

# Foundations of meta-programming

Martin Berger

Cambridge, 9 August 2016

Based on joint work with Laurie Tratt and Christian Urban.

# Research programme

$$\frac{\lambda\text{-calculus}}{\text{Functional programming}} = \frac{???}{\text{Meta-programming}}$$

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To set the scene, let's remember why  $\lambda$ -calculus is good, and how meta-programming came about.

Why is  $\lambda$ -calculus so useful?

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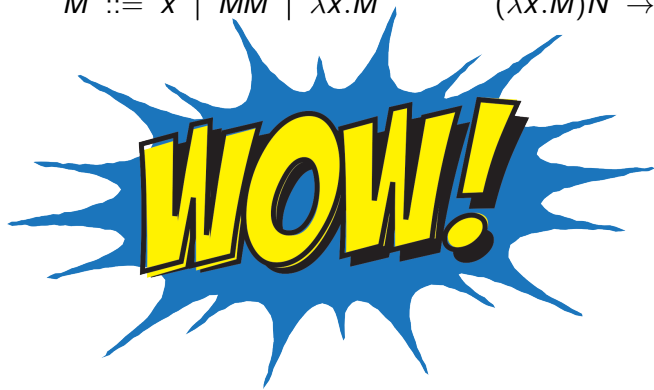
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$(\lambda x.M)N \rightarrow M[N/x]$

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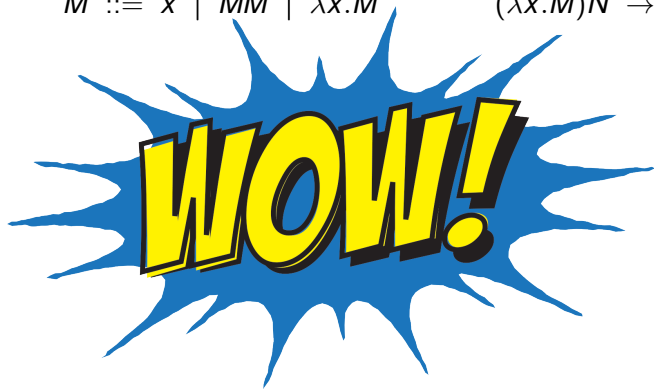
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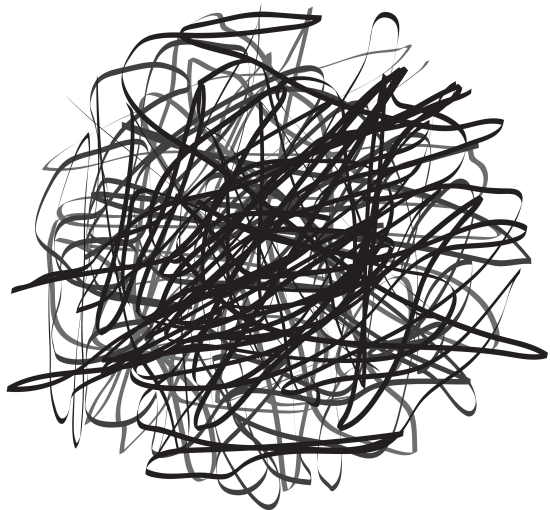
The point of theory is to **simplify**, to focus on essence.

Real-world programming languages:

# Real-world programming languages:

- ▶ Strings
- ▶ Unicode
- ▶ FFI
- ▶ Backwards compatibility
- ▶ Modules
- ▶ Performance
- ▶ Ergonomics
- ▶ ...

In other words a real programming language is:



Let's do theory







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Meta-programming:  $L$ -programs as data in  $L'$ .

Homogeneous meta-programming: MP where  $L = L'$ .

Homogeneous generative meta-programming (HGMP) is the generation of programs by a program as the latter is being either compiled or executed.

Meta-programming is simple if you don't care about **convenient** handling of programs as data. Just use strings.

Research on meta-programming is about **convenient**,  
**principled**, **general purpose** and **safe** handling of programs  
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But first ...

# History



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Haskell might have been the first typed mainstream language with principled HGMP support (2002).

And then there is ...



# Über formal unentscheidbare Sätze der Principia Mathematica und verwandter Systeme I<sup>1)</sup>.

Von Kurt Gödel in Wien.

## 1.

Die Entwicklung der Mathematik in der Richtung zu größerer Exaktheit hat bekanntlich dazu geführt, daß weite Gebiete von ihr formalisiert wurden, in der Art, daß das Beweisen nach einigen wenigen mechanischen Regeln vollzogen werden kann. Die umfassendsten derzeit aufgestellten formalen Systeme sind das System der Principia Mathematica (PM)<sup>2)</sup> einerseits, das Zermelo-Fraenkel'sche (von J. v. Neumann weiter ausgebildete) Axiomensystem der Mengenlehre<sup>3)</sup> andererseits. Diese beiden Systeme sind so weit, daß alle heute in der Mathematik angewendeten Beweismethoden in ihnen formalisiert, d. h. auf einige wenige Axiome und Schlußregeln zurückgeführt sind. Es liegt daher die Vermutung nahe, daß diese Axiome und Schlußregeln dazu ausreichen, alle mathematischen Fragen, die sich in den betreffenden Systemen überhaupt formal ausdrücken lassen, auch zu entscheiden. In folgendem wird gezeigt, daß dies

Über formal unentscheidbare Sätze der Principia Mathematica etc. 179

„0“ . . . 1	„√“ . . . 7	„(“ . . . 11
„f“ . . . 3	„Π“ . . . 9	„)“ . . . 13
„∞“ . . . 5		

ferner den Variablen  $n$ -ten Typs die Zahlen der Form  $p^n$  (wo  $p$  eine Primzahl  $> 13$  ist). Dadurch entspricht jeder endlichen Reihe von Grundzeichen (also auch jeder Formel) in eindeutiger Weise eine endliche Reihe natürlicher Zahlen. Die endlichen Reihen natürlicher Zahlen bilden wir nun (wieder eindeutig) auf natürliche Zahlen ab, indem wir der Reihe  $n_1, n_2, \dots, n_k$  die Zahl  $2^{n_1} \cdot 3^{n_2} \dots p_k^{n_k}$  entsprechen lassen, wo  $p_k$  die  $k$ -te Primzahl (der Größe nach) bedeutet. Dadurch ist nicht nur jedem Grundzeichen, sondern auch jeder endlichen Reihe von solchen in eindeutiger Weise eine natürliche Zahl zugeordnet. Die dem Grundzeichen (bzw. der Grundzeichenreihe)  $a$  zugeordnete Zahl bezeichnen wir mit  $\Phi(a)$ . Sei nun irgend eine Klasse oder Relation  $R(a_1, a_2 \dots a_n)$  zwischen Grundzeichen oder Reihen von solchen gegeben. Wir ordnen ihr diejenige Klasse (Relation)  $R'(x_1, x_2 \dots x_n)$  zwischen natürlichen Zahlen zu, welche dann und nur dann zwischen  $x_1, x_2 \dots x_n$  besteht, wenn es solche  $a_1, a_2 \dots a_n$  gibt, daß  $x_i = \Phi(a_i)$  ( $i = 1, 2, \dots, n$ ) und  $R(a_1, a_2 \dots a_n)$  gilt. Diejenigen Klassen und Relationen natürlicher

# Arithmetisation of syntax



Das formal aussagefähige Schema der Principia Mathematica etc. 179

$$\begin{array}{lll} 0^0 & \dots & 1 \\ 1^0 & \dots & 3 \\ \dots & & \dots \\ 0^1 & \dots & 7 \\ 1^1 & \dots & 9 \\ \dots & & \dots \\ 0^2 & \dots & 11 \\ 1^2 & \dots & 13 \\ \dots & & \dots \\ 0^3 & \dots & 5 \end{array}$$

ferner den Variablen  $n$ -ten Type die Zahlen der Form  $p^k$  (wo  $p$  eine Primzahl  $> 13$  ist). Dadurch entspricht jeder endlichen Reihe von Grundzeichen (also auch jeder Formel) in eindeutiger Weise eine endliche Reihe natürlicher Zahlen. Die endlichen Reihen natürlicher Zahlen bilden wir nun (wieder eindeutig) auf natürliche Zahlen ab, indem wir der Reihe  $a_1, a_2, \dots, a_n$  die Zahl  $2^{a_1} \cdot 3^{a_2} \cdot \dots \cdot p_n^{a_n}$  entsprechen lassen, wo  $p_n$  die  $k$ -te Primzahl (der Größe nach) bedeutet. Dadurch ist nicht nur jedem Grundzeichen, sondern auch jeder endlichen Reihe von solchen in eindeutiger Weise eine natürliche Zahl zugeordnet. Die dem Grundzeichen (bzw. der Grundzeichensreihe)  $\phi$  zugeordnete Zahl bezeichnen wir mit  $\Phi(\phi)$ . Sei nun irgend eine Klasse oder Relation  $R(x_1, a_2, \dots, a_n)$  zwischen Grundzeichen oder Reihen von solchen gegeben. Wir ordnen ihr diejenige Klasse (Relation)  $R'(x_1, x_2, \dots, x_n)$  zwischen natürlichen Zahlen zu, welche dann und nur dann zwischen  $x_1, x_2, \dots, x_n$  besteht, wenn es solche  $a_1, a_2, \dots, a_n$  gibt, daß  $x_i = \Phi(a_i)$  ( $i = 1, 2, \dots, n$ ) und  $R(x_1, a_2, \dots, a_n)$  gilt. Dessenigen Klassen und Relationen natürlicher Zahlen, welche auf diese Weise den bisher definierten mathematischen Begriffen, z. B. „Variable“, „Formel“, „Satzformel“, „Axiom“, „beweisbare Formel“ usw. zugeordnet sind, bezeichnen wir mit denselben Worten in Kursivechrift. Der Satz, daß es im System  $F'$  unentscheidbare Probleme gibt, lautet z. B. folgendermaßen: Es gibt Satzformeln  $\phi$ , so daß weder  $\phi$  noch die Negation von  $\phi$  beweisbar ist.

Wir schließen nun eine Zwischenbemerkung ein, die mit dem formalen System  $F'$  vorderhand nichts zu tun hat, und geben zunächst folgende Definition: Eine zahlentheoretische Funktion<sup>17)</sup>  $\psi(x_1, x_2, \dots, x_n)$  heißt rekursiv definiert aus den zahlentheoretischen Funktionen  $\psi_1(x_1, x_2, \dots, x_{n-1})$  und  $\psi_2(x_1, x_2, \dots, x_{n+1})$ , wenn für alle  $x_1, \dots, x_n, k \geq 0$  folgende gilt:

$$\begin{array}{l} \psi(0, x_1, \dots, x_n) = \psi_1(x_1, \dots, x_n) \\ \psi(k+1, x_1, \dots, x_n) = \psi_2(k, \psi(k, x_1, \dots, x_n), x_1, \dots, x_n). \end{array} \quad (2)$$

Eine zahlentheoretische Funktion  $\psi$  heißt rekursiv, wenn es eine endliche Reihe von zahltheor. Funktionen  $\psi_1, \psi_2, \dots, \psi_n$  gibt, welche mit  $\psi$  endet und die Eigenschaft hat, daß jede Funktion  $\psi_k$  der Reihe entweder aus zwei der vorhergehenden rekursiv definiert ist oder

<sup>17)</sup> D. h. die Definitionsbereich ist die Klasse der nicht negativen ganzen Zahlen (bzw. der  $m$ -Tupel von solchen) und ihre Werte sind nicht negative ganze Zahlen.

<sup>18)</sup> Kleine lateinische Buchstaben (ev. mit Indizes) sind im folgenden unsere Variable für nicht negative ganze Zahlen (falls nicht ausdrücklich das Gegenteil bemerkt ist).

Arithmetisation of syntax has been investigated in detail and led to powerful proof principles like logical reflection which strengthens the logic and computational reflection which makes proofs shorter.

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Arithmetisation of syntax has been investigated in detail and led to powerful proof principles like logical reflection which strengthens the logic and computational reflection which makes proofs shorter.

Much work done by proof theorists and the dependent types community, connection with 'our' meta-programming not clear to me. See *J. Harrison, Metatheory and Reflection in Theorem Proving: A Survey and Critique* (1995) for more.

# Meta-programming, circa August 2016



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In summary, meta-programming enables abstractions without run-time penalty. Thus MP resolves the tension between abstraction and performance, albeit **at the cost of increasing language complexity**.

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Tooling hard, e.g. debugging.

Is it a solved problem in theory?



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**NO!**

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No convincing specification and reasoning (e.g. program logics) about HGMP.

No convincing way of deriving semantics of embedded DSL from embedding

Not a solved problem, but ...



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Good news 1: lot's of open problems.

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Good news 2: good solutions are immediately relevant for industry.

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Good news 1: lot's of open problems.

Good news 2: good solutions are immediately relevant for industry.

Good news 3: problems look fairly tractable, no  $P \stackrel{?}{=} NP$ -like difficulties. Lot's of theory ready to go, e.g. nominal techniques, proof assistants.

Why are these problems still open?





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Even humor can be based on this difficulty:

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Even humor can be based on this difficulty:

All PL researchers are liars.

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There is a huge need for MP since working programmers manipulate programs all the time, but ...



Adding HGMP is deceptively easy to the untrained eye ... just add a data type representing programs ...



How hard can it be?



How hard can it be?



# How hard can it be?

Remember: real programming languages are a mess



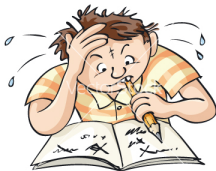
# How hard can it be?

META

Remember: real programming languages are a mess



Adding HGMP to mess, means we need to create meta-mess, meta-meta-mess ... and think about how mess, meta-mess, meta-meta-mess ... relate.





# Time for theory

Let's simplify



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... that means we focus on the **essential features** of HGMP, and **nothing else**.

- ▶ Language representation (code as data)
- ▶ Language levels (base, meta, meta-meta ...)
- ▶ Navigation between language levels
- ▶ Computation is driven by the base-language



# Let's simplify



We ignore:

- ▶ Hygiene
- ▶ Types
- ▶ Notions of equality
- ▶ Beauty of syntax
- ▶ Efficiency, performance
- ▶ ...

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But: **What base language?**

# What base language?



The PL research community will likely say  $\lambda$ -calculus.

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# What base language?



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This is not a bad choice.

What we really want is to add HGMP to **arbitrary** base languages. I.e. an explicit **function**  $HGMP(\cdot)$ :

$$L \mapsto L'$$

taking a programming language  $L$  as input and returning as output a language  $L'$  that is the HGMPified version of  $L$ .

Why arbitrary base language?





# Why arbitrary base language?



Remember real-world programming languages:



# Why arbitrary base language?



Remember real-world programming languages:



We want the transition

mess → meta-mess

automatised ...

# Why arbitrary base language?



Remember real-world programming languages:



We want the transition

mess → meta-mess

automatised ...

... so we can experiment with languages without being drowned in uninteresting minutiae.

# Research hypothesis



The foundation of meta-programming is the **function**  $HGMP(\cdot)$ :

$$\frac{\lambda\text{-calculus}}{\text{Functional programming}} = \frac{HGMP(\cdot)}{\text{Meta-programming}}$$



Note that  $HGMP(\cdot)$  is a theoretical tool, it's not intended to give nice result, e.g. good looking syntax.

But ...



Does  $HGMP(\cdot)$  exist at all (as a computable algorithm)?

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I will look at the special case of  $HGMP(\lambda)$ , and then generalise.

But first: PL empiricism: the HGMP design space

# PL empiricism: the HGMP design space



# PL empiricism: the HGMP design space



- ▶ What kind of MP?
- ▶ When is MP executed?
- ▶ How are programs represented as data?

# HGMP design space: What kind of MP?



- ▶ **Homogeneous MP:** the object- and the meta-language are identical (examples: Racket, Template Haskell, MetaOcaml, Converge).
- ▶ In **heterogeneous MP** object- and the meta-language are different (example: compiler written in C from Java to x86).

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We restrict our attention to homogeneous meta-programming.

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- ▶ **Generative MP:** where an object-program is generated (put together) by a meta-program.
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We restrict our attention to homogeneous generative meta-programming (HGMP).



# HGMP design space: How are programs represented as data?



- ▶ Using strings.
- ▶ ADTs (algebraic data types).
- ▶ Higher-level language support, e.g. upMLs, downMLs, quasi-quotes, inserts and splices.

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- ▶ ADTs (algebraic data types).
- ▶ Higher-level language support, e.g. upMLs, downMLs, quasi-quotes, inserts and splices.

Let's look at them briefly. Consider the following criteria:

- ▶ Syntactic overhead
- ▶ Support for generating only 'valid' programs
- ▶ Expressivity

# Strings



Example, selector function that chooses the  $i$ -th component from an  $n$ -tuple.

```
let pi_1_0 = fun ( x ) -> x;;
let pi_2_0 = fun ( x, _ ) -> x;;
let pi_2_1 = fun ( _, x ) -> x;;
let pi_3_0 = fun ( x, _, _ ) -> x;;
let pi_3_1 = fun ( _, x, _ ) -> x;;
let pi_3_2 = fun ( _, _, x ) -> x;;
let pi_4_0 = fun ( x, _, _, _ ) -> x;;
let pi_4_1 = fun ( _, x, _, _ ) -> x;;
let pi_4_2 = fun ( _, _, x, _ ) -> x;;
let pi_4_3 = fun ( _, _, _, x ) -> x;;
let pi_5_0 = fun ( x, _, _, _, _ ) -> x;;
let pi_5_1 = fun ( _, x, _, _, _ ) -> x;;
let pi_5_2 = fun ( _, _, x, _, _ ) -> x;;
let pi_5_3 = fun ( _, _, _, x, _ ) -> x;;
let pi_5_4 = fun ( _, _, _, _, x ) -> x;;
```

# Advantages of string-based MP



- ▶ Flexible, expressive.
- ▶ Easy to do for basic MP.
- ▶ Ubiquitous support.
- ▶ Not restricted to a single target language.

# Disadvantages of string-based MP



- ▶ No language support for constructing syntactically correct programs.
- ▶ No support for “hygiene”, i.e. sane management of free and bound variables. Hygiene is hard to add for strings.

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We reject strings in our foundational approach.



ADTs



# ADTs



```
sealed abstract class Binop
  case class Add () extends Binop
  case class Sub () extends Binop
  case class Mul () extends Binop
  case class Div () extends Binop
  case class Eq () extends Binop
```

```
sealed abstract class Term
  case class CInt ( n : Int ) extends Term
  case class Op2 ( m : Term, op : Binop, n : Term ) ext
  case class Var ( x : Int ) extends Term
  case class App ( m : Term, n : Term ) extends Term
  case class Lam ( x : Int, m : Term ) extends Term
  case class Rec ( f : Int, x : Int, m : Term ) extends
  case class If ( c : Term, m : Term, n : Term ) extend
```

We call this representation AST (abstract syntax tree), the workhorse of HGMP.

# Advantages and disadvantages of ADTs



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- ▶ Verbose.
- ▶ No support for “hygiene”, i.e. sane management of free and bound variables. But hygiene is easy to add.

What we really want is ...





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... to combine the terseness of strings with the guarantees of syntactic correctness that ASTs offer.



# UpMLs and downML



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Enter **upMLs** and **downMLs**, another good idea from logic, first introduced in Lisp.

**UpMLs** (AKA quasi-quotes, backquotes) are quotes with holes. In the holes we can execute arbitrary programs that produces code.

**DownMLs** (AKA splices or inserts) are the corresponding un-quotation mechanism.

# UpMLs and downML



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UpMLs are “syntactic sugar” for ASTs.

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NB: DownMLs make sense only within the process of AST generation.

# UpML and DownML in Racket



# UpML and DownML in Racket



```
Welcome to Racket v6.5.
```

```
> (quasiquote (+ 2 3))
```

```
'(+ 2 3)
```

```
> (eval (quasiquote (+ 2 3)))
```

```
5
```

```
> (define f (lambda (x) (quasiquote (* (unquote x) (unquote x)))))
```

```
> (f 5)
```

```
'(* 5 5)
```

```
> (eval (f 5))
```

```
25
```

```
> (define g (lambda (x) (quasiquote (* 8 (unquote (f x))))))
```

```
> (g 3)
```

```
'(* 8 (* 3 3))
```

```
> (eval (g 3))
```

```
72
```

```
racket.r
```

# UpMLs and hygiene



# UpMLs and hygiene



By default upMLs in Racket, MetaOCaml, Converge and other languages are hygienic, i.e. prevent capture of free variables. This is an implementation choice, but not necessary. Advanced MP languages like Racket or Converge offer both, capturing and non-capturing behaviour.

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We will strictly separate both concepts, i.e. our upMLs are not hygienic.



# HGMP design space: When is MP executed?



- ▶ At **compile-time**: e.g. the Lisp family, Template Haskell, Converge, C++. We call this **CTMP**.
- ▶ At **run-time**: e.g. the MetaML family, Javascript, printf-based MP. We call this **RTMP**.

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Some languages support both (e.g. Converge, `scala.meta`).

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Some languages support both (e.g. Converge, `scala.meta`).

The difference is subtle. The result of CTMP is '**frozen**' (e.g. by saving the produced executable), multiple evaluations of a CTMP'ed program can be done with one compilation. RTMP'ed programs are **regenerated on every run**. Whether that leads to observable differences depends on the available language features.

# HGMP design space: When is MP executed?



Example.

```
print(1);  
print(2 + eval(print(3); ASTInt(4)))
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Replacing the eval with a downML to get **compile-time** evaluation:

```
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yields ...

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Replacing the eval with a downML to get **compile-time** evaluation:

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print(1);  
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```

yields ... 3 1 6

The 3 is printed during compilation, the 1 6 is printed every time the compiled code is run.



# Modern languages and HGMP



# Modern languages and HGMP



Language	Strings	ASTs	UpMLs	CT-HGMP	RT-HGMP
Converge	●	●	●	●	●
JavaScript	●	○	○	○	●
Lisp	●	●	●	●	●
MetaML	○	○	●	○	●
Haskell	○	●	●	●	○
Scala	○	●	●	●	●

HGMP( $\lambda$ ) =  $\lambda$ -calculus with CTMP and RTMP



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$$M ::= x \mid MN \mid \lambda x.M \mid c \mid M + N \mid \dots$$

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A banner with the word "META" written on it in gold letters.

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$$\begin{aligned} M & ::= \dots \mid \text{ast}_t(\tilde{M}) \\ t & ::= \text{var} \mid \text{app} \mid \text{lam} \mid \text{int} \mid \text{string} \mid \text{add} \mid \dots \end{aligned}$$

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An AST constructor  $\text{ast}_t(\tilde{M})$  takes  $|\tilde{M}| + 1$  arguments. **Tag**  $t$  specifies the specific AST datatype. The rest is relative to that datatype.



# HGMP( $\lambda$ ): examples



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`astvar(" x" )` is the AST representation of the variable  $x$

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$\text{ast}_{\text{lam}}(\text{ast}_{\text{string}}("x"), \text{ast}_{\text{var}}("x"))$  is the AST of  $\lambda x.x$

Notice something?



Notice something?



Adding ASTs **mirrors** the syntax of the language. We make a 'copy' of the base language.

This is not  $\lambda$ -specific, we'd do the same for any other base.

HGMP( $\lambda$ ): adding CTMP



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- ▶ Compilation proceeds

# Operational semantics of the foundational calculus



We keep the usual  $\Downarrow_{\lambda}$  from  $\lambda$ -calculus, but now add a second phase:

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  - ▶ Then  $\Downarrow_{dl}$  de-ASTifies  $A$ , and splice into rest of program

$\Downarrow_{ct}$ 

Idea:  $\Downarrow_{ct}$  scans for  $\Downarrow\{\cdot\}$  and eliminates them by evaluation and splicing.

$$\frac{}{X \Downarrow_{ct} X} \text{VAR CT} \quad \frac{M \Downarrow_{ct} A \quad N \Downarrow_{ct} B}{MN \Downarrow_{ct} AB} \text{APP CT} \quad \frac{M \Downarrow_{ct} N}{\lambda x.M \Downarrow_{ct} \lambda x.N} \text{LAM CT}$$

$$\frac{}{C \Downarrow_{ct} C} \text{CONST CT} \quad \frac{M \Downarrow_{ct} A \quad N \Downarrow_{ct} B}{M + N \Downarrow_{ct} A + B} \text{ADD CT}$$

$$\frac{M_i \Downarrow_{ct} N_i}{\text{ast}_t(\tilde{M}) \Downarrow_{ct} \text{ast}_t(\tilde{N})} \text{AST}_c \text{ CT} \quad \frac{M \Downarrow_{ct} A \quad A \Downarrow_{\lambda} B \quad B \Downarrow_{dl} C}{\Downarrow\{M\} \Downarrow_{ct} C} \text{DOWNML CT}$$



Idea:  $\Downarrow_{dl}$  removes one layer of ASTs, i.e. goes down a meta-level.

$$\frac{}{\text{ast}_{\text{var}}("x") \Downarrow_{dl} x} \text{VAR DL} \quad \frac{M \Downarrow_{dl} M' \quad N \Downarrow_{dl} N'}{\text{ast}_{\text{app}}(M, N) \Downarrow_{dl} M' N'} \text{APP DL}$$

$$\frac{M \Downarrow_{dl} "x" \quad N \Downarrow_{dl} N'}{\text{ast}_{\text{lam}}(M, N) \Downarrow_{dl} \lambda x. N'} \text{LAM DL} \quad \frac{}{\text{ast}_{\text{int}}(n) \Downarrow_{dl} n} \text{INT DL}$$

$$\frac{}{\text{ast}_{\text{string}}("x") \Downarrow_{dl} "x"} \text{STRING DL} \quad \frac{M \Downarrow_{dl} M' \quad N \Downarrow_{dl} N'}{\text{ast}_{\text{add}}(M, N) \Downarrow_{dl} M' + N'} \text{ADD DL}$$



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$$\frac{}{\text{ast}_{\text{var}}("x") \Downarrow_{dl} x} \text{VAR DL} \quad \frac{M \Downarrow_{dl} M' \quad N \Downarrow_{dl} N'}{\text{ast}_{\text{app}}(M, N) \Downarrow_{dl} M' N'} \text{APP DL}$$

$$\frac{M \Downarrow_{dl} "x" \quad N \Downarrow_{dl} N'}{\text{ast}_{\text{lam}}(M, N) \Downarrow_{dl} \lambda x. N'} \text{LAM DL} \quad \frac{}{\text{ast}_{\text{int}}(n) \Downarrow_{dl} n} \text{INT DL}$$

$$\frac{}{\text{ast}_{\text{string}}("x") \Downarrow_{dl} "x"} \text{STRING DL} \quad \frac{M \Downarrow_{dl} M' \quad N \Downarrow_{dl} N'}{\text{ast}_{\text{add}}(M, N) \Downarrow_{dl} M' + N'} \text{ADD DL}$$

Note that non-ASTs have no  $\Downarrow_{dl}$  rules, they are stuck.



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Note that `eval` is **not** 'disappeared' at compile-time.

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Hence AST datatype  $\text{ast}_{\text{promote}}(M, \tilde{N})$  which allows an arbitrary AST with a tag  $M$  and parameters  $\tilde{N}$  to be promoted up a meta-level. Promoted ASTs can then be reduced one meta-level with the existing  $\downarrow_{dl}$  relation. E.g.:

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$$\text{ast}_{\text{promote}}(\text{string}, \text{ast}_{\text{string}}("x")) \downarrow_{dl} \text{ast}_{\text{string}}("x")$$



# Operational semantics of higher-order ASTs



$$\overline{\text{tag}_t \Downarrow_{dl} \text{tag}_t} \text{ PROMOTE TAG}$$

$$\frac{L \Downarrow_{dl} \text{tag}_t \quad t \neq \text{promote} \quad \dots \quad M_i \Downarrow_{dl} N_i \quad \dots}{\text{ast}_{\text{promote}}(L, \tilde{M}) \Downarrow_{dl} \text{ast}_t(\tilde{N})} \text{ PROMOTE DL 1}$$

$$\frac{L \Downarrow_{dl} \text{tag}_{\text{promote}} \quad M \Downarrow_{dl} \text{tag}_t \quad \dots \quad N_i \Downarrow_{dl} N'_i \quad \dots}{\text{ast}_{\text{promote}}(L, M, \tilde{N}) \Downarrow_{dl} \text{ast}_{\text{promote}}(\text{tag}_t, \tilde{N}')} \text{ PROMOTE DL 2}$$

$\Downarrow_{\lambda}$  and  $\Downarrow_{ct}$  are unchanged as rules, but work on larger set of programs.

# UpMLs (aka quasi-quotes)



We have now finished, and obtained a  $\lambda$ -calculus with CTMP and RTMP.

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ASTs are the cornerstone of our calculus. But ASTs are verbose. UpMLs (aka quasi-quotes or back-quotes) ameliorate this problem by allowing concrete syntax to be used to represent ASTs.

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We model upMLs as “syntactic-sugar” to be removed at compile-time by conversion to ASTs, e.g.

$$\uparrow\{2\} \quad \downarrow_{ct} \quad \text{ast}_{\text{int}}(2)$$



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Like downMLs, upMLs are disappeared by the compile-time stage.

A subtlety



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Recall, we want quasi-quotes, not quotes to be more flexible.  
I.e. we want 'holes' in upMLs where we can run arbitrary  
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A downML  $\downarrow\{\cdot\}$  inside  $\uparrow\{\dots \downarrow\{M\}\dots\}$  is a 'hole' where arbitrary computation can be executed to produce an AST. This AST is then used as is. For example:

$$\uparrow\{2 + \downarrow\{\text{ast}_{\text{int}}(7)\}\} \quad \downarrow_{ct} \quad \text{ast}_{\text{add}}(\text{ast}_{\text{int}}(2), \text{ast}_{\text{int}}(7))$$

$$\uparrow\{2 + \downarrow\{\uparrow\{3 + 4\}\}\} \quad \downarrow_{ct} \quad \text{ast}_{\text{add}}(\text{ast}_{\text{int}}(2), \text{ast}_{\text{add}}(\text{ast}_{\text{int}}(3), \text{ast}_{\text{int}}(4)))$$

# Operational semantics for $\uparrow\{M\}$



We introduce a new reduction relation  $\Downarrow_{ul}$ :

$$\frac{M \Downarrow_{ul} A}{\uparrow\{M\} \Downarrow_{ct} A} \text{UPML CT} \quad \frac{M \Downarrow_{ct} A}{\downarrow\{M\} \Downarrow_{ul} A} \text{DOWNML UL}$$

$$\frac{}{\text{" x" } \Downarrow_{ul} \text{ast}_{\text{string}}(\text{" x" })} \text{STRING UL} \quad \frac{M \Downarrow_{ul} A \quad N \Downarrow_{ul} B}{MN \Downarrow_{ul} \text{ast}_{\text{app}}(A, B)} \text{APP UL}$$

$$\frac{M \Downarrow_{ul} A}{\lambda x.M \Downarrow_{ul} \text{ast}_{\text{lam}}(\text{ast}_{\text{string}}(\text{" x" }), A)} \text{LAM UL} \quad \frac{}{\text{tag}_t \Downarrow_{ul} \text{tag}_t} \text{TAG UL}$$

$$\frac{M \Downarrow_{ul} A}{\text{eval}(M) \Downarrow_{ul} \text{ast}_{\text{eval}}(A)} \text{EVAL UL} \quad \frac{M \Downarrow_{ul} A \quad A \Downarrow_{ul} B}{\uparrow\{M\} \Downarrow_{ul} B} \text{UPML UL}$$

$$\frac{}{x \Downarrow_{ul} \text{ast}_{\text{var}}(\text{" x" })} \text{VAR UL} \quad \frac{\dots M_i \Downarrow_{ul} A_i \dots}{\text{ast}_t(\tilde{M}) \Downarrow_{ul} \text{ast}_{\text{promote}}(\text{tag}_t, \tilde{A})} \text{AST UL}$$

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Thus RT-HGMP and CT-HGMP are neatly connected as two facets of the same AST-coin.

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- ▶ Cross-level variable scoping.

Staged power function  $\lambda n x . x^n$



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How do we prove this, when existing approaches to HGMP diverge from our proposals?

**Reflective equilibrium**, balance or coherence between model and PL reality.

If you have HGMP phenomena that don't agree with our calculus, please contact us.

*HGMP*(·): mechanical HGMPification of  
languages



# *HGMP*(·): mechanical HGMPification of languages



Nothing in the HGMPification of  $\lambda$ -calculus depended on  $\lambda$ -calculus being the source language. The process was completely generic.

*HGMP*(·)



*HGMP*(.)



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Add appropriate reduction rules for ASTs, upMLs and downMLs with computation driven by the base language. Note that  $HGMP(\lambda)$  does not change the reduction rules of  $\lambda$ -calculus itself. **Only adds rules.**

*HGMP*(.) semi-formally



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Assume  $C$  is the set of  $L$ 's program constructors,  $L_{mp}$ 's constructors and tags then:

$$T = C \cup \{\text{eval}, \text{promote}\}$$

$$C_{mp} = C \cup \{\text{eval}, \downarrow\{\_ \}, \uparrow\{\_ \}\} \cup \{\text{ast}_t \mid t \in T\} \cup \{\text{tag}_t \mid t \in T\}$$

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The arities and binders of the new syntax are as follows:

- ▶ If  $c \in C$  then its arity and binders are unchanged in  $C_{mp}$ .
- ▶  $\text{ast}_c$  has the same arity as  $c \in C$  and no binders.
- ▶  $\text{ast}_{\text{promote}}$  has variable arity, or, equivalently has arity 2, with the second argument being of type list. There are no binders.
- ▶  $\text{ast}_{\text{eval}}$  has arity 1 and no binders.
- ▶  $\text{tag}_t$  has arity 0 and no binders for  $t \in T$ .
- ▶  $\text{eval}$ ,  $\downarrow\{\_ \}$ , and  $\uparrow\{\_ \}$  have arity 1 and no binders.

*HGMP*(·)





We add the following rules to the operations rules of  $L$  (omitting rules for upML for simplicity).

$$\frac{t \in T}{t \Downarrow_{\lambda} t} \quad \frac{L \Downarrow_{\lambda} M \quad M \Downarrow_{dl} N \quad N \Downarrow_{\lambda} N'}{\text{eval}(L) \Downarrow_{\lambda} N'}$$

$$\frac{\dots \quad M_j \Downarrow_{\lambda} N_j \quad \dots \quad t \in T}{\text{ast}_t(\tilde{M}) \Downarrow_{\lambda} \text{ast}_t(\tilde{N})}$$

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Constructors with binders are most easily explained by example. If  $c$  has arity 2, with the first argument being a binder, the following rule must be added:

$$\frac{M \Downarrow_{dl} "x" \quad N \Downarrow_{dl} N'}{\text{ast}_c(M, N) \Downarrow_{dl} c(x, N')}$$

*HGMP(·)*



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$$\frac{L \Downarrow_{dl} \text{tag}_t \quad M_i \Downarrow_{dl} N_i \quad t \in T}{\text{ast}_{\text{promote}}(L, \tilde{M}) \Downarrow_{dl} \text{ast}_c(\tilde{N})}$$

$$\frac{L \Downarrow_{dl} \text{tag}_{\text{promote}} \quad M \Downarrow_{dl} \text{tag}_t \quad N_i \Downarrow_{dl} R_i}{\text{ast}_{\text{promote}}(L, M, \tilde{N}) \Downarrow_{dl} \text{ast}_{\text{promote}}(\text{tag}_t, \tilde{R})} \quad \frac{t \in T}{\text{tag}_t \Downarrow_{dl} \text{tag}_t}$$

Assuming we wish to enable compile-time HGMP, a  $\Downarrow_{ct}$  relation must be added:

$$\frac{M \in \{x, "x"\} \cup \{\text{tag}_t \mid t \in T\}}{M \Downarrow_{ct} M} \quad \frac{M \Downarrow_{ct} N}{\text{eval}(M) \Downarrow_{ct} \text{eval}(N)}$$

$$\frac{M_i \Downarrow_{ct} N_i \quad c \in C}{c(\tilde{M}) \Downarrow_{ct} c(\tilde{N})} \quad \frac{M_i \Downarrow_{ct} N_i \quad t \in T}{\text{ast}_t(\tilde{M}) \Downarrow_{ct} \text{ast}_t(\tilde{N})}$$

$$\frac{t \in T}{\text{tag}_t \Downarrow_{ct} \text{tag}_t} \quad \frac{M \Downarrow_{ct} A \quad A \Downarrow_{\lambda} B \quad B \Downarrow_{dl} C}{\Downarrow\{M\} \Downarrow_{ct} C}$$

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- ▶ What languages or language features **cannot** be handled satisfactorily by  $HGMP(\cdot)$ ?

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- ▶ Implementation of  $HGMP(\cdot)$  and applying it to real languages?

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Much work remains.

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Questions?