y$
assumes *countable*: $\exists f::'a \Rightarrow \text{nat. inj } f$
begin

lemma *rep-mono*: $x \sqsubseteq y \implies \text{rep } x \subseteq \text{rep } y$
apply (*frule bin-chain*)
apply (*drule rep-lub*)
apply (*simp only: lub-eqI [OF is-lub-bin-chain]*)
apply (*rule subsetI, rule UN-I [where a=0], simp-all*)
done

lemma *below-def*: $x \sqsubseteq y \longleftrightarrow \text{rep } x \subseteq \text{rep } y$
by (*rule iffI [OF rep-mono belowI]*)

lemma *principal-below-iff-mem-rep*: $\text{principal } a \sqsubseteq x \longleftrightarrow a \in \text{rep } x$
unfolding *below-def rep-principal*
by (*auto intro: r-refl elim: idealD3 [OF ideal-rep]*)

lemma *principal-below-iff [simp]*: $\text{principal } a \sqsubseteq \text{principal } b \longleftrightarrow a \preceq b$
by (*simp add: principal-below-iff-mem-rep rep-principal*)

lemma *principal-eq-iff*: $\text{principal } a = \text{principal } b \longleftrightarrow a \preceq b \wedge b \preceq a$
unfolding *po-eq-conv [where 'a='b] principal-below-iff ..*

lemma *eq-iff*: $x = y \longleftrightarrow \text{rep } x = \text{rep } y$
unfolding *po-eq-conv below-def* **by** *auto*

lemma *principal-mono*: $a \preceq b \implies \text{principal } a \sqsubseteq \text{principal } b$
by (*simp only: principal-below-iff*)

lemma *ch2ch-principal [simp]*:
 $\forall i. Y i \preceq Y (\text{Suc } i) \implies \text{chain } (\lambda i. \text{principal } (Y i))$

by (simp add: chainI principal-mono)

20.3.1 Principal ideals approximate all elements

lemma compact-principal [simp]: compact (principal a)

by (rule compactI2, simp add: principal-below-iff-mem-rep rep-lub)

Construct a chain whose lub is the same as a given ideal

lemma obtain-principal-chain:

obtains Y where $\forall i. Y i \preceq Y (Suc i)$ and $x = (\bigsqcup i. principal (Y i))$

proof –

obtain count :: 'a \Rightarrow nat where inj: inj count

using countable ..

define enum where enum i = (THE a. count a = i) for i

have enum-count [simp]: $\bigwedge x. enum (count x) = x$

unfolding enum-def by (simp add: inj-eq [OF inj])

define a where a = (LEAST i. enum i \in rep x)

define b where b i = (LEAST j. enum j \in rep x \wedge \neg enum j \preceq enum i) for i

define c where c i j = (LEAST k. enum k \in rep x \wedge enum i \preceq enum k \wedge enum j \preceq enum k) for i j

define P where P i \longleftrightarrow ($\exists j. enum j \in rep x \wedge \neg enum j \preceq enum i$) for i

define X where X = rec-nat a ($\lambda n i. if P i then c i (b i) else i$)

have X-0: X 0 = a unfolding X-def by simp

have X-Suc: $\bigwedge n. X (Suc n) = (if P (X n) then c (X n) (b (X n)) else X n)$

unfolding X-def by simp

have a-mem: enum a \in rep x

unfolding a-def

apply (rule LeastI-ex)

apply (insert ideal-rep [of x])

apply (drule idealD1)

apply (clarify)

subgoal for a by (rule exI [where x=count a]) simp

done

have b: $\bigwedge i. P i \implies enum i \in rep x$

$\implies enum (b i) \in rep x \wedge \neg enum (b i) \preceq enum i$

unfolding P-def b-def by (erule LeastI2-ex, simp)

have c: $\bigwedge i j. enum i \in rep x \implies enum j \in rep x$

$\implies enum (c i j) \in rep x \wedge enum i \preceq enum (c i j) \wedge enum j \preceq enum (c i j)$

unfolding c-def

apply (drule (1) idealD2 [OF ideal-rep], clarify)

subgoal for ... z by (rule LeastI2 [where a=count z], simp, simp)

done

have X-mem: enum (X n) \in rep x for n

proof (induct n)

case 0

then show ?case by (simp add: X-0 a-mem)

next

case (Suc n)

with b c show ?case by (auto simp: X-Suc)

```

qed
have X-chain:  $\bigwedge n. \text{enum } (X\ n) \preceq \text{enum } (X\ (\text{Suc } n))$ 
  apply (clarsimp simp add: X-Suc r-refl)
  apply (simp add: b c X-mem)
done
have less-b:  $\bigwedge n\ i. n < b\ i \implies \text{enum } n \in \text{rep } x \implies \text{enum } n \preceq \text{enum } i$ 
  unfolding b-def by (drule not-less-Least, simp)
have X-covers:  $\forall k \leq n. \text{enum } k \in \text{rep } x \implies \text{enum } k \preceq \text{enum } (X\ n)$  for n
proof (induct n)
  case 0
  then show ?case
    apply (clarsimp simp add: X-0 a-def)
    apply (drule Least-le [where k=0], simp add: r-refl)
  done
next
case (Suc n)
then show ?case
  apply clarsimp
  apply (erule le-SucE)
  apply (rule r-trans [OF - X-chain], simp)
  apply (cases P (X n), simp add: X-Suc)
  apply (rule linorder-cases [where x=b (X n) and y=Suc n])
    apply (simp only: less-Suc-eq-le)
    apply (drule spec, drule (1) mp, simp add: b X-mem)
    apply (simp add: c X-mem)
  apply (drule (1) less-b)
  apply (erule r-trans)
  apply (simp add: b c X-mem)
  apply (simp add: X-Suc)
  apply (simp add: P-def)
done
qed
have 1:  $\forall i. \text{enum } (X\ i) \preceq \text{enum } (X\ (\text{Suc } i))$ 
  by (simp add: X-chain)
have x = ( $\bigsqcup n. \text{principal } (\text{enum } (X\ n))$ )
  apply (simp add: eq-iff rep-lub 1 rep-principal)
  apply auto
subgoal for a
  apply (subgoal-tac  $\exists i. a = \text{enum } i$ , erule exE)
  apply (rule-tac x=i in exI, simp add: X-covers)
  apply (rule-tac x=count a in exI, simp)
done
subgoal
  apply (erule idealD3 [OF ideal-rep])
  apply (rule X-mem)
done
done
with 1 show ?thesis ..
qed

```

lemma *principal-induct*:
assumes *adm*: *adm P*
assumes *P*: $\bigwedge a. P$ (*principal a*)
shows *P x*
apply (*rule obtain-principal-chain [of x]*)
apply (*simp add: admD [OF adm] P*)
done

lemma *compact-imp-principal*: *compact x* $\implies \exists a. x = \text{principal } a$
apply (*rule obtain-principal-chain [of x]*)
apply (*drule adm-compact-neq [OF - cont-id]*)
apply (*subgoal-tac chain* ($\lambda i. \text{principal } (Y i)$))
apply (*drule* (2) *admD2, fast, simp*)
done

20.4 Defining functions in terms of basis elements

definition

extension :: $(a::\text{type} \Rightarrow 'c) \Rightarrow 'b \rightarrow 'c$ **where**
extension = $(\lambda f. (\bigwedge x. \text{lub } (f \text{ ' rep } x)))$

lemma *extension-lemma*:

fixes *f* :: $a::\text{type} \Rightarrow 'c$
assumes *f-mono*: $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows $\exists u. f \text{ ' rep } x \ll\mid u$
proof –
obtain *Y* **where** *Y*: $\forall i. Y i \preceq Y (\text{Suc } i)$
and *x*: $x = (\bigsqcup i. \text{principal } (Y i))$
by (*rule obtain-principal-chain [of x]*)
have *chain*: *chain* ($\lambda i. f (Y i)$)
by (*rule chainI, simp add: f-mono Y*)
have *rep-x*: $\text{rep } x = (\bigcup n. \{a. a \preceq Y n\})$
by (*simp add: x rep-lub Y rep-principal*)
have $f \text{ ' rep } x \ll\mid (\bigsqcup n. f (Y n))$
apply (*rule is-lubI*)
apply (*rule ub-imageI*)
subgoal for *a*
apply (*clarsimp simp add: rep-x*)
apply (*drule f-mono*)
apply (*erule below-lub [OF chain]*)
done
apply (*rule lub-below [OF chain]*)
subgoal for $\dots n$
apply (*drule ub-imageD [where x=Y n]*)
apply (*simp add: rep-x, fast intro: r-refl*)
apply *assumption*
done
done

then show *?thesis ..*
qed

lemma *extension-beta*:
 fixes $f :: 'a::type \Rightarrow 'c$
 assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
 shows $\text{extension } f \cdot x = \text{lub } (f \text{ ` rep } x)$
 unfolding *extension-def*
 proof (rule *beta-cfun*)
 have $\text{lub}: \bigwedge x. \exists u. f \text{ ` rep } x \ll\mid u$
 using $f\text{-mono}$ by (rule *extension-lemma*)
 show *cont*: $\text{cont } (\lambda x. \text{lub } (f \text{ ` rep } x))$
 apply (rule *contI2*)
 apply (rule *monofunI*)
 apply (rule *is-lub-the-lub-ex* [*OF lub ub-imageI*])
 apply (rule *is-ub-the-lub-ex* [*OF lub imageI*])
 apply (erule (*1*) *subsetD* [*OF rep-mono*])
 apply (rule *is-lub-the-lub-ex* [*OF lub ub-imageI*])
 apply (*simp add: rep-lub, clarify*)
 apply (erule *rev-below-trans* [*OF is-ub-the-lub*])
 apply (erule *is-ub-the-lub-ex* [*OF lub imageI*])
 done
 qed

lemma *extension-principal*:
 fixes $f :: 'a::type \Rightarrow 'c$
 assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
 shows $\text{extension } f \cdot (\text{principal } a) = f a$
 apply (*subst extension-beta, erule f-mono*)
 apply (*subst rep-principal*)
 apply (rule *lub-eqI*)
 apply (rule *is-lub-maximal*)
 apply (rule *ub-imageI*)
 apply (*simp add: f-mono*)
 apply (rule *imageI*)
 apply (*simp add: r-refl*)
 done

lemma *extension-mono*:
 assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
 assumes $g\text{-mono}: \bigwedge a b. a \preceq b \implies g a \sqsubseteq g b$
 assumes *below*: $\bigwedge a. f a \sqsubseteq g a$
 shows $\text{extension } f \sqsubseteq \text{extension } g$
 apply (rule *cfun-belowI*)
 apply (*simp only: extension-beta f-mono g-mono*)
 apply (rule *is-lub-the-lub-ex*)
 apply (rule *extension-lemma, erule f-mono*)
 apply (rule *ub-imageI*)
 subgoal for $x a$

```

  apply (rule below-trans [OF below])
  apply (rule is-ub-the-lub-ex)
  apply (rule extension-lemma, erule g-mono)
  apply (erule imageI)
done
done

lemma cont-extension:
  assumes f-mono:  $\bigwedge a b x. a \preceq b \implies f x a \sqsubseteq f x b$ 
  assumes f-cont:  $\bigwedge a. \text{cont } (\lambda x. f x a)$ 
  shows cont  $(\lambda x. \text{extension } (\lambda a. f x a))$ 
  apply (rule contI2)
  apply (rule monofunI)
  apply (rule extension-mono, erule f-mono, erule f-mono)
  apply (erule cont2monofunE [OF f-cont])
  apply (rule cfun-belowI)
  apply (rule principal-induct, simp)
  apply (simp only: contlub-cfun-fun)
  apply (simp only: extension-principal f-mono)
  apply (simp add: cont2contlubE [OF f-cont])
done

end

lemma (in preorder) typedef-ideal-completion:
  fixes Abs :: 'a set  $\Rightarrow$  'b
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes below:  $\bigwedge x y. x \sqsubseteq y \iff \text{Rep } x \subseteq \text{Rep } y$ 
  assumes principal:  $\bigwedge a. \text{principal } a = \text{Abs } \{b. b \preceq a\}$ 
  assumes countable:  $\exists f :: 'a \Rightarrow \text{nat}. \text{inj } f$ 
  shows ideal-completion r principal Rep
proof
  interpret type-definition Rep Abs {S. ideal S} by fact
  fix a b :: 'a and x y :: 'b and Y :: nat  $\Rightarrow$  'b
  show ideal (Rep x)
  using Rep [of x] by simp
  show chain Y  $\implies \text{Rep } (\bigsqcup i. Y i) = (\bigcup i. \text{Rep } (Y i))$ 
  using type below by (rule typedef-ideal-rep-lub)
  show Rep (principal a) =  $\{b. b \preceq a\}$ 
  by (simp add: principal Abs-inverse ideal-principal)
  show Rep x  $\subseteq \text{Rep } y \implies x \sqsubseteq y$ 
  by (simp only: below)
  show  $\exists f :: 'a \Rightarrow \text{nat}. \text{inj } f$ 
  by (rule countable)
qed

end

```

21 A universal bifinite domain

```
theory Universal
imports Bifinite Completion HOL-Library.Nat-Bijection
begin
```

```
unbundle no binomial-syntax
```

21.1 Basis for universal domain

21.1.1 Basis datatype

```
type-synonym ubasis = nat
```

definition

```
node :: nat ⇒ ubasis ⇒ ubasis set ⇒ ubasis
```

where

```
node i a S = Suc (prod-encode (i, prod-encode (a, set-encode S)))
```

```
lemma node-not-0 [simp]: node i a S ≠ 0
```

```
unfolding node-def by simp
```

```
lemma node-gt-0 [simp]: 0 < node i a S
```

```
unfolding node-def by simp
```

```
lemma node-inject [simp]:
```

```
  [[finite S; finite T]
```

```
  ⇒ node i a S = node j b T ⟷ i = j ∧ a = b ∧ S = T
```

```
unfolding node-def by (simp add: prod-encode-eq set-encode-eq)
```

```
lemma node-gt0: i < node i a S
```

```
unfolding node-def less-Suc-eq-le
```

```
by (rule le-prod-encode-1)
```

```
lemma node-gt1: a < node i a S
```

```
unfolding node-def less-Suc-eq-le
```

```
by (rule order-trans [OF le-prod-encode-1 le-prod-encode-2])
```

```
lemma nat-less-power2: n < 2n
```

```
by (fact less-exp)
```

```
lemma node-gt2: [[finite S; b ∈ S] ⇒ b < node i a S
```

```
unfolding node-def less-Suc-eq-le set-encode-def
```

```
apply (rule order-trans [OF le-prod-encode-2])
```

```
apply (rule order-trans [OF le-prod-encode-2])
```

```
apply (rule order-trans [where y=sum ((^) 2) {b}])
```

```
apply (simp add: nat-less-power2 [THEN order-less-imp-le])
```

```
apply (erule sum-mono2, simp, simp)
```

```
done
```

lemma *eq-prod-encode-pairI*:

$\llbracket \text{fst } (\text{prod-decode } x) = a; \text{snd } (\text{prod-decode } x) = b \rrbracket \implies x = \text{prod-encode } (a, b)$
by *auto*

lemma *node-cases*:

assumes 1: $x = 0 \implies P$
assumes 2: $\bigwedge i a S. \llbracket \text{finite } S; x = \text{node } i a S \rrbracket \implies P$
shows P
apply (*cases* x)
apply (*erule* 1)
apply (*rule* 2)
apply (*rule* *finite-set-decode*)
apply (*simp* *add: node-def*)
apply (*rule* *eq-prod-encode-pairI* [*OF refl*])
apply (*rule* *eq-prod-encode-pairI* [*OF refl refl*])
done

lemma *node-induct*:

assumes 1: $P 0$
assumes 2: $\bigwedge i a S. \llbracket P a; \text{finite } S; \forall b \in S. P b \rrbracket \implies P (\text{node } i a S)$
shows $P x$
apply (*induct* x *rule: nat-less-induct*)
apply (*case-tac* n *rule: node-cases*)
apply (*simp* *add: 1*)
apply (*simp* *add: 2 node-gt1 node-gt2*)
done

21.1.2 Basis ordering

inductive

ubasis-le :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$

where

ubasis-le-refl: $\text{ubasis-le } a a$

| *ubasis-le-trans*:

$\llbracket \text{ubasis-le } a b; \text{ubasis-le } b c \rrbracket \implies \text{ubasis-le } a c$

| *ubasis-le-lower*:

$\text{finite } S \implies \text{ubasis-le } a (\text{node } i a S)$

| *ubasis-le-upper*:

$\llbracket \text{finite } S; b \in S; \text{ubasis-le } a b \rrbracket \implies \text{ubasis-le } (\text{node } i a S) b$

lemma *ubasis-le-minimal*: $\text{ubasis-le } 0 x$

apply (*induct* x *rule: node-induct*)

apply (*rule* *ubasis-le-refl*)

apply (*erule* *ubasis-le-trans*)

apply (*erule* *ubasis-le-lower*)

done

interpretation *uodom*: *preorder* *ubasis-le*

apply *standard*

apply (*rule ubasis-le-refl*)
apply (*erule (1) ubasis-le-trans*)
done

21.1.3 Generic take function

function
ubasis-until :: (*ubasis* \Rightarrow *bool*) \Rightarrow *ubasis* \Rightarrow *ubasis*
where
ubasis-until *P* 0 = 0
| *finite S* \Longrightarrow *ubasis-until* *P* (*node i a S*) =
(*if P (node i a S) then node i a S else ubasis-until P a*)
apply *clarify*
apply (*rule-tac x=b in node-cases*)
apply *simp-all*
done

termination *ubasis-until*
apply (*relation measure snd*)
apply (*rule wf-measure*)
apply (*simp add: node-gt1*)
done

lemma *ubasis-until*: $P\ 0 \Longrightarrow P\ (\text{ubasis-until } P\ x)$
by (*induct x rule: node-induct*) *simp-all*

lemma *ubasis-until'*: $0 < \text{ubasis-until } P\ x \Longrightarrow P\ (\text{ubasis-until } P\ x)$
by (*induct x rule: node-induct*) *auto*

lemma *ubasis-until-same*: $P\ x \Longrightarrow \text{ubasis-until } P\ x = x$
by (*induct x rule: node-induct*) *simp-all*

lemma *ubasis-until-idem*:
 $P\ 0 \Longrightarrow \text{ubasis-until } P\ (\text{ubasis-until } P\ x) = \text{ubasis-until } P\ x$
by (*rule ubasis-until-same [OF ubasis-until]*)

lemma *ubasis-until-0*:
 $\forall x. x \neq 0 \longrightarrow \neg P\ x \Longrightarrow \text{ubasis-until } P\ x = 0$
by (*induct x rule: node-induct*) *simp-all*

lemma *ubasis-until-less*: *ubasis-le* (*ubasis-until* *P* *x*) *x*
apply (*induct x rule: node-induct*)
apply (*simp add: ubasis-le-refl*)
by (*metis ubasis-le.simps ubasis-until.simps(2)*)

lemma *ubasis-until-chain*:
assumes $PQ: \bigwedge x. P\ x \Longrightarrow Q\ x$
shows *ubasis-le* (*ubasis-until* *P* *x*) (*ubasis-until* *Q* *x*)
apply (*induct x rule: node-induct*)

```

apply (simp add: ubasis-le-refl)
  by (metis assms ubasis-until.simps(2) ubasis-until-less)

```

lemma *ubasis-*until*-mono*:

```

assumes  $\bigwedge i a S b. \llbracket \text{finite } S; P (\text{node } i a S); b \in S; \text{ubasis-le } a b \rrbracket \implies P b$ 
shows  $\text{ubasis-le } a b \implies \text{ubasis-le } (\text{ubasis-until } P a) (\text{ubasis-until } P b)$ 
proof (induct set: ubasis-le)
  case (ubasis-le-refl a) show ?case by (rule ubasis-le.ubasis-le-refl)
next
  case (ubasis-le-trans a b c) thus ?case by - (rule ubasis-le.ubasis-le-trans)
next
  case (ubasis-le-lower S a i) thus ?case
    by (metis ubasis-le.simps ubasis-until.simps(2) ubasis-until-less)
next
  case (ubasis-le-upper S b a i) thus ?case
    by (metis assms ubasis-le.simps ubasis-until.simps(2) ubasis-until-same)
qed

```

lemma *finite-range-ubasis-*until**:

```

   $\text{finite } \{x. P x\} \implies \text{finite } (\text{range } (\text{ubasis-until } P))$ 
apply (rule finite-subset [where  $B = \text{insert } 0 \{x. P x\}$ ])
apply (clarsimp simp add: ubasis-until')
apply simp
done

```

21.2 Defining the universal domain by ideal completion

```

typedef udom = {S. udom.ideal S}
by (rule udom.ex-ideal)

```

```

instantiation udom :: below
begin

```

definition

$$x \sqsubseteq y \iff \text{Rep-}u_{\text{dom}} x \subseteq \text{Rep-}u_{\text{dom}} y$$

```

instance ..
end

```

instance *u_{dom}* :: *po*

```

using type-definition-udom below-udom-def
by (rule udom.typedef-ideal-po)

```

instance *u_{dom}* :: *cpo*

```

using type-definition-udom below-udom-def
by (rule udom.typedef-ideal-cpo)

```

definition

$$u_{\text{dom-principal}} :: \text{nat} \Rightarrow u_{\text{dom}} \text{ where}$$

udom-principal $t = \text{Abs-udom } \{u. \text{ubasis-le } u \ t\}$

lemma *ubasis-countable*: $\exists f::\text{ubasis} \Rightarrow \text{nat. inj } f$
by (*rule exI*, *rule inj-on-id*)

interpretation *udom*:

ideal-completion ubasis-le udom-principal Rep-udom
using *type-definition-udom below-udom-def*
using *udom-principal-def ubasis-countable*
by (*rule udom.typedef-ideal-completion*)

Universal domain is pointed

lemma *udom-minimal*: *udom-principal* $0 \sqsubseteq x$
apply (*induct x rule: udom.principal-induct*)
apply (*simp, simp add: ubasis-le-minimal*)
done

instance *udom* :: *pcpo*
by *intro-classes (fast intro: udom-minimal)*

lemma *inst-udom-pcpo*: $\perp = \text{udom-principal } 0$
by (*rule udom-minimal [THEN bottomI, symmetric]*)

21.3 Compact bases of domains

typedef *'a compact-basis* = $\{x::'a::\text{pcpo. compact } x\}$
by *auto*

lemma *Rep-compact-basis'* [*simp*]: *compact* (*Rep-compact-basis* a)
by (*rule Rep-compact-basis [unfolded mem-Collect-eq]*)

lemma *Abs-compact-basis-inverse'* [*simp*]:
compact $x \Longrightarrow \text{Rep-compact-basis } (\text{Abs-compact-basis } x) = x$
by (*rule Abs-compact-basis-inverse [unfolded mem-Collect-eq]*)

instantiation *compact-basis* :: (*pcpo*) *below*
begin

definition

compact-le-def:
 $(\sqsubseteq) \equiv (\lambda x y. \text{Rep-compact-basis } x \sqsubseteq \text{Rep-compact-basis } y)$

instance ..
end

instance *compact-basis* :: (*pcpo*) *po*
using *type-definition-compact-basis compact-le-def*
by (*rule typedef-po-class*)

definition

approximants :: 'a::pcpo \Rightarrow 'a compact-basis set **where**
approximants = ($\lambda x. \{a. \text{Rep-compact-basis } a \sqsubseteq x\}$)

definition

compact-bot :: 'a::pcpo compact-basis **where**
compact-bot = *Abs-compact-basis* \perp

lemma *Rep-compact-bot* [*simp*]: *Rep-compact-basis compact-bot* = \perp
unfolding *compact-bot-def* **by** *simp*

lemma *compact-bot-minimal* [*simp*]: *compact-bot* \sqsubseteq *a*
unfolding *compact-le-def Rep-compact-bot* **by** *simp*

21.4 Universality of *udom*

We use a locale to parameterize the construction over a chain of approx functions on the type to be embedded.

locale *bifinite-approx-chain* =
approx-chain approx for approx :: nat \Rightarrow 'a::bifinite \rightarrow 'a
begin

21.4.1 Choosing a maximal element from a finite set

lemma *finite-has-maximal*:
fixes *A* :: 'a compact-basis set
shows $\llbracket \text{finite } A; A \neq \{\} \rrbracket \Longrightarrow \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y$
proof (*induct rule: finite-ne-induct*)
case (*singleton x*)
show ?*case* **by** *simp*
next
case (*insert a A*)
from $\langle \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y \rangle$
obtain *x* **where** *x*: $x \in A$
and *x-eq*: $\bigwedge y. \llbracket y \in A; x \sqsubseteq y \rrbracket \Longrightarrow x = y$ **by** *fast*
show ?*case*
proof (*intro beXI ballI impI*)
fix *y*
assume $y \in \text{insert } a \text{ } A$ **and** (*if* $x \sqsubseteq a$ *then* *a* *else* *x*) $\sqsubseteq y$
thus (*if* $x \sqsubseteq a$ *then* *a* *else* *x*) = *y*
apply *auto*
apply (*frule* (1) *below-trans*)
apply (*frule* (1) *x-eq*)
apply (*rule below-antisym, assumption*)
apply *simp*
apply (*erule* (1) *x-eq*)
done
next
show (*if* $x \sqsubseteq a$ *then* *a* *else* *x*) $\in \text{insert } a \text{ } A$

by (*simp add: x*)
 qed
 qed

definition

choose :: 'a compact-basis set \Rightarrow 'a compact-basis

where

choose A = (SOME x. x \in {x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y})

lemma *choose-lemma*:

\llbracket finite A; A \neq {} $\rrbracket \Longrightarrow$ *choose* A \in {x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y}

unfolding *choose-def*

apply (*rule someI-ex*)

apply (*frule* (1) *finite-has-maximal, fast*)

done

lemma *maximal-choose*:

\llbracket finite A; y \in A; *choose* A \sqsubseteq y $\rrbracket \Longrightarrow$ *choose* A = y

apply (*cases* A = {}, *simp*)

apply (*frule* (1) *choose-lemma, simp*)

done

lemma *choose-in*: \llbracket finite A; A \neq {} $\rrbracket \Longrightarrow$ *choose* A \in A

by (*frule* (1) *choose-lemma, simp*)

function

choose-pos :: 'a compact-basis set \Rightarrow 'a compact-basis \Rightarrow nat

where

choose-pos A x =

(if finite A \wedge x \in A \wedge x \neq *choose* A

then Suc (*choose-pos* (A - {*choose* A}) x) else 0)

by *auto*

termination *choose-pos*

apply (*relation measure* (card \circ fst), *simp*)

apply *clarsimp*

apply (*rule card-Diff1-less*)

apply *assumption*

apply (*erule choose-in*)

apply *clarsimp*

done

declare *choose-pos.simps* [*simp del*]

lemma *choose-pos-choose*: finite A \Longrightarrow *choose-pos* A (*choose* A) = 0

by (*simp add: choose-pos.simps*)

lemma *inj-on-choose-pos* [*OF refl*]:

\llbracket card A = n; finite A $\rrbracket \Longrightarrow$ *inj-on* (*choose-pos* A) A

```

apply (induct n arbitrary: A)
apply simp
apply (case-tac A = {}, simp)
apply (frule (1) choose-in)
apply (rule inj-onI)
apply (drule-tac x=A - {choose A} in meta-spec, simp)
apply (simp add: choose-pos.simps)
apply (simp split: if-split-asm)
apply (erule (1) inj-onD, simp, simp)
done

```

```

lemma choose-pos-bounded [OF refl]:
   $\llbracket \text{card } A = n; \text{ finite } A; x \in A \rrbracket \implies \text{choose-pos } A \ x < n$ 
apply (induct n arbitrary: A)
apply simp
apply (case-tac A = {}, simp)
apply (frule (1) choose-in)
apply (subst choose-pos.simps)
apply simp
done

```

```

lemma choose-pos-lessD:
   $\llbracket \text{choose-pos } A \ x < \text{choose-pos } A \ y; \text{ finite } A; x \in A; y \in A \rrbracket \implies x \not\sqsubseteq y$ 
apply (induct A x arbitrary: y rule: choose-pos.induct)
apply simp
apply (case-tac x = choose A)
apply simp
apply (rule notI)
apply (frule (2) maximal-choose)
apply simp
apply (case-tac y = choose A)
apply (simp add: choose-pos-choose)
apply (drule-tac x=y in meta-spec)
apply simp
apply (erule meta-mp)
apply (simp add: choose-pos.simps)
done

```

21.4.2 Compact basis take function

```

primrec
  cb-take :: nat  $\Rightarrow$  'a compact-basis  $\Rightarrow$  'a compact-basis where
  cb-take 0 = ( $\lambda x.$  compact-bot)
| cb-take (Suc n) = ( $\lambda a.$  Abs-compact-basis (approx n.(Rep-compact-basis a)))

```

```

declare cb-take.simps [simp del]

```

```

lemma cb-take-zero [simp]: cb-take 0 a = compact-bot
by (simp only: cb-take.simps)

```

lemma *Rep-cb-take*:

Rep-compact-basis (cb-take (Suc n) a) = approx n.(*Rep-compact-basis* a)
 by (simp add: cb-take.simps(2))

lemmas approx-*Rep-compact-basis* = *Rep-cb-take* [symmetric]

lemma *cb-take-covers*: $\exists n. \text{cb-take } n \ x = x$

apply (subgoal-tac $\exists n. \text{cb-take } (Suc \ n) \ x = x$, fast)
 apply (simp add: *Rep-compact-basis-inject* [symmetric])
 apply (simp add: *Rep-cb-take*)
 apply (rule compact-eq-approx)
 apply (rule *Rep-compact-basis'*)
 done

lemma *cb-take-less*: $\text{cb-take } n \ x \sqsubseteq x$

unfolding compact-le-def
 by (cases n, simp, simp add: *Rep-cb-take approx-below*)

lemma *cb-take-idem*: $\text{cb-take } n \ (\text{cb-take } n \ x) = \text{cb-take } n \ x$

unfolding *Rep-compact-basis-inject* [symmetric]
 by (cases n, simp, simp add: *Rep-cb-take approx-idem*)

lemma *cb-take-mono*: $x \sqsubseteq y \implies \text{cb-take } n \ x \sqsubseteq \text{cb-take } n \ y$

unfolding compact-le-def
 by (cases n, simp, simp add: *Rep-cb-take monofun-cfun-arg*)

lemma *cb-take-chain-le*: $m \leq n \implies \text{cb-take } m \ x \sqsubseteq \text{cb-take } n \ x$

unfolding compact-le-def
 apply (cases m, simp, cases n, simp)
 apply (simp add: *Rep-cb-take*, rule chain-mono, simp, simp)
 done

lemma *finite-range-cb-take*: $\text{finite } (\text{range } (\text{cb-take } n))$

apply (cases n)
 apply (subgoal-tac $\text{range } (\text{cb-take } 0) = \{\text{compact-bot}\}$, simp, force)
 apply (rule finite-imageD [where f=*Rep-compact-basis*])
 apply (rule finite-subset [where B= $\text{range } (\lambda x. \text{approx } (n - 1) \cdot x)$])
 apply (clarsimp simp add: *Rep-cb-take*)
 apply (rule finite-range-approx)
 apply (rule inj-onI, simp add: *Rep-compact-basis-inject*)
 done

21.4.3 Rank of basis elements

definition

rank :: 'a compact-basis \Rightarrow nat

where

rank x = (LEAST n. $\text{cb-take } n \ x = x$)

lemma *compact-approx-rank*: $cb\text{-take } (rank\ x) \ x = x$
unfolding *rank-def*
apply (*rule LeastI-ex*)
apply (*rule cb-take-covers*)
done

lemma *rank-leD*: $rank\ x \leq n \implies cb\text{-take } n \ x = x$
apply (*rule below-antisym [OF cb-take-less]*)
apply (*subst compact-approx-rank [symmetric]*)
apply (*erule cb-take-chain-le*)
done

lemma *rank-leI*: $cb\text{-take } n \ x = x \implies rank\ x \leq n$
unfolding *rank-def* **by** (*rule Least-le*)

lemma *rank-le-iff*: $rank\ x \leq n \longleftrightarrow cb\text{-take } n \ x = x$
by (*rule iffI [OF rank-leD rank-leI]*)

lemma *rank-compact-bot [simp]*: $rank\ compact\text{-bot} = 0$
using *rank-leI [of 0 compact-bot]* **by** *simp*

lemma *rank-eq-0-iff [simp]*: $rank\ x = 0 \longleftrightarrow x = compact\text{-bot}$
using *rank-le-iff [of x 0]* **by** *auto*

definition

rank-le :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-le $x = \{y. rank\ y \leq rank\ x\}$

definition

rank-lt :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-lt $x = \{y. rank\ y < rank\ x\}$

definition

rank-eq :: 'a compact-basis \Rightarrow 'a compact-basis set

where

rank-eq $x = \{y. rank\ y = rank\ x\}$

lemma *rank-eq-cong*: $rank\ x = rank\ y \implies rank\text{-eq } x = rank\text{-eq } y$
unfolding *rank-eq-def* **by** *simp*

lemma *rank-lt-cong*: $rank\ x = rank\ y \implies rank\text{-lt } x = rank\text{-lt } y$
unfolding *rank-lt-def* **by** *simp*

lemma *rank-eq-subset*: $rank\text{-eq } x \subseteq rank\text{-le } x$
unfolding *rank-eq-def rank-le-def* **by** *auto*

lemma *rank-lt-subset*: $\text{rank-lt } x \subseteq \text{rank-le } x$
unfolding *rank-lt-def rank-le-def* **by** *auto*

lemma *finite-rank-le*: $\text{finite } (\text{rank-le } x)$
unfolding *rank-le-def*
apply (*rule finite-subset* [**where** $B = \text{range } (\text{cb-take } (\text{rank } x))$])
apply *clarify*
apply (*rule range-eqI*)
apply (*erule rank-leD* [*symmetric*])
apply (*rule finite-range-cb-take*)
done

lemma *finite-rank-eq*: $\text{finite } (\text{rank-eq } x)$
by (*rule finite-subset* [*OF rank-eq-subset finite-rank-le*])

lemma *finite-rank-lt*: $\text{finite } (\text{rank-lt } x)$
by (*rule finite-subset* [*OF rank-lt-subset finite-rank-le*])

lemma *rank-lt-Int-rank-eq*: $\text{rank-lt } x \cap \text{rank-eq } x = \{\}$
unfolding *rank-lt-def rank-eq-def rank-le-def* **by** *auto*

lemma *rank-lt-Un-rank-eq*: $\text{rank-lt } x \cup \text{rank-eq } x = \text{rank-le } x$
unfolding *rank-lt-def rank-eq-def rank-le-def* **by** *auto*

21.4.4 Sequencing basis elements

definition

place :: 'a compact-basis \Rightarrow nat

where

place $x = \text{card } (\text{rank-lt } x) + \text{choose-pos } (\text{rank-eq } x) x$

lemma *place-bounded*: $\text{place } x < \text{card } (\text{rank-le } x)$

unfolding *place-def*

apply (*rule ord-less-eq-trans*)
apply (*rule add-strict-left-mono*)
apply (*rule choose-pos-bounded*)
apply (*rule finite-rank-eq*)
apply (*simp add: rank-eq-def*)
apply (*subst card-Un-disjoint* [*symmetric*])
apply (*rule finite-rank-lt*)
apply (*rule finite-rank-eq*)
apply (*rule rank-lt-Int-rank-eq*)
apply (*simp add: rank-lt-Un-rank-eq*)
done

lemma *place-ge*: $\text{card } (\text{rank-lt } x) \leq \text{place } x$

unfolding *place-def* **by** *simp*

lemma *place-rank-mono*:

```

fixes  $x\ y :: 'a\ \text{compact-basis}$ 
shows  $\text{rank } x < \text{rank } y \implies \text{place } x < \text{place } y$ 
apply (rule less-le-trans [OF place-bounded])
apply (rule order-trans [OF - place-ge])
apply (rule card-mono)
apply (rule finite-rank-lt)
apply (simp add: rank-le-def rank-lt-def subset-eq)
done

```

```

lemma place-eqD:  $\text{place } x = \text{place } y \implies x = y$ 
apply (rule linorder-cases [where  $x=\text{rank } x$  and  $y=\text{rank } y$ ])
apply (drule place-rank-mono, simp)
apply (simp add: place-def)
apply (rule inj-on-choose-pos [where  $A=\text{rank-eq } x$ , THEN inj-onD])
apply (rule finite-rank-eq)
apply (simp cong: rank-lt-cong rank-eq-cong)
apply (simp add: rank-eq-def)
apply (simp add: rank-eq-def)
apply (drule place-rank-mono, simp)
done

```

```

lemma inj-place: inj place
by (rule inj-onI, erule place-eqD)

```

21.4.5 Embedding and projection on basis elements

definition

```

 $\text{sub} :: 'a\ \text{compact-basis} \implies 'a\ \text{compact-basis}$ 
where
 $\text{sub } x = (\text{case rank } x \text{ of } 0 \implies \text{compact-bot} \mid \text{Suc } k \implies \text{cb-take } k\ x)$ 

```

```

lemma rank-sub-less:  $x \neq \text{compact-bot} \implies \text{rank } (\text{sub } x) < \text{rank } x$ 
unfolding sub-def
apply (cases rank  $x$ , simp)
apply (simp add: less-Suc-eq-le)
apply (rule rank-leI)
apply (rule cb-take-idem)
done

```

```

lemma place-sub-less:  $x \neq \text{compact-bot} \implies \text{place } (\text{sub } x) < \text{place } x$ 
apply (rule place-rank-mono)
apply (erule rank-sub-less)
done

```

```

lemma sub-below:  $\text{sub } x \sqsubseteq x$ 
unfolding sub-def by (cases rank  $x$ , simp-all add: cb-take-less)

```

```

lemma rank-less-imp-below-sub:  $\llbracket x \sqsubseteq y; \text{rank } x < \text{rank } y \rrbracket \implies x \sqsubseteq \text{sub } y$ 
unfolding sub-def

```

```

apply (cases rank y, simp)
apply (simp add: less-Suc-eq-le)
apply (subgoal-tac cb-take nat x  $\sqsubseteq$  cb-take nat y)
apply (simp add: rank-leD)
apply (erule cb-take-mono)
done

function basis-emb :: 'a compact-basis  $\Rightarrow$  ubasis
  where basis-emb x = (if x = compact-bot then 0 else
    node (place x) (basis-emb (sub x))
      (basis-emb ‘ {y. place y < place x  $\wedge$  x  $\sqsubseteq$  y}))
  by simp-all

termination basis-emb
  by (relation measure place) (simp-all add: place-sub-less)

declare basis-emb.simps [simp del]

lemma basis-emb-compact-bot [simp]:
  basis-emb compact-bot = 0
  using basis-emb.simps [of compact-bot] by simp

lemma basis-emb-rec:
  basis-emb x = node (place x) (basis-emb (sub x)) (basis-emb ‘ {y. place y < place
x  $\wedge$  x  $\sqsubseteq$  y})
  if x  $\neq$  compact-bot
  using that basis-emb.simps [of x] by simp

lemma basis-emb-eq-0-iff [simp]:
  basis-emb x = 0  $\longleftrightarrow$  x = compact-bot
  by (cases x = compact-bot) (simp-all add: basis-emb-rec)

lemma fin1: finite {y. place y < place x  $\wedge$  x  $\sqsubseteq$  y}
apply (subst Collect-conj-eq)
apply (rule finite-Int)
apply (rule disjI1)
apply (subgoal-tac finite (place – ‘ {n. n < place x}), simp)
apply (rule finite-vimageI [OF inj-place])
apply (simp add: lessThan-def [symmetric])
done

lemma fin2: finite (basis-emb ‘ {y. place y < place x  $\wedge$  x  $\sqsubseteq$  y})
by (rule finite-imageI [OF fin1])

lemma rank-place-mono:
  [place x < place y; x  $\sqsubseteq$  y]  $\implies$  rank x < rank y
apply (rule linorder-cases, assumption)
apply (simp add: place-def cong: rank-lt-cong rank-eq-cong)
apply (drule choose-pos-lessD)

```

```

apply (rule finite-rank-eq)
apply (simp add: rank-eq-def)
apply (simp add: rank-eq-def)
apply simp
apply (drule place-rank-mono, simp)
done

```

lemma *basis-emb-mono*:

$x \sqsubseteq y \implies \text{ubasis-le } (\text{basis-emb } x) (\text{basis-emb } y)$

proof (induct max (place x) (place y) arbitrary: x y rule: less-induct)

case *less*

show ?case **proof** (rule linorder-cases)

assume *place x < place y*

then have *rank x < rank y*

using $\langle x \sqsubseteq y \rangle$ **by** (rule rank-place-mono)

with $\langle \text{place } x < \text{place } y \rangle$ **show** ?case

apply (case-tac *y = compact-bot*, simp)

apply (simp add: basis-emb.simps [of y])

apply (rule ubasis-le-trans [OF - ubasis-le-lower [OF fin2]])

apply (rule less)

apply (simp add: less-max-iff-disj)

apply (erule place-sub-less)

apply (erule rank-less-imp-below-sub [OF $\langle x \sqsubseteq y \rangle$])

done

next

assume *place x = place y*

hence $x = y$ **by** (rule place-eqD)

thus ?case **by** (simp add: ubasis-le-refl)

next

assume *place x > place y*

with $\langle x \sqsubseteq y \rangle$ **show** ?case

apply (case-tac $x = \text{compact-bot}$, simp add: ubasis-le-minimal)

apply (simp add: basis-emb.simps [of x])

apply (rule ubasis-le-upper [OF fin2], simp)

apply (rule less)

apply (simp add: less-max-iff-disj)

apply (erule place-sub-less)

apply (erule rev-below-trans)

apply (rule sub-below)

done

qed

qed

lemma *inj-basis-emb*: *inj basis-emb*

proof (rule injI)

fix *x y*

assume *basis-emb x = basis-emb y*

then show $x = y$

by (cases $x = \text{compact-bot} \vee y = \text{compact-bot}$) (auto simp add: basis-emb-rec)

fin2 place-eqD)
qed

definition

basis-prj :: *ubasis* \Rightarrow 'a *compact-basis*

where

basis-prj *x* = *inv basis-emb*

(*ubasis-until* ($\lambda x. x \in \text{range } (\text{basis-emb} :: \text{'a compact-basis} \Rightarrow \text{ubasis})$) *x*)

lemma *basis-prj-basis-emb*: $\bigwedge x. \text{basis-prj } (\text{basis-emb } x) = x$

unfolding *basis-prj-def*

apply (*subst ubasis-until-same*)

apply (*rule rangeI*)

apply (*rule inv-f-f*)

apply (*rule inj-basis-emb*)

done

lemma *basis-prj-node*:

$\llbracket \text{finite } S; \text{node } i \text{ a } S \notin \text{range } (\text{basis-emb} :: \text{'a compact-basis} \Rightarrow \text{nat}) \rrbracket$

$\implies \text{basis-prj } (\text{node } i \text{ a } S) = (\text{basis-prj } a :: \text{'a compact-basis})$

unfolding *basis-prj-def* **by** *simp*

lemma *basis-prj-0*: *basis-prj* 0 = *compact-bot*

apply (*subst basis-emb-compact-bot [symmetric]*)

apply (*rule basis-prj-basis-emb*)

done

lemma *node-eq-basis-emb-iff*:

finite *S* $\implies \text{node } i \text{ a } S = \text{basis-emb } x \iff$

$x \neq \text{compact-bot} \wedge i = \text{place } x \wedge a = \text{basis-emb } (\text{sub } x) \wedge$

$S = \text{basis-emb } \{y. \text{place } y < \text{place } x \wedge x \sqsubseteq y\}$

apply (*cases* *x* = *compact-bot*, *simp*)

apply (*simp add: basis-emb.simps [of x]*)

apply (*simp add: fin2*)

done

lemma *basis-prj-mono*: *ubasis-le* *a* *b* $\implies \text{basis-prj } a \sqsubseteq \text{basis-prj } b$

proof (*induct* *a* *b* *rule: ubasis-le.induct*)

case (*ubasis-le-refl* *a*) **show** ?*case* **by** (*rule below-refl*)

next

case (*ubasis-le-trans* *a* *b* *c*) **thus** ?*case* **by** – (*rule below-trans*)

next

case (*ubasis-le-lower* *S* *a* *i*) **thus** ?*case*

apply (*cases* *node* *i* *a* *S* $\in \text{range } (\text{basis-emb} :: \text{'a compact-basis} \Rightarrow \text{nat})$)

apply (*erule rangeE*, *rename-tac* *x*)

apply (*simp add: basis-prj-basis-emb*)

apply (*simp add: node-eq-basis-emb-iff*)

apply (*simp add: basis-prj-basis-emb*)

apply (*rule sub-below*)

```

    apply (simp add: basis-prj-node)
  done
next
case (ubasis-le-upper S b a i) thus ?case
  apply (cases node i a S ∈ range (basis-emb :: 'a compact-basis ⇒ nat))
  apply (erule rangeE, rename-tac x)
  apply (simp add: basis-prj-basis-emb)
  apply (clarsimp simp add: node-eq-basis-emb-iff)
  apply (simp add: basis-prj-basis-emb)
  apply (simp add: basis-prj-node)
  done
qed

lemma basis-emb-prj-less: ubasis-le (basis-emb (basis-prj x)) x
unfolding basis-prj-def
  apply (subst f-inv-into-f [where f=basis-emb])
  apply (rule ubasis-until)
  apply (rule range-eqI [where x=compact-bot])
  apply simp
  apply (rule ubasis-until-less)
done

lemma ideal-completion:
  ideal-completion below Rep-compact-basis (approximants :: 'a ⇒ -)
proof
  fix w :: 'a
  show below.ideal (approximants w)
  proof (rule below.idealI)
    have Abs-compact-basis (approx 0·w) ∈ approximants w
    by (simp add: approximants-def approx-below)
    thus ∃ x. x ∈ approximants w ..
  next
  fix x y :: 'a compact-basis
  assume x: x ∈ approximants w and y: y ∈ approximants w
  obtain i where i: approx i.(Rep-compact-basis x) = Rep-compact-basis x
    using compact-eq-approx Rep-compact-basis' by fast
  obtain j where j: approx j.(Rep-compact-basis y) = Rep-compact-basis y
    using compact-eq-approx Rep-compact-basis' by fast
  let ?z = Abs-compact-basis (approx (max i j)·w)
  have ?z ∈ approximants w
  by (simp add: approximants-def approx-below)
  moreover from x y have x ⊆ ?z ∧ y ⊆ ?z
  by (simp add: approximants-def compact-le-def)
  (metis i j monofun-cfun chain-mono chain-approx max.cobounded1 max.cobounded2)
  ultimately show ∃ z ∈ approximants w. x ⊆ z ∧ y ⊆ z ..
  next
  fix x y :: 'a compact-basis
  assume x ⊆ y y ∈ approximants w thus x ∈ approximants w
  unfolding approximants-def compact-le-def

```

```

    by (auto elim: below-trans)
  qed
next
fix Y :: nat ⇒ 'a
assume chain Y
thus approximants (⋂ i. Y i) = (⋃ i. approximants (Y i))
  unfolding approximants-def
  by (auto simp add: compact-below-lub-iff)
next
fix a :: 'a compact-basis
show approximants (Rep-compact-basis a) = {b. b ⊆ a}
  unfolding approximants-def compact-le-def ..
next
fix x y :: 'a
assume approximants x ⊆ approximants y
hence ∀ z. compact z ⟶ z ⊆ x ⟶ z ⊆ y
  by (simp add: approximants-def subset-eq)
  (metis Abs-compact-basis-inverse')
hence (⋂ i. approx i x) ⊆ y
  by (simp add: lub-below approx-below)
thus x ⊆ y
  by (simp add: lub-distrib)
next
show ∃ f :: 'a compact-basis ⇒ nat. inj f
  by (rule exI, rule inj-place)
qed

end

interpretation compact-basis:
  ideal-completion below Rep-compact-basis
  approximants :: 'a::bifinite ⇒ 'a compact-basis set
proof –
  obtain a :: nat ⇒ 'a → 'a where approx-chain a
  using bifinite ..
  hence bifinite-approx-chain a
  unfolding bifinite-approx-chain-def .
  thus ideal-completion below Rep-compact-basis (approximants :: 'a ⇒ -)
  by (rule bifinite-approx-chain.ideal-completion)
qed

```

21.4.6 EP-pair from any bifinite domain into *u_{dom}*

context *bifinite-approx-chain* **begin**

definition

u_{dom-emb} :: 'a → *u_{dom}*

where

u_{dom-emb} = *compact-basis.extension* (λx. *u_{dom-principal}* (*basis-emb* x))

definition

$udom-prj :: udom \rightarrow 'a$

where

$udom-prj = udom.extension (\lambda x. Rep-compact-basis (basis-prj x))$

lemma *udom-emb-principal*:

$udom-emb \cdot (Rep-compact-basis x) = udom-principal (basis-emb x)$

unfolding *udom-emb-def*

apply (rule *compact-basis.extension-principal*)

apply (rule *udom.principal-mono*)

apply (erule *basis-emb-mono*)

done

lemma *udom-prj-principal*:

$udom-prj \cdot (udom-principal x) = Rep-compact-basis (basis-prj x)$

unfolding *udom-prj-def*

apply (rule *udom.extension-principal*)

apply (rule *compact-basis.principal-mono*)

apply (erule *basis-prj-mono*)

done

lemma *ep-pair-udom*: *ep-pair udom-emb udom-prj*

apply *standard*

apply (rule *compact-basis.principal-induct, simp*)

apply (*simp add: udom-emb-principal udom-prj-principal*)

apply (*simp add: basis-prj-basis-emb*)

apply (rule *udom.principal-induct, simp*)

apply (*simp add: udom-emb-principal udom-prj-principal*)

apply (rule *basis-emb-prj-less*)

done

end

abbreviation *udom-emb* \equiv *bifinite-approx-chain.udom-emb*

abbreviation *udom-prj* \equiv *bifinite-approx-chain.udom-prj*

lemmas *ep-pair-udom* =

bifinite-approx-chain.ep-pair-udom [*unfolded bifinite-approx-chain-def*]

21.5 Chain of approx functions for type *udom*

definition

$udom-approx :: nat \Rightarrow udom \rightarrow udom$

where

$udom-approx i =$

$udom.extension (\lambda x. udom-principal (ubasis-until (\lambda y. y \leq i) x))$

lemma *udom-approx-mono*:

```

ubasis-le a b  $\implies$ 
  udom-principal (ubasis-until ( $\lambda y. y \leq i$ ) a)  $\sqsubseteq$ 
  udom-principal (ubasis-until ( $\lambda y. y \leq i$ ) b)
apply (rule udom.principal-mono)
apply (rule ubasis-until-mono)
apply (frule (2) order-less-le-trans [OF node-gt2])
apply (erule order-less-imp-le)
apply assumption
done

lemma adm-mem-finite:  $\llbracket \text{cont } f; \text{ finite } S \rrbracket \implies \text{adm } (\lambda x. f x \in S)$ 
by (erule adm-subst, induct set: finite, simp-all)

lemma udom-approx-principal:
  udom-approx  $i \cdot (\text{udom-principal } x) =$ 
  udom-principal (ubasis-until ( $\lambda y. y \leq i$ ) x)
unfolding udom-approx-def
apply (rule udom.extension-principal)
apply (erule udom-approx-mono)
done

lemma finite-deflation-udom-approx: finite-deflation (udom-approx i)
proof
  fix x show udom-approx  $i \cdot (\text{udom-approx } i \cdot x) = \text{udom-approx } i \cdot x$ 
  by (induct x rule: udom.principal-induct, simp)
  (simp add: udom-approx-principal ubasis-until-idem)
next
  fix x show udom-approx  $i \cdot x \sqsubseteq x$ 
  by (induct x rule: udom.principal-induct, simp)
  (simp add: udom-approx-principal ubasis-until-less)
next
  have *: finite (range ( $\lambda x. \text{udom-principal } (\text{ubasis-until } (\lambda y. y \leq i) x)$ ))
  apply (subst range-composition [where f=udom-principal])
  apply (simp add: finite-range-ubasis-until)
  done
  show finite {x. udom-approx  $i \cdot x = x$ }
  apply (rule finite-range-imp-finite-fixes)
  apply (rule rev-finite-subset [OF *])
  apply (clarsimp, rename-tac x)
  apply (induct-tac x rule: udom.principal-induct)
  apply (simp add: adm-mem-finite *)
  apply (simp add: udom-approx-principal)
  done
qed

interpretation udom-approx: finite-deflation udom-approx i
by (rule finite-deflation-udom-approx)

lemma chain-udom-approx [simp]: chain ( $\lambda i. \text{udom-approx } i$ )

```

```

unfolding uom-approx-def
apply (rule chainI)
apply (rule uom.extension-mono)
apply (erule uom-approx-mono)
apply (erule uom-approx-mono)
apply (rule uom.principal-mono)
apply (rule ubasis-until-chain, simp)
done

lemma lub-uom-approx [simp]: ( $\sqcup i. \text{uom-approx } i$ ) = ID
apply (rule cfun-eqI, simp add: contlub-cfun-fun)
apply (rule below-antisym)
apply (rule lub-below)
apply (simp)
apply (rule uom-approx.below)
apply (rule-tac x=x in uom.principal-induct)
apply (simp add: lub-distrib)
apply (rule-tac i=a in below-lub)
apply simp
apply (simp add: uom-approx-principal)
apply (simp add: ubasis-until-same ubasis-le-refl)
done

lemma uom-approx [simp]: approx-chain uom-approx
proof
  show chain ( $\lambda i. \text{uom-approx } i$ )
    by (rule chain-uom-approx)
  show ( $\sqcup i. \text{uom-approx } i$ ) = ID
    by (rule lub-uom-approx)
qed

instance uom :: bifinite
  by standard (fast intro: uom-approx)

hide-const (open) node

unbundle binomial-syntax

end

```

22 Algebraic deflations

```

theory Algebraic
imports Universal Map-Functions
begin

```

22.1 Type constructor for finite deflations

```

typedef 'a::bifinite fin-defl = {d::'a → 'a. finite-deflation d}

```

by (*fast intro: finite-deflation-bottom*)

instantiation *fin-defl* :: (*bifinite*) below
begin

definition *below-fin-defl-def*:
below $\equiv \lambda x y. \text{Rep-fin-defl } x \sqsubseteq \text{Rep-fin-defl } y$

instance ..
end

instance *fin-defl* :: (*bifinite*) *po*
using *type-definition-fin-defl below-fin-defl-def*
by (*rule typedef-po-class*)

lemma *finite-deflation-Rep-fin-defl: finite-deflation (Rep-fin-defl d)*
using *Rep-fin-defl by simp*

lemma *deflation-Rep-fin-defl: deflation (Rep-fin-defl d)*
using *finite-deflation-Rep-fin-defl*
by (*rule finite-deflation-imp-deflation*)

interpretation *Rep-fin-defl: finite-deflation Rep-fin-defl d*
by (*rule finite-deflation-Rep-fin-defl*)

lemma *fin-defl-belowI*:
 $(\bigwedge x. \text{Rep-fin-defl } a \cdot x = x \implies \text{Rep-fin-defl } b \cdot x = x) \implies a \sqsubseteq b$
unfolding *below-fin-defl-def*
by (*rule Rep-fin-defl.belowI*)

lemma *fin-defl-belowD*:
 $[[a \sqsubseteq b; \text{Rep-fin-defl } a \cdot x = x] \implies \text{Rep-fin-defl } b \cdot x = x$
unfolding *below-fin-defl-def*
by (*rule Rep-fin-defl.belowD*)

lemma *fin-defl-eqI*:
 $a = b$ **if** $(\bigwedge x. \text{Rep-fin-defl } a \cdot x = x \longleftrightarrow \text{Rep-fin-defl } b \cdot x = x)$
proof (*rule below-antisym*)
 show $a \sqsubseteq b$ **by** (*rule fin-defl-belowI*) (*simp add: that*)
 show $b \sqsubseteq a$ **by** (*rule fin-defl-belowI*) (*simp add: that*)
qed

lemma *Rep-fin-defl-mono: a \sqsubseteq b \implies Rep-fin-defl a \sqsubseteq Rep-fin-defl b*
unfolding *below-fin-defl-def* .

lemma *Abs-fin-defl-mono*:
 $[[\text{finite-deflation } a; \text{finite-deflation } b; a \sqsubseteq b]$
 $\implies \text{Abs-fin-defl } a \sqsubseteq \text{Abs-fin-defl } b$
unfolding *below-fin-defl-def*

by (simp add: Abs-fin-defl-inverse)

lemma (in finite-deflation) compact-belowI:

$d \sqsubseteq f$ if $\bigwedge x. \text{compact } x \implies d \cdot x = x \implies f \cdot x = x$

by (rule belowI, rule that, erule subst, rule compact)

lemma compact-Rep-fin-defl [simp]: compact (Rep-fin-defl a)

using finite-deflation-Rep-fin-defl

by (rule finite-deflation-imp-compact)

22.2 Defining algebraic deflations by ideal completion

typedef 'a::bifinite defl = {S::'a fin-defl set. below.ideal S}

by (rule below.ex-ideal)

instantiation defl :: (bifinite) below

begin

definition $x \sqsubseteq y \iff \text{Rep-defl } x \subseteq \text{Rep-defl } y$

instance ..

end

instance defl :: (bifinite) po

using type-definition-defl below-defl-def

by (rule below.typedef-ideal-po)

instance defl :: (bifinite) cpo

using type-definition-defl below-defl-def

by (rule below.typedef-ideal-cpo)

definition defl-principal :: 'a::bifinite fin-defl \Rightarrow 'a defl

where defl-principal t = Abs-defl {u. u \sqsubseteq t}

lemma fin-defl-countable: $\exists f::'a::bifinite \text{ fin-defl} \Rightarrow \text{nat. inj } f$

proof –

obtain f :: 'a compact-basis \Rightarrow nat **where** inj-f: inj f

using compact-basis.countable ..

have *: $\bigwedge d. \text{finite } (f \text{ ` Rep-compact-basis - ` } \{x. \text{Rep-fin-defl } d \cdot x = x\})$

apply (rule finite-imageI)

apply (rule finite-vimageI)

apply (rule Rep-fin-defl.finite-fixes)

apply (simp add: inj-on-def Rep-compact-basis-inject)

done

have range-eq: range Rep-compact-basis = {x. compact x}

using type-definition-compact-basis **by** (rule type-definition.Rep-range)

have inj ($\lambda d. \text{set-encode}$

(f ` Rep-compact-basis - ` {x. Rep-fin-defl d · x = x}))

```

apply (rule inj-onI)
apply (simp only: set-encode-eq *)
apply (simp only: inj-image-eq-iff inj-f)
apply (drule-tac f=image Rep-compact-basis in arg-cong)
apply (simp del: vimage-Collect-eq add: range-eq set-eq-iff)
apply (rule Rep-fin-defl-inject [THEN iffD1])
apply (rule below-antisym)
apply (rule Rep-fin-defl.compact-belowI, rename-tac z)
apply (drule-tac x=z in spec, simp)
apply (rule Rep-fin-defl.compact-belowI, rename-tac z)
apply (drule-tac x=z in spec, simp)
done
thus ?thesis by – (rule exI)
qed

```

```

interpretation defl: ideal-completion below defl-principal Rep-defl
using type-definition-defl below-defl-def
using defl-principal-def fin-defl-countable
by (rule below.typedef-ideal-completion)

```

Algebraic deflations are pointed

```

lemma defl-minimal: defl-principal (Abs-fin-defl  $\perp$ )  $\sqsubseteq$  x
proof (induct x rule: defl.principal-induct)
  fix a :: 'a fin-defl
  have Abs-fin-defl  $\perp$   $\sqsubseteq$  a
    by (simp add: below-fin-defl-def Abs-fin-defl-inverse finite-deflation-bottom)
  then show defl-principal (Abs-fin-defl  $\perp$ )  $\sqsubseteq$  defl-principal a
    by (rule defl.principal-mono)
qed simp

```

```

instance defl :: (bifinite) pcpo
by intro-classes (fast intro: defl-minimal)

```

```

lemma inst-defl-pcpo:  $\perp$  = defl-principal (Abs-fin-defl  $\perp$ )
by (rule defl-minimal [THEN bottomI, symmetric])

```

22.3 Applying algebraic deflations

```

definition cast :: 'a::bifinite defl  $\rightarrow$  'a  $\rightarrow$  'a
  where cast = defl.extension Rep-fin-defl

```

```

lemma cast-defl-principal: cast.(defl-principal a) = Rep-fin-defl a
  unfolding cast-def
  by (rule defl.extension-principal) (simp only: below-fin-defl-def)

```

```

lemma deflation-cast: deflation (cast.d)
apply (induct d rule: defl.principal-induct)
apply (rule adm-subst [OF - adm-deflation], simp)
apply (simp add: cast-defl-principal)

```

```

apply (rule finite-deflation-imp-deflation)
apply (rule finite-deflation-Rep-fin-defl)
done

```

```

lemma finite-deflation-cast: compact d  $\implies$  finite-deflation (cast·d)
  apply (drule defl.compact-imp-principal)
  apply clarify
  apply (simp add: cast-defl-principal)
  apply (rule finite-deflation-Rep-fin-defl)
done

```

```

interpretation cast: deflation cast·d
by (rule deflation-cast)

```

```

declare cast.idem [simp]

```

```

lemma compact-cast [simp]: compact (cast·d) if compact d
  by (rule finite-deflation-imp-compact) (use that in ⟨rule finite-deflation-cast⟩)

```

```

lemma cast-below-cast: cast·A  $\sqsubseteq$  cast·B  $\longleftrightarrow$  A  $\sqsubseteq$  B
apply (induct A rule: defl.principal-induct, simp)
apply (induct B rule: defl.principal-induct, simp)
apply (simp add: cast-defl-principal below-fin-defl-def)
done

```

```

lemma compact-cast-iff: compact (cast·d)  $\longleftrightarrow$  compact d
apply (rule iffI)
apply (simp only: compact-def cast-below-cast [symmetric])
apply (erule adm-subst [OF cont-Rep-cfun2])
apply (erule compact-cast)
done

```

```

lemma cast-below-imp-below: cast·A  $\sqsubseteq$  cast·B  $\implies$  A  $\sqsubseteq$  B
by (simp only: cast-below-cast)

```

```

lemma cast-eq-imp-eq: cast·A = cast·B  $\implies$  A = B
by (simp add: below-antisym cast-below-imp-below)

```

```

lemma cast-strict1 [simp]: cast· $\perp$  =  $\perp$ 
apply (subst inst-defl-pcpo)
apply (subst cast-defl-principal)
apply (rule Abs-fin-defl-inverse)
apply (simp add: finite-deflation-bottom)
done

```

```

lemma cast-strict2 [simp]: cast·A· $\perp$  =  $\perp$ 
by (rule cast.below [THEN bottomI])

```

22.4 Deflation combinators

definition

$$\begin{aligned} \text{defl-fun1 } e \ p \ f = & \\ & \text{defl.extension } (\lambda a. \\ & \text{defl-principal } (\text{Abs-fin-defl} \\ & (e \text{ oo } f \cdot (\text{Rep-fin-defl } a) \text{ oo } p))) \end{aligned}$$

definition

$$\begin{aligned} \text{defl-fun2 } e \ p \ f = & \\ & \text{defl.extension } (\lambda a. \\ & \text{defl.extension } (\lambda b. \\ & \text{defl-principal } (\text{Abs-fin-defl} \\ & (e \text{ oo } f \cdot (\text{Rep-fin-defl } a) \cdot (\text{Rep-fin-defl } b) \text{ oo } p)))) \end{aligned}$$

lemma *cast-defl-fun1*:

assumes *ep*: *ep-pair* *e p*

assumes *f*: $\bigwedge a. \text{finite-deflation } a \implies \text{finite-deflation } (f \cdot a)$

shows $\text{cast} \cdot (\text{defl-fun1 } e \ p \ f \cdot A) = e \text{ oo } f \cdot (\text{cast} \cdot A) \text{ oo } p$

proof –

have 1: *finite-deflation* $(e \text{ oo } f \cdot (\text{Rep-fin-defl } a) \text{ oo } p)$ **for** *a*

proof –

have *finite-deflation* $(f \cdot (\text{Rep-fin-defl } a))$

using *finite-deflation-Rep-fin-defl* **by** (*rule f*)

with *ep* **show** *?thesis*

by (*rule ep-pair.finite-deflation-e-d-p*)

qed

show *?thesis*

by (*induct A rule: defl.principal-induct, simp*)

(*simp only: defl-fun1-def*

defl.extension-principal

defl.extension-mono

defl.principal-mono

Abs-fin-defl-mono [*OF 1 1*]

monofun-cfun below-refl

Rep-fin-defl-mono

cast-defl-principal

Abs-fin-defl-inverse [*unfolded mem-Collect-eq, OF 1*])

qed

lemma *cast-defl-fun2*:

assumes *ep*: *ep-pair* *e p*

assumes *f*: $\bigwedge a \ b. \text{finite-deflation } a \implies \text{finite-deflation } b \implies$
finite-deflation $(f \cdot a \cdot b)$

shows $\text{cast} \cdot (\text{defl-fun2 } e \ p \ f \cdot A \cdot B) = e \text{ oo } f \cdot (\text{cast} \cdot A) \cdot (\text{cast} \cdot B) \text{ oo } p$

proof –

have 1: *finite-deflation* $(e \text{ oo } f \cdot (\text{Rep-fin-defl } a) \cdot (\text{Rep-fin-defl } b) \text{ oo } p)$ **for** *a b*

proof –

have *finite-deflation* $(f \cdot (\text{Rep-fin-defl } a) \cdot (\text{Rep-fin-defl } b))$

using *finite-deflation-Rep-fin-defl finite-deflation-Rep-fin-defl* **by** (*rule f*)

```

with ep show ?thesis
  by (rule ep-pair.finite-deflation-e-d-p)
qed
show ?thesis
  apply (induct A rule: defl.principal-induct, simp)
  apply (induct B rule: defl.principal-induct, simp)
  by (simp only: defl-fun2-def
      defl.extension-principal
      defl.extension-mono
      defl.principal-mono
      Abs-fin-defl-mono [OF 1 1]
      monofun-cfun below-refl
      Rep-fin-defl-mono
      cast-defl-principal
      Abs-fin-defl-inverse [unfolded mem-Collect-eq, OF 1])
qed
end

```

23 Representable domains

```

theory Representable
imports Algebraic Map-Functions HOL-Library.Countable
begin

```

23.1 Class of representable domains

We define a “domain” as a pcpo that is isomorphic to some algebraic deflation over the universal domain; this is equivalent to being omega-bifinite.

A predomain is a cpo that, when lifted, becomes a domain. Predomains are represented by deflations over a lifted universal domain type.

```

class predomain-syn = cpo +
  fixes liftemb :: 'a⊥ → udom⊥
  fixes liftprj :: udom⊥ → 'a⊥
  fixes liftdefl :: 'a itself ⇒ udom u defl

class predomain = predomain-syn +
  assumes predomain-ep: ep-pair liftemb liftprj
  assumes cast-liftdefl: cast·(liftdefl TYPE('a)) = liftemb oo liftprj

syntax -LIFTDEFL :: type ⇒ logic (⟨(1LIFTDEFL/(1'(-)))⟩)
syntax-consts -LIFTDEFL ⇔ liftdefl
translations LIFTDEFL('t) ⇔ CONST liftdefl TYPE('t)

definition liftdefl-of :: udom defl → udom u defl
  where liftdefl-of = defl-fun1 ID ID u-map

lemma cast-liftdefl-of: cast·(liftdefl-of·t) = u-map·(cast·t)

```

by (*simp add: liftdefl-of-def cast-defl-fun1 ep-pair-def finite-deflation-u-map*)

```

class domain = predomain-syn + pcpo +
  fixes emb :: 'a → udom
  fixes prj :: udom → 'a
  fixes defl :: 'a itself ⇒ udom defl
  assumes ep-pair-emb-prj: ep-pair emb prj
  assumes cast-DEFL: cast·(defl TYPE('a)) = emb oo prj
  assumes liftemb-eq: liftemb = u-map·emb
  assumes liftprj-eq: liftprj = u-map·prj
  assumes liftdefl-eq: liftdefl TYPE('a) = liftdefl-of·(defl TYPE('a))

```

```

syntax -DEFL :: type ⇒ logic (⟨(1DEFL/(1'(-)))⟩)
syntax-consts -DEFL ⇔ defl
translations DEFL('t) ⇔ CONST defl TYPE('t)

```

```

instance domain ⊆ predomain
proof
  show ep-pair liftemb (liftprj::udom⊥ → 'a⊥)
    unfolding liftemb-eq liftprj-eq
    by (intro ep-pair-u-map ep-pair-emb-prj)
  show cast-LIFTDEFL('a) = liftemb oo (liftprj::udom⊥ → 'a⊥)
    unfolding liftemb-eq liftprj-eq liftdefl-eq
    by (simp add: cast-liftdefl-of cast-DEFL u-map-oo)
qed

```

Constants *liftemb* and *liftprj* imply class *predomain*.

```

setup ⟨
  fold Sign.add-const-constraint
  [(const-name ⟨liftemb⟩, SOME typ ⟨'a::predomain u → udom u⟩),
   (const-name ⟨liftprj⟩, SOME typ ⟨udom u → 'a::predomain u⟩),
   (const-name ⟨liftdefl⟩, SOME typ ⟨'a::predomain itself ⇒ udom u defl⟩)]
⟩

```

```

interpretation predomain: pcpo-ep-pair liftemb liftprj
  unfolding pcpo-ep-pair-def by (rule predomain-ep)

```

```

interpretation domain: pcpo-ep-pair emb prj
  unfolding pcpo-ep-pair-def by (rule ep-pair-emb-prj)

```

```

lemmas emb-inverse = domain.e-inverse
lemmas emb-prj-below = domain.e-p-below
lemmas emb-eq-iff = domain.e-eq-iff
lemmas emb-strict = domain.e-strict
lemmas prj-strict = domain.p-strict

```

23.2 Domains are bifinite

lemma *approx-chain-ep-cast*:

```

assumes ep: ep-pair (e::'a::pcpo → 'b::bifinite) (p::'b → 'a)
assumes cast-t: cast·t = e oo p
shows ∃(a::nat ⇒ 'a::pcpo → 'a). approx-chain a
proof –
  interpret ep-pair e p by fact
  obtain Y where Y: ∀ i. Y i ⊆ Y (Suc i)
  and t: t = (⊔ i. defl-principal (Y i))
    by (rule defl.obtain-principal-chain)
  define approx where approx i = (p oo cast·(defl-principal (Y i)) oo e) for i
  have approx-chain approx
  proof (rule approx-chain.intro)
    show chain (λi. approx i)
      unfolding approx-def by (simp add: Y)
    show (⊔ i. approx i) = ID
      unfolding approx-def
      by (simp add: lub-distrib Y t [symmetric] cast-t cfun-eq-iff)
    show ∧i. finite-deflation (approx i)
      unfolding approx-def
      apply (rule finite-deflation-p-d-e)
      apply (rule finite-deflation-cast)
      apply (rule defl.compact-principal)
      apply (rule below-trans [OF monofun-cfun-fun])
      apply (rule is-ub-thelub, simp add: Y)
      apply (simp add: lub-distrib Y t [symmetric] cast-t)
    done
  qed
  thus ∃(a::nat ⇒ 'a → 'a). approx-chain a by – (rule exI)
qed

```

```

instance domain ⊆ bifinite
by standard (rule approx-chain-ep-cast [OF ep-pair-emb-prj cast-DEFL])

```

```

instance predomain ⊆ profinite
by standard (rule approx-chain-ep-cast [OF predomain-ep cast-liftdefl])

```

23.3 Universal domain ep-pairs

```

definition u-emb = u-emb (λi. u-map·(u-approx i))

```

```

definition u-prj = u-prj (λi. u-map·(u-approx i))

```

```

definition prod-emb = u-emb (λi. prod-map·(u-approx i)·(u-approx i))

```

```

definition prod-prj = u-prj (λi. prod-map·(u-approx i)·(u-approx i))

```

```

definition sprod-emb = u-emb (λi. sprod-map·(u-approx i)·(u-approx i))

```

```

definition sprod-prj = u-prj (λi. sprod-map·(u-approx i)·(u-approx i))

```

```

definition ssum-emb = u-emb (λi. ssum-map·(u-approx i)·(u-approx i))

```

i))

definition $ssum\text{-}prj = udom\text{-}prj (\lambda i. ssum\text{-}map.(udom\text{-}approx\ i).(udom\text{-}approx\ i))$

definition $sfun\text{-}emb = udom\text{-}emb (\lambda i. sfun\text{-}map.(udom\text{-}approx\ i).(udom\text{-}approx\ i))$

definition $sfun\text{-}prj = udom\text{-}prj (\lambda i. sfun\text{-}map.(udom\text{-}approx\ i).(udom\text{-}approx\ i))$

lemma $ep\text{-}pair\text{-}u: ep\text{-}pair\ u\text{-}emb\ u\text{-}prj$

unfolding $u\text{-}emb\text{-}def\ u\text{-}prj\text{-}def$

by ($simp\ add: ep\text{-}pair\text{-}udom\ approx\text{-}chain\text{-}u\text{-}map$)

lemma $ep\text{-}pair\text{-}prod: ep\text{-}pair\ prod\text{-}emb\ prod\text{-}prj$

unfolding $prod\text{-}emb\text{-}def\ prod\text{-}prj\text{-}def$

by ($simp\ add: ep\text{-}pair\text{-}udom\ approx\text{-}chain\text{-}prod\text{-}map$)

lemma $ep\text{-}pair\text{-}sprod: ep\text{-}pair\ sprod\text{-}emb\ sprod\text{-}prj$

unfolding $sprod\text{-}emb\text{-}def\ sprod\text{-}prj\text{-}def$

by ($simp\ add: ep\text{-}pair\text{-}udom\ approx\text{-}chain\text{-}sprod\text{-}map$)

lemma $ep\text{-}pair\text{-}ssum: ep\text{-}pair\ ssum\text{-}emb\ ssum\text{-}prj$

unfolding $ssum\text{-}emb\text{-}def\ ssum\text{-}prj\text{-}def$

by ($simp\ add: ep\text{-}pair\text{-}udom\ approx\text{-}chain\text{-}ssum\text{-}map$)

lemma $ep\text{-}pair\text{-}sfun: ep\text{-}pair\ sfun\text{-}emb\ sfun\text{-}prj$

unfolding $sfun\text{-}emb\text{-}def\ sfun\text{-}prj\text{-}def$

by ($simp\ add: ep\text{-}pair\text{-}udom\ approx\text{-}chain\text{-}sfun\text{-}map$)

23.4 Type combinators

definition $u\text{-}defl :: udom\ defl \rightarrow udom\ defl$

where $u\text{-}defl = defl\text{-}fun1\ u\text{-}emb\ u\text{-}prj\ u\text{-}map$

definition $prod\text{-}defl :: udom\ defl \rightarrow udom\ defl \rightarrow udom\ defl$

where $prod\text{-}defl = defl\text{-}fun2\ prod\text{-}emb\ prod\text{-}prj\ prod\text{-}map$

definition $sprod\text{-}defl :: udom\ defl \rightarrow udom\ defl \rightarrow udom\ defl$

where $sprod\text{-}defl = defl\text{-}fun2\ sprod\text{-}emb\ sprod\text{-}prj\ sprod\text{-}map$

definition $ssum\text{-}defl :: udom\ defl \rightarrow udom\ defl \rightarrow udom\ defl$

where $ssum\text{-}defl = defl\text{-}fun2\ ssum\text{-}emb\ ssum\text{-}prj\ ssum\text{-}map$

definition $sfun\text{-}defl :: udom\ defl \rightarrow udom\ defl \rightarrow udom\ defl$

where $sfun\text{-}defl = defl\text{-}fun2\ sfun\text{-}emb\ sfun\text{-}prj\ sfun\text{-}map$

lemma $cast\text{-}u\text{-}defl:$

$cast.(u\text{-}defl.A) = u\text{-}emb\ oo\ u\text{-}map.(cast.A)\ oo\ u\text{-}prj$

using $ep\text{-}pair\text{-}u\ finite\text{-}deflation\text{-}u\text{-}map$

unfolding $u\text{-}defl\text{-}def$ **by** ($rule\ cast\text{-}defl\text{-}fun1$)

lemma $cast\text{-}prod\text{-}defl:$

$cast.(prod-defl.A.B) =$
 $prod-emb \text{ oo } prod-map.(cast.A).(cast.B) \text{ oo } prod-prj$
using *ep-pair-prod finite-deflation-prod-map*
unfolding *prod-defl-def* **by** (*rule cast-defl-fun2*)

lemma *cast-sprod-defl*:
 $cast.(sprod-defl.A.B) =$
 $sprod-emb \text{ oo } sprod-map.(cast.A).(cast.B) \text{ oo } sprod-prj$
using *ep-pair-sprod finite-deflation-sprod-map*
unfolding *sprod-defl-def* **by** (*rule cast-defl-fun2*)

lemma *cast-ssum-defl*:
 $cast.(ssum-defl.A.B) =$
 $ssum-emb \text{ oo } ssum-map.(cast.A).(cast.B) \text{ oo } ssum-prj$
using *ep-pair-ssum finite-deflation-ssum-map*
unfolding *ssum-defl-def* **by** (*rule cast-defl-fun2*)

lemma *cast-sfun-defl*:
 $cast.(sfun-defl.A.B) =$
 $sfun-emb \text{ oo } sfun-map.(cast.A).(cast.B) \text{ oo } sfun-prj$
using *ep-pair-sfun finite-deflation-sfun-map*
unfolding *sfun-defl-def* **by** (*rule cast-defl-fun2*)

Special deflation combinator for unpointed types.

definition *u-liftdefl* :: $u\text{dom } u \text{ defl} \rightarrow u\text{dom } defl$
where $u\text{-liftdefl} = defl\text{-fun1 } u\text{-emb } u\text{-prj } ID$

lemma *cast-u-liftdefl*:
 $cast.(u\text{-liftdefl}.A) = u\text{-emb} \text{ oo } cast.A \text{ oo } u\text{-prj}$
unfolding *u-liftdefl-def* **by** (*simp add: cast-defl-fun1 ep-pair-u*)

lemma *u-liftdefl-liftdefl-of*:
 $u\text{-liftdefl}.(liftdefl\text{-of}.A) = u\text{-defl}.A$
by (*rule cast-eq-imp-eq*)
(simp add: cast-u-liftdefl cast-liftdefl-of cast-u-defl)

23.5 Class instance proofs

23.5.1 Universal domain

instantiation *uodom* :: *domain*
begin

definition [*simp*]:
 $emb = (ID :: u\text{dom} \rightarrow u\text{dom})$

definition [*simp*]:
 $prj = (ID :: u\text{dom} \rightarrow u\text{dom})$

definition

$defl (t::udom \textit{ itself}) = (\bigsqcup i. \textit{ defl-principal } (Abs\textit{-fin-defl } (udom\textit{-approx } i)))$

definition

$(liftemb :: udom \ u \rightarrow udom \ u) = u\textit{-map}\cdot emb$

definition

$(liftprj :: udom \ u \rightarrow udom \ u) = u\textit{-map}\cdot prj$

definition

$liftdefl (t::udom \ \textit{ itself}) = liftdefl\textit{-of}\cdot DEFL(udom)$

instance proof

show $ep\textit{-pair } emb (prj :: udom \rightarrow udom)$

by $(simp \ add: ep\textit{-pair}\textit{.intro})$

show $cast\cdot DEFL(udom) = emb \ oo (prj :: udom \rightarrow udom)$

unfolding $defl\textit{-udom}\textit{-def}$

apply $(subst \ contlub\textit{-cfun}\textit{-arg})$

apply $(rule \ chainI)$

apply $(rule \ defl\textit{.principal}\textit{-mono})$

apply $(simp \ add: below\textit{-fin}\textit{-defl}\textit{-def})$

apply $(simp \ add: Abs\textit{-fin}\textit{-defl}\textit{-inverse } finite\textit{-deflation}\textit{-udom}\textit{-approx})$

apply $(rule \ chainE)$

apply $(rule \ chain\textit{-udom}\textit{-approx})$

apply $(subst \ cast\textit{-defl}\textit{-principal})$

apply $(simp \ add: Abs\textit{-fin}\textit{-defl}\textit{-inverse } finite\textit{-deflation}\textit{-udom}\textit{-approx})$

done

qed $(fact \ liftemb\textit{-udom}\textit{-def } liftprj\textit{-udom}\textit{-def } liftdefl\textit{-udom}\textit{-def})+$

end

23.5.2 Lifted cpo

instantiation $u :: (predomain) \ domain$

begin

definition

$emb = u\textit{-emb} \ oo \ liftemb$

definition

$prj = liftprj \ oo \ u\textit{-prj}$

definition

$defl (t::'a \ u \ \textit{ itself}) = u\textit{-liftdefl}\cdot LIFTDEFL('a)$

definition

$(liftemb :: 'a \ u \ u \rightarrow udom \ u) = u\textit{-map}\cdot emb$

definition

$(liftprj :: udom \ u \rightarrow 'a \ u \ u) = u\textit{-map}\cdot prj$

definition

$$\text{liftdefl } (t::'a \text{ } u \text{ } \textit{itself}) = \text{liftdefl-of} \cdot \text{DEFL}('a \text{ } u)$$
instance proof

show $\text{ep-pair } \text{emb } (\text{prj} :: \text{udom} \rightarrow 'a \text{ } u)$
unfolding $\text{emb-u-def } \text{prj-u-def}$
by $(\text{intro } \text{ep-pair-comp } \text{ep-pair-u } \text{predomain-ep})$
show $\text{cast} \cdot \text{DEFL}('a \text{ } u) = \text{emb } \text{oo } (\text{prj} :: \text{udom} \rightarrow 'a \text{ } u)$
unfolding $\text{emb-u-def } \text{prj-u-def } \text{defl-u-def}$
by $(\text{simp } \text{add: } \text{cast-u-liftdefl } \text{cast-liftdefl } \text{assoc-oo})$
qed $(\text{fact } \text{liftemb-u-def } \text{liftprj-u-def } \text{liftdefl-u-def})+$

end

lemma $\text{DEFL-u: } \text{DEFL}('a::\text{predomain } u) = u \cdot \text{liftdefl} \cdot \text{LIFTDEFL}('a)$
by $(\text{rule } \text{defl-u-def})$

23.5.3 Strict function space

instantiation $\text{sfun} :: (\text{domain}, \text{domain}) \text{ domain}$
begin

definition

$$\text{emb} = \text{sfun-emb } \text{oo } \text{sfun-map} \cdot \text{prj-emb}$$
definition

$$\text{prj} = \text{sfun-map} \cdot \text{emb} \cdot \text{prj } \text{oo } \text{sfun-prj}$$
definition

$$\text{defl } (t::('a \rightarrow! 'b) \text{ } \textit{itself}) = \text{sfun-defl} \cdot \text{DEFL}('a) \cdot \text{DEFL}('b)$$
definition

$$(\text{liftemb} :: ('a \rightarrow! 'b) \text{ } u \rightarrow \text{udom } u) = u \cdot \text{map} \cdot \text{emb}$$
definition

$$(\text{liftprj} :: \text{udom } u \rightarrow ('a \rightarrow! 'b) \text{ } u) = u \cdot \text{map} \cdot \text{prj}$$
definition

$$\text{liftdefl } (t::('a \rightarrow! 'b) \text{ } \textit{itself}) = \text{liftdefl-of} \cdot \text{DEFL}('a \rightarrow! 'b)$$
instance proof

show $\text{ep-pair } \text{emb } (\text{prj} :: \text{udom} \rightarrow 'a \rightarrow! 'b)$
unfolding $\text{emb-sfun-def } \text{prj-sfun-def}$
by $(\text{intro } \text{ep-pair-comp } \text{ep-pair-sfun } \text{ep-pair-sfun-map } \text{ep-pair-emb-prj})$
show $\text{cast} \cdot \text{DEFL}('a \rightarrow! 'b) = \text{emb } \text{oo } (\text{prj} :: \text{udom} \rightarrow 'a \rightarrow! 'b)$
unfolding $\text{emb-sfun-def } \text{prj-sfun-def } \text{defl-sfun-def } \text{cast-sfun-defl}$
by $(\text{simp } \text{add: } \text{cast-DEFL } \text{oo-def } \text{sfun-eq-iff } \text{sfun-map-map})$
qed $(\text{fact } \text{liftemb-sfun-def } \text{liftprj-sfun-def } \text{liftdefl-sfun-def})+$

end

lemma *DEFL-sfun*:

$DEFL('a::domain \rightarrow! 'b::domain) = sfun-defl \cdot DEFL('a) \cdot DEFL('b)$
by (*rule defl-sfun-def*)

23.5.4 Continuous function space

instantiation *cfun* :: (*pre*domain, *domain*) *domain*
begin

definition

$emb = emb \circ encode-cfun$

definition

$prj = decode-cfun \circ prj$

definition

$defl (t::('a \rightarrow 'b) \textit{ itself}) = DEFL('a \ u \rightarrow! 'b)$

definition

$(liftemb :: ('a \rightarrow 'b) \ u \rightarrow \textit{ udom } \ u) = u\text{-map}\text{-emb}$

definition

$(liftprj :: \textit{ udom } \ u \rightarrow ('a \rightarrow 'b) \ u) = u\text{-map}\text{-prj}$

definition

$liftdefl (t::('a \rightarrow 'b) \ \textit{ itself}) = liftdefl\text{-of}\text{-}DEFL('a \rightarrow 'b)$

instance proof

have *ep-pair encode-cfun decode-cfun*

by (*rule ep-pair.intro, simp-all*)

thus *ep-pair emb (prj :: udom \rightarrow 'a \rightarrow 'b)*

unfolding *emb-cfun-def prj-cfun-def*

using *ep-pair-emb-prj* **by** (*rule ep-pair-comp*)

show *cast-DEFL('a \rightarrow 'b) = emb \circ (prj :: udom \rightarrow 'a \rightarrow 'b)*

unfolding *emb-cfun-def prj-cfun-def defl-cfun-def*

by (*simp add: cast-DEFL cfcomp1*)

qed (*fact liftemb-cfun-def liftprj-cfun-def liftdefl-cfun-def*)+

end

lemma *DEFL-cfun*:

$DEFL('a::pre\textit{ domain} \rightarrow 'b::\textit{ domain}) = DEFL('a \ u \rightarrow! 'b)$
by (*rule defl-cfun-def*)

23.5.5 Strict product

instantiation *sprod* :: (*domain*, *domain*) *domain*

begin

definition

$emb = \text{sprod-emb } oo \text{ sprod-map} \cdot emb \cdot emb$

definition

$prj = \text{sprod-map} \cdot prj \cdot prj \text{ } oo \text{ sprod-prj}$

definition

$\text{defl } (t :: ('a \otimes 'b) \text{ itself}) = \text{sprod-defl} \cdot \text{DEFL}('a) \cdot \text{DEFL}('b)$

definition

$(\text{liftemb} :: ('a \otimes 'b) \text{ } u \rightarrow \text{udom } u) = u\text{-map} \cdot emb$

definition

$(\text{liftprj} :: \text{udom } u \rightarrow ('a \otimes 'b) \text{ } u) = u\text{-map} \cdot prj$

definition

$\text{liftdefl } (t :: ('a \otimes 'b) \text{ itself}) = \text{liftdefl-of} \cdot \text{DEFL}('a \otimes 'b)$

instance proof

show $ep\text{-pair } emb \text{ } (prj :: \text{udom} \rightarrow 'a \otimes 'b)$

unfolding $emb\text{-sprod-def } prj\text{-sprod-def}$

by $(\text{intro } ep\text{-pair-comp } ep\text{-pair-sprod } ep\text{-pair-sprod-map } ep\text{-pair-emb-prj})$

show $\text{cast} \cdot \text{DEFL}('a \otimes 'b) = emb \text{ } oo \text{ } (prj :: \text{udom} \rightarrow 'a \otimes 'b)$

unfolding $emb\text{-sprod-def } prj\text{-sprod-def } \text{defl-sprod-def } \text{cast-sprod-defl}$

by $(\text{simp add: cast-DEFL } oo\text{-def } cfun\text{-eq-iff } \text{sprod-map-map})$

qed $(\text{fact } \text{liftemb-sprod-def } \text{liftprj-sprod-def } \text{liftdefl-sprod-def}) +$

end

lemma DEFL-sprod :

$\text{DEFL}('a :: \text{domain} \otimes 'b :: \text{domain}) = \text{sprod-defl} \cdot \text{DEFL}('a) \cdot \text{DEFL}('b)$

by $(\text{rule } \text{defl-sprod-def})$

23.5.6 Cartesian product

definition $\text{prod-liftdefl} :: \text{udom } u \text{ defl} \rightarrow \text{udom } u \text{ defl} \rightarrow \text{udom } u \text{ defl}$

where $\text{prod-liftdefl} = \text{defl-fun2 } (u\text{-map} \cdot \text{prod-emb } oo \text{ decode-prod-u})$

$(\text{encode-prod-u } oo \text{ } u\text{-map} \cdot \text{prod-prj}) \text{ } \text{sprod-map}$

lemma $\text{cast-prod-liftdefl}$:

$\text{cast} \cdot (\text{prod-liftdefl} \cdot a \cdot b) =$

$(u\text{-map} \cdot \text{prod-emb } oo \text{ decode-prod-u}) \text{ } oo \text{ } \text{sprod-map} \cdot (\text{cast} \cdot a) \cdot (\text{cast} \cdot b) \text{ } oo$

$(\text{encode-prod-u } oo \text{ } u\text{-map} \cdot \text{prod-prj})$

unfolding prod-liftdefl-def

apply $(\text{rule } \text{cast-defl-fun2})$

apply $(\text{intro } ep\text{-pair-comp } ep\text{-pair-u-map } ep\text{-pair-prod})$

apply $(\text{simp add: } ep\text{-pair.intro})$

apply (*erule* (1) *finite-deflation-sprod-map*)
done

instantiation *prod* :: (*predomain*, *predomain*) *predomain*
begin

definition

liftemb = (*u-map-prod-emb* oo *decode-prod-u*) oo
(*sprod-map-liftemb-liftemb* oo *encode-prod-u*)

definition

liftprj = (*decode-prod-u* oo *sprod-map-liftprj-liftprj*) oo
(*encode-prod-u* oo *u-map-prod-prj*)

definition

liftdefl (*t*::('a × 'b) *itself*) = *prod-liftdefl*.*LIFTDEFL*('a).*LIFTDEFL*('b)

instance proof

show *ep-pair liftemb (liftprj :: udom u → ('a × 'b) u)*
unfolding *liftemb-prod-def liftprj-prod-def*
by (*intro ep-pair-comp ep-pair-sprod-map ep-pair-u-map*
ep-pair-prod predomain-ep, simp-all add: ep-pair.intro)
show *cast.LIFTDEFL('a × 'b) = liftemb oo (liftprj :: udom u → ('a × 'b) u)*
unfolding *liftemb-prod-def liftprj-prod-def liftdefl-prod-def*
by (*simp add: cast-prod-liftdefl cast-liftdefl cfcamp1 sprod-map-map*)

qed

end

instantiation *prod* :: (*domain*, *domain*) *domain*
begin

definition

emb = *prod-emb* oo *prod-map-emb-emb*

definition

prj = *prod-map-prj-prj* oo *prod-prj*

definition

defl (*t*::('a × 'b) *itself*) = *prod-defl*.*DEFL*('a).*DEFL*('b)

instance proof

show 1: *ep-pair emb (prj :: udom → 'a × 'b)*
unfolding *emb-prod-def prj-prod-def*
by (*intro ep-pair-comp ep-pair-prod ep-pair-prod-map ep-pair-emb-prj*)
show 2: *cast.DEFL('a × 'b) = emb oo (prj :: udom → 'a × 'b)*
unfolding *emb-prod-def prj-prod-def defl-prod-def cast-prod-defl*
by (*simp add: cast-DEFL oo-def cfun-eq-iff prod-map-map*)
show 3: *liftemb = u-map.(emb :: 'a × 'b → udom)*

```

unfolding emb-prod-def liftemb-prod-def liftemb-eq
unfolding encode-prod-u-def decode-prod-u-def
by (rule cfun-eqI, case-tac x, simp, clarsimp)
show 4: liftprj = u-map.(prj :: udom → 'a × 'b)
unfolding prj-prod-def liftprj-prod-def liftprj-eq
unfolding encode-prod-u-def decode-prod-u-def
apply (rule cfun-eqI, case-tac x, simp)
apply (rename-tac y, case-tac prod-prj.y, simp)
done
show 5: LIFTDEFL('a × 'b) = liftdefl-of·DEFL('a × 'b)
by (rule cast-eq-imp-eq)
      (simp add: cast-liftdefl cast-liftdefl-of cast-DEFL 2 3 4 u-map-oo)
qed

end

```

lemma *DEFL-prod*:

```

 $DEFL('a::domain \times 'b::domain) = prod-defl·DEFL('a)·DEFL('b)$ 
by (rule defl-prod-def)

```

lemma *LIFTDEFL-prod*:

```

 $LIFTDEFL('a::predomain \times 'b::predomain) =$ 
 $prod-liftdefl·LIFTDEFL('a)·LIFTDEFL('b)$ 
by (rule liftdefl-prod-def)

```

23.5.7 Unit type

```

instantiation unit :: domain
begin

```

definition

```

 $emb = (\perp :: unit \rightarrow udom)$ 

```

definition

```

 $prj = (\perp :: udom \rightarrow unit)$ 

```

definition

```

 $defl (t::unit \textit{itself}) = \perp$ 

```

definition

```

 $(liftemb :: unit \ u \rightarrow udom \ u) = u-map·emb$ 

```

definition

```

 $(liftprj :: udom \ u \rightarrow unit \ u) = u-map·prj$ 

```

definition

```

 $liftdefl (t::unit \textit{itself}) = liftdefl-of·DEFL(unit)$ 

```

instance proof

```

show ep-pair emb (prj :: udom → unit)
  unfolding emb-unit-def prj-unit-def
  by (simp add: ep-pair.intro)
show cast-DEFL(unit) = emb oo (prj :: udom → unit)
  unfolding emb-unit-def prj-unit-def defl-unit-def by simp
qed (fact liftemb-unit-def liftprj-unit-def liftdefl-unit-def)+

end

```

23.5.8 Discrete cpo

```

instantiation discr :: (countable) predomain
begin

```

definition

```

(liftemb :: 'a discr u → udom u) = strictify-up oo udom-emb discr-approx

```

definition

```

(liftprj :: udom u → 'a discr u) = udom-prj discr-approx oo fup-ID

```

definition

```

liftdefl (t::'a discr itself) =
  ( $\sqcup$  i. defl-principal (Abs-fin-defl (liftemb oo discr-approx i oo (liftprj::udom u
   $\rightarrow$  'a discr u)))))

```

instance proof

```

show 1: ep-pair liftemb (liftprj :: udom u → 'a discr u)
  unfolding liftemb-discr-def liftprj-discr-def
  apply (intro ep-pair-comp ep-pair-udom [OF discr-approx])
  apply (rule ep-pair.intro)
  apply (simp add: strictify-conv-if)
  apply (case-tac y, simp, simp add: strictify-conv-if)
done

```

```

show cast-LIFTDEFL('a discr) = liftemb oo (liftprj :: udom u → 'a discr u)

```

```

  unfolding liftdefl-discr-def
  apply (subst contlub-cfun-arg)
  apply (rule chainI)
  apply (rule defl.principal-mono)
  apply (simp add: below-fin-defl-def)
  apply (simp add: Abs-fin-defl-inverse
    ep-pair.finite-deflation-e-d-p [OF 1]
    approx-chain.finite-deflation-approx [OF discr-approx])
  apply (intro monofun-cfun below-refl)
  apply (rule chainE)
  apply (rule chain-discr-approx)
  apply (subst cast-defl-principal)
  apply (simp add: Abs-fin-defl-inverse
    ep-pair.finite-deflation-e-d-p [OF 1]
    approx-chain.finite-deflation-approx [OF discr-approx])

```

```

    apply (simp add: lub-distrib)
  done
qed

end

```

23.5.9 Strict sum

instantiation $ssum :: (domain, domain) domain$
begin

definition

$emb = ssum-emb \circ ssum-map \cdot emb \cdot emb$

definition

$prj = ssum-map \cdot prj \cdot prj \circ ssum-prj$

definition

$defl (t :: ('a \oplus 'b) itself) = ssum-defl \cdot DEFL('a) \cdot DEFL('b)$

definition

$(liftemb :: ('a \oplus 'b) u \rightarrow udom u) = u-map \cdot emb$

definition

$(liftprj :: udom u \rightarrow ('a \oplus 'b) u) = u-map \cdot prj$

definition

$liftdefl (t :: ('a \oplus 'b) itself) = liftdefl-of \cdot DEFL('a \oplus 'b)$

instance proof

show $ep-pair\ emb (prj :: udom \rightarrow 'a \oplus 'b)$

unfolding $emb-ssum-def\ prj-ssum-def$

by (*intro ep-pair-comp ep-pair-ssum ep-pair-ssum-map ep-pair-emb-prj*)

show $cast-DEFL('a \oplus 'b) = emb \circ (prj :: udom \rightarrow 'a \oplus 'b)$

unfolding $emb-ssum-def\ prj-ssum-def\ defl-ssum-def\ cast-ssum-defl$

by (*simp add: cast-DEFL oo-def cfun-eq-iff ssum-map-map*)

qed (*fact liftemb-ssum-def liftprj-ssum-def liftdefl-ssum-def*)⁺

end

lemma $DEFL-ssum$:

$DEFL('a :: domain \oplus 'b :: domain) = ssum-defl \cdot DEFL('a) \cdot DEFL('b)$

by (*rule defl-ssum-def*)

23.5.10 Lifted HOL type

instantiation $lift :: (countable) domain$

begin

definition

$emb = emb \circ (\Lambda x. Rep\text{-}lift\ x)$

definition

$prj = (\Lambda y. Abs\text{-}lift\ y) \circ prj$

definition

$defl\ (t :: 'a\ lift\ itself) = DEFL('a\ discr\ u)$

definition

$(liftemb :: 'a\ lift\ u \rightarrow udom\ u) = u\text{-}map \cdot emb$

definition

$(liftprj :: udom\ u \rightarrow 'a\ lift\ u) = u\text{-}map \cdot prj$

definition

$liftdefl\ (t :: 'a\ lift\ itself) = liftdefl\text{-}of \cdot DEFL('a\ lift)$

instance proof

note $[simp] = cont\text{-}Rep\text{-}lift\ cont\text{-}Abs\text{-}lift\ Rep\text{-}lift\text{-}inverse\ Abs\text{-}lift\text{-}inverse$

have $ep\text{-}pair\ (\Lambda(x :: 'a\ lift). Rep\text{-}lift\ x)\ (\Lambda y. Abs\text{-}lift\ y)$

by $(simp\ add: ep\text{-}pair\text{-}def)$

thus $ep\text{-}pair\ emb\ (prj :: udom \rightarrow 'a\ lift)$

unfolding $emb\text{-}lift\text{-}def\ prj\text{-}lift\text{-}def$

using $ep\text{-}pair\text{-}emb\text{-}prj$ **by** $(rule\ ep\text{-}pair\text{-}comp)$

show $cast \cdot DEFL('a\ lift) = emb \circ (prj :: udom \rightarrow 'a\ lift)$

unfolding $emb\text{-}lift\text{-}def\ prj\text{-}lift\text{-}def\ defl\text{-}lift\text{-}def\ cast\text{-}DEFL$

by $(simp\ add: cfcomp1)$

qed $(fact\ liftemb\text{-}lift\text{-}def\ liftprj\text{-}lift\text{-}def\ liftdefl\text{-}lift\text{-}def) +$

end

end

24 The unit domain

theory *One*

imports *Lift*

begin

type-synonym $one = unit\ lift$

translations

$(type)\ one \leftarrow (type)\ unit\ lift$

definition $ONE :: one$

where $ONE \equiv Def\ ()$

Exhaustion and Elimination for type *one*

lemma *Exh-one*: $t = \perp \vee t = ONE$

by (induct t) (simp-all add: ONE-def)

lemma oneE [case-names bottom ONE]: $\llbracket p = \perp \implies Q; p = ONE \implies Q \rrbracket \implies Q$
 by (induct p) (simp-all add: ONE-def)

lemma one-induct [case-names bottom ONE]: $P \perp \implies P ONE \implies P x$
 by (cases x rule: oneE) simp-all

lemma dist-below-one [simp]: $ONE \not\sqsubseteq \perp$
 by (simp add: ONE-def)

lemma below-ONE [simp]: $x \sqsubseteq ONE$
 by (induct x rule: one-induct) simp-all

lemma ONE-below-iff [simp]: $ONE \sqsubseteq x \longleftrightarrow x = ONE$
 by (induct x rule: one-induct) simp-all

lemma ONE-defined [simp]: $ONE \neq \perp$
 by (simp add: ONE-def)

lemma one-neq-iffs [simp]:
 $x \neq ONE \longleftrightarrow x = \perp$
 $ONE \neq x \longleftrightarrow x = \perp$
 $x \neq \perp \longleftrightarrow x = ONE$
 $\perp \neq x \longleftrightarrow x = ONE$
 by (induct x rule: one-induct) simp-all

lemma compact-ONE: compact ONE
 by (rule compact-chfin)

Case analysis function for type one

definition one-case :: 'a::pcpo \rightarrow one \rightarrow 'a
 where one-case = ($\Lambda a x. \text{seq}\cdot x\cdot a$)

translations

case x of XCONST ONE \Rightarrow t \Leftrightarrow CONST one-case.t.x
 case x of XCONST ONE :: 'a \Rightarrow t \rightarrow CONST one-case.t.x
 $\Lambda (XCONST ONE). t \Leftrightarrow$ CONST one-case.t

lemma one-case1 [simp]: (case \perp of ONE \Rightarrow t) = \perp
 by (simp add: one-case-def)

lemma one-case2 [simp]: (case ONE of ONE \Rightarrow t) = t
 by (simp add: one-case-def)

lemma one-case3 [simp]: (case x of ONE \Rightarrow ONE) = x
 by (induct x rule: one-induct) simp-all

end

```

theory Fixrec
imports Cprod Sprod Ssum Up One Tr Cfun
keywords fixrec :: thy-defn
begin

```

25 Fixed point operator and admissibility

25.1 Iteration

```

primrec iterate :: nat  $\Rightarrow$  ('a  $\rightarrow$  'a)  $\rightarrow$  ('a  $\rightarrow$  'a)
  where
    iterate 0 = ( $\Lambda$  F x. x)
    | iterate (Suc n) = ( $\Lambda$  F x. F.(iterate n.F.x))

```

Derive inductive properties of iterate from primitive recursion

```

lemma iterate-0 [simp]: iterate 0.F.x = x
  by simp

```

```

lemma iterate-Suc [simp]: iterate (Suc n).F.x = F.(iterate n.F.x)
  by simp

```

```

declare iterate.simps [simp del]

```

```

lemma iterate-Suc2: iterate (Suc n).F.x = iterate n.F.(F.x)
  by (induct n) simp-all

```

```

lemma iterate-iterate: iterate m.F.(iterate n.F.x) = iterate (m + n).F.x
  by (induct m) simp-all

```

The sequence of function iterations is a chain.

```

lemma chain-iterate [simp]: chain ( $\lambda$ i. iterate i.F. $\perp$ )
  by (rule chainI, unfold iterate-Suc2, rule monofun-cfun-arg, rule minimal)

```

25.2 Least fixed point operator

```

definition fix :: ('a::pcpo  $\rightarrow$  'a)  $\rightarrow$  'a
  where fix = ( $\Lambda$  F.  $\bigsqcup$  i. iterate i.F. $\perp$ )

```

Binder syntax for *fix*

```

abbreviation fix-syn :: ('a::pcpo  $\Rightarrow$  'a)  $\Rightarrow$  'a (binder  $\langle \mu \rangle$  10)
  where fix-syn ( $\lambda$ x. f x)  $\equiv$  fix.( $\Lambda$  x. f x)

```

```

notation (ASCII)
  fix-syn (binder  $\langle FIX \rangle$  10)

```

Properties of *fix*

direct connection between *fix* and iteration

lemma *fix-def2*: $\text{fix}\cdot F = (\bigsqcup i. \text{iterate } i\cdot F\cdot\perp)$
by (*simp add: fix-def*)

lemma *iterate-below-fix*: $\text{iterate } n\cdot f\cdot\perp \sqsubseteq \text{fix}\cdot f$
unfolding *fix-def2*
using *chain-iterate* **by** (*rule is-ub-the lub*)

Kleene’s fixed point theorems for continuous functions in pointed omega cpo’s

lemma *fix-eq*: $\text{fix}\cdot F = F\cdot(\text{fix}\cdot F)$
apply (*simp add: fix-def2*)
apply (*subst lub-range-shift [of - 1, symmetric]*)
apply (*rule chain-iterate*)
apply (*subst contlub-cfun-arg*)
apply (*rule chain-iterate*)
apply *simp*
done

lemma *fix-least-below*: $F\cdot x \sqsubseteq x \implies \text{fix}\cdot F \sqsubseteq x$
apply (*simp add: fix-def2*)
apply (*rule lub-below*)
apply (*rule chain-iterate*)
apply (*induct-tac i*)
apply *simp*
apply *simp*
apply (*erule rev-below-trans*)
apply (*erule monofun-cfun-arg*)
done

lemma *fix-least*: $F\cdot x = x \implies \text{fix}\cdot F \sqsubseteq x$
by (*rule fix-least-below*) *simp*

lemma *fix-eqI*:
assumes *fixed*: $F\cdot x = x$
and *least*: $\bigwedge z. F\cdot z = z \implies x \sqsubseteq z$
shows $\text{fix}\cdot F = x$
apply (*rule below-antisym*)
apply (*rule fix-least [OF fixed]*)
apply (*rule least [OF fix-eq [symmetric]]*)
done

lemma *fix-eq2*: $f \equiv \text{fix}\cdot F \implies f = F\cdot f$
by (*simp add: fix-eq [symmetric]*)

lemma *fix-eq3*: $f \equiv \text{fix}\cdot F \implies f\cdot x = F\cdot f\cdot x$
by (*erule fix-eq2 [THEN cfun-fun-cong]*)

lemma *fix-eq4*: $f = \text{fix}\cdot F \implies f = F\cdot f$

by (erule ssubst) (rule fix-eq)

lemma *fix-eq5*: $f = \text{fix}\cdot F \implies f\cdot x = F\cdot f\cdot x$
 by (erule fix-eq4 [THEN cfun-fun-cong])

strictness of *fix*

lemma *fix-bottom-iff*: $\text{fix}\cdot F = \perp \iff F\cdot\perp = \perp$
apply (rule iffI)
apply (erule subst)
apply (rule fix-eq [symmetric])
apply (erule fix-least [THEN bottomI])
done

lemma *fix-strict*: $F\cdot\perp = \perp \implies \text{fix}\cdot F = \perp$
 by (simp add: fix-bottom-iff)

lemma *fix-defined*: $F\cdot\perp \neq \perp \implies \text{fix}\cdot F \neq \perp$
 by (simp add: fix-bottom-iff)

fix applied to identity and constant functions

lemma *fix-id*: $(\mu x. x) = \perp$
 by (simp add: fix-strict)

lemma *fix-const*: $(\mu x. c) = c$
 by (subst fix-eq) simp

25.3 Fixed point induction

lemma *fix-ind*: $\text{adm } P \implies P \perp \implies (\bigwedge x. P x \implies P (F\cdot x)) \implies P (\text{fix}\cdot F)$
unfolding *fix-def2*
apply (erule admD)
apply (rule chain-iterate)
apply (rule nat-induct, simp-all)
done

lemma *cont-fix-ind*: $\text{cont } F \implies \text{adm } P \implies P \perp \implies (\bigwedge x. P x \implies P (F x)) \implies P (\text{fix}\cdot(\text{Abs}\cdot\text{cfun } F))$
 by (simp add: fix-ind)

lemma *def-fix-ind*: $\llbracket f \equiv \text{fix}\cdot F; \text{adm } P; P \perp; \bigwedge x. P x \implies P (F\cdot x) \rrbracket \implies P f$
 by (simp add: fix-ind)

lemma *fix-ind2*:
assumes *adm*: $\text{adm } P$
assumes *0*: $P \perp$ **and** *1*: $P (F\cdot\perp)$
assumes *step*: $\bigwedge x. \llbracket P x; P (F\cdot x) \rrbracket \implies P (F\cdot(F\cdot x))$
shows $P (\text{fix}\cdot F)$
unfolding *fix-def2*
apply (rule admD [OF adm chain-iterate])

```

apply (rule nat-less-induct)
apply (case-tac n)
  apply (simp add: 0)
apply (case-tac nat)
  apply (simp add: 1)
apply (frule-tac x=nat in spec)
apply (simp add: step)
done

```

lemma *parallel-fix-ind*:

```

assumes adm: adm ( $\lambda x. P$  (fst  $x$ ) (snd  $x$ ))
assumes base:  $P \perp \perp$ 
assumes step:  $\bigwedge x y. P x y \implies P (F \cdot x) (G \cdot y)$ 
shows  $P$  (fix  $\cdot F$ ) (fix  $\cdot G$ )

```

proof –

```

from adm have adm': adm (case-prod  $P$ )
  unfolding split-def .
have  $P$  (iterate  $i \cdot F \cdot \perp$ ) (iterate  $i \cdot G \cdot \perp$ ) for  $i$ 
  by (induct  $i$ ) (simp add: base, simp add: step)
then have  $\bigwedge i. \text{case-prod } P$  (iterate  $i \cdot F \cdot \perp$ , iterate  $i \cdot G \cdot \perp$ )
  by simp
then have case-prod  $P$  ( $\bigsqcup i. \text{iterate } i \cdot F \cdot \perp$ , iterate  $i \cdot G \cdot \perp$ )
  by – (rule admD [OF adm'], simp, assumption)
then have case-prod  $P$  ( $\bigsqcup i. \text{iterate } i \cdot F \cdot \perp$ ,  $\bigsqcup i. \text{iterate } i \cdot G \cdot \perp$ )
  by (simp add: lub-Pair)
then have  $P$  ( $\bigsqcup i. \text{iterate } i \cdot F \cdot \perp$ ) ( $\bigsqcup i. \text{iterate } i \cdot G \cdot \perp$ )
  by simp
then show  $P$  (fix  $\cdot F$ ) (fix  $\cdot G$ )
  by (simp add: fix-def2)

```

qed

lemma *cont-parallel-fix-ind*:

```

assumes cont  $F$  and cont  $G$ 
assumes adm ( $\lambda x. P$  (fst  $x$ ) (snd  $x$ ))
assumes  $P \perp \perp$ 
assumes  $\bigwedge x y. P x y \implies P (F x) (G y)$ 
shows  $P$  (fix  $\cdot (\text{Abs-cfun } F)$ ) (fix  $\cdot (\text{Abs-cfun } G)$ )
by (rule parallel-fix-ind) (simp-all add: assms)

```

25.4 Fixed-points on product types

Bekic’s Theorem: Simultaneous fixed points over pairs can be written in terms of separate fixed points.

lemma *fix-cprod*:

```

fixes  $F :: 'a::pcpo \times 'b::pcpo \rightarrow 'a \times 'b$ 
shows

```

$$\text{fix} \cdot F = (\mu x. \text{fst} (F \cdot (x, \mu y. \text{snd} (F \cdot (x, y))))) , (\mu y. \text{snd} (F \cdot (\mu x. \text{fst} (F \cdot (x, \mu y. \text{snd} (F \cdot (x, y))))) , y))$$

```

(is fix·F = (?x, ?y))
proof (rule fix-eqI)
  have *: fst (F·(?x, ?y)) = ?x
    by (rule trans [symmetric, OF fix-eq], simp)
  have snd (F·(?x, ?y)) = ?y
    by (rule trans [symmetric, OF fix-eq], simp)
  with * show F·(?x, ?y) = (?x, ?y)
    by (simp add: prod-eq-iff)
next
fix z
assume F-z: F·z = z
obtain x y where z: z = (x, y) by (rule prod.exhaust)
from F-z z have F-x: fst (F·(x, y)) = x by simp
from F-z z have F-y: snd (F·(x, y)) = y by simp
let ?y1 =  $\mu$  y. snd (F·(x, y))
have ?y1  $\sqsubseteq$  y
  by (rule fix-least) (simp add: F-y)
then have fst (F·(x, ?y1))  $\sqsubseteq$  fst (F·(x, y))
  by (simp add: fst-monofun monofun-cfun)
with F-x have fst (F·(x, ?y1))  $\sqsubseteq$  x
  by simp
then have *: ?x  $\sqsubseteq$  x
  by (simp add: fix-least-below)
then have snd (F·(?x, y))  $\sqsubseteq$  snd (F·(x, y))
  by (simp add: snd-monofun monofun-cfun)
with F-y have snd (F·(?x, y))  $\sqsubseteq$  y
  by simp
then have ?y  $\sqsubseteq$  y
  by (simp add: fix-least-below)
with z * show (?x, ?y)  $\sqsubseteq$  z
  by simp
qed

```

26 Package for defining recursive functions in HOLCF

26.1 Pattern-match monad

```

pcpodef 'a match = UNIV::(one ++ 'a u) set
by simp-all

```

definition

```

fail :: 'a match where
fail = Abs-match (sinl·ONE)

```

definition

```

succeed :: 'a → 'a match where
succeed = ( $\Lambda$  x. Abs-match (sinr·(up·x)))

```

```

lemma matchE [case-names bottom fail succeed, cases type: match]:

```

$\llbracket p = \perp \implies Q; p = \text{fail} \implies Q; \bigwedge x. p = \text{succeed} \cdot x \implies Q \rrbracket \implies Q$
unfolding *fail-def succeed-def*
apply (*cases p, rename-tac r*)
apply (*rule-tac p=r in ssumE, simp add: Abs-match-strict*)
apply (*rule-tac p=x in oneE, simp, simp*)
apply (*rule-tac p=y in upE, simp, simp add: cont-Abs-match*)
done

lemma *succeed-defined* [*simp*]: *succeed*·*x* $\neq \perp$
by (*simp add: succeed-def cont-Abs-match Abs-match-bottom-iff*)

lemma *fail-defined* [*simp*]: *fail* $\neq \perp$
by (*simp add: fail-def Abs-match-bottom-iff*)

lemma *succeed-eq* [*simp*]: (*succeed*·*x* = *succeed*·*y*) = (*x* = *y*)
by (*simp add: succeed-def cont-Abs-match Abs-match-inject*)

lemma *succeed-neq-fail* [*simp*]:
succeed·*x* $\neq \text{fail}$ *fail* $\neq \text{succeed}·*x*
by (*simp-all add: succeed-def fail-def cont-Abs-match Abs-match-inject*)$

26.1.1 Run operator

definition

run :: 'a match \rightarrow 'a::pcpo **where**
run = ($\Lambda m. \text{sscase} \cdot \perp \cdot (\text{fup} \cdot \text{ID}) \cdot (\text{Rep-match } m)$)

rewrite rules for run

lemma *run-strict* [*simp*]: *run*· \perp = \perp
unfolding *run-def*
by (*simp add: cont-Rep-match Rep-match-strict*)

lemma *run-fail* [*simp*]: *run*·*fail* = \perp
unfolding *run-def fail-def*
by (*simp add: cont-Rep-match Abs-match-inverse*)

lemma *run-succeed* [*simp*]: *run*·(*succeed*·*x*) = *x*
unfolding *run-def succeed-def*
by (*simp add: cont-Rep-match cont-Abs-match Abs-match-inverse*)

26.1.2 Monad plus operator

definition

mplus :: 'a match \rightarrow 'a match \rightarrow 'a match **where**
mplus = ($\Lambda m1 m2. \text{sscase} \cdot (\Lambda -. m2) \cdot (\Lambda -. m1) \cdot (\text{Rep-match } m1)$)

abbreviation

mplus-syn :: ['a match, 'a match] \Rightarrow 'a match (**infixr** <+++> 65) **where**
m1 +++ *m2* == *mplus*·*m1*·*m2*

rewrite rules for `mplus`

lemma *mplus-strict* [*simp*]: $\perp \text{ +++ } m = \perp$

unfolding *mplus-def*

by (*simp add: cont-Rep-match Rep-match-strict*)

lemma *mplus-fail* [*simp*]: *fail* +++ *m* = *m*

unfolding *mplus-def fail-def*

by (*simp add: cont-Rep-match Abs-match-inverse*)

lemma *mplus-succeed* [*simp*]: *succeed*·*x* +++ *m* = *succeed*·*x*

unfolding *mplus-def succeed-def*

by (*simp add: cont-Rep-match cont-Abs-match Abs-match-inverse*)

lemma *mplus-fail2* [*simp*]: *m* +++ *fail* = *m*

by (*cases m, simp-all*)

lemma *mplus-assoc*: (*x* +++ *y*) +++ *z* = *x* +++ (*y* +++ *z*)

by (*cases x, simp-all*)

26.2 Match functions for built-in types

definition

match-bottom :: 'a::pcpo → 'c match → 'c match

where

match-bottom = (Λ *x k. seq*·*x*·*fail*)

definition

match-Pair :: 'a × 'b → ('a → 'b → 'c match) → 'c match

where

match-Pair = (Λ *x k. csplit*·*k*·*x*)

definition

match-spair :: 'a::pcpo ⊗ 'b::pcpo → ('a → 'b → 'c match) → 'c::pcpo match

where

match-spair = (Λ *x k. ssplit*·*k*·*x*)

definition

match-sinl :: 'a::pcpo ⊕ 'b::pcpo → ('a → 'c::pcpo match) → 'c match

where

match-sinl = (Λ *x k. sscase*·*k*·(Λ *b. fail*)·*x*)

definition

match-sinr :: 'a::pcpo ⊕ 'b::pcpo → ('b → 'c::pcpo match) → 'c match

where

match-sinr = (Λ *x k. sscase*·(Λ *a. fail*)·*k*·*x*)

definition

match-up :: 'a *u* → ('a → 'c::pcpo match) → 'c match

where

$match\text{-}up = (\Lambda x k. fup \cdot k \cdot x)$

definition

$match\text{-}ONE :: one \rightarrow 'c::pcpo\ match \rightarrow 'c\ match$

where

$match\text{-}ONE = (\Lambda ONE k. k)$

definition

$match\text{-}TT :: tr \rightarrow 'c::pcpo\ match \rightarrow 'c\ match$

where

$match\text{-}TT = (\Lambda x k. \text{If } x \text{ then } k \text{ else fail})$

definition

$match\text{-}FF :: tr \rightarrow 'c::pcpo\ match \rightarrow 'c\ match$

where

$match\text{-}FF = (\Lambda x k. \text{If } x \text{ then fail else } k)$

lemma $match\text{-}bottom\text{-}simps$ [simp]:

$match\text{-}bottom \cdot x \cdot k = (\text{if } x = \perp \text{ then } \perp \text{ else fail})$

by (simp add: match-bottom-def)

lemma $match\text{-}Pair\text{-}simps$ [simp]:

$match\text{-}Pair \cdot (x, y) \cdot k = k \cdot x \cdot y$

by (simp-all add: match-Pair-def)

lemma $match\text{-}spair\text{-}simps$ [simp]:

$\llbracket x \neq \perp; y \neq \perp \rrbracket \implies match\text{-}spair \cdot (x, y) \cdot k = k \cdot x \cdot y$

$match\text{-}spair \cdot \perp \cdot k = \perp$

by (simp-all add: match-spair-def)

lemma $match\text{-}sinl\text{-}simps$ [simp]:

$x \neq \perp \implies match\text{-}sinl \cdot (sinl \cdot x) \cdot k = k \cdot x$

$y \neq \perp \implies match\text{-}sinl \cdot (sinr \cdot y) \cdot k = fail$

$match\text{-}sinl \cdot \perp \cdot k = \perp$

by (simp-all add: match-sinl-def)

lemma $match\text{-}sinr\text{-}simps$ [simp]:

$x \neq \perp \implies match\text{-}sinr \cdot (sinl \cdot x) \cdot k = fail$

$y \neq \perp \implies match\text{-}sinr \cdot (sinr \cdot y) \cdot k = k \cdot y$

$match\text{-}sinr \cdot \perp \cdot k = \perp$

by (simp-all add: match-sinr-def)

lemma $match\text{-}up\text{-}simps$ [simp]:

$match\text{-}up \cdot (up \cdot x) \cdot k = k \cdot x$

$match\text{-}up \cdot \perp \cdot k = \perp$

by (simp-all add: match-up-def)

lemma $match\text{-}ONE\text{-}simps$ [simp]:

$match\text{-}ONE \cdot ONE \cdot k = k$

$match-ONE.\perp.k = \perp$
by (*simp-all add: match-ONE-def*)

lemma *match-TT-simps* [*simp*]:
 $match-TT.TT.k = k$
 $match-TT.FF.k = fail$
 $match-TT.\perp.k = \perp$
by (*simp-all add: match-TT-def*)

lemma *match-FF-simps* [*simp*]:
 $match-FF.FF.k = k$
 $match-FF.TT.k = fail$
 $match-FF.\perp.k = \perp$
by (*simp-all add: match-FF-def*)

26.3 Mutual recursion

The following rules are used to prove unfolding theorems from fixed-point definitions of mutually recursive functions.

lemma *Pair-equalI*: $\llbracket x \equiv fst\ p; y \equiv snd\ p \rrbracket \implies (x, y) \equiv p$
by *simp*

lemma *Pair-eqD1*: $(x, y) = (x', y') \implies x = x'$
by *simp*

lemma *Pair-eqD2*: $(x, y) = (x', y') \implies y = y'$
by *simp*

lemma *def-cont-fix-eq*:
 $\llbracket f \equiv fix.(Abs-cfun\ F); cont\ F \rrbracket \implies f = F\ f$
by (*simp, subst fix-eq, simp*)

lemma *def-cont-fix-ind*:
 $\llbracket f \equiv fix.(Abs-cfun\ F); cont\ F; adm\ P; P\ \perp; \bigwedge x. P\ x \implies P\ (F\ x) \rrbracket \implies P\ f$
by (*simp add: fix-ind*)

lemma for proving rewrite rules

lemma *ssubst-lhs*: $\llbracket t = s; P\ s = Q \rrbracket \implies P\ t = Q$
by *simp*

26.4 Initializing the fixrec package

ML-file $\langle Tools/holcf-library.ML \rangle$

ML-file $\langle Tools/fixrec.ML \rangle$

method-setup *fixrec-simp* = \langle
 $Scan.succeed\ (SIMPLE-METHOD'\ o\ Fixrec.fixrec-simp-tac)$
 \rangle *pattern prover for fixrec constants*

```

setup <
  Fixrec.add-matchers
  [ (const-name <up>, const-name <match-up>),
    (const-name <sinl>, const-name <match-sinl>),
    (const-name <sinr>, const-name <match-sinr>),
    (const-name <spair>, const-name <match-spair>),
    (const-name <Pair>, const-name <match-Pair>),
    (const-name <ONE>, const-name <match-ONE>),
    (const-name <TT>, const-name <match-TT>),
    (const-name <FF>, const-name <match-FF>),
    (const-name <bottom>, const-name <match-bottom>) ]
>

```

```

hide-const (open) succeed fail run

```

```

end

```

27 Domain package

```

theory Domain
imports Representable Map-Functions Fixrec
keywords
  lazy unsafe and
  domaindef domain :: thy-defn and
  domain-isomorphism :: thy-decl
begin

```

27.1 Continuous isomorphisms

A locale for continuous isomorphisms

```

locale iso =
  fixes abs :: 'a::pcpo → 'b::pcpo
  fixes rep :: 'b → 'a
  assumes abs-iso [simp]: rep.(abs·x) = x
  assumes rep-iso [simp]: abs.(rep·y) = y
begin

```

```

lemma swap: iso rep abs
  by (rule iso.intro [OF rep-iso abs-iso])

```

```

lemma abs-below: (abs·x ⊑ abs·y) = (x ⊑ y)

```

```

proof
  assume abs·x ⊑ abs·y
  then have rep.(abs·x) ⊑ rep.(abs·y) by (rule monofun-cfun-arg)
  then show x ⊑ y by simp
next
  assume x ⊑ y
  then show abs·x ⊑ abs·y by (rule monofun-cfun-arg)

```

qed

lemma *rep-below*: $(rep.x \sqsubseteq rep.y) = (x \sqsubseteq y)$
 by (*rule iso.abs-below* [*OF swap*])

lemma *abs-eq*: $(abs.x = abs.y) = (x = y)$
 by (*simp add: po-eq-conv abs-below*)

lemma *rep-eq*: $(rep.x = rep.y) = (x = y)$
 by (*rule iso.abs-eq* [*OF swap*])

lemma *abs-strict*: $abs.\perp = \perp$

proof –

have $\perp \sqsubseteq rep.\perp$..

then have $abs.\perp \sqsubseteq abs.(rep.\perp)$ by (*rule monofun-cfun-arg*)

then have $abs.\perp \sqsubseteq \perp$ by *simp*

then show *?thesis* by (*rule bottomI*)

qed

lemma *rep-strict*: $rep.\perp = \perp$
 by (*rule iso.abs-strict* [*OF swap*])

lemma *abs-defin'*: $abs.x = \perp \implies x = \perp$

proof –

have $x = rep.(abs.x)$ by *simp*

also assume $abs.x = \perp$

also note *rep-strict*

finally show $x = \perp$.

qed

lemma *rep-defin'*: $rep.z = \perp \implies z = \perp$
 by (*rule iso.abs-defin'* [*OF swap*])

lemma *abs-defined*: $z \neq \perp \implies abs.z \neq \perp$
 by (*erule contrapos-nn, erule abs-defin'*)

lemma *rep-defined*: $z \neq \perp \implies rep.z \neq \perp$
 by (*rule iso.abs-defined* [*OF iso.swap*]) (*rule iso-axioms*)

lemma *abs-bottom-iff*: $(abs.x = \perp) = (x = \perp)$
 by (*auto elim: abs-defin' intro: abs-strict*)

lemma *rep-bottom-iff*: $(rep.x = \perp) = (x = \perp)$
 by (*rule iso.abs-bottom-iff* [*OF iso.swap*]) (*rule iso-axioms*)

lemma *casedist-rule*: $rep.x = \perp \vee P \implies x = \perp \vee P$
 by (*simp add: rep-bottom-iff*)

lemma *compact-abs-rev*: $compact (abs.x) \implies compact x$

proof (*unfold compact-def*)
assume $adm (\lambda y. abs \cdot x \sqsubseteq y)$
with *cont-Rep-cfun2*
have $adm (\lambda y. abs \cdot x \sqsubseteq abs \cdot y)$ **by** (*rule adm-subst*)
then show $adm (\lambda y. x \sqsubseteq y)$ **using** *abs-below* **by** *simp*
qed

lemma *compact-rep-rev*: $compact (rep \cdot x) \implies compact x$
by (*rule iso.compact-abs-rev [OF iso.swap]*) (*rule iso-axioms*)

lemma *compact-abs*: $compact x \implies compact (abs \cdot x)$
by (*rule compact-rep-rev*) *simp*

lemma *compact-rep*: $compact x \implies compact (rep \cdot x)$
by (*rule iso.compact-abs [OF iso.swap]*) (*rule iso-axioms*)

lemma *iso-swap*: $(x = abs \cdot y) = (rep \cdot x = y)$

proof
assume $x = abs \cdot y$
then have $rep \cdot x = rep \cdot (abs \cdot y)$ **by** *simp*
then show $rep \cdot x = y$ **by** *simp*
next
assume $rep \cdot x = y$
then have $abs \cdot (rep \cdot x) = abs \cdot y$ **by** *simp*
then show $x = abs \cdot y$ **by** *simp*
qed

end

27.2 Proofs about take functions

This section contains lemmas that are used in a module that supports the domain isomorphism package; the module contains proofs related to take functions and the finiteness predicate.

lemma *deflation-abs-rep*:
fixes *abs* **and** *rep* **and** *d*
assumes *abs-iso*: $\bigwedge x. rep \cdot (abs \cdot x) = x$
assumes *rep-iso*: $\bigwedge y. abs \cdot (rep \cdot y) = y$
shows $deflation d \implies deflation (abs \circ d \circ rep)$
by (*rule ep-pair.deflation-e-d-p*) (*simp add: ep-pair.intro assms*)

lemma *deflation-chain-min*:
assumes *chain*: *chain* *d*
assumes *defl*: $\bigwedge n. deflation (d \ n)$
shows $d \ m \cdot (d \ n \cdot x) = d \ (min \ m \ n) \cdot x$

proof (*rule linorder-le-cases*)
assume $m \leq n$
with *chain* **have** $d \ m \sqsubseteq d \ n$ **by** (*rule chain-mono*)
then have $d \ m \cdot (d \ n \cdot x) = d \ m \cdot x$

by (rule deflation-below-comp1 [OF defl defl])
 moreover from $\langle m \leq n \rangle$ have $\min m n = m$ by simp
 ultimately show ?thesis by simp
 next
 assume $n \leq m$
 with chain have $d n \sqsubseteq d m$ by (rule chain-mono)
 then have $d m \cdot (d n \cdot x) = d n \cdot x$
 by (rule deflation-below-comp2 [OF defl defl])
 moreover from $\langle n \leq m \rangle$ have $\min m n = n$ by simp
 ultimately show ?thesis by simp
 qed

lemma *lub-ID-take-lemma*:
 assumes chain t and $(\bigsqcup n. t n) = ID$
 assumes $\bigwedge n. t n \cdot x = t n \cdot y$ shows $x = y$
proof –
 have $(\bigsqcup n. t n \cdot x) = (\bigsqcup n. t n \cdot y)$
 using *assms*(3) by simp
 then have $(\bigsqcup n. t n) \cdot x = (\bigsqcup n. t n) \cdot y$
 using *assms*(1) by (simp add: lub-distrib)

then show $x = y$
 using *assms*(2) by simp
 qed

lemma *lub-ID-reach*:
 assumes chain t and $(\bigsqcup n. t n) = ID$
 shows $(\bigsqcup n. t n \cdot x) = x$
 using *assms* by (simp add: lub-distrib)

lemma *lub-ID-take-induct*:
 assumes chain t and $(\bigsqcup n. t n) = ID$
 assumes *adm* P and $\bigwedge n. P (t n \cdot x)$ shows $P x$
proof –
 from $\langle \text{chain } t \rangle$ have chain $(\lambda n. t n \cdot x)$ by simp
 from $\langle \text{adm } P \rangle$ this $\langle \bigwedge n. P (t n \cdot x) \rangle$ have $P (\bigsqcup n. t n \cdot x)$ by (rule *admD*)
 with $\langle \text{chain } t \rangle$ $\langle (\bigsqcup n. t n) = ID \rangle$ show $P x$ by (simp add: lub-distrib)
 qed

27.3 Finiteness

Let a “decisive” function be a deflation that maps every input to either itself or bottom. Then if a domain’s take functions are all decisive, then all values in the domain are finite.

definition

decisive :: $(\iota a :: \text{pcpo} \rightarrow \iota a) \Rightarrow \text{bool}$

where

decisive $d \iff (\forall x. d \cdot x = x \vee d \cdot x = \perp)$

lemma *decisiveI*: $(\bigwedge x. d \cdot x = x \vee d \cdot x = \perp) \implies \text{decisive } d$

unfolding *decisive-def* **by** *simp*

lemma *decisive-cases*:

assumes *decisive d* **obtains** $d \cdot x = x \mid d \cdot x = \perp$
using *assms* **unfolding** *decisive-def* **by** *auto*

lemma *decisive-bottom*: *decisive* \perp

unfolding *decisive-def* **by** *simp*

lemma *decisive-ID*: *decisive* *ID*

unfolding *decisive-def* **by** *simp*

lemma *decisive-ssum-map*:

assumes *f*: *decisive* *f*

assumes *g*: *decisive* *g*

shows *decisive* (*ssum-map*.*f.g*)

apply (*rule* *decisiveI*)

subgoal for *s*

apply (*cases* *s*, *simp-all*)

apply (*rule-tac* $x=x$ **in** *decisive-cases* [*OF* *f*], *simp-all*)

apply (*rule-tac* $x=y$ **in** *decisive-cases* [*OF* *g*], *simp-all*)

done

done

lemma *decisive-sprod-map*:

assumes *f*: *decisive* *f*

assumes *g*: *decisive* *g*

shows *decisive* (*sprod-map*.*f.g*)

apply (*rule* *decisiveI*)

subgoal for *s*

apply (*cases* *s*, *simp*)

subgoal for $x \ y$

apply (*rule* *decisive-cases* [*OF* *f*, **where** $x = x$], *simp-all*)

apply (*rule* *decisive-cases* [*OF* *g*, **where** $x = y$], *simp-all*)

done

done

done

lemma *decisive-abs-rep*:

fixes *abs rep*

assumes *iso*: *iso* *abs rep*

assumes *d*: *decisive* *d*

shows *decisive* (*abs oo d oo rep*)

apply (*rule* *decisiveI*)

subgoal for *s*

apply (*rule* *decisive-cases* [*OF* *d*, **where** $x=rep \cdot s$])

apply (*simp* *add*: *iso.rep-iso* [*OF* *iso*])

apply (*simp* *add*: *iso.abs-strict* [*OF* *iso*])

done

done

lemma *lub-ID-finite*:

assumes *chain*: *chain d*

assumes *lub*: $(\bigsqcup n. d n) = ID$

assumes *decisive*: $\bigwedge n. decisive (d n)$

shows $\exists n. d n \cdot x = x$

proof –

have 1: *chain* $(\lambda n. d n \cdot x)$ **using** *chain* **by** *simp*

have 2: $(\bigsqcup n. d n \cdot x) = x$ **using** *chain lub* **by** (*rule lub-ID-reach*)

have $\forall n. d n \cdot x = x \vee d n \cdot x = \perp$

using *decisive unfolding decisive-def* **by** *simp*

hence *range* $(\lambda n. d n \cdot x) \subseteq \{x, \perp\}$

by *auto*

hence *finite* (*range* $(\lambda n. d n \cdot x)$)

by (*rule finite-subset, simp*)

with 1 **have** *finite-chain* $(\lambda n. d n \cdot x)$

by (*rule finite-range-imp-finch*)

then have $\exists n. (\bigsqcup n. d n \cdot x) = d n \cdot x$

unfolding *finite-chain-def* **by** (*auto simp add: maxinch-is-thelub*)

with 2 **show** $\exists n. d n \cdot x = x$ **by** (*auto elim: sym*)

qed

lemma *lub-ID-finite-take-induct*:

assumes *chain d* **and** $(\bigsqcup n. d n) = ID$ **and** $\bigwedge n. decisive (d n)$

shows $(\bigwedge n. P (d n \cdot x)) \implies P x$

using *lub-ID-finite [OF assms]* **by** *metis*

27.4 Proofs about constructor functions

Lemmas for proving nchotomy rule:

lemma *ex-one-bottom-iff*:

$(\exists x. P x \wedge x \neq \perp) = P ONE$

by *simp*

lemma *ex-up-bottom-iff*:

$(\exists x. P x \wedge x \neq \perp) = (\exists x. P (up \cdot x))$

by (*safe, case-tac x, auto*)

lemma *ex-sprod-bottom-iff*:

$(\exists y. P y \wedge y \neq \perp) =$

$(\exists x y. (P (:x, y) \wedge x \neq \perp) \wedge y \neq \perp)$

by (*safe, case-tac y, auto*)

lemma *ex-sprod-up-bottom-iff*:

$(\exists y. P y \wedge y \neq \perp) =$

$(\exists x y. P (:up \cdot x, y) \wedge y \neq \perp)$

by (*safe, case-tac y, simp, case-tac x, auto*)

lemma *ex-ssum-bottom-iff*:
 $(\exists x. P x \wedge x \neq \perp) =$
 $((\exists x. P (\text{sinl}\cdot x) \wedge x \neq \perp) \vee$
 $(\exists x. P (\text{sinr}\cdot x) \wedge x \neq \perp))$
by (*safe, case-tac x, auto*)

lemma *exh-start*: $p = \perp \vee (\exists x. p = x \wedge x \neq \perp)$
by *auto*

lemmas *ex-bottom-iffs* =
ex-ssum-bottom-iff
ex-sprod-up-bottom-iff
ex-sprod-bottom-iff
ex-up-bottom-iff
ex-one-bottom-iff

Rules for turning nchotomy into exhaust:

lemma *exh-casedist0*: $\llbracket R; R \implies P \rrbracket \implies P$
by *auto*

lemma *exh-casedist1*: $((P \vee Q \implies R) \implies S) \equiv (\llbracket P \implies R; Q \implies R \rrbracket \implies S)$
by *rule auto*

lemma *exh-casedist2*: $(\exists x. P x \implies Q) \equiv (\bigwedge x. P x \implies Q)$
by *rule auto*

lemma *exh-casedist3*: $(P \wedge Q \implies R) \equiv (P \implies Q \implies R)$
by *rule auto*

lemmas *exh-casedists* = *exh-casedist1 exh-casedist2 exh-casedist3*

Rules for proving constructor properties

lemmas *con-strict-rules* =
sinl-strict sinr-strict spair-strict1 spair-strict2

lemmas *con-bottom-iff-rules* =
sinl-bottom-iff sinr-bottom-iff spair-bottom-iff up-defined ONE-defined

lemmas *con-below-iff-rules* =
sinl-below sinr-below sinl-below-sinr sinr-below-sinl con-bottom-iff-rules

lemmas *con-eq-iff-rules* =
sinl-eq sinr-eq sinl-eq-sinr sinr-eq-sinl con-bottom-iff-rules

lemmas *sel-strict-rules* =
cfcomp2 sscase1 sfst-strict ssnd-strict fup1

lemma *sel-app-extra-rules*:
 $\text{sscase}\cdot\text{ID}\cdot\perp\cdot(\text{sinr}\cdot x) = \perp$

```

    sscase·ID·⊥·(sinl·x) = x
    sscase·⊥·ID·(sinl·x) = ⊥
    sscase·⊥·ID·(sinr·x) = x
    fup·ID·(up·x) = x
  by (cases x = ⊥, simp, simp)+

```

```

lemmas sel-app-rules =
  sel-strict-rules sel-app-extra-rules
  ssnd-spair sfst-spair up-defined spair-defined

```

```

lemmas sel-bottom-iff-rules =
  cfcomp2 sfst-bottom-iff ssnd-bottom-iff

```

```

lemmas take-con-rules =
  ssum-map-sinl' ssum-map-sinr' sprod-map-spair' u-map-up
  deflation-strict deflation-ID ID1 cfcomp2

```

27.5 ML setup

```

named-theorems domain-deflation theorems like deflation a ==> deflation (foo-map$a)
  and domain-map-ID theorems like foo-map$ID = ID

```

```

ML-file <Tools/Domain/domain-take-proofs.ML>
ML-file <Tools/cont-consts.ML>
ML-file <Tools/cont-proc.ML>
simproc-setup cont (cont f) = <K ContProc.cont-proc>

```

```

ML-file <Tools/Domain/domain-constructors.ML>
ML-file <Tools/Domain/domain-induction.ML>

```

27.6 Representations of types

```

lemma emb-prj: emb·((prj·x)::'a::domain) = cast·DEFL('a)·x
by (simp add: cast-DEFL)

```

```

lemma emb-prj-emb:
  fixes x :: 'a::domain
  assumes DEFL('a) ⊆ DEFL('b)
  shows emb·(prj·(emb·x) :: 'b::domain) = emb·x
unfolding emb-prj
apply (rule cast.belowD)
apply (rule monofun-cfun-arg [OF assms])
apply (simp add: cast-DEFL)
done

```

```

lemma prj-emb-prj:
  assumes DEFL('a::domain) ⊆ DEFL('b::domain)
  shows prj·(emb·(prj·x :: 'b)) = (prj·x :: 'a)
apply (rule emb-eq-iff [THEN iffD1])
apply (simp only: emb-prj)

```

```

apply (rule deflation-below-comp1)
  apply (rule deflation-cast)
  apply (rule deflation-cast)
apply (rule monofun-cfun-arg [OF assms])
done

```

Isomorphism lemmas used internally by the domain package:

```

lemma domain-abs-iso:
  fixes abs and rep
  assumes DEFL: DEFL('b::domain) = DEFL('a::domain)
  assumes abs-def: (abs :: 'a → 'b) ≡ prj oo emb
  assumes rep-def: (rep :: 'b → 'a) ≡ prj oo emb
  shows rep.(abs.x) = x
unfolding abs-def rep-def
by (simp add: emb-prj-emb DEFL)

```

```

lemma domain-rep-iso:
  fixes abs and rep
  assumes DEFL: DEFL('b::domain) = DEFL('a::domain)
  assumes abs-def: (abs :: 'a → 'b) ≡ prj oo emb
  assumes rep-def: (rep :: 'b → 'a) ≡ prj oo emb
  shows abs.(rep.x) = x
unfolding abs-def rep-def
by (simp add: emb-prj-emb DEFL)

```

27.7 Deflations as sets

```

definition defl-set :: 'a::bifinite defl ⇒ 'a set
where defl-set A = {x. cast.A.x = x}

```

```

lemma adm-defl-set: adm (λx. x ∈ defl-set A)
unfolding defl-set-def by simp

```

```

lemma defl-set-bottom: ⊥ ∈ defl-set A
unfolding defl-set-def by simp

```

```

lemma defl-set-cast [simp]: cast.A.x ∈ defl-set A
unfolding defl-set-def by simp

```

```

lemma defl-set-subset-iff: defl-set A ⊆ defl-set B ⟷ A ⊆ B
apply (simp add: defl-set-def subset-eq cast-below-cast [symmetric])
apply (auto simp add: cast.belowI cast.belowD)
done

```

27.8 Proving a subtype is representable

Temporarily relax type constraints.

```

setup <
  fold Sign.add-const-constraint

```

```

[ (const-name ⟨defl⟩, SOME typ ⟨'a::pcpo itself ⇒ udom defl⟩)
, (const-name ⟨emb⟩, SOME typ ⟨'a::pcpo → udom⟩)
, (const-name ⟨prj⟩, SOME typ ⟨udom → 'a::pcpo⟩)
, (const-name ⟨liftdefl⟩, SOME typ ⟨'a::pcpo itself ⇒ udom u defl⟩)
, (const-name ⟨liftemb⟩, SOME typ ⟨'a::pcpo u → udom u⟩)
, (const-name ⟨liftprj⟩, SOME typ ⟨udom u → 'a::pcpo u⟩) ]
>

```

lemma *typedef-domain-class*:

fixes *Rep* :: 'a::pcpo ⇒ udom

fixes *Abs* :: udom ⇒ 'a::pcpo

fixes *t* :: udom defl

assumes *type*: type-definition *Rep Abs* (defl-set *t*)

assumes *below*: (\sqsubseteq) ≡ λ*x y*. *Rep x* ⊆ *Rep y*

assumes *emb*: *emb* ≡ (λ *x*. *Rep x*)

assumes *prj*: *prj* ≡ (λ *x*. *Abs* (cast·*t*·*x*))

assumes *defl*: *defl* ≡ (λ *a*::'a *itself*. *t*)

assumes *liftemb*: (liftemb :: 'a u → udom u) ≡ u-map·emb

assumes *liftprj*: (liftprj :: udom u → 'a u) ≡ u-map·prj

assumes *liftdefl*: (liftdefl :: 'a itself ⇒ -) ≡ (λ*t*. liftdefl-of·DEFL('a))

shows OFCLASS('a, domain-class)

proof

have *emb-beta*: ∧*x*. *emb*·*x* = *Rep x*

unfolding *emb*

apply (rule beta-cfun)

apply (rule typedef-cont-Rep [OF type below adm-defl-set cont-id])

done

have *prj-beta*: ∧*y*. *prj*·*y* = *Abs* (cast·*t*·*y*)

unfolding *prj*

apply (rule beta-cfun)

apply (rule typedef-cont-Abs [OF type below adm-defl-set])

apply *simp-all*

done

have *prj-emb*: ∧*x*::'a. *prj*·(*emb*·*x*) = *x*

using type-definition.Rep [OF type]

unfolding *prj-beta emb-beta defl-set-def*

by (*simp add*: type-definition.Rep-inverse [OF type])

have *emb-prj*: ∧*y*. *emb*·(*prj*·*y* :: 'a) = cast·*t*·*y*

unfolding *prj-beta emb-beta*

by (*simp add*: type-definition.Abs-inverse [OF type])

show *ep-pair* (*emb* :: 'a → udom) *prj*

apply *standard*

apply (*simp add*: *prj-emb*)

apply (*simp add*: *emb-prj cast.below*)

done

show cast·DEFL('a) = *emb* oo (*prj* :: udom → 'a)

by (rule cfun-eqI, *simp add*: *defl emb-prj*)

qed (*simp-all only*: *liftemb liftprj liftdefl*)

lemma *typedef-DEFL*:
assumes $defl \equiv (\lambda a::'a::pcpo \text{ itself}. t)$
shows $DEFL('a::pcpo) = t$
unfolding *assms ..*

Restore original typing constraints.

setup \langle
fold Sign.add-const-constraint
 $[(\mathbf{const-name} \langle defl \rangle, \mathbf{SOME} \mathbf{typ} \langle 'a::domain \text{ itself} \Rightarrow udom \text{ defl} \rangle),$
 $(\mathbf{const-name} \langle emb \rangle, \mathbf{SOME} \mathbf{typ} \langle 'a::domain \rightarrow udom \rangle),$
 $(\mathbf{const-name} \langle prj \rangle, \mathbf{SOME} \mathbf{typ} \langle udom \rightarrow 'a::domain \rangle),$
 $(\mathbf{const-name} \langle liftdefl \rangle, \mathbf{SOME} \mathbf{typ} \langle 'a::predomain \text{ itself} \Rightarrow udom \text{ u defl} \rangle),$
 $(\mathbf{const-name} \langle liftemb \rangle, \mathbf{SOME} \mathbf{typ} \langle 'a::predomain \text{ u} \rightarrow udom \text{ u} \rangle),$
 $(\mathbf{const-name} \langle liftprj \rangle, \mathbf{SOME} \mathbf{typ} \langle udom \text{ u} \rightarrow 'a::predomain \text{ u} \rangle)]$
 \rangle

ML-file $\langle Tools/domaindef.ML \rangle$

27.9 Isomorphic deflations

definition *isodefl* $:: ('a::domain \rightarrow 'a) \Rightarrow udom \text{ defl} \Rightarrow bool$
where $isodefl \text{ d t} \iff cast \cdot t = emb \text{ oo } d \text{ oo } prj$

definition *isodefl'* $:: ('a::predomain \rightarrow 'a) \Rightarrow udom \text{ u defl} \Rightarrow bool$
where $isodefl' \text{ d t} \iff cast \cdot t = liftemb \text{ oo } u\text{-map} \cdot d \text{ oo } liftprj$

lemma *isodeflI*: $(\bigwedge x. cast \cdot t \cdot x = emb \cdot (d \cdot (prj \cdot x))) \implies isodefl \text{ d t}$
unfolding *isodefl-def* **by** (*simp add: cfun-eqI*)

lemma *cast-isodefl*: $isodefl \text{ d t} \implies cast \cdot t = (\bigwedge x. emb \cdot (d \cdot (prj \cdot x)))$
unfolding *isodefl-def* **by** (*simp add: cfun-eqI*)

lemma *isodefl-strict*: $isodefl \text{ d t} \implies d \cdot \perp = \perp$
unfolding *isodefl-def*
by (*drule cfun-fun-cong [where x= \perp], simp*)

lemma *isodefl-imp-deflation*:
fixes $d :: 'a::domain \rightarrow 'a$
assumes $isodefl \text{ d t}$ **shows** *deflation d*
proof
note *assms [unfolded isodefl-def, simp]*
fix $x :: 'a$
show $d \cdot (d \cdot x) = d \cdot x$
using *cast.idem [of t emb \cdot x]* **by** *simp*
show $d \cdot x \sqsubseteq x$
using *cast.below [of t emb \cdot x]* **by** *simp*
qed

lemma *isodefl-ID-DEFL*: $isodefl (ID :: 'a \rightarrow 'a) DEFL('a::domain)$

unfolding *isodeft-def* **by** (*simp add: cast-DEFL*)

lemma *isodeft-LIFTDEFL*:

isodeft' (ID :: 'a → 'a) LIFTDEFL('a::predomain)

unfolding *isodeft'-def* **by** (*simp add: cast-liftdefl u-map-ID*)

lemma *isodeft-DEFL-imp-ID*: *isodeft (d :: 'a → 'a) DEFL('a::domain) ⇒ d = ID*

unfolding *isodeft-def*

apply (*simp add: cast-DEFL*)

apply (*simp add: cfun-eq-iff*)

apply (*rule allI*)

apply (*drule-tac x=emb·x in spec*)

apply *simp*

done

lemma *isodeft-bottom*: *isodeft ⊥ ⊥*

unfolding *isodeft-def* **by** (*simp add: cfun-eq-iff*)

lemma *adm-isodeft*:

cont f ⇒ cont g ⇒ adm (λx. isodeft (f x) (g x))

unfolding *isodeft-def* **by** *simp*

lemma *isodeft-lub*:

assumes *chain d and chain t*

assumes $\bigwedge i. \text{isodeft } (d \ i) \ (t \ i)$

shows *isodeft* ($\bigsqcup i. d \ i$) ($\bigsqcup i. t \ i$)

using *assms unfolding isodeft-def*

by (*simp add: contlub-cfun-arg contlub-cfun-fun*)

lemma *isodeft-fix*:

assumes $\bigwedge d \ t. \text{isodeft } d \ t \Rightarrow \text{isodeft } (f \cdot d) \ (g \cdot t)$

shows *isodeft* (*fix*·*f*) (*fix*·*g*)

unfolding *fix-def2*

apply (*rule isodeft-lub, simp, simp*)

apply (*induct-tac i*)

apply (*simp add: isodeft-bottom*)

apply (*simp add: assms*)

done

lemma *isodeft-abs-rep*:

fixes *abs and rep and d*

assumes *DEFL*: *DEFL('b::domain) = DEFL('a::domain)*

assumes *abs-def*: (*abs* :: 'a → 'b) ≡ *prj oo emb*

assumes *rep-def*: (*rep* :: 'b → 'a) ≡ *prj oo emb*

shows *isodeft* *d t* ⇒ *isodeft* (*abs oo d oo rep*) *t*

unfolding *isodeft-def*

by (*simp add: cfun-eq-iff assms prj-emb-prj emb-prj-emb*)

lemma *isodefl'-liftdefl-of*: $isodefl\ d\ t \implies isodefl'\ d\ (liftdefl\text{-of}\cdot t)$
unfolding *isodefl-def isodefl'-def*
by (*simp add: cast-liftdefl-of u-map-oo lifteqb-eq liftprj-eq*)

lemma *isodefl-sfun*:
 $isodefl\ d1\ t1 \implies isodefl\ d2\ t2 \implies$
 $isodefl\ (sfun\text{-map}\cdot d1\cdot d2)\ (sfun\text{-defl}\cdot t1\cdot t2)$
apply (*rule isodeflI*)
apply (*simp add: cast-sfun-defl cast-isodefl*)
apply (*simp add: emb-sfun-def prj-sfun-def*)
apply (*simp add: sfun-map-map isodefl-strict*)
done

lemma *isodefl-ssum*:
 $isodefl\ d1\ t1 \implies isodefl\ d2\ t2 \implies$
 $isodefl\ (ssum\text{-map}\cdot d1\cdot d2)\ (ssum\text{-defl}\cdot t1\cdot t2)$
apply (*rule isodeflI*)
apply (*simp add: cast-ssum-defl cast-isodefl*)
apply (*simp add: emb-ssum-def prj-ssum-def*)
apply (*simp add: ssum-map-map isodefl-strict*)
done

lemma *isodefl-sprod*:
 $isodefl\ d1\ t1 \implies isodefl\ d2\ t2 \implies$
 $isodefl\ (sprod\text{-map}\cdot d1\cdot d2)\ (sprod\text{-defl}\cdot t1\cdot t2)$
apply (*rule isodeflI*)
apply (*simp add: cast-sprod-defl cast-isodefl*)
apply (*simp add: emb-sprod-def prj-sprod-def*)
apply (*simp add: sprod-map-map isodefl-strict*)
done

lemma *isodefl-prod*:
 $isodefl\ d1\ t1 \implies isodefl\ d2\ t2 \implies$
 $isodefl\ (prod\text{-map}\cdot d1\cdot d2)\ (prod\text{-defl}\cdot t1\cdot t2)$
apply (*rule isodeflI*)
apply (*simp add: cast-prod-defl cast-isodefl*)
apply (*simp add: emb-prod-def prj-prod-def*)
apply (*simp add: prod-map-map cfcomp1*)
done

lemma *isodefl-u*:
 $isodefl\ d\ t \implies isodefl\ (u\text{-map}\cdot d)\ (u\text{-defl}\cdot t)$
apply (*rule isodeflI*)
apply (*simp add: cast-u-defl cast-isodefl*)
apply (*simp add: emb-u-def prj-u-def lifteqb-eq liftprj-eq u-map-map*)
done

lemma *isodefl-u-liftdefl*:
 $isodefl'\ d\ t \implies isodefl\ (u\text{-map}\cdot d)\ (u\text{-liftdefl}\cdot t)$

```

apply (rule isodeflI)
apply (simp add: cast-u-liftdefl isodefl'-def)
apply (simp add: emb-u-def prj-u-def liftemb-eq liftprj-eq)
done

```

```

lemma encode-prod-u-map:
  encode-prod-u·(u-map·(prod-map·f·g)·(decode-prod-u·x))
    = sprod-map·(u-map·f)·(u-map·g)·x
unfolding encode-prod-u-def decode-prod-u-def
apply (case-tac x, simp, rename-tac a b)
apply (case-tac a, simp, case-tac b, simp, simp)
done

```

```

lemma isodefl-prod-u:
  assumes isodefl' d1 t1 and isodefl' d2 t2
  shows isodefl' (prod-map·d1·d2) (prod-liftdefl·t1·t2)
using assms unfolding isodefl'-def
unfolding liftemb-prod-def liftprj-prod-def
by (simp add: cast-prod-liftdefl cfcomp1 encode-prod-u-map sprod-map-map)

```

```

lemma encode-cfun-map:
  encode-cfun·(cfun-map·f·g·(decode-cfun·x))
    = sfun-map·(u-map·f)·g·x
unfolding encode-cfun-def decode-cfun-def
apply (simp add: sfun-eq-iff cfun-map-def sfun-map-def)
apply (rule cfun-eqI, rename-tac y, case-tac y, simp-all)
done

```

```

lemma isodefl-cfun:
  assumes isodefl (u-map·d1) t1 and isodefl d2 t2
  shows isodefl (cfun-map·d1·d2) (sfun-defl·t1·t2)
using isodefl-sfun [OF assms] unfolding isodefl-def
by (simp add: emb-cfun-def prj-cfun-def cfcomp1 encode-cfun-map)

```

27.10 Setting up the domain package

```

named-theorems domain-defl-simps theorems like DEFL('a t) = t-defl$DEFL('a)
  and domain-isodefl theorems like isodefl d t ==> isodefl (foo-map$d) (foo-defl$t)

```

ML-file <Tools/Domain/domain-isomorphism.ML>

ML-file <Tools/Domain/domain-axioms.ML>

ML-file <Tools/Domain/domain.ML>

```

lemmas [domain-defl-simps] =
  DEFL-cfun DEFL-sfun DEFL-ssum DEFL-sprod DEFL-prod DEFL-u
  liftdefl-eq LIFTDEFL-prod u-liftdefl-liftdefl-of

```

```

lemmas [domain-map-ID] =
  cfun-map-ID sfun-map-ID ssum-map-ID sprod-map-ID prod-map-ID u-map-ID

```

```

lemmas [domain-isodefl] =
  isodefl-u isodefl-sfun isodefl-ssum isodefl-sprod
  isodefl-cfun isodefl-prod isodefl-prod-u isodefl'-liftdefl-of
  isodefl-u-liftdefl

lemmas [domain-deflation] =
  deflation-cfun-map deflation-sfun-map deflation-ssum-map
  deflation-sprod-map deflation-prod-map deflation-u-map

setup <
  fold Domain-Take-Proofs.add-rec-type
    [(type-name <cfun>, [true, true]),
     (type-name <sfun>, [true, true]),
     (type-name <ssum>, [true, true]),
     (type-name <sprod>, [true, true]),
     (type-name <prod>, [true, true]),
     (type-name <u>, [true])]
  >

end

```

28 A compact basis for powerdomains

```

theory Compact-Basis
imports Universal
begin

```

28.1 A compact basis for powerdomains

```

definition pd-basis = {S::'a::bifinite compact-basis set. finite S ∧ S ≠ {}}

```

```

typedef 'a::bifinite pd-basis = pd-basis :: 'a compact-basis set set
proof
  show {a} ∈ ?pd-basis for a
  by (simp add: pd-basis-def)
qed

```

```

lemma finite-Rep-pd-basis [simp]: finite (Rep-pd-basis u)
using Rep-pd-basis [of u, unfolded pd-basis-def] by simp

```

```

lemma Rep-pd-basis-nonempty [simp]: Rep-pd-basis u ≠ {}
using Rep-pd-basis [of u, unfolded pd-basis-def] by simp

```

The powerdomain basis type is countable.

```

lemma pd-basis-countable: ∃ f::'a::bifinite pd-basis ⇒ nat. inj f (is Ex ?P)
proof –
  obtain g :: 'a compact-basis ⇒ nat where inj g
  using compact-basis.countable ..

```

hence *image-g-eq*: $g \text{ ' } A = g \text{ ' } B \longleftrightarrow A = B$ **for** $A B$
by (*rule inj-image-eq-iff*)
have *inj* ($\lambda t. \text{set-encode } (g \text{ ' } \text{Rep-pd-basis } t)$)
by (*simp add: inj-on-def set-encode-eq image-g-eq Rep-pd-basis-inject*)
thus *?thesis* **by** (*rule exI [of ?P]*)
qed

28.2 Unit and plus constructors

definition

PDUnit :: $'a::\text{bifinite compact-basis} \Rightarrow 'a \text{ pd-basis}$ **where**
PDUnit = ($\lambda x. \text{Abs-pd-basis } \{x\}$)

definition

PDPlus :: $'a::\text{bifinite pd-basis} \Rightarrow 'a \text{ pd-basis} \Rightarrow 'a \text{ pd-basis}$ **where**
PDPlus $t u = \text{Abs-pd-basis } (\text{Rep-pd-basis } t \cup \text{Rep-pd-basis } u)$

lemma Rep-PDUnit:

Rep-pd-basis (*PDUnit* x) = $\{x\}$

unfolding *PDUnit-def* **by** (*rule Abs-pd-basis-inverse*) (*simp add: pd-basis-def*)

lemma Rep-PDPlus:

Rep-pd-basis (*PDPlus* $u v$) = $\text{Rep-pd-basis } u \cup \text{Rep-pd-basis } v$

unfolding *PDPlus-def* **by** (*rule Abs-pd-basis-inverse*) (*simp add: pd-basis-def*)

lemma *PDUnit-inject* [*simp*]: (*PDUnit* $a = \text{PDUnit } b$) = ($a = b$)

unfolding *Rep-pd-basis-inject* [*symmetric*] *Rep-PDUnit* **by** *simp*

lemma *PDPlus-assoc*: *PDPlus* (*PDPlus* $t u$) $v = \text{PDPlus } t (\text{PDPlus } u v)$

unfolding *Rep-pd-basis-inject* [*symmetric*] *Rep-PDPlus* **by** (*rule Un-assoc*)

lemma *PDPlus-commute*: *PDPlus* $t u = \text{PDPlus } u t$

unfolding *Rep-pd-basis-inject* [*symmetric*] *Rep-PDPlus* **by** (*rule Un-commute*)

lemma *PDPlus-absorb*: *PDPlus* $t t = t$

unfolding *Rep-pd-basis-inject* [*symmetric*] *Rep-PDPlus* **by** (*rule Un-absorb*)

lemma *pd-basis-induct1* [*case-names PDUnit PDPlus*]:

assumes *PDUnit*: $\bigwedge a. P (\text{PDUnit } a)$

assumes *PDPlus*: $\bigwedge a t. P t \Longrightarrow P (\text{PDPlus } (\text{PDUnit } a) t)$

shows $P x$

proof (*induct* x)

case (*Abs-pd-basis* y)

then have *finite* y **and** $y \neq \{\}$ **by** (*simp-all add: pd-basis-def*)

then show *?case*

proof (*induct rule: finite-ne-induct*)

case (*singleton* x)

show *?case* **by** (*rule PDUnit [unfolded PDUnit-def]*)

next

```

  case (insert x F)
  from insert(4) have P (PDPlus (PDUUnit x) (Abs-pd-basis F)) by (rule PDPlus)
  with insert(1,2) show ?case
  by (simp add: PDUUnit-def PDPlus-def Abs-pd-basis-inverse [unfolded pd-basis-def])
qed

```

```

lemma pd-basis-induct [case-names PDUUnit PDPlus]:
  assumes PDUUnit:  $\bigwedge a. P (PDUUnit a)$ 
  assumes PDPlus:  $\bigwedge t u. \llbracket P t; P u \rrbracket \implies P (PDPlus t u)$ 
  shows  $P x$ 
  by (induct x rule: pd-basis-induct1) (fact PDUUnit, fact PDPlus [OF PDUUnit])

```

28.3 Fold operator

definition

```

fold-pd ::
  ('a::bifinite compact-basis  $\Rightarrow$  'b::type)  $\Rightarrow$  ('b  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'a pd-basis  $\Rightarrow$  'b
  where fold-pd g f t = semilattice-set.F f (g ' Rep-pd-basis t)

```

lemma fold-pd-PDUUnit:

```

  assumes semilattice f
  shows fold-pd g f (PDUUnit x) = g x

```

proof –

```

  from assms interpret semilattice-set f by (rule semilattice-set.intro)
  show ?thesis by (simp add: fold-pd-def Rep-PDUUnit)

```

qed

lemma fold-pd-PDPlus:

```

  assumes semilattice f
  shows fold-pd g f (PDPlus t u) = f (fold-pd g f t) (fold-pd g f u)

```

proof –

```

  from assms interpret semilattice-set f by (rule semilattice-set.intro)
  show ?thesis by (simp add: image-Un fold-pd-def Rep-PDPlus union)

```

qed

end

29 Upper powerdomain

theory UpperPD

imports Compact-Basis

begin

29.1 Basis preorder

definition

```

upper-le :: 'a::bifinite pd-basis  $\Rightarrow$  'a pd-basis  $\Rightarrow$  bool (infix <math>\langle \leq \# \rangle</math> 50) where
upper-le = ( $\lambda u v. \forall y \in \text{Rep-pd-basis } v. \exists x \in \text{Rep-pd-basis } u. x \sqsubseteq y$ )

```

lemma *upper-le-refl* [*simp*]: $t \leq\# t$
unfolding *upper-le-def* **by** *fast*

lemma *upper-le-trans*: $\llbracket t \leq\# u; u \leq\# v \rrbracket \implies t \leq\# v$
unfolding *upper-le-def*
apply (*rule ballI*)
apply (*drule* (1) *bspec*, *erule* *bexE*)
apply (*drule* (1) *bspec*, *erule* *bexE*)
apply (*erule* *rev-beXI*)
apply (*erule* (1) *below-trans*)
done

interpretation *upper-le*: *preorder upper-le*
by (*rule* *preorder.intro*, *rule* *upper-le-refl*, *rule* *upper-le-trans*)

lemma *upper-le-minimal* [*simp*]: *PDUnit compact-bot* $\leq\# t$
unfolding *upper-le-def Rep-PDUnit* **by** *simp*

lemma *PDUnit-upper-mono*: $x \sqsubseteq y \implies PDUnit\ x \leq\# PDUnit\ y$
unfolding *upper-le-def Rep-PDUnit* **by** *simp*

lemma *PDPlus-upper-mono*: $\llbracket s \leq\# t; u \leq\# v \rrbracket \implies PDPlus\ s\ u \leq\# PDPlus\ t\ v$
unfolding *upper-le-def Rep-PDPlus* **by** *fast*

lemma *PDPlus-upper-le*: $PDPlus\ t\ u \leq\# t$
unfolding *upper-le-def Rep-PDPlus* **by** *fast*

lemma *upper-le-PDUnit-PDUnit-iff* [*simp*]:
 $(PDUnit\ a \leq\# PDUnit\ b) = (a \sqsubseteq b)$
unfolding *upper-le-def Rep-PDUnit* **by** *fast*

lemma *upper-le-PDPlus-PDUnit-iff*:
 $(PDPlus\ t\ u \leq\# PDUnit\ a) = (t \leq\# PDUnit\ a \vee u \leq\# PDUnit\ a)$
unfolding *upper-le-def Rep-PDPlus Rep-PDUnit* **by** *fast*

lemma *upper-le-PDPlus-iff*: $(t \leq\# PDPlus\ u\ v) = (t \leq\# u \wedge t \leq\# v)$
unfolding *upper-le-def Rep-PDPlus* **by** *fast*

lemma *upper-le-induct* [*induct set: upper-le*]:
assumes *le*: $t \leq\# u$
assumes 1: $\bigwedge a\ b. a \sqsubseteq b \implies P\ (PDUnit\ a)\ (PDUnit\ b)$
assumes 2: $\bigwedge t\ u\ a. P\ t\ (PDUnit\ a) \implies P\ (PDPlus\ t\ u)\ (PDUnit\ a)$
assumes 3: $\bigwedge t\ u\ v. \llbracket P\ t\ u; P\ t\ v \rrbracket \implies P\ t\ (PDPlus\ u\ v)$
shows $P\ t\ u$
using *le*
proof (*induct u arbitrary: t rule: pd-basis-induct*)
case (*PDUnit a*)
then show *?case*

```

proof (induct t rule: pd-basis-induct)
  case PDUnit
  then show ?case by (simp add: 1)
next
  case (PDPlus t u)
  from PDPlus(3) consider (t) t ≤# PDUnit a | (u) u ≤# PDUnit a
  by (auto simp: upper-le-PDPlus-PDUnit-iff)
  then show ?case
  proof cases
    case t
    then have P t (PDUnit a) by (rule PDPlus(1))
    then show ?thesis by (rule 2)
  next
    case u
    then have P u (PDUnit a) by (rule PDPlus(2))
    then have P (PDPlus u t) (PDUnit a) by (rule 2)
    then show ?thesis by (simp only: PDPlus-commute)
  qed
  qed
next
  case (PDPlus t t' u)
  then show ?case by (simp add: upper-le-PDPlus-iff 3)
qed

```

29.2 Type definition

```

typedef 'a::bifinite upper-pd (⟨⟨notation=⟨postfix upper-pd⟩'(-)⟩#⟩) =
  {S::'a pd-basis set. upper-le.ideal S}
by (rule upper-le.ex-ideal)

```

```

instantiation upper-pd :: (bifinite) below
begin

```

```

definition

```

$$x \sqsubseteq y \longleftrightarrow \text{Rep-upper-pd } x \subseteq \text{Rep-upper-pd } y$$

```

instance ..
end

```

```

instance upper-pd :: (bifinite) po
using type-definition-upper-pd below-upper-pd-def
by (rule upper-le.typedef-ideal-po)

```

```

instance upper-pd :: (bifinite) cpo
using type-definition-upper-pd below-upper-pd-def
by (rule upper-le.typedef-ideal-cpo)

```

```

definition

```

upper-principal :: 'a::bifinite pd-basis \Rightarrow 'a upper-pd **where**

upper-principal $t = \text{Abs-upper-pd } \{u. u \leq\# t\}$

interpretation *upper-pd*:

ideal-completion upper-le upper-principal Rep-upper-pd
using *type-definition-upper-pd below-upper-pd-def*
using *upper-principal-def pd-basis-countable*
by (*rule upper-le.typedef-ideal-completion*)

Upper powerdomain is pointed

lemma *upper-pd-minimal*: *upper-principal (PDUnit compact-bot) \sqsubseteq ys*
by (*induct ys rule: upper-pd.principal-induct, simp, simp*)

instance *upper-pd* :: (*bifinite*) *pcpo*
by *intro-classes (fast intro: upper-pd-minimal)*

lemma *inst-upper-pd-pcpo*: $\perp = \text{upper-principal (PDUnit compact-bot)}$
by (*rule upper-pd-minimal [THEN bottomI, symmetric]*)

29.3 Monadic unit and plus

definition

upper-unit :: '*a*::*bifinite* \rightarrow '*a* *upper-pd* **where**
upper-unit = *compact-basis.extension* ($\lambda a. \text{upper-principal (PDUnit } a)$)

definition

upper-plus :: '*a*::*bifinite* *upper-pd* \rightarrow '*a* *upper-pd* \rightarrow '*a* *upper-pd* **where**
upper-plus = *upper-pd.extension* ($\lambda t. \text{upper-pd.extension } (\lambda u. \text{upper-principal (PDPlus } t \ u))$)

abbreviation

upper-add :: '*a*::*bifinite* *upper-pd* \Rightarrow '*a* *upper-pd* \Rightarrow '*a* *upper-pd*
 (**infixl** $\langle \cup\# \rangle$ 65) **where**
 $xs \cup\# ys == \text{upper-plus} \cdot xs \cdot ys$

syntax

-upper-pd :: *args* \Rightarrow *logic* ($\langle \langle \langle \text{indent}=1 \text{ notation}=\langle \text{mixfix upper-pd enumeration} \rangle \{-\}\# \rangle \rangle \rangle$)

translations

$\{x, xs\}\# == \{x\}\# \cup\# \{xs\}\#$
 $\{x\}\# == \text{CONST upper-unit} \cdot x$

lemma *upper-unit-Rep-compact-basis [simp]*:

$\{\text{Rep-compact-basis } a\}\# = \text{upper-principal (PDUnit } a)$

unfolding *upper-unit-def*

by (*simp add: compact-basis.extension-principal PDUnit-upper-mono*)

lemma *upper-plus-principal [simp]*:

$\text{upper-principal } t \cup\# \text{upper-principal } u = \text{upper-principal (PDPlus } t \ u)$

unfolding *upper-plus-def*

by (*simp add: upper-pd.extension-principal*
upper-pd.extension-mono PDPlus-upper-mono)

interpretation *upper-add: semilattice upper-add proof*

```

fix xs ys zs :: 'a upper-pd
show (xs  $\cup_{\#}$  ys)  $\cup_{\#}$  zs = xs  $\cup_{\#}$  (ys  $\cup_{\#}$  zs)
  apply (induct xs rule: upper-pd.principal-induct, simp)
  apply (induct ys rule: upper-pd.principal-induct, simp)
  apply (induct zs rule: upper-pd.principal-induct, simp)
  apply (simp add: PDPlus-assoc)
done
show xs  $\cup_{\#}$  ys = ys  $\cup_{\#}$  xs
  apply (induct xs rule: upper-pd.principal-induct, simp)
  apply (induct ys rule: upper-pd.principal-induct, simp)
  apply (simp add: PDPlus-commute)
done
show xs  $\cup_{\#}$  xs = xs
  apply (induct xs rule: upper-pd.principal-induct, simp)
  apply (simp add: PDPlus-absorb)
done

```

qed

```

lemmas upper-plus-assoc = upper-add.assoc
lemmas upper-plus-commute = upper-add.commute
lemmas upper-plus-absorb = upper-add.idem
lemmas upper-plus-left-commute = upper-add.left-commute
lemmas upper-plus-left-absorb = upper-add.left-idem

```

Useful for *simp add: upper-plus-ac*

```

lemmas upper-plus-ac =
  upper-plus-assoc upper-plus-commute upper-plus-left-commute

```

Useful for *simp only: upper-plus-aci*

```

lemmas upper-plus-aci =
  upper-plus-ac upper-plus-absorb upper-plus-left-absorb

```

```

lemma upper-plus-below1: xs  $\cup_{\#}$  ys  $\sqsubseteq$  xs
  apply (induct xs rule: upper-pd.principal-induct, simp)
  apply (induct ys rule: upper-pd.principal-induct, simp)
  apply (simp add: PDPlus-upper-le)
done

```

```

lemma upper-plus-below2: xs  $\cup_{\#}$  ys  $\sqsubseteq$  ys
  by (subst upper-plus-commute, rule upper-plus-below1)

```

```

lemma upper-plus-greatest:  $\llbracket xs \sqsubseteq ys; xs \sqsubseteq zs \rrbracket \implies xs \sqsubseteq ys \cup_{\#} zs$ 
  apply (subst upper-plus-absorb [of xs, symmetric])
  apply (erule (1) monofun-cfun [OF monofun-cfun-arg])
done

```

lemma *upper-below-plus-iff* [simp]:

$$xs \sqsubseteq ys \cup\# zs \longleftrightarrow xs \sqsubseteq ys \wedge xs \sqsubseteq zs$$

apply *safe*

apply (*erule* *below-trans* [OF - *upper-plus-below1*])

apply (*erule* *below-trans* [OF - *upper-plus-below2*])

apply (*erule* (1) *upper-plus-greatest*)

done

lemma *upper-plus-below-unit-iff* [simp]:

$$xs \cup\# ys \sqsubseteq \{z\}\# \longleftrightarrow xs \sqsubseteq \{z\}\# \vee ys \sqsubseteq \{z\}\#$$

apply (*induct* *xs* *rule*: *upper-pd.principal-induct*, *simp*)

apply (*induct* *ys* *rule*: *upper-pd.principal-induct*, *simp*)

apply (*induct* *z* *rule*: *compact-basis.principal-induct*, *simp*)

apply (*simp* *add*: *upper-le-PDPlus-PDUnit-iff*)

done

lemma *upper-unit-below-iff* [simp]: $\{x\}\# \sqsubseteq \{y\}\# \longleftrightarrow x \sqsubseteq y$

apply (*induct* *x* *rule*: *compact-basis.principal-induct*, *simp*)

apply (*induct* *y* *rule*: *compact-basis.principal-induct*, *simp*)

apply *simp*

done

lemmas *upper-pd-below-simps* =

upper-unit-below-iff

upper-below-plus-iff

upper-plus-below-unit-iff

lemma *upper-unit-eq-iff* [simp]: $\{x\}\# = \{y\}\# \longleftrightarrow x = y$

unfolding *po-eq-conv* **by** *simp*

lemma *upper-unit-strict* [simp]: $\{\perp\}\# = \perp$

using *upper-unit-Rep-compact-basis* [of *compact-bot*]

by (*simp* *add*: *inst-upper-pd-pcpo*)

lemma *upper-plus-strict1* [simp]: $\perp \cup\# ys = \perp$

by (*rule* *bottomI*, *rule* *upper-plus-below1*)

lemma *upper-plus-strict2* [simp]: $xs \cup\# \perp = \perp$

by (*rule* *bottomI*, *rule* *upper-plus-below2*)

lemma *upper-unit-bottom-iff* [simp]: $\{x\}\# = \perp \longleftrightarrow x = \perp$

unfolding *upper-unit-strict* [*symmetric*] **by** (*rule* *upper-unit-eq-iff*)

lemma *upper-plus-bottom-iff* [simp]:

$$xs \cup\# ys = \perp \longleftrightarrow xs = \perp \vee ys = \perp$$

apply (*induct* *xs* *rule*: *upper-pd.principal-induct*, *simp*)

apply (*induct* *ys* *rule*: *upper-pd.principal-induct*, *simp*)

apply (*simp* *add*: *inst-upper-pd-pcpo* *upper-pd.principal-eq-iff*)

```

    upper-le-PDPlus-PDUnit-iff)
done

lemma compact-upper-unit: compact x  $\implies$  compact {x}#
by (auto dest!: compact-basis.compact-imp-principal)

lemma compact-upper-unit-iff [simp]: compact {x}#  $\longleftrightarrow$  compact x
apply (safe elim!: compact-upper-unit)
apply (simp only: compact-def upper-unit-below-iff [symmetric])
apply (erule adm-subst [OF cont-Rep-cfun2])
done

lemma compact-upper-plus [simp]:
   $\llbracket$ compact xs; compact ys $\rrbracket \implies$  compact (xs  $\cup$ # ys)
by (auto dest!: upper-pd.compact-imp-principal)

```

29.4 Induction rules

```

lemma upper-pd-induct1:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}$ #
  assumes insert:  $\bigwedge x \text{ ys}. \llbracket P \{x\}\#; P \text{ ys} \rrbracket \implies P (\{x\}\# \cup\# \text{ ys})$ 
  shows P (xs::'a::bifinite upper-pd)
proof (induct xs rule: upper-pd.principal-induct)
  have *: P {Rep-compact-basis a}# for a
  by (rule unit)
  show P (upper-principal a) for a
  proof (induct a rule: pd-basis-induct1)
    case (PDUnit a)
    with * show ?case
    by (simp only: upper-unit-Rep-compact-basis [symmetric])
  next
    case (PDPlus a t)
    with * have P ({Rep-compact-basis a}#  $\cup$ # upper-principal t)
    by (rule insert)
    then show ?case
    by (simp only: upper-unit-Rep-compact-basis [symmetric]
        upper-plus-principal [symmetric])
  qed
qed (rule P)

lemma upper-pd-induct [case-names adm upper-unit upper-plus, induct type: upper-pd]:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}$ #
  assumes plus:  $\bigwedge xs \text{ ys}. \llbracket P xs; P ys \rrbracket \implies P (xs \cup\# ys)$ 
  shows P (xs::'a::bifinite upper-pd)
proof (induct xs rule: upper-pd.principal-induct)
  show P (upper-principal a) for a

```

```

proof (induct a rule: pd-basis-induct)
  case PDUnit
  then show ?case
    by (simp only: upper-unit-Rep-compact-basis [symmetric] unit)
  next
  case PDPlus
  then show ?case
    by (simp only: upper-plus-principal [symmetric] plus)
  qed
qed (rule P)

```

29.5 Monadic bind

definition

```

upper-bind-basis ::
  'a::bifinite pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b upper-pd)  $\rightarrow$  'b::bifinite upper-pd where
  upper-bind-basis = fold-pd
    ( $\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
    ( $\lambda x y. \Lambda f. x \cdot f \cup\# y \cdot f$ )

```

lemma ACI-upper-bind:

```

  semilattice ( $\lambda x y. \Lambda f. x \cdot f \cup\# y \cdot f$ )
apply unfold-locales
apply (simp add: upper-plus-assoc)
apply (simp add: upper-plus-commute)
apply (simp add: eta-cfun)
done

```

lemma upper-bind-basis-simps [simp]:

```

  upper-bind-basis (PDUnit a) =
    ( $\Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
  upper-bind-basis (PDPlus t u) =
    ( $\Lambda f. \text{upper-bind-basis } t \cdot f \cup\# \text{upper-bind-basis } u \cdot f$ )
unfolding upper-bind-basis-def
apply –
apply (rule fold-pd-PDUnit [OF ACI-upper-bind])
apply (rule fold-pd-PDPlus [OF ACI-upper-bind])
done

```

lemma upper-bind-basis-mono:

```

   $t \leq\# u \Longrightarrow \text{upper-bind-basis } t \sqsubseteq \text{upper-bind-basis } u$ 
unfolding cfun-below-iff
apply (erule upper-le-induct, safe)
apply (simp add: monofun-cfun)
apply (simp add: below-trans [OF upper-plus-below1])
apply simp
done

```

definition

$upper-bind :: 'a::bifinite upper-pd \rightarrow ('a \rightarrow 'b upper-pd) \rightarrow 'b::bifinite upper-pd$
where

$upper-bind = upper-pd.extension upper-bind-basis$

syntax

$-upper-bind :: [logic, logic, logic] \Rightarrow logic$
 $(\langle \langle indent=3 notation=\langle binder upper-bind \rangle \cup_{\#} \in \cdot / \cdot \rangle \rangle [0, 0, 10] 10)$

translations

$\cup_{\#} x \in xs. e == CONST upper-bind.xs(\Lambda x. e)$

lemma $upper-bind-principal$ [simp]:

$upper-bind.(upper-principal t) = upper-bind-basis t$

unfolding $upper-bind-def$

apply (rule $upper-pd.extension-principal$)

apply (erule $upper-bind-basis-mono$)

done

lemma $upper-bind-unit$ [simp]:

$upper-bind.\{x\}_{\#}.f = f \cdot x$

by (induct x rule: $compact-basis.principal-induct$, simp, simp)

lemma $upper-bind-plus$ [simp]:

$upper-bind.(xs \cup_{\#} ys).f = upper-bind.xs.f \cup_{\#} upper-bind.ys.f$

by (induct xs rule: $upper-pd.principal-induct$, simp,

induct ys rule: $upper-pd.principal-induct$, simp, simp)

lemma $upper-bind-strict$ [simp]: $upper-bind.\perp.f = f \cdot \perp$

unfolding $upper-unit-strict$ [symmetric] **by** (rule $upper-bind-unit$)

lemma $upper-bind-bind$:

$upper-bind.(upper-bind.xs.f).g = upper-bind.xs(\Lambda x. upper-bind.(f \cdot x).g)$

by (induct xs , simp-all)

29.6 Map

definition

$upper-map :: ('a::bifinite \rightarrow 'b::bifinite) \rightarrow 'a upper-pd \rightarrow 'b upper-pd$ **where**

$upper-map = (\Lambda f xs. upper-bind.xs(\Lambda x. \{f \cdot x\}_{\#}))$

lemma $upper-map-unit$ [simp]:

$upper-map.f.\{x\}_{\#} = \{f \cdot x\}_{\#}$

unfolding $upper-map-def$ **by** simp

lemma $upper-map-plus$ [simp]:

$upper-map.f.(xs \cup_{\#} ys) = upper-map.f.xs \cup_{\#} upper-map.f.ys$

unfolding $upper-map-def$ **by** simp

lemma $upper-map-bottom$ [simp]: $upper-map.f.\perp = \{f \cdot \perp\}_{\#}$

unfolding *upper-map-def* **by** *simp*

lemma *upper-map-ident*: $\text{upper-map} \cdot (\Lambda x. x) \cdot xs = xs$
by (*induct xs rule: upper-pd-induct, simp-all*)

lemma *upper-map-ID*: $\text{upper-map} \cdot ID = ID$
by (*simp add: cfun-eq-iff ID-def upper-map-ident*)

lemma *upper-map-map*:
 $\text{upper-map} \cdot f \cdot (\text{upper-map} \cdot g \cdot xs) = \text{upper-map} \cdot (\Lambda x. f \cdot (g \cdot x)) \cdot xs$
by (*induct xs rule: upper-pd-induct, simp-all*)

lemma *upper-bind-map*:
 $\text{upper-bind} \cdot (\text{upper-map} \cdot f \cdot xs) \cdot g = \text{upper-bind} \cdot xs \cdot (\Lambda x. g \cdot (f \cdot x))$
by (*simp add: upper-map-def upper-bind-bind*)

lemma *upper-map-bind*:
 $\text{upper-map} \cdot f \cdot (\text{upper-bind} \cdot xs \cdot g) = \text{upper-bind} \cdot xs \cdot (\Lambda x. \text{upper-map} \cdot f \cdot (g \cdot x))$
by (*simp add: upper-map-def upper-bind-bind*)

lemma *ep-pair-upper-map*: $\text{ep-pair } e \text{ } p \implies \text{ep-pair } (\text{upper-map} \cdot e) (\text{upper-map} \cdot p)$
apply *standard*
apply (*induct-tac x rule: upper-pd-induct, simp-all add: ep-pair.e-inverse*)
apply (*induct-tac y rule: upper-pd-induct*)
apply (*simp-all add: ep-pair.e-p-below monofun-cfun del: upper-below-plus-iff*)
done

lemma *deflation-upper-map*: $\text{deflation } d \implies \text{deflation } (\text{upper-map} \cdot d)$
apply *standard*
apply (*induct-tac x rule: upper-pd-induct, simp-all add: deflation.idem*)
apply (*induct-tac x rule: upper-pd-induct*)
apply (*simp-all add: deflation.below monofun-cfun del: upper-below-plus-iff*)
done

lemma *finite-deflation-upper-map*:
assumes *finite-deflation d* **shows** *finite-deflation (upper-map · d)*
proof (*rule finite-deflation-intro*)
interpret *d: finite-deflation d* **by** *fact*
from *d.deflation-axioms* **show** *deflation (upper-map · d)*
by (*rule deflation-upper-map*)
have *finite (range (λx. d · x))* **by** (*rule d.finite-range*)
hence *finite (Rep-compact-basis -‘ range (λx. d · x))*
by (*rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject*)
hence *finite (Pow (Rep-compact-basis -‘ range (λx. d · x)))* **by** *simp*
hence *finite (Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘ range (λx. d · x))))*
by (*rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject*)
hence $*$: *finite (upper-principal ‘ Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘ range (λx. d · x))))* **by** *simp*

```

hence finite (range (λxs. upper-map·d·xs))
  apply (rule rev-finite-subset)
  apply clarsimp
  apply (induct-tac xs rule: upper-pd.principal-induct)
  apply (simp add: adm-mem-finite *)
  apply (rename-tac t, induct-tac t rule: pd-basis-induct)
  apply (simp only: upper-unit-Rep-compact-basis [symmetric] upper-map-unit)
  apply simp
  apply (subgoal-tac ∃ b. d.(Rep-compact-basis a) = Rep-compact-basis b)
  apply clarsimp
  apply (rule imageI)
  apply (rule vimageI2)
  apply (simp add: Rep-PDUnit)
  apply (rule range-eqI)
  apply (erule sym)
  apply (rule exI)
  apply (rule Abs-compact-basis-inverse [symmetric])
  apply (simp add: d.compact)
  apply (simp only: upper-plus-principal [symmetric] upper-map-plus)
  apply clarsimp
  apply (rule imageI)
  apply (rule vimageI2)
  apply (simp add: Rep-PDPlus)
done
thus finite {xs. upper-map·d·xs = xs}
  by (rule finite-range-imp-finite-fixes)
qed

```

29.7 Upper powerdomain is bifinite

```

lemma approx-chain-upper-map:
  assumes approx-chain a
  shows approx-chain (λi. upper-map·(a i))
  using assms unfolding approx-chain-def
  by (simp add: lub-APP upper-map-ID finite-deflation-upper-map)

```

```

instance upper-pd :: (bifinite) bifinite

```

```

proof

```

```

  show ∃ (a::nat ⇒ 'a upper-pd → 'a upper-pd). approx-chain a
    using bifinite [where 'a='a]
    by (fast intro!: approx-chain-upper-map)

```

```

qed

```

29.8 Join

```

definition

```

```

  upper-join :: 'a::bifinite upper-pd upper-pd → 'a upper-pd where
  upper-join = (Λ xss. upper-bind·xss·(Λ xs. xs))

```

```

lemma upper-join-unit [simp]:

```

$upper-join.\{xs\}\# = xs$
unfolding *upper-join-def* **by** *simp*

lemma *upper-join-plus* [*simp*]:
 $upper-join.(xss \cup\# yss) = upper-join.xss \cup\# upper-join.yss$
unfolding *upper-join-def* **by** *simp*

lemma *upper-join-bottom* [*simp*]: $upper-join.\perp = \perp$
unfolding *upper-join-def* **by** *simp*

lemma *upper-join-map-unit*:
 $upper-join.(upper-map.upper-unit.xs) = xs$
by (*induct xs rule: upper-pd-induct, simp-all*)

lemma *upper-join-map-join*:
 $upper-join.(upper-map.upper-join.xsss) = upper-join.(upper-join.xsss)$
by (*induct xsss rule: upper-pd-induct, simp-all*)

lemma *upper-join-map-map*:
 $upper-join.(upper-map.(upper-map.f).xss) =$
 $upper-map.f.(upper-join.xss)$
by (*induct xss rule: upper-pd-induct, simp-all*)

end

30 Lower powerdomain

theory *LowerPD*
imports *Compact-Basis*
begin

30.1 Basis preorder

definition
 $lower-le :: 'a::bifinite\ pd-basis \Rightarrow 'a\ pd-basis \Rightarrow bool$ (**infix** \leq^b 50) **where**
 $lower-le = (\lambda u\ v. \forall x \in Rep-pd-basis\ u. \exists y \in Rep-pd-basis\ v. x \sqsubseteq y)$

lemma *lower-le-refl* [*simp*]: $t \leq^b t$
unfolding *lower-le-def* **by** *fast*

lemma *lower-le-trans*: $\llbracket t \leq^b u; u \leq^b v \rrbracket \Longrightarrow t \leq^b v$
unfolding *lower-le-def*
apply (*rule ballI*)
apply (*drule* (1) *bspec*, *erule bexE*)
apply (*drule* (1) *bspec*, *erule bexE*)
apply (*erule rev-bexI*)
apply (*erule* (1) *below-trans*)
done

interpretation *lower-le*: preorder *lower-le*
by (rule *preorder.intro*, rule *lower-le-refl*, rule *lower-le-trans*)

lemma *lower-le-minimal* [*simp*]: *PDUnit compact-bot* $\leq_b t$
unfolding *lower-le-def Rep-PDUnit*
by (*simp*, rule *Rep-pd-basis-nonempty* [*folded ex-in-conv*])

lemma *PDUnit-lower-mono*: $x \sqsubseteq y \implies PDUnit\ x \leq_b PDUnit\ y$
unfolding *lower-le-def Rep-PDUnit* **by** *fast*

lemma *PDPlus-lower-mono*: $\llbracket s \leq_b t; u \leq_b v \rrbracket \implies PDPlus\ s\ u \leq_b PDPlus\ t\ v$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *PDPlus-lower-le*: $t \leq_b PDPlus\ t\ u$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *lower-le-PDUnit-PDUnit-iff* [*simp*]:
 $(PDUnit\ a \leq_b PDUnit\ b) = (a \sqsubseteq b)$
unfolding *lower-le-def Rep-PDUnit* **by** *fast*

lemma *lower-le-PDUnit-PDPlus-iff*:
 $(PDUnit\ a \leq_b PDPlus\ t\ u) = (PDUnit\ a \leq_b t \vee PDUnit\ a \leq_b u)$
unfolding *lower-le-def Rep-PDPlus Rep-PDUnit* **by** *fast*

lemma *lower-le-PDPlus-iff*: $(PDPlus\ t\ u \leq_b v) = (t \leq_b v \wedge u \leq_b v)$
unfolding *lower-le-def Rep-PDPlus* **by** *fast*

lemma *lower-le-induct* [*induct set: lower-le*]:

assumes *le*: $t \leq_b u$

assumes 1: $\bigwedge a\ b. a \sqsubseteq b \implies P\ (PDUnit\ a)\ (PDUnit\ b)$

assumes 2: $\bigwedge t\ u\ a. P\ (PDUnit\ a)\ t \implies P\ (PDUnit\ a)\ (PDPlus\ t\ u)$

assumes 3: $\bigwedge t\ u\ v. \llbracket P\ t\ v; P\ u\ v \rrbracket \implies P\ (PDPlus\ t\ u)\ v$

shows $P\ t\ u$

using *le*

proof (*induct t arbitrary: u rule: pd-basis-induct*)

case *PDUnit a*

then show *?case*

proof (*induct u rule: pd-basis-induct*)

case *PDUnit*

then show *?case* **by** (*simp add: 1*)

next

case $(PDPlus\ t\ u)$

from *PDPlus(3)* **consider** $(t)\ PDUnit\ a \leq_b t \mid (u)\ PDUnit\ a \leq_b u$

by (*auto simp: lower-le-PDUnit-PDPlus-iff*)

then show *?case*

proof *cases*

case *t*

then have $P\ (PDUnit\ a)\ t$ **by** (*rule PDPlus(1)*)

then show *?thesis* **by** (*rule 2*)

```

next
  case u
  then have P (PDUnit a) u by (rule PDPlus(2))
  then have P (PDUnit a) (PDPlus u t) by (rule 2)
  then show ?thesis by (simp only: PDPlus-commute)
qed
qed
next
  case (PDPlus t t')
  then show ?case by (simp add: lower-le-PDPlus-iff 3)
qed

```

30.2 Type definition

```

typedef 'a::bifinite lower-pd ( $\langle \langle \text{notation} = \langle \text{postfix lower-pd} \rangle \rangle'(-)\rangle \rangle) =$ 
  {S::'a pd-basis set. lower-le.ideal S}
by (rule lower-le.ex-ideal)

```

```

instantiation lower-pd :: (bifinite) below
begin

```

definition

$$x \sqsubseteq y \longleftrightarrow \text{Rep-lower-pd } x \subseteq \text{Rep-lower-pd } y$$

```

instance ..
end

```

```

instance lower-pd :: (bifinite) po
using type-definition-lower-pd below-lower-pd-def
by (rule lower-le.typedef-ideal-po)

```

```

instance lower-pd :: (bifinite) cpo
using type-definition-lower-pd below-lower-pd-def
by (rule lower-le.typedef-ideal-cpo)

```

definition

```

lower-principal :: 'a::bifinite pd-basis  $\Rightarrow$  'a lower-pd where
lower-principal t = Abs-lower-pd {u. u  $\leq$  t}

```

interpretation lower-pd:

```

ideal-completion lower-le lower-principal Rep-lower-pd
using type-definition-lower-pd below-lower-pd-def
using lower-principal-def pd-basis-countable
by (rule lower-le.typedef-ideal-completion)

```

Lower powerdomain is pointed

```

lemma lower-pd-minimal: lower-principal (PDUnit compact-bot)  $\sqsubseteq$  ys
by (induct ys rule: lower-pd.principal-induct, simp, simp)

```

instance *lower-pd* :: (*bifinite*) *pcpo*
by *intro-classes* (*fast intro: lower-pd-minimal*)

lemma *inst-lower-pd-pcpo*: $\perp = \text{lower-principal } (PDUnit \text{ compact-bot})$
by (*rule lower-pd-minimal [THEN bottomI, symmetric]*)

30.3 Monadic unit and plus

definition

lower-unit :: 'a::bifinite \rightarrow 'a *lower-pd* **where**
lower-unit = *compact-basis.extension* ($\lambda a. \text{lower-principal } (PDUnit a)$)

definition

lower-plus :: 'a::bifinite *lower-pd* \rightarrow 'a *lower-pd* \rightarrow 'a *lower-pd* **where**
lower-plus = *lower-pd.extension* ($\lambda t. \text{lower-pd.extension } (\lambda u. \text{lower-principal } (PDPlus t u))$)

abbreviation

lower-add :: 'a::bifinite *lower-pd* \Rightarrow 'a *lower-pd* \Rightarrow 'a *lower-pd*
(infixl $\langle \cup_b \rangle$ 65) **where**
 $xs \cup_b ys == \text{lower-plus}.xs.ys$

syntax

-lower-pd :: *args* \Rightarrow *logic* ($\langle \langle \langle \text{indent}=1 \text{ notation}=\langle \text{mixfix lower-pd enumeration} \rangle \rangle \rangle \rangle$)

translations

$\{x, xs\}_b == \{x\}_b \cup_b \{xs\}_b$
 $\{x\}_b == \text{CONST } \text{lower-unit}.x$

lemma *lower-unit-Rep-compact-basis* [*simp*]:

$\{\text{Rep-compact-basis } a\}_b = \text{lower-principal } (PDUnit a)$

unfolding *lower-unit-def*

by (*simp add: compact-basis.extension-principal PDUnit-lower-mono*)

lemma *lower-plus-principal* [*simp*]:

$\text{lower-principal } t \cup_b \text{lower-principal } u = \text{lower-principal } (PDPlus t u)$

unfolding *lower-plus-def*

by (*simp add: lower-pd.extension-principal lower-pd.extension-mono PDPlus-lower-mono*)

interpretation *lower-add*: *semilattice lower-add* **proof**

fix *xs ys zs* :: 'a::bifinite *lower-pd*

show $(xs \cup_b ys) \cup_b zs = xs \cup_b (ys \cup_b zs)$

apply (*induct xs rule: lower-pd.principal-induct, simp*)

apply (*induct ys rule: lower-pd.principal-induct, simp*)

apply (*induct zs rule: lower-pd.principal-induct, simp*)

apply (*simp add: PDPlus-assoc*)

done

show $xs \cup_b ys = ys \cup_b xs$

```

apply (induct xs rule: lower-pd.principal-induct, simp)
apply (induct ys rule: lower-pd.principal-induct, simp)
apply (simp add: PDPlus-commute)
done
show  $xs \cup b \ xs = xs$ 
apply (induct xs rule: lower-pd.principal-induct, simp)
apply (simp add: PDPlus-absorb)
done
qed

```

```

lemmas lower-plus-assoc = lower-add.assoc
lemmas lower-plus-commute = lower-add.commute
lemmas lower-plus-absorb = lower-add.idem
lemmas lower-plus-left-commute = lower-add.left-commute
lemmas lower-plus-left-absorb = lower-add.left-idem

```

Useful for *simp add*: lower-plus-ac

```

lemmas lower-plus-ac =
  lower-plus-assoc lower-plus-commute lower-plus-left-commute

```

Useful for *simp only*: lower-plus-aci

```

lemmas lower-plus-aci =
  lower-plus-ac lower-plus-absorb lower-plus-left-absorb

```

```

lemma lower-plus-below1:  $xs \sqsubseteq xs \cup b \ ys$ 
apply (induct xs rule: lower-pd.principal-induct, simp)
apply (induct ys rule: lower-pd.principal-induct, simp)
apply (simp add: PDPlus-lower-le)
done

```

```

lemma lower-plus-below2:  $ys \sqsubseteq xs \cup b \ ys$ 
by (subst lower-plus-commute, rule lower-plus-below1)

```

```

lemma lower-plus-least:  $\llbracket xs \sqsubseteq zs; ys \sqsubseteq zs \rrbracket \implies xs \cup b \ ys \sqsubseteq zs$ 
apply (subst lower-plus-absorb [of zs, symmetric])
apply (erule (1) monofun-cfun [OF monofun-cfun-arg])
done

```

```

lemma lower-plus-below-iff [simp]:
   $xs \cup b \ ys \sqsubseteq zs \iff xs \sqsubseteq zs \wedge ys \sqsubseteq zs$ 
apply safe
apply (erule below-trans [OF lower-plus-below1])
apply (erule below-trans [OF lower-plus-below2])
apply (erule (1) lower-plus-least)
done

```

```

lemma lower-unit-below-plus-iff [simp]:
   $\{x\}b \sqsubseteq ys \cup b \ zs \iff \{x\}b \sqsubseteq ys \vee \{x\}b \sqsubseteq zs$ 
apply (induct x rule: compact-basis.principal-induct, simp)

```

```

apply (induct ys rule: lower-pd.principal-induct, simp)
apply (induct zs rule: lower-pd.principal-induct, simp)
apply (simp add: lower-le-PDUnit-PDPlus-iff)
done

```

```

lemma lower-unit-below-iff [simp]:  $\{x\}^b \sqsubseteq \{y\}^b \longleftrightarrow x \sqsubseteq y$ 
apply (induct x rule: compact-basis.principal-induct, simp)
apply (induct y rule: compact-basis.principal-induct, simp)
apply simp
done

```

```

lemmas lower-pd-below-simps =
  lower-unit-below-iff
  lower-plus-below-iff
  lower-unit-below-plus-iff

```

```

lemma lower-unit-eq-iff [simp]:  $\{x\}^b = \{y\}^b \longleftrightarrow x = y$ 
by (simp add: po-eq-conv)

```

```

lemma lower-unit-strict [simp]:  $\{\perp\}^b = \perp$ 
using lower-unit-Rep-compact-basis [of compact-bot]
by (simp add: inst-lower-pd-pcpo)

```

```

lemma lower-unit-bottom-iff [simp]:  $\{x\}^b = \perp \longleftrightarrow x = \perp$ 
unfolding lower-unit-strict [symmetric] by (rule lower-unit-eq-iff)

```

```

lemma lower-plus-bottom-iff [simp]:
   $xs \cupb ys = \perp \longleftrightarrow xs = \perp \wedge ys = \perp$ 
apply safe
apply (rule bottomI, erule subst, rule lower-plus-below1)
apply (rule bottomI, erule subst, rule lower-plus-below2)
apply (rule lower-plus-absorb)
done

```

```

lemma lower-plus-strict1 [simp]:  $\perp \cupb ys = ys$ 
apply (rule below-antisym [OF - lower-plus-below2])
apply (simp add: lower-plus-least)
done

```

```

lemma lower-plus-strict2 [simp]:  $xs \cupb \perp = xs$ 
apply (rule below-antisym [OF - lower-plus-below1])
apply (simp add: lower-plus-least)
done

```

```

lemma compact-lower-unit: compact  $x \implies$  compact  $\{x\}^b$ 
by (auto dest!: compact-basis.compact-imp-principal)

```

```

lemma compact-lower-unit-iff [simp]: compact  $\{x\}^b \longleftrightarrow$  compact  $x$ 
apply (safe elim!: compact-lower-unit)

```

apply (*simp only: compact-def lower-unit-below-iff [symmetric]*)
apply (*erule adm-subst [OF cont-Rep-cfun2]*)
done

lemma *compact-lower-plus [simp]*:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup b \text{ } ys)$
by (*auto dest!: lower-pd.compact-imp-principal*)

30.4 Induction rules

lemma *lower-pd-induct1*:
assumes *P: adm P*
assumes *unit: $\bigwedge x. P \{x\}b$*
assumes *insert: $\bigwedge x \text{ } ys. \llbracket P \{x\}b; P \text{ } ys \rrbracket \implies P (\{x\}b \cup b \text{ } ys)$*
shows *P (xs::'a::bifinite lower-pd)*
proof (*induct xs rule: lower-pd.principal-induct*)
have **: P {Rep-compact-basis a}b* **for** *a*
by (*rule unit*)
show *P (lower-principal a)* **for** *a*
proof (*induct a rule: pd-basis-induct1*)
case *PDUnit*
from ** show ?case*
by (*simp only: lower-unit-Rep-compact-basis [symmetric]*)
next
case (*PDPlus a t*)
with ** have* *P ({Rep-compact-basis a}b \cup b lower-principal t)*
by (*rule insert*)
then show *?case*
by (*simp only: lower-unit-Rep-compact-basis [symmetric] lower-plus-principal [symmetric]*)
qed
qed (*rule P*)

lemma *lower-pd-induct [case-names adm lower-unit lower-plus, induct type: lower-pd]*:
assumes *P: adm P*
assumes *unit: $\bigwedge x. P \{x\}b$*
assumes *plus: $\bigwedge xs \text{ } ys. \llbracket P \text{ } xs; P \text{ } ys \rrbracket \implies P (xs \cup b \text{ } ys)$*
shows *P (xs::'a::bifinite lower-pd)*
proof (*induct xs rule: lower-pd.principal-induct*)
show *P (lower-principal a)* **for** *a*
proof (*induct a rule: pd-basis-induct*)
case *PDUnit*
then show *?case*
by (*simp only: lower-unit-Rep-compact-basis [symmetric] unit*)
next
case *PDPlus*
then show *?case*
by (*simp only: lower-plus-principal [symmetric] plus*)
qed

qed (rule P)

30.5 Monadic bind

definition

```
lower-bind-basis ::
'a::bifinite pd-basis => ('a -> 'b lower-pd) -> 'b::bifinite lower-pd where
lower-bind-basis = fold-pd
  (\a.  $\Lambda$  f. f.(Rep-compact-basis a))
  (\x y.  $\Lambda$  f. x.f  $\cup$  y.f)
```

lemma ACI-lower-bind:

```
semilattice (\x y.  $\Lambda$  f. x.f  $\cup$  y.f)
apply unfold-locales
apply (simp add: lower-plus-assoc)
apply (simp add: lower-plus-commute)
apply (simp add: eta-cfun)
done
```

lemma lower-bind-basis-simps [simp]:

```
lower-bind-basis (PDUnit a) =
  ( $\Lambda$  f. f.(Rep-compact-basis a))
lower-bind-basis (PDPlus t u) =
  ( $\Lambda$  f. lower-bind-basis t.f  $\cup$  lower-bind-basis u.f)
unfolding lower-bind-basis-def
apply -
apply (rule fold-pd-PDUnit [OF ACI-lower-bind])
apply (rule fold-pd-PDPlus [OF ACI-lower-bind])
done
```

lemma lower-bind-basis-mono:

```
t  $\leq$  b u => lower-bind-basis t  $\sqsubseteq$  lower-bind-basis u
unfolding cfun-below-iff
apply (erule lower-le-induct, safe)
apply (simp add: monofun-cfun)
apply (simp add: rev-below-trans [OF lower-plus-below1])
apply simp
done
```

definition

```
lower-bind :: 'a::bifinite lower-pd -> ('a -> 'b lower-pd) -> 'b::bifinite lower-pd
where
lower-bind = lower-pd.extension lower-bind-basis
```

syntax

```
-lower-bind :: [logic, logic, logic] => logic
  (\(\langle indent=3 notation=\langle binder lower-bind \rangle \cup b \in -./ - \rangle [0, 0, 10] 10)
```

translations

$\bigcup_{x \in xs}. e == \text{CONST } \text{lower-bind} \cdot xs \cdot (\Lambda x. e)$

lemma *lower-bind-principal* [simp]:
 $\text{lower-bind} \cdot (\text{lower-principal } t) = \text{lower-bind-basis } t$
unfolding *lower-bind-def*
apply (rule *lower-pd.extension-principal*)
apply (erule *lower-bind-basis-mono*)
done

lemma *lower-bind-unit* [simp]:
 $\text{lower-bind} \cdot \{x\} \flat \cdot f = f \cdot x$
by (induct *x* rule: *compact-basis.principal-induct*, *simp*, *simp*)

lemma *lower-bind-plus* [simp]:
 $\text{lower-bind} \cdot (xs \cup b \text{ } ys) \cdot f = \text{lower-bind} \cdot xs \cdot f \cup b \text{ } \text{lower-bind} \cdot ys \cdot f$
by (induct *xs* rule: *lower-pd.principal-induct*, *simp*,
induct *ys* rule: *lower-pd.principal-induct*, *simp*, *simp*)

lemma *lower-bind-strict* [simp]: $\text{lower-bind} \cdot \perp \cdot f = f \cdot \perp$
unfolding *lower-unit-strict* [symmetric] **by** (rule *lower-bind-unit*)

lemma *lower-bind-bind*:
 $\text{lower-bind} \cdot (\text{lower-bind} \cdot xs \cdot f) \cdot g = \text{lower-bind} \cdot xs \cdot (\Lambda x. \text{lower-bind} \cdot (f \cdot x) \cdot g)$
by (induct *xs*, *simp-all*)

30.6 Map

definition
 $\text{lower-map} :: ('a::\text{bifinite} \rightarrow 'b::\text{bifinite}) \rightarrow 'a \text{ lower-pd} \rightarrow 'b \text{ lower-pd}$ **where**
 $\text{lower-map} = (\Lambda f \text{ } xs. \text{lower-bind} \cdot xs \cdot (\Lambda x. \{f \cdot x\} \flat))$

lemma *lower-map-unit* [simp]:
 $\text{lower-map} \cdot f \cdot \{x\} \flat = \{f \cdot x\} \flat$
unfolding *lower-map-def* **by** *simp*

lemma *lower-map-plus* [simp]:
 $\text{lower-map} \cdot f \cdot (xs \cup b \text{ } ys) = \text{lower-map} \cdot f \cdot xs \cup b \text{ } \text{lower-map} \cdot f \cdot ys$
unfolding *lower-map-def* **by** *simp*

lemma *lower-map-bottom* [simp]: $\text{lower-map} \cdot f \cdot \perp = \{f \cdot \perp\} \flat$
unfolding *lower-map-def* **by** *simp*

lemma *lower-map-ident*: $\text{lower-map} \cdot (\Lambda x. x) \cdot xs = xs$
by (induct *xs* rule: *lower-pd-induct*, *simp-all*)

lemma *lower-map-ID*: $\text{lower-map} \cdot \text{ID} = \text{ID}$
by (*simp add: cfun-eq-iff ID-def lower-map-ident*)

lemma *lower-map-map*:

$lower-map.f \cdot (lower-map.g \cdot xs) = lower-map \cdot (\Lambda x. f \cdot (g \cdot x)) \cdot xs$
by (*induct xs rule: lower-pd-induct, simp-all*)

lemma *lower-bind-map:*

$lower-bind \cdot (lower-map.f \cdot xs) \cdot g = lower-bind \cdot xs \cdot (\Lambda x. g \cdot (f \cdot x))$
by (*simp add: lower-map-def lower-bind-bind*)

lemma *lower-map-bind:*

$lower-map.f \cdot (lower-bind \cdot xs \cdot g) = lower-bind \cdot xs \cdot (\Lambda x. lower-map.f \cdot (g \cdot x))$
by (*simp add: lower-map-def lower-bind-bind*)

lemma *ep-pair-lower-map:* $ep-pair\ e\ p \implies ep-pair\ (lower-map.e)\ (lower-map.p)$

apply *standard*

apply (*induct-tac x rule: lower-pd-induct, simp-all add: ep-pair.e-inverse*)

apply (*induct-tac y rule: lower-pd-induct*)

apply (*simp-all add: ep-pair.e-p-below monofun-cfun del: lower-plus-below-iff*)

done

lemma *deflation-lower-map:* $deflation\ d \implies deflation\ (lower-map.d)$

apply *standard*

apply (*induct-tac x rule: lower-pd-induct, simp-all add: deflation.idem*)

apply (*induct-tac x rule: lower-pd-induct*)

apply (*simp-all add: deflation.below monofun-cfun del: lower-plus-below-iff*)

done

lemma *finite-deflation-lower-map:*

assumes *finite-deflation d shows finite-deflation (lower-map.d)*

proof (*rule finite-deflation-intro*)

interpret *d: finite-deflation d by fact*

from *d.deflation-axioms show deflation (lower-map.d)*

by (*rule deflation-lower-map*)

have *finite (range (λx. d.x)) by (rule d.finite-range)*

hence *finite (Rep-compact-basis - ' range (λx. d.x))*

by (*rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject*)

hence *finite (Pow (Rep-compact-basis - ' range (λx. d.x))) by simp*

hence *finite (Rep-pd-basis - ' (Pow (Rep-compact-basis - ' range (λx. d.x))))*

by (*rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject*)

hence **: finite (lower-principal ' Rep-pd-basis - ' (Pow (Rep-compact-basis - ' range (λx. d.x)))) by simp*

hence *finite (range (λxs. lower-map.d.xs))*

apply (*rule rev-finite-subset*)

apply *clarsimp*

apply (*induct-tac xs rule: lower-pd.principal-induct*)

apply (*simp add: adm-mem-finite **)

apply (*rename-tac t, induct-tac t rule: pd-basis-induct*)

apply (*simp only: lower-unit-Rep-compact-basis [symmetric] lower-map-unit*)

apply *simp*

apply (*subgoal-tac ∃ b. d.(Rep-compact-basis a) = Rep-compact-basis b*)

```

apply clarsimp
apply (rule imageI)
apply (rule vimageI2)
apply (simp add: Rep-PDUnit)
apply (rule range-eqI)
apply (erule sym)
apply (rule exI)
apply (rule Abs-compact-basis-inverse [symmetric])
apply (simp add: d.compact)
apply (simp only: lower-plus-principal [symmetric] lower-map-plus)
apply clarsimp
apply (rule imageI)
apply (rule vimageI2)
apply (simp add: Rep-PDPlus)
done
thus finite {xs. lower-map.d.xs = xs}
  by (rule finite-range-imp-finite-fixes)
qed

```

30.7 Lower powerdomain is bifinite

```

lemma approx-chain-lower-map:
  assumes approx-chain a
  shows approx-chain (λi. lower-map.(a i))
  using assms unfolding approx-chain-def
  by (simp add: lub-APP lower-map-ID finite-deflation-lower-map)

```

```

instance lower-pd :: (bifinite) bifinite

```

```

proof
  show  $\exists (a::nat \Rightarrow 'a \text{ lower-pd} \rightarrow 'a \text{ lower-pd}). \text{approx-chain } a$ 
  using bifinite [where 'a='a]
  by (fast intro!: approx-chain-lower-map)
qed

```

30.8 Join

definition

```

lower-join :: 'a::bifinite lower-pd lower-pd  $\rightarrow$  'a lower-pd where
lower-join = ( $\Lambda \text{ xss. lower-bind.xss} . (\Lambda \text{ xs. xs})$ )

```

```

lemma lower-join-unit [simp]:

```

```

lower-join·{xs}b = xs

```

```

unfolding lower-join-def by simp

```

```

lemma lower-join-plus [simp]:

```

```

lower-join·(xss  $\cup$  yss) = lower-join·xss  $\cup$  lower-join·yss

```

```

unfolding lower-join-def by simp

```

```

lemma lower-join-bottom [simp]: lower-join· $\perp$  =  $\perp$ 

```

```

unfolding lower-join-def by simp

```

lemma *lower-join-map-unit*:

$$\text{lower-join} \cdot (\text{lower-map} \cdot \text{lower-unit} \cdot xs) = xs$$

by (*induct xs rule: lower-pd-induct, simp-all*)

lemma *lower-join-map-join*:

$$\text{lower-join} \cdot (\text{lower-map} \cdot \text{lower-join} \cdot xsss) = \text{lower-join} \cdot (\text{lower-join} \cdot xsss)$$

by (*induct xsss rule: lower-pd-induct, simp-all*)

lemma *lower-join-map-map*:

$$\text{lower-join} \cdot (\text{lower-map} \cdot (\text{lower-map} \cdot f) \cdot xss) = \\ \text{lower-map} \cdot f \cdot (\text{lower-join} \cdot xss)$$

by (*induct xss rule: lower-pd-induct, simp-all*)

end

31 Convex powerdomain

theory *ConvexPD*

imports *UpperPD LowerPD*

begin

31.1 Basis preorder

definition

$$\text{convex-le} :: 'a :: \text{bifinite pd-basis} \Rightarrow 'a \text{ pd-basis} \Rightarrow \text{bool} \text{ (infix } \leq_{\text{h}} \text{ 50) where} \\ \text{convex-le} = (\lambda u v. u \leq_{\#} v \wedge u \leq_{\text{b}} v)$$

lemma *convex-le-refl* [*simp*]: $t \leq_{\text{h}} t$

unfolding *convex-le-def* **by** (*fast intro: upper-le-refl lower-le-refl*)

lemma *convex-le-trans*: $\llbracket t \leq_{\text{h}} u; u \leq_{\text{h}} v \rrbracket \Longrightarrow t \leq_{\text{h}} v$

unfolding *convex-le-def* **by** (*fast intro: upper-le-trans lower-le-trans*)

interpretation *convex-le*: *preorder convex-le*

by (*rule preorder.intro, rule convex-le-refl, rule convex-le-trans*)

lemma *upper-le-minimal* [*simp*]: *PDUnit compact-bot* $\leq_{\text{h}} t$

unfolding *convex-le-def Rep-PDUnit* **by** *simp*

lemma *PDUnit-convex-mono*: $x \sqsubseteq y \Longrightarrow \text{PDUnit } x \leq_{\text{h}} \text{PDUnit } y$

unfolding *convex-le-def* **by** (*fast intro: PDUnit-upper-mono PDUnit-lower-mono*)

lemma *PDPlus-convex-mono*: $\llbracket s \leq_{\text{h}} t; u \leq_{\text{h}} v \rrbracket \Longrightarrow \text{PDPlus } s \ u \leq_{\text{h}} \text{PDPlus } t \ v$

unfolding *convex-le-def* **by** (*fast intro: PDPlus-upper-mono PDPlus-lower-mono*)

lemma *convex-le-PDUnit-PDUnit-iff* [*simp*]:

$$(\text{PDUnit } a \leq_{\text{h}} \text{PDUnit } b) = (a \sqsubseteq b)$$

unfolding *convex-le-def upper-le-def lower-le-def Rep-PDUnit* **by** *fast*

lemma *convex-le-PDUnit-lemma1*:

$(PDUnit\ a \leq_{\sqsubseteq} t) = (\forall b \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b)$

unfolding *convex-le-def upper-le-def lower-le-def Rep-PDUnit*

using *Rep-pd-basis-nonempty [of t, folded ex-in-conv]* **by** *fast*

lemma *convex-le-PDUnit-PDPlus-iff [simp]*:

$(PDUnit\ a \leq_{\sqsubseteq} PDPlus\ t\ u) = (PDUnit\ a \leq_{\sqsubseteq} t \wedge PDUnit\ a \leq_{\sqsubseteq} u)$

unfolding *convex-le-PDUnit-lemma1 Rep-PDPlus* **by** *fast*

lemma *convex-le-PDUnit-lemma2*:

$(t \leq_{\sqsubseteq} PDUnit\ b) = (\forall a \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b)$

unfolding *convex-le-def upper-le-def lower-le-def Rep-PDUnit*

using *Rep-pd-basis-nonempty [of t, folded ex-in-conv]* **by** *fast*

lemma *convex-le-PDPlus-PDUnit-iff [simp]*:

$(PDPlus\ t\ u \leq_{\sqsubseteq} PDUnit\ a) = (t \leq_{\sqsubseteq} PDUnit\ a \wedge u \leq_{\sqsubseteq} PDUnit\ a)$

unfolding *convex-le-PDUnit-lemma2 Rep-PDPlus* **by** *fast*

lemma *convex-le-PDPlus-lemma*:

assumes *z: PDPlus t u ≤_⊆ z*

shows $\exists v\ w. z = PDPlus\ v\ w \wedge t \leq_{\sqsubseteq} v \wedge u \leq_{\sqsubseteq} w$

proof (*intro exI conjI*)

let $?A = \{b \in Rep\text{-}pd\text{-}basis\ z. \exists a \in Rep\text{-}pd\text{-}basis\ t. a \sqsubseteq b\}$

let $?B = \{b \in Rep\text{-}pd\text{-}basis\ z. \exists a \in Rep\text{-}pd\text{-}basis\ u. a \sqsubseteq b\}$

let $?v = Abs\text{-}pd\text{-}basis\ ?A$

let $?w = Abs\text{-}pd\text{-}basis\ ?B$

have *Rep-v: Rep-pd-basis ?v = ?A*

apply (*rule Abs-pd-basis-inverse*)

apply (*rule Rep-pd-basis-nonempty [of t, folded ex-in-conv, THEN exE]*)

apply (*cut-tac z, simp only: convex-le-def lower-le-def, clarify*)

apply (*drule-tac x=x in bspec, simp add: Rep-PDPlus, erule bexE*)

apply (*simp add: pd-basis-def*)

apply *fast*

done

have *Rep-w: Rep-pd-basis ?w = ?B*

apply (*rule Abs-pd-basis-inverse*)

apply (*rule Rep-pd-basis-nonempty [of u, folded ex-in-conv, THEN exE]*)

apply (*cut-tac z, simp only: convex-le-def lower-le-def, clarify*)

apply (*drule-tac x=x in bspec, simp add: Rep-PDPlus, erule bexE*)

apply (*simp add: pd-basis-def*)

apply *fast*

done

show $z = PDPlus\ ?v\ ?w$

apply (*insert z*)

apply (*simp add: convex-le-def, erule conjE*)

apply (*simp add: Rep-pd-basis-inject [symmetric] Rep-PDPlus*)

apply (*simp add: Rep-v Rep-w*)

apply (*rule equalityI*)

```

    apply (rule subsetI)
    apply (simp only: upper-le-def)
    apply (drule (1) bspec, erule bexE)
    apply (simp add: Rep-PDPlus)
    apply fast
    apply fast
  done
  show  $t \leq_{\mathfrak{h}} ?v \ u \leq_{\mathfrak{h}} ?w$ 
    using  $z$  by (simp-all add: convex-le-def upper-le-def lower-le-def Rep-PDPlus
Rep-v Rep-w) fast+
qed

```

```

lemma convex-le-induct [induct set: convex-le]:
  assumes  $le: t \leq_{\mathfrak{h}} u$ 
  assumes 2:  $\bigwedge t \ u \ v. \llbracket P \ t \ u; P \ u \ v \rrbracket \implies P \ t \ v$ 
  assumes 3:  $\bigwedge a \ b. a \sqsubseteq b \implies P \ (PDUnit \ a) \ (PDUnit \ b)$ 
  assumes 4:  $\bigwedge t \ u \ v \ w. \llbracket P \ t \ v; P \ u \ w \rrbracket \implies P \ (PDPlus \ t \ u) \ (PDPlus \ v \ w)$ 
  shows  $P \ t \ u$ 
  using  $le$ 
proof (induct  $t$  arbitrary:  $u$  rule: pd-basis-induct)
  case (PDUnit  $a$ )
  then show ?case
  proof (induct  $u$  rule: pd-basis-induct1)
    case (PDUnit  $b$ )
    then show ?case by (simp add: 3)
  next
    case (PDPlus  $b \ t$ )
    have  $P \ (PDPlus \ (PDUnit \ a) \ (PDUnit \ a)) \ (PDPlus \ (PDUnit \ b) \ t)$ 
      by (rule 4 [OF 3]) (use PDPlus in simp-all)
    then show ?case by (simp add: PDPlus-absorb)
  qed
next
  case PDPlus
  from PDPlus(1,2) show ?case
  using convex-le-PDPlus-lemma [OF PDPlus(3)] by (auto simp add: 4)
qed

```

31.2 Type definition

```

typedef 'a::bifinite convex-pd ( $\langle \langle \text{notation} = \langle \text{postfix convex-pd} \rangle \rangle'(-)_{\mathfrak{h}} \rangle$ ) =
  { $S :: 'a$  pd-basis set. convex-le.ideal  $S$ }
by (rule convex-le.ex-ideal)

```

```

instantiation convex-pd :: (bifinite) below
begin

```

```

definition

```

```

 $x \sqsubseteq y \longleftrightarrow \text{Rep-convex-pd } x \subseteq \text{Rep-convex-pd } y$ 

```

instance ..
end

instance *convex-pd* :: (*bifinite*) *po*
using *type-definition-convex-pd below-convex-pd-def*
by (*rule convex-le.typedef-ideal-po*)

instance *convex-pd* :: (*bifinite*) *cpo*
using *type-definition-convex-pd below-convex-pd-def*
by (*rule convex-le.typedef-ideal-cpo*)

definition

convex-principal :: '*a*::*bifinite pd-basis* \Rightarrow '*a convex-pd* **where**
convex-principal *t* = *Abs-convex-pd* {*u. u* \leq_{\sqsubseteq} *t*}

interpretation *convex-pd*:

ideal-completion convex-le convex-principal Rep-convex-pd
using *type-definition-convex-pd below-convex-pd-def*
using *convex-principal-def pd-basis-countable*
by (*rule convex-le.typedef-ideal-completion*)

Convex powerdomain is pointed

lemma *convex-pd-minimal*: *convex-principal* (*PDU**unit compact-bot*) \sqsubseteq *ys*
by (*induct ys rule: convex-pd.principal-induct, simp, simp*)

instance *convex-pd* :: (*bifinite*) *pcpo*
by *intro-classes* (*fast intro: convex-pd-minimal*)

lemma *inst-convex-pd-pcpo*: \perp = *convex-principal* (*PDU**unit compact-bot*)
by (*rule convex-pd-minimal [THEN bottomI, symmetric]*)

31.3 Monadic unit and plus

definition

convex-unit :: '*a*::*bifinite* \rightarrow '*a convex-pd* **where**
convex-unit = *compact-basis.extension* ($\lambda a.$ *convex-principal* (*PDU**unit a*))

definition

convex-plus :: '*a*::*bifinite convex-pd* \rightarrow '*a convex-pd* \rightarrow '*a convex-pd* **where**
convex-plus = *convex-pd.extension* ($\lambda t.$ *convex-pd.extension* ($\lambda u.$
convex-principal (*PDPlus* *t u*)))

abbreviation

convex-add :: '*a*::*bifinite convex-pd* \Rightarrow '*a convex-pd* \Rightarrow '*a convex-pd*
(**infixl** $\langle \cup_{\sqsubseteq} \rangle$ 65) **where**
xs \cup_{\sqsubseteq} *ys* == *convex-plus.xs.ys*

syntax

-convex-pd :: *args* \Rightarrow *logic* ($\langle (\langle \text{indent}=1 \text{ notation}=\langle \text{mixfix convex-pd enumera-$

tion $\gg \{-\} \gg$)

translations

$\{x, xs\} \Downarrow == \{x\} \Downarrow \cup \{xs\} \Downarrow$
 $\{x\} \Downarrow == \text{CONST } \text{convex-unit} \cdot x$

lemma *convex-unit-Rep-compact-basis* [simp]:

$\{\text{Rep-compact-basis } a\} \Downarrow = \text{convex-principal } (\text{PDUnit } a)$

unfolding *convex-unit-def*

by (*simp add: compact-basis.extension-principal PDUnit-convex-mono*)

lemma *convex-plus-principal* [simp]:

$\text{convex-principal } t \cup \text{convex-principal } u = \text{convex-principal } (\text{PDPlus } t \ u)$

unfolding *convex-plus-def*

by (*simp add: convex-pd.extension-principal*

convex-pd.extension-mono PDPlus-convex-mono)

interpretation *convex-add: semilattice convex-add proof*

fix *xs ys zs* :: 'a *convex-pd*

show $(xs \cup ys) \cup zs = xs \cup (ys \cup zs)$

apply (*induct xs rule: convex-pd.principal-induct, simp*)

apply (*induct ys rule: convex-pd.principal-induct, simp*)

apply (*induct zs rule: convex-pd.principal-induct, simp*)

apply (*simp add: PDPlus-assoc*)

done

show $xs \cup ys = ys \cup xs$

apply (*induct xs rule: convex-pd.principal-induct, simp*)

apply (*induct ys rule: convex-pd.principal-induct, simp*)

apply (*simp add: PDPlus-commute*)

done

show $xs \cup xs = xs$

apply (*induct xs rule: convex-pd.principal-induct, simp*)

apply (*simp add: PDPlus-absorb*)

done

qed

lemmas *convex-plus-assoc = convex-add.assoc*

lemmas *convex-plus-commute = convex-add.commute*

lemmas *convex-plus-absorb = convex-add.idem*

lemmas *convex-plus-left-commute = convex-add.left-commute*

lemmas *convex-plus-left-absorb = convex-add.left-idem*

Useful for *simp add: convex-plus-ac*

lemmas *convex-plus-ac =*

convex-plus-assoc convex-plus-commute convex-plus-left-commute

Useful for *simp only: convex-plus-aci*

lemmas *convex-plus-aci =*

convex-plus-ac convex-plus-absorb convex-plus-left-absorb

lemma *convex-unit-below-plus-iff* [*simp*]:
 $\{x\} \sqsubseteq ys \cup zs \iff \{x\} \sqsubseteq ys \wedge \{x\} \sqsubseteq zs$
apply (*induct x rule: compact-basis.principal-induct, simp*)
apply (*induct ys rule: convex-pd.principal-induct, simp*)
apply (*induct zs rule: convex-pd.principal-induct, simp*)
apply *simp*
done

lemma *convex-plus-below-unit-iff* [*simp*]:
 $xs \cup ys \sqsubseteq \{z\} \iff xs \sqsubseteq \{z\} \wedge ys \sqsubseteq \{z\}$
apply (*induct xs rule: convex-pd.principal-induct, simp*)
apply (*induct ys rule: convex-pd.principal-induct, simp*)
apply (*induct z rule: compact-basis.principal-induct, simp*)
apply *simp*
done

lemma *convex-unit-below-iff* [*simp*]: $\{x\} \sqsubseteq \{y\} \iff x \sqsubseteq y$
apply (*induct x rule: compact-basis.principal-induct, simp*)
apply (*induct y rule: compact-basis.principal-induct, simp*)
apply *simp*
done

lemma *convex-unit-eq-iff* [*simp*]: $\{x\} = \{y\} \iff x = y$
unfolding *po-eq-conv* **by** *simp*

lemma *convex-unit-strict* [*simp*]: $\{\perp\} = \perp$
using *convex-unit-Rep-compact-basis* [*of compact-bot*]
by (*simp add: inst-convex-pd-pcpo*)

lemma *convex-unit-bottom-iff* [*simp*]: $\{x\} = \perp \iff x = \perp$
unfolding *convex-unit-strict* [*symmetric*] **by** (*rule convex-unit-eq-iff*)

lemma *compact-convex-unit*: $\text{compact } x \implies \text{compact } \{x\}$
by (*auto dest!: compact-basis.compact-imp-principal*)

lemma *compact-convex-unit-iff* [*simp*]: $\text{compact } \{x\} \iff \text{compact } x$
apply (*safe elim!: compact-convex-unit*)
apply (*simp only: compact-def convex-unit-below-iff* [*symmetric*])
apply (*erule adm-subst* [*OF cont-Rep-cfun2*])
done

lemma *compact-convex-plus* [*simp*]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup ys)$
by (*auto dest!: convex-pd.compact-imp-principal*)

31.4 Induction rules

lemma *convex-pd-induct1*:
assumes $P: \text{adm } P$

assumes *unit*: $\bigwedge x. P \{x\} \Downarrow$
assumes *insert*: $\bigwedge x \text{ } ys. \llbracket P \{x\} \Downarrow; P \text{ } ys \rrbracket \implies P (\{x\} \Downarrow \cup \Downarrow ys)$
shows $P (xs::'a::\text{bifinite convex-pd})$
proof (*induct xs rule: convex-pd.principal-induct*)
show $P (\text{convex-principal } a)$ **for** a
proof (*induct a rule: pd-basis-induct1*)
case *PDUnit*
show *?case by* (*simp only: convex-unit-Rep-compact-basis [symmetric]*) (*rule unit*)
next
case *PDPlus*
show *?case*
by (*simp only: convex-unit-Rep-compact-basis [symmetric] convex-plus-principal [symmetric]*)
(rule insert [OF unit PDPlus])
qed
qed (*rule P*)

lemma *convex-pd-induct* [*case-names adm convex-unit convex-plus, induct type: convex-pd*]:

assumes $P: \text{adm } P$
assumes *unit*: $\bigwedge x. P \{x\} \Downarrow$
assumes *plus*: $\bigwedge xs \text{ } ys. \llbracket P \text{ } xs; P \text{ } ys \rrbracket \implies P (xs \cup \Downarrow ys)$
shows $P (xs::'a::\text{bifinite convex-pd})$
proof (*induct xs rule: convex-pd.principal-induct*)
show $P (\text{convex-principal } a)$ **for** a
proof (*induct a rule: pd-basis-induct*)
case *PDUnit*
then show *?case by* (*simp only: convex-unit-Rep-compact-basis [symmetric]*) (*rule unit*)
next
case *PDPlus*
then show *?case by* (*simp only: convex-plus-principal [symmetric] plus*)
qed
qed (*rule P*)

31.5 Monadic bind

definition

convex-bind-basis ::

$'a::\text{bifinite pd-basis} \Rightarrow ('a \rightarrow 'b \text{ convex-pd}) \rightarrow 'b::\text{bifinite convex-pd}$ **where**

convex-bind-basis = *fold-pd*

($\lambda a. \Lambda f. f(\text{Rep-compact-basis } a)$)

($\lambda x \text{ } y. \Lambda f. x \cdot f \cup \Downarrow y \cdot f$)

lemma *ACI-convex-bind*:

semilattice ($\lambda x \text{ } y. \Lambda f. x \cdot f \cup \Downarrow y \cdot f$)

apply *unfold-locales*

apply (*simp add: convex-plus-assoc*)

apply (*simp add: convex-plus-commute*)
apply (*simp add: eta-cfun*)
done

lemma *convex-bind-basis-simps* [*simp*]:
 $convex-bind-basis (PDUnit a) =$
 $(\Lambda f. f \cdot (Rep-compact-basis a))$
 $convex-bind-basis (PDPlus t u) =$
 $(\Lambda f. convex-bind-basis t \cdot f \cup_{\dagger} convex-bind-basis u \cdot f)$
unfolding *convex-bind-basis-def*
apply –
apply (*rule fold-pd-PDUnit [OF ACI-convex-bind]*)
apply (*rule fold-pd-PDPlus [OF ACI-convex-bind]*)
done

lemma *convex-bind-basis-mono*:
 $t \leq_{\dagger} u \implies convex-bind-basis t \sqsubseteq convex-bind-basis u$
apply (*erule convex-le-induct*)
apply (*erule (1) below-trans*)
apply (*simp add: monofun-LAM monofun-cfun*)
apply (*simp add: monofun-LAM monofun-cfun*)
done

definition
 $convex-bind :: 'a :: bifinite convex-pd \rightarrow ('a \rightarrow 'b convex-pd) \rightarrow 'b :: bifinite convex-pd$
where
 $convex-bind = convex-pd.extension convex-bind-basis$

syntax
 $-convex-bind :: [logic, logic, logic] \Rightarrow logic$
 $(\langle \langle indent=3 notation=\langle binder convex-bind \rangle \cup_{\dagger} \in -./ - \rangle \rangle [0, 0, 10] 10)$

translations
 $\cup_{\dagger} x \in xs. e == CONST convex-bind.xs (\Lambda x. e)$

lemma *convex-bind-principal* [*simp*]:
 $convex-bind.(convex-principal t) = convex-bind-basis t$
unfolding *convex-bind-def*
apply (*rule convex-pd.extension-principal*)
apply (*erule convex-bind-basis-mono*)
done

lemma *convex-bind-unit* [*simp*]:
 $convex-bind.\{x\} \cdot f = f \cdot x$
by (*induct x rule: compact-basis.principal-induct, simp, simp*)

lemma *convex-bind-plus* [*simp*]:
 $convex-bind.(xs \cup_{\dagger} ys) \cdot f = convex-bind.xs \cdot f \cup_{\dagger} convex-bind.ys \cdot f$
by (*induct xs rule: convex-pd.principal-induct, simp,*

apply (*simp-all add: ep-pair.e-p-below monofun-cfun*)
done

lemma *deflation-convex-map: deflation d \implies deflation (convex-map·d)*
apply *standard*
apply (*induct-tac x rule: convex-pd-induct, simp-all add: deflation.idem*)
apply (*induct-tac x rule: convex-pd-induct*)
apply (*simp-all add: deflation.below monofun-cfun*)
done

lemma *finite-deflation-convex-map:*
assumes *finite-deflation d shows finite-deflation (convex-map·d)*
proof (*rule finite-deflation-intro*)
interpret *d: finite-deflation d by fact*
from *d.deflation-axioms show deflation (convex-map·d)*
by (*rule deflation-convex-map*)
have *finite (range (λx. d·x)) by (rule d.finite-range)*
hence *finite (Rep-compact-basis -‘ range (λx. d·x))*
by (*rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject*)
hence *finite (Pow (Rep-compact-basis -‘ range (λx. d·x))) by simp*
hence *finite (Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘ range (λx. d·x))))*
by (*rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject*)
hence **: finite (convex-principal ‘ Rep-pd-basis -‘ (Pow (Rep-compact-basis -‘ range (λx. d·x)))) by simp*
hence *finite (range (λxs. convex-map·d·xs))*
apply (*rule rev-finite-subset*)
apply *clarsimp*
apply (*induct-tac xs rule: convex-pd.principal-induct*)
apply (*simp add: adm-mem-finite **)
apply (*rename-tac t, induct-tac t rule: pd-basis-induct*)
apply (*simp only: convex-unit-Rep-compact-basis [symmetric] convex-map-unit*)
apply *simp*
apply (*subgoal-tac $\exists b. d.(Rep-compact-basis a) = Rep-compact-basis b$*)
apply *clarsimp*
apply (*rule imageI*)
apply (*rule vimageI2*)
apply (*simp add: Rep-PDUnit*)
apply (*rule range-eqI*)
apply (*erule sym*)
apply (*rule exI*)
apply (*rule Abs-compact-basis-inverse [symmetric]*)
apply (*simp add: d.compact*)
apply (*simp only: convex-plus-principal [symmetric] convex-map-plus*)
apply *clarsimp*
apply (*rule imageI*)
apply (*rule vimageI2*)
apply (*simp add: Rep-PDPlus*)
done

thus *finite* {*xs*. *convex-map*·*d*·*xs* = *xs*}
by (*rule finite-range-imp-finite-fixes*)
qed

31.7 Convex powerdomain is bifinite

lemma *approx-chain-convex-map*:
assumes *approx-chain a*
shows *approx-chain* ($\lambda i.$ *convex-map*·(*a i*))
using *assms unfolding approx-chain-def*
by (*simp add: lub-APP convex-map-ID finite-deflation-convex-map*)

instance *convex-pd* :: (*bifinite*) *bifinite*

proof

show $\exists (a::nat \Rightarrow 'a \text{ convex-pd} \rightarrow 'a \text{ convex-pd}).$ *approx-chain a*
using *bifinite [where 'a='a]*
by (*fast intro!: approx-chain-convex-map*)
qed

31.8 Join

definition

convex-join :: '*a*::*bifinite convex-pd convex-pd* \rightarrow '*a convex-pd* **where**
convex-join = (Λ *xss*. *convex-bind*·*xss*·(Λ *xs*. *xs*))

lemma *convex-join-unit [simp]*:
convex-join·{*xs*} \dagger = *xs*
unfolding *convex-join-def* **by** *simp*

lemma *convex-join-plus [simp]*:
convex-join·(*xss* \cup \dagger *yss*) = *convex-join*·*xss* \cup \dagger *convex-join*·*yss*
unfolding *convex-join-def* **by** *simp*

lemma *convex-join-bottom [simp]*: *convex-join*· \perp = \perp
unfolding *convex-join-def* **by** *simp*

lemma *convex-join-map-unit*:
convex-join·(*convex-map*·*convex-unit*·*xs*) = *xs*
by (*induct xs rule: convex-pd-induct, simp-all*)

lemma *convex-join-map-join*:
convex-join·(*convex-map*·*convex-join*·*xsss*) = *convex-join*·(*convex-join*·*xsss*)
by (*induct xsss rule: convex-pd-induct, simp-all*)

lemma *convex-join-map-map*:
convex-join·(*convex-map*·(*convex-map*·*f*)·*xss*) =
convex-map·*f*·(*convex-join*·*xss*)
by (*induct xss rule: convex-pd-induct, simp-all*)

31.9 Conversions to other powerdomains

Convex to upper

lemma *convex-le-imp-upper-le*: $t \leq_{\natural} u \implies t \leq_{\sharp} u$
unfolding *convex-le-def* **by** *simp*

definition

convex-to-upper :: 'a::bifinite convex-pd \rightarrow 'a upper-pd **where**
convex-to-upper = *convex-pd.extension upper-principal*

lemma *convex-to-upper-principal [simp]*:
convex-to-upper.(*convex-principal* t) = *upper-principal* t
unfolding *convex-to-upper-def*
apply (rule *convex-pd.extension-principal*)
apply (rule *upper-pd.principal-mono*)
apply (erule *convex-le-imp-upper-le*)
done

lemma *convex-to-upper-unit [simp]*:
convex-to-upper.{x}_‡ = {x}_‡
by (induct x rule: *compact-basis.principal-induct*, *simp*, *simp*)

lemma *convex-to-upper-plus [simp]*:
convex-to-upper.(xs \cup_{\natural} ys) = *convex-to-upper*.xs \cup_{\sharp} *convex-to-upper*.ys
by (induct xs rule: *convex-pd.principal-induct*, *simp*,
induct ys rule: *convex-pd.principal-induct*, *simp*, *simp*)

lemma *convex-to-upper-bind [simp]*:
convex-to-upper.(*convex-bind*.xs.f) =
upper-bind.(*convex-to-upper*.xs).(convex-to-upper oo f)
by (induct xs rule: *convex-pd-induct*, *simp*, *simp*, *simp*)

lemma *convex-to-upper-map [simp]*:
convex-to-upper.(*convex-map*.f.xs) = *upper-map*.f.(*convex-to-upper*.xs)
by (*simp add: convex-map-def upper-map-def cfcomp-LAM*)

lemma *convex-to-upper-join [simp]*:
convex-to-upper.(*convex-join*.xss) =
upper-bind.(*convex-to-upper*.xss).convex-to-upper
by (*simp add: convex-join-def upper-join-def cfcomp-LAM eta-cfun*)

Convex to lower

lemma *convex-le-imp-lower-le*: $t \leq_{\natural} u \implies t \leq_{\flat} u$
unfolding *convex-le-def* **by** *simp*

definition

convex-to-lower :: 'a::bifinite convex-pd \rightarrow 'a lower-pd **where**
convex-to-lower = *convex-pd.extension lower-principal*

lemma *convex-to-lower-principal* [simp]:
 $convex-to-lower \cdot (convex-principal\ t) = lower-principal\ t$
unfolding *convex-to-lower-def*
apply (rule *convex-pd.extension-principal*)
apply (rule *lower-pd.principal-mono*)
apply (erule *convex-le-imp-lower-le*)
done

lemma *convex-to-lower-unit* [simp]:
 $convex-to-lower \cdot \{x\}^{\natural} = \{x\}^{\flat}$
by (induct *x* rule: *compact-basis.principal-induct*, *simp*, *simp*)

lemma *convex-to-lower-plus* [simp]:
 $convex-to-lower \cdot (xs \cup^{\natural} ys) = convex-to-lower \cdot xs \cup^{\flat} convex-to-lower \cdot ys$
by (induct *xs* rule: *convex-pd.principal-induct*, *simp*,
induct *ys* rule: *convex-pd.principal-induct*, *simp*, *simp*)

lemma *convex-to-lower-bind* [simp]:
 $convex-to-lower \cdot (convex-bind \cdot xs \cdot f) =$
 $lower-bind \cdot (convex-to-lower \cdot xs) \cdot (convex-to-lower\ oo\ f)$
by (induct *xs* rule: *convex-pd-induct*, *simp*, *simp*, *simp*)

lemma *convex-to-lower-map* [simp]:
 $convex-to-lower \cdot (convex-map \cdot f \cdot xs) = lower-map \cdot f \cdot (convex-to-lower \cdot xs)$
by (*simp* add: *convex-map-def* *lower-map-def* *cfcomp-LAM*)

lemma *convex-to-lower-join* [simp]:
 $convex-to-lower \cdot (convex-join \cdot xss) =$
 $lower-bind \cdot (convex-to-lower \cdot xss) \cdot convex-to-lower$
by (*simp* add: *convex-join-def* *lower-join-def* *cfcomp-LAM* *eta-cfun*)

Ordering property

lemma *convex-pd-below-iff*:
 $(xs \sqsubseteq ys) =$
 $(convex-to-upper \cdot xs \sqsubseteq convex-to-upper \cdot ys \wedge$
 $convex-to-lower \cdot xs \sqsubseteq convex-to-lower \cdot ys)$
apply (induct *xs* rule: *convex-pd.principal-induct*, *simp*)
apply (induct *ys* rule: *convex-pd.principal-induct*, *simp*)
apply (*simp* add: *convex-le-def*)
done

lemmas *convex-plus-below-plus-iff* =
 $convex-pd-below-iff$ [where $xs = xs \cup^{\natural} ys$ and $ys = zs \cup^{\natural} ws$]
for *xs ys zs ws*

lemmas *convex-pd-below-simps* =
convex-unit-below-plus-iff
convex-plus-below-unit-iff
convex-plus-below-plus-iff

```

convex-unit-below-iff
convex-to-upper-unit
convex-to-upper-plus
convex-to-lower-unit
convex-to-lower-plus
upper-pd-below-simps
lower-pd-below-simps

```

end

32 Powerdomains

```

theory Powerdomains
imports ConvexPD Domain
begin

```

32.1 Universal domain embeddings

definition *upper-emb* = *udom-emb* ($\lambda i.$ *upper-map*·(*udom-approx* *i*))

definition *upper-prj* = *udom-prj* ($\lambda i.$ *upper-map*·(*udom-approx* *i*))

definition *lower-emb* = *udom-emb* ($\lambda i.$ *lower-map*·(*udom-approx* *i*))

definition *lower-prj* = *udom-prj* ($\lambda i.$ *lower-map*·(*udom-approx* *i*))

definition *convex-emb* = *udom-emb* ($\lambda i.$ *convex-map*·(*udom-approx* *i*))

definition *convex-prj* = *udom-prj* ($\lambda i.$ *convex-map*·(*udom-approx* *i*))

lemma *ep-pair-upper*: *ep-pair* *upper-emb* *upper-prj*

unfolding *upper-emb-def* *upper-prj-def*

by (*simp* *add*: *ep-pair-udom approx-chain-upper-map*)

lemma *ep-pair-lower*: *ep-pair* *lower-emb* *lower-prj*

unfolding *lower-emb-def* *lower-prj-def*

by (*simp* *add*: *ep-pair-udom approx-chain-lower-map*)

lemma *ep-pair-convex*: *ep-pair* *convex-emb* *convex-prj*

unfolding *convex-emb-def* *convex-prj-def*

by (*simp* *add*: *ep-pair-udom approx-chain-convex-map*)

32.2 Deflation combinators

definition *upper-defl* :: *udom defl* \rightarrow *udom defl*

where *upper-defl* = *defl-fun1* *upper-emb* *upper-prj* *upper-map*

definition *lower-defl* :: *udom defl* \rightarrow *udom defl*

where *lower-defl* = *defl-fun1* *lower-emb* *lower-prj* *lower-map*

definition *convex-defl* :: *udom defl* \rightarrow *udom defl*

where *convex-defl* = *defl-fun1* *convex-emb* *convex-prj* *convex-map*

lemma *cast-upper-defl*:

cast.(*upper-defl*.*A*) = *upper-emb* oo *upper-map*.(*cast*.*A*) oo *upper-prj*

using *ep-pair-upper finite-deflation-upper-map*

unfolding *upper-defl-def* **by** (*rule cast-defl-fun1*)

lemma *cast-lower-defl*:

cast.(*lower-defl*.*A*) = *lower-emb* oo *lower-map*.(*cast*.*A*) oo *lower-prj*

using *ep-pair-lower finite-deflation-lower-map*

unfolding *lower-defl-def* **by** (*rule cast-defl-fun1*)

lemma *cast-convex-defl*:

cast.(*convex-defl*.*A*) = *convex-emb* oo *convex-map*.(*cast*.*A*) oo *convex-prj*

using *ep-pair-convex finite-deflation-convex-map*

unfolding *convex-defl-def* **by** (*rule cast-defl-fun1*)

32.3 Domain class instances

instantiation *upper-pd* :: (*domain*) *domain*

begin

definition

emb = *upper-emb* oo *upper-map*.*emb*

definition

prj = *upper-map*.*prj* oo *upper-prj*

definition

defl (*t*::'*a upper-pd* *itself*) = *upper-defl*.*DEFL*('a)

definition

(*liftemb* :: '*a upper-pd* *u* → *u dom* *u*) = *u-map*.*emb*

definition

(*liftprj* :: *u dom* *u* → '*a upper-pd* *u*) = *u-map*.*prj*

definition

liftdefl (*t*::'*a upper-pd* *itself*) = *liftdefl-of*.*DEFL*('a *upper-pd*)

instance proof

show *ep-pair emb* (*prj* :: *u dom* → '*a upper-pd*)

unfolding *emb-upper-pd-def prj-upper-pd-def*

by (*intro ep-pair-comp ep-pair-upper ep-pair-upper-map ep-pair-emb-prj*)

next

show *cast-DEFL*('a *upper-pd*) = *emb* oo (*prj* :: *u dom* → '*a upper-pd*)

unfolding *emb-upper-pd-def prj-upper-pd-def defl-upper-pd-def cast-upper-defl*

by (*simp add: cast-DEFL oo-def cfun-eq-iff upper-map-map*)

qed (*fact liftemb-upper-pd-def liftprj-upper-pd-def liftdefl-upper-pd-def*)+

end

instantiation *lower-pd* :: (*domain*) *domain*
begin

definition

emb = *lower-emb* oo *lower-map.emb*

definition

prj = *lower-map.prj* oo *lower-prj*

definition

defl (*t*::'a *lower-pd* *itself*) = *lower-defl.DEFL*('a)

definition

(*liftemb* :: 'a *lower-pd* *u* → *udom* *u*) = *u-map.emb*

definition

(*liftprj* :: *udom* *u* → 'a *lower-pd* *u*) = *u-map.prj*

definition

liftdefl (*t*::'a *lower-pd* *itself*) = *liftdefl-of.DEFL*('a *lower-pd*)

instance proof

show *ep-pair emb* (*prj* :: *udom* → 'a *lower-pd*)

unfolding *emb-lower-pd-def prj-lower-pd-def*

by (*intro ep-pair-comp ep-pair-lower ep-pair-lower-map ep-pair-emb-prj*)

next

show *cast.DEFL*('a *lower-pd*) = *emb* oo (*prj* :: *udom* → 'a *lower-pd*)

unfolding *emb-lower-pd-def prj-lower-pd-def defl-lower-pd-def cast-lower-defl*

by (*simp add: cast-DEFL oo-def cfun-eq-iff lower-map-map*)

qed (*fact liftemb-lower-pd-def liftprj-lower-pd-def liftdefl-lower-pd-def*)+

end

instantiation *convex-pd* :: (*domain*) *domain*

begin

definition

emb = *convex-emb* oo *convex-map.emb*

definition

prj = *convex-map.prj* oo *convex-prj*

definition

defl (*t*::'a *convex-pd* *itself*) = *convex-defl.DEFL*('a)

definition

(*liftemb* :: 'a *convex-pd* *u* → *udom* *u*) = *u-map.emb*

definition

$(\text{liftprj} :: \text{udom } u \rightarrow 'a \text{ convex-pd } u) = u\text{-map}\cdot\text{prj}$

definition

$\text{liftdefl } (t :: 'a \text{ convex-pd } \text{itself}) = \text{liftdefl-of}\cdot\text{DEFL}('a \text{ convex-pd})$

instance proof

show $\text{ep-pair } \text{emb } (\text{prj} :: \text{udom } \rightarrow 'a \text{ convex-pd})$

unfolding $\text{emb-convex-pd-def } \text{prj-convex-pd-def}$

by $(\text{intro } \text{ep-pair-comp } \text{ep-pair-convex } \text{ep-pair-convex-map } \text{ep-pair-emb-prj})$

next

show $\text{cast}\cdot\text{DEFL}('a \text{ convex-pd}) = \text{emb } \text{oo } (\text{prj} :: \text{udom } \rightarrow 'a \text{ convex-pd})$

unfolding $\text{emb-convex-pd-def } \text{prj-convex-pd-def } \text{defl-convex-pd-def } \text{cast-convex-defl}$

by $(\text{simp } \text{add: } \text{cast-DEFL } \text{oo-def } \text{cfun-eq-iff } \text{convex-map-map})$

qed $(\text{fact } \text{liftemb-convex-pd-def } \text{liftprj-convex-pd-def } \text{liftdefl-convex-pd-def})+$

end

lemma $\text{DEFL-upper: } \text{DEFL}('a :: \text{domain } \text{upper-pd}) = \text{upper-defl}\cdot\text{DEFL}('a)$

by $(\text{rule } \text{defl-upper-pd-def})$

lemma $\text{DEFL-lower: } \text{DEFL}('a :: \text{domain } \text{lower-pd}) = \text{lower-defl}\cdot\text{DEFL}('a)$

by $(\text{rule } \text{defl-lower-pd-def})$

lemma $\text{DEFL-convex: } \text{DEFL}('a :: \text{domain } \text{convex-pd}) = \text{convex-defl}\cdot\text{DEFL}('a)$

by $(\text{rule } \text{defl-convex-pd-def})$

32.4 Isomorphic deflations

lemma isodefl-upper:

$\text{isodefl } d \ t \implies \text{isodefl } (\text{upper-map}\cdot d) \ (\text{upper-defl}\cdot t)$

apply $(\text{rule } \text{isodeflI})$

apply $(\text{simp } \text{add: } \text{cast-upper-defl } \text{cast-isodefl})$

apply $(\text{simp } \text{add: } \text{emb-upper-pd-def } \text{prj-upper-pd-def})$

apply $(\text{simp } \text{add: } \text{upper-map-map})$

done

lemma isodefl-lower:

$\text{isodefl } d \ t \implies \text{isodefl } (\text{lower-map}\cdot d) \ (\text{lower-defl}\cdot t)$

apply $(\text{rule } \text{isodeflI})$

apply $(\text{simp } \text{add: } \text{cast-lower-defl } \text{cast-isodefl})$

apply $(\text{simp } \text{add: } \text{emb-lower-pd-def } \text{prj-lower-pd-def})$

apply $(\text{simp } \text{add: } \text{lower-map-map})$

done

lemma isodefl-convex:

$\text{isodefl } d \ t \implies \text{isodefl } (\text{convex-map}\cdot d) \ (\text{convex-defl}\cdot t)$

apply $(\text{rule } \text{isodeflI})$

```

apply (simp add: cast-convex-defl cast-isodefl)
apply (simp add: emb-convex-pd-def prj-convex-pd-def)
apply (simp add: convex-map-map)
done

```

32.5 Domain package setup for powerdomains

```

lemmas [domain-defl-simps] = DEFL-upper DEFL-lower DEFL-convex
lemmas [domain-map-ID] = upper-map-ID lower-map-ID convex-map-ID
lemmas [domain-isodefl] = isodefl-upper isodefl-lower isodefl-convex

```

```

lemmas [domain-deflation] =
  deflation-upper-map deflation-lower-map deflation-convex-map

```

```

setup <
  fold Domain-Take-Proofs.add-rec-type
    [(type-name <upper-pd>, [true]),
     (type-name <lower-pd>, [true]),
     (type-name <convex-pd>, [true])]
  >

```

```

end

```

```

theory HOLCF

```

```

imports

```

```

  Main

```

```

  Domain

```

```

  Powerdomains

```

```

begin

```

```

default-sort domain

```

```

end

```