

Quantaloidal approach to constraint satisfaction

Soichiro Fujii, Yuni Iwamasa and Kei Kimura

ACT 2021

Quantaloids

= {complete join-semilattices}-enriched categories

Quantaloidal approach to constraint satisfaction

Constraint satisfaction problem (CSP):
general framework for computational problems
including k -SAT, graph k -colouring, ...

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\mathcal{P} FinSet

Special case ↑

Quantaloidal CSP



\mathcal{Q} FinSet

Special case ↓

\mathcal{Q} : quantale

TVCS (Optimisation problem)



$\overline{\mathbb{R}}$ FinSet

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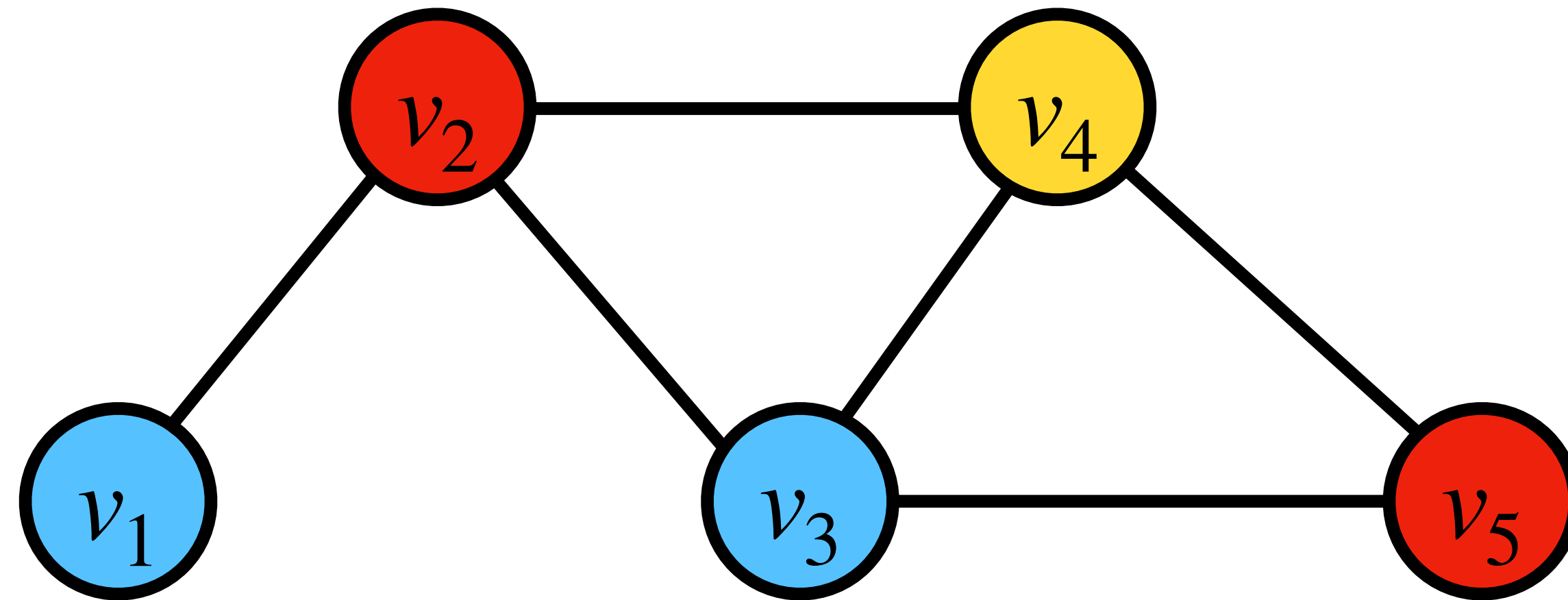
Special
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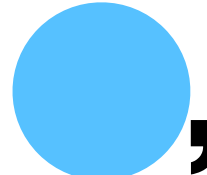

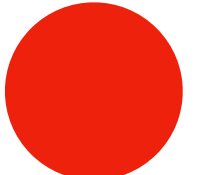
\mathcal{Q} : quantale

Graph k -colouring ($k \in \mathbb{N}$)



$\exists s: \{v_1, \dots, v_5\} \rightarrow \{1, \dots, k\}$ s.t. $\forall \text{edge } (v_i, v_j), s(v_i) \neq s(v_j)$?

Ex. $k = 3$

{ , ,  }

A **CSP instance** $I = (V, D, \mathcal{C})$ consists of:

- V : finite set of **variables**
- D : finite set called the **domain**
- \mathcal{C} : finite set of “constraints”

A **constraint** is (k, \mathbf{x}, ρ) where

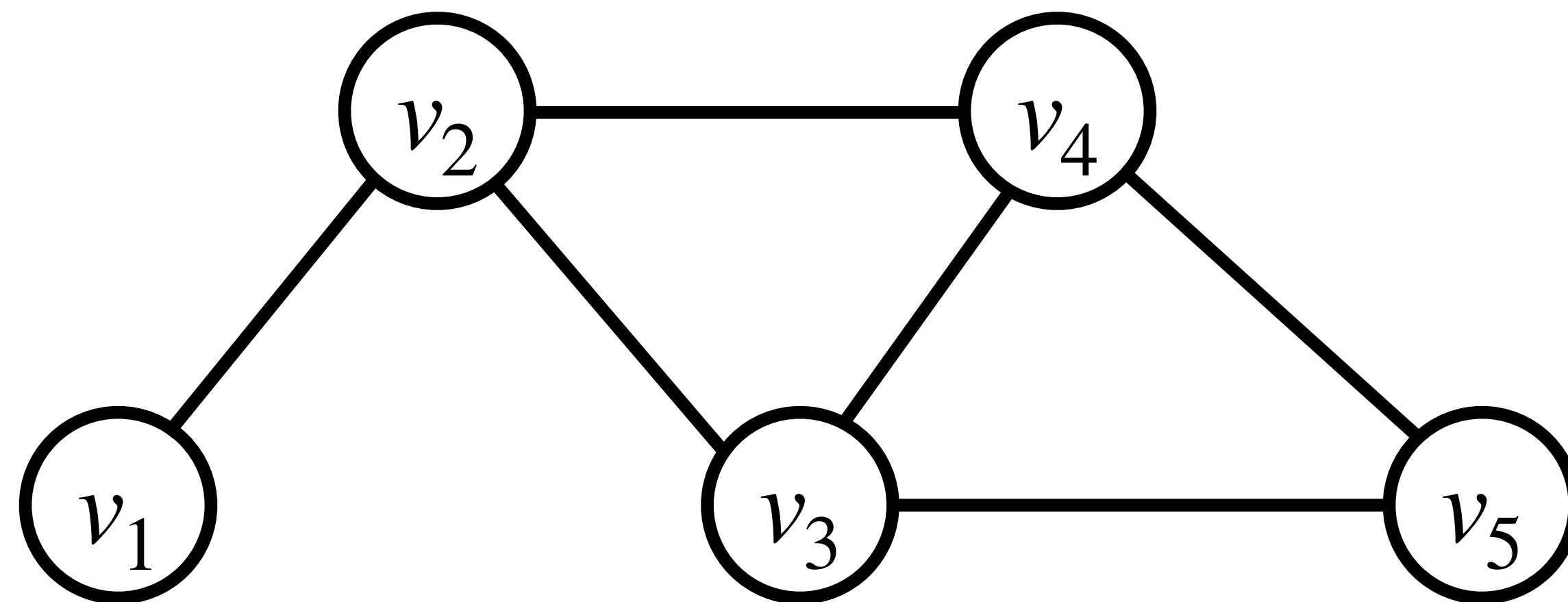
- $k \in \mathbb{N}$, $\mathbf{x} \in V^k$, $\rho \subseteq D^k$.

A function $s: V \rightarrow D$ **satisfies** the constraint $(k, \mathbf{x} = (x_1, \dots, x_k), \rho)$ if $(s(x_1), \dots, s(x_k)) \in \rho$.

A **solution** of $I = (V, D, \mathcal{C})$ is a function $s: V \rightarrow D$ satisfying every constraint in \mathcal{C} .

$$\mathcal{S}(I) = \{\text{solutions of } I\} \subseteq [V, D]$$

Ex. Graph k -colouring



$\exists s: \{v_1, \dots, v_5\} \rightarrow \{1, \dots, k\}$ s.t. $\forall \text{edge } (v_i, v_j), s(v_i) \neq s(v_j)$?

$$V = \{v_1, \dots, v_5\}$$

$$D = \{1, \dots, k\}$$

$$\mathcal{C} = \{(2, (v_i, v_j), \neq \subseteq D^2) \mid (v_i, v_j): \text{edge}\}$$

A function $s: V \rightarrow D$ satisfies the constraint $(k', \mathbf{x} = (x_1, \dots, x_{k'}), \rho)$ if $(s(x_1), \dots, s(x_{k'})) \in \rho$.

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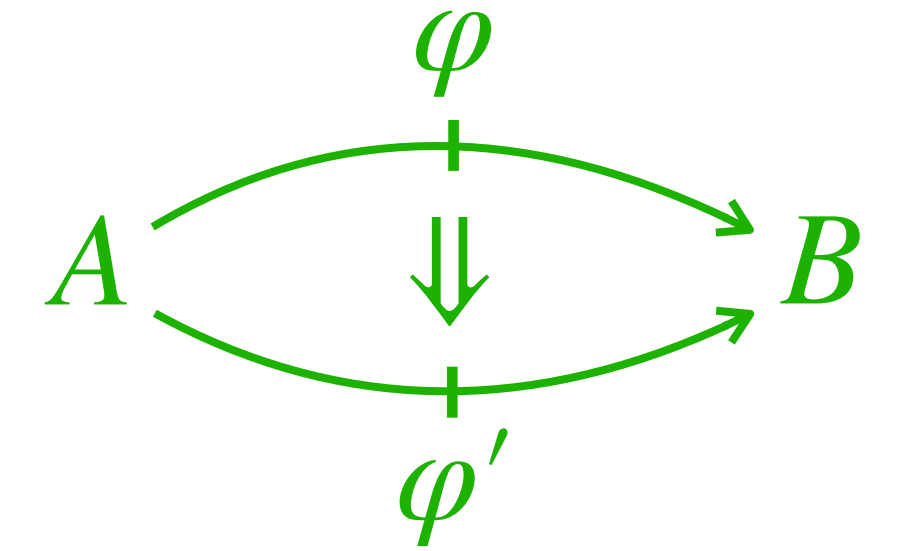
The 2-category $\mathcal{P}\mathbf{FinSet}$:

Obj. Finite sets

Comp.

$$A \xrightarrow{\varphi} B \xrightarrow{\psi} C$$

2-cell



Mor.

$$A \xrightarrow{\varphi} B$$

$$\varphi \subseteq [A, B]$$

$$\psi \circ \varphi = \{ g \circ f \mid g \in \psi, f \in \varphi \}$$

Id.

$$A \xrightarrow{\{\text{id}_A\}} A$$

$$\varphi \subseteq \varphi'$$

$\mathcal{P}\mathbf{FinSet}$ is a **quantaloid** (the free quantaloid over \mathbf{FinSet}):

- $\forall A, B \in \mathcal{P}\mathbf{FinSet}$, $\mathcal{P}\mathbf{FinSet}(A, B) = (\mathcal{P}[A, B], \subseteq)$ is a complete lattice.

- $\forall A, B, C \in \mathcal{P}\mathbf{FinSet}$,

$$\mathcal{P}\mathbf{FinSet}(B, C) \times \mathcal{P}\mathbf{FinSet}(A, B) \xrightarrow{\circ} \mathcal{P}\mathbf{FinSet}(A, C)$$

preserves arbitrary joins in each variable:

$$B \xrightarrow{\psi} C \quad (A \xrightarrow{\varphi_i} B)_{i \in I}$$

$$\psi \circ \left(\bigvee_{i \in I} \varphi_i \right) = \bigvee_{i \in I} (\psi \circ \varphi_i)$$

$$(B \xrightarrow{\psi_i} C)_{i \in I} \quad A \xrightarrow{\varphi} B$$

$$\left(\bigvee_{i \in I} \psi_i \right) \circ \varphi = \bigvee_{i \in I} (\psi_i \circ \varphi)$$

In particular,

- $\forall A \xrightarrow{\varphi} B, C \in \mathcal{P}\mathbf{FinSet},$

$$\mathcal{P}\mathbf{FinSet}(\varphi, C): \mathcal{P}\mathbf{FinSet}(B, C) \longrightarrow \mathcal{P}\mathbf{FinSet}(A, C)$$

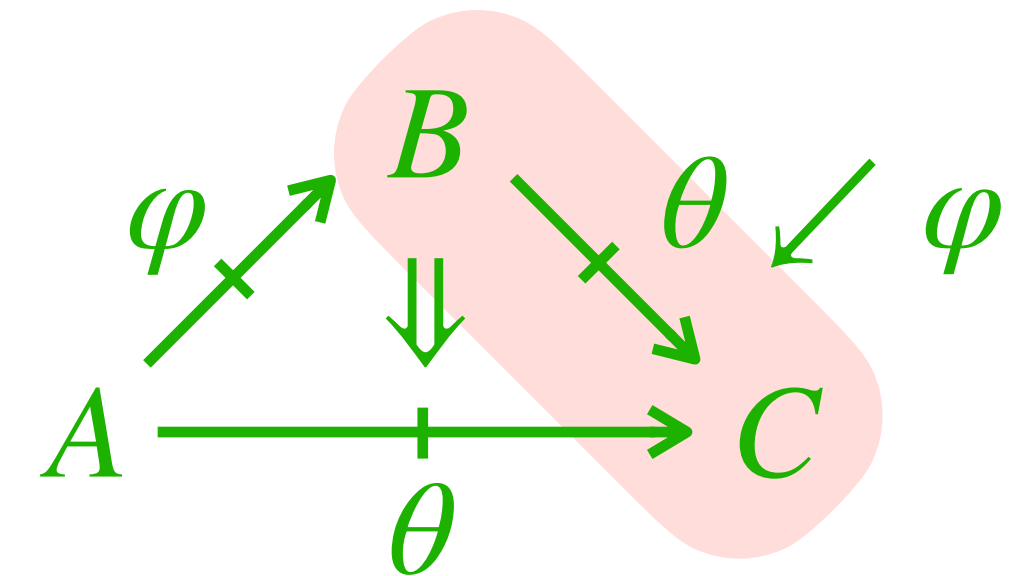
preserves arbitrary joins.

$$(B \xrightarrow{\psi} C) \longmapsto (A \xrightarrow{\varphi} B \xrightarrow{\psi} C)$$

$\iff \mathcal{P}\mathbf{FinSet}(\varphi, C)$ has a right adjoint

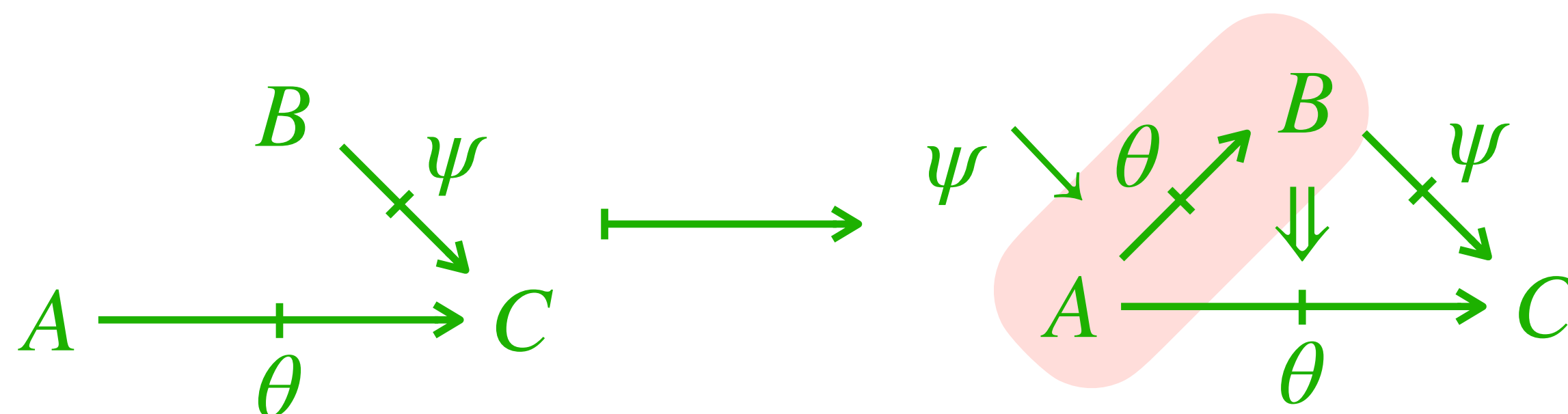
$$(-) \swarrow \varphi: \mathcal{P}\mathbf{FinSet}(A, C) \longrightarrow \mathcal{P}\mathbf{FinSet}(B, C)$$

$$(A \xrightarrow{\theta} C) \longmapsto$$



The **right extension** of θ along φ

The **right lifting** of θ along ψ

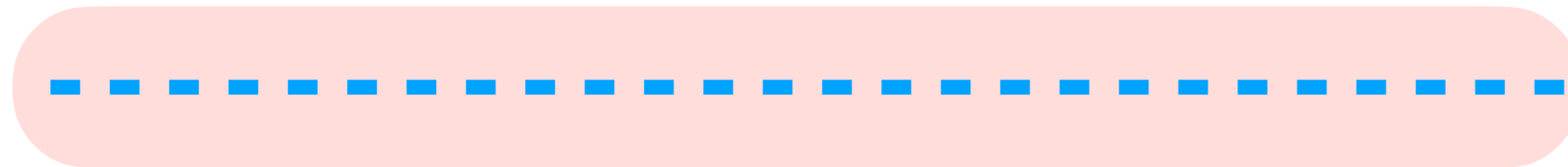


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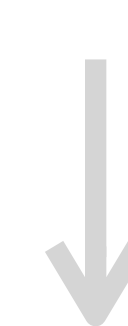


Special case
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TVCS (Optimisation problem)



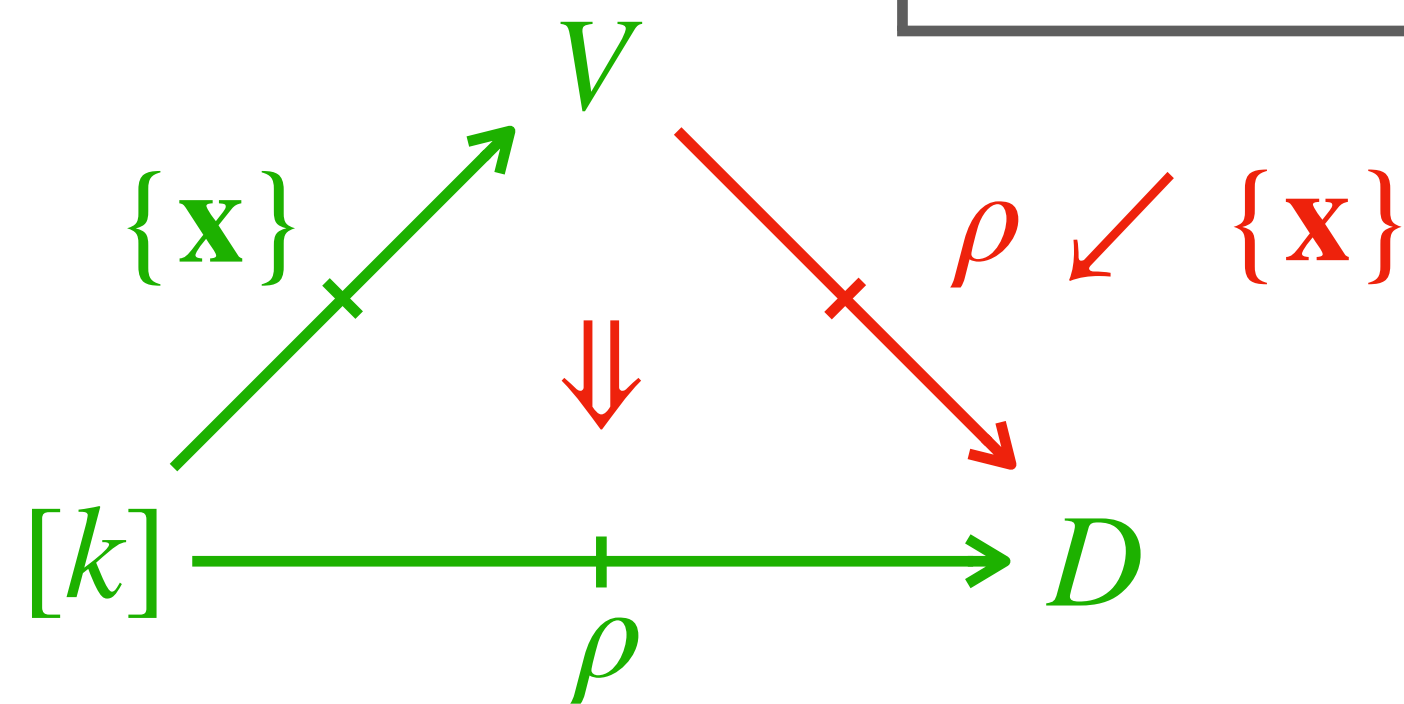
$\overline{\mathcal{R}}\text{FinSet}$



\mathcal{Q} : quantale

Each constraint (k, \mathbf{x}, ρ) yields

$\mathcal{P}\text{FinSet}$



$$\rho \not\prec \{\mathbf{x}\} \subseteq [V, D]$$

\parallel

$\{ s: V \rightarrow D \mid s \text{ satisfies the constraint } (k, \mathbf{x}, \rho) \}$

A **CSP instance** $I = (V, D, \mathcal{C})$ consists of:

- V : finite set of **variables**
- D : finite set called the **domain**
- \mathcal{C} : finite set of “constraints”

A **constraint** is (k, \mathbf{x}, ρ) where

- $k \in \mathbb{N}$, $\mathbf{x} \in V^k$, $\rho \subseteq D^k$.

A function $s: V \rightarrow D$ **satisfies** the constraint $(k, \mathbf{x} = (x_1, \dots, x_k), \rho)$ if $(s(x_1), \dots, s(x_k)) \in \rho$.

A **solution** of $I = (V, D, \mathcal{C})$ is a function $s: V \rightarrow D$ satisfying every constraint in \mathcal{C} .

$$\mathcal{S}(I) = \{ \text{solutions of } I \} \subseteq [V, D]$$

$$\mathcal{S}(I) = \bigcap_{(k, \mathbf{x}, \rho) \in \mathcal{C}} \rho \not\prec \{\mathbf{x}\} : V \rightarrow D$$

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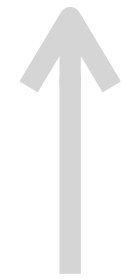
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Polymorphisms



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\mathcal{Q} -valued polymorphisms



\mathcal{Q} : quantale

TVCSP (Optimisation problem)



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$\overline{\mathbb{R}}$ -valued polymorphisms

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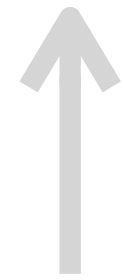
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\mathcal{Q} -valued polymorphisms



\mathcal{Q} : quantale

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Dichotomy theorem. [Bulatov 2017, Zhuk 2020]

For each “constraint language” \mathcal{D} ,

$\text{CSP}(\mathcal{D})$ is either in P or is NP-complete.

A **constraint language** \mathcal{D} consists of

- D : finite set
- $(\rho_i \subseteq D^{k_i})_{i \in I}$: finite family of relations on D .

Finite relational structure

$\mathcal{D} = (D, (\rho_i)_{i \in I})$: constraint language

$\text{CSP}(\mathcal{D})$: set of CSP instances defined by

$$I = (V, D', \mathcal{C}) \in \text{CSP}(\mathcal{D}) \iff D' = D \text{ and } \forall (k, \mathbf{x}, \rho) \in \mathcal{C}, \rho \in \mathcal{D}$$

When is $\text{CSP}(\mathcal{D})$ easy to solve?

- $\text{CSP}(\mathcal{D})$ is in P if \mathcal{D} admits enough “symmetry”
- $\text{CSP}(\mathcal{D})$ is NP-complete otherwise

The relevant “symmetry” of \mathcal{D} is captured by **polymorphisms** of \mathcal{D}

= homomorphisms (of relational structures) $\mathcal{D}^n \rightarrow \mathcal{D}$.

Dichotomy theorem. [Bulatov 2017, Zhuk 2020]

\mathcal{D} : constraint language $\forall x, y, z \in D. f(y, x, y, z) = f(x, y, z, x)$

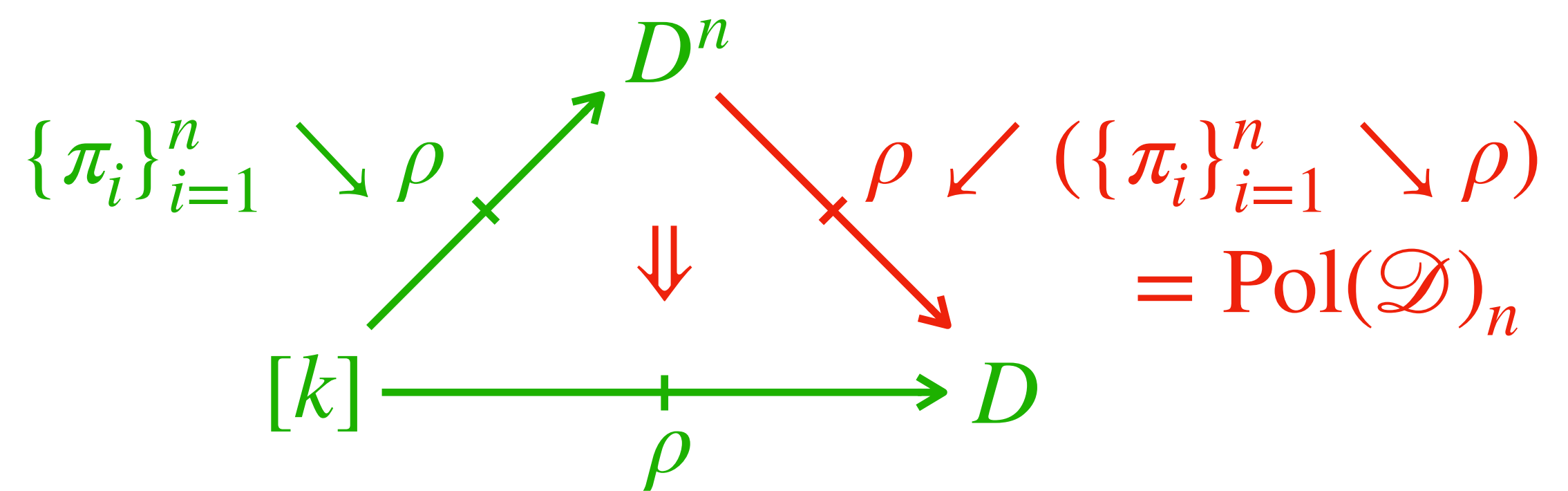
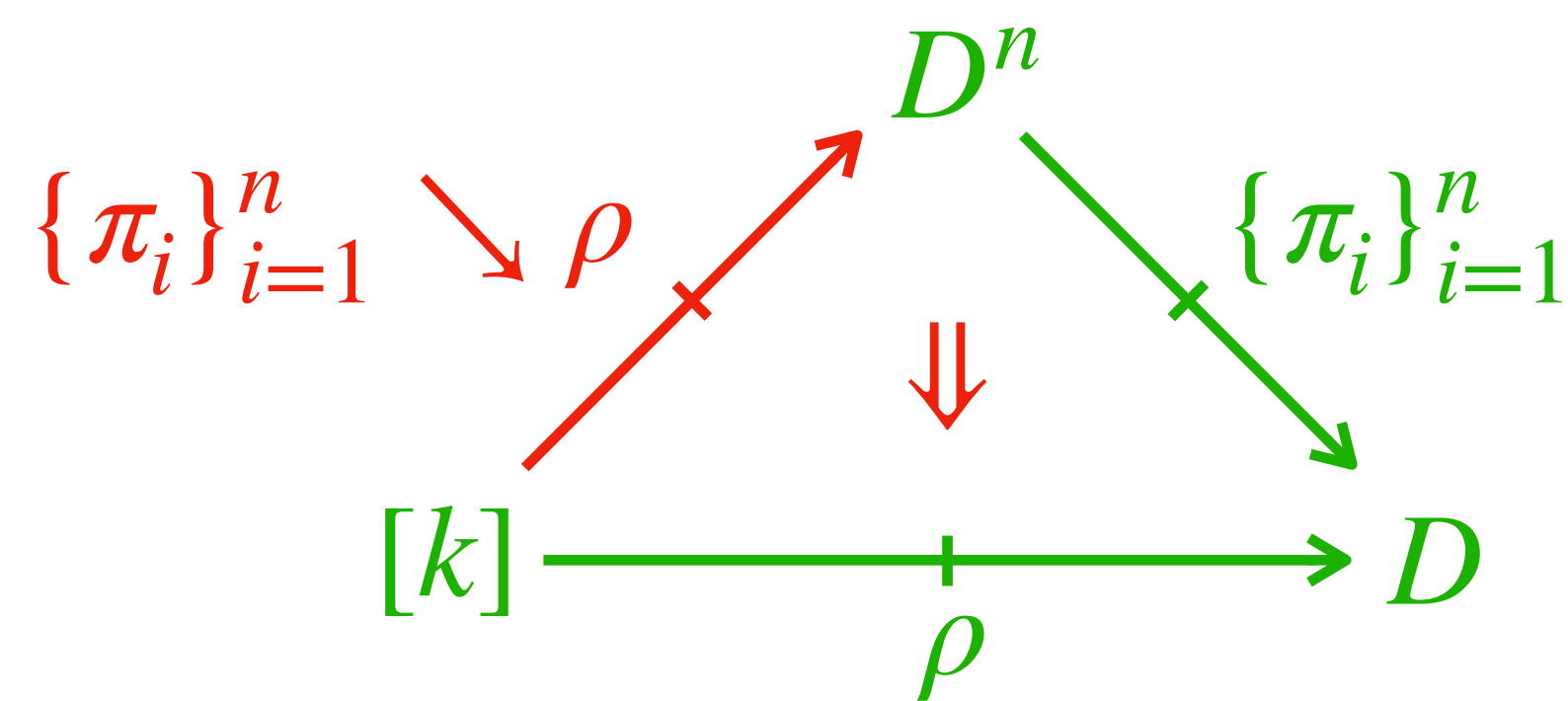
- $\text{CSP}(\mathcal{D})$ is in P if \mathcal{D} admits a **Siggers operation** $f: D^4 \rightarrow D$ as a polymorphism
- $\text{CSP}(\mathcal{D})$ is NP-complete otherwise.

$\mathcal{D} = (D, (\rho_i)_{i \in I})$: **constraint language**

$\forall n \in \mathbb{N}$, let $\text{Pol}(\mathcal{D})_n = \{n\text{-ary polymorphisms of } \mathcal{D}\}$
 $= \{\text{homomorphisms } \mathcal{D}^n \rightarrow \mathcal{D}\}$

Assume I : singleton, so that $\mathcal{D} = (D, \rho \subseteq D^k)$.

Then $\text{Pol}(\mathcal{D})_n: D^n \rightarrow D$ is given by:



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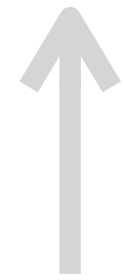
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\mathcal{Q} -valued polymorphisms



\mathcal{Q} : quantale

TVCSP (Optimisation problem)



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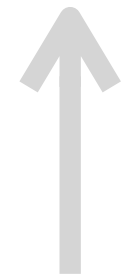
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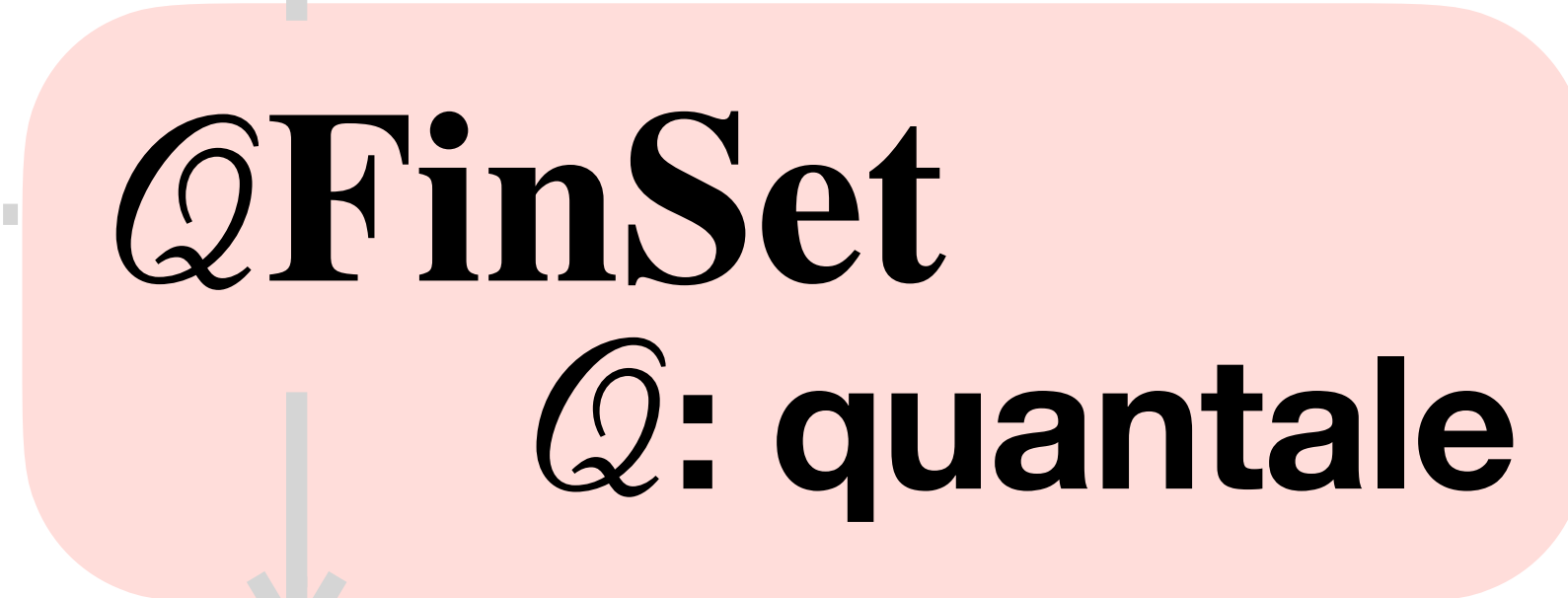
TVCSP (Optimisation problem)



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\mathcal{Q} : quantale



A **quantale** is a one-object quantaloid.

Explicitly,

$\mathcal{Q} = (Q, \leq, e, \otimes)$ is a **quantale** if

- (Q, \leq) : **complete lattice**
- (Q, e, \otimes) : **monoid**

satisfying:

$$\alpha \otimes \left(\bigvee_{i \in I} \beta_i \right) = \bigvee_{i \in I} (\alpha \otimes \beta_i)$$

$$\left(\bigvee_{i \in I} \alpha_i \right) \otimes \beta = \bigvee_{i \in I} (\alpha_i \otimes \beta)$$

$\mathcal{Q} = (Q, \leq, e, \otimes)$: quantale

The quantaloid $\mathcal{Q}\mathbf{FinSet}$:

Obj. Finite sets

Comp. $A \xrightarrow{\varphi} B \xrightarrow{\psi} C$

Mor.
$$\frac{A \xrightarrow{\varphi} B}{\varphi : [A, B] \rightarrow Q}$$

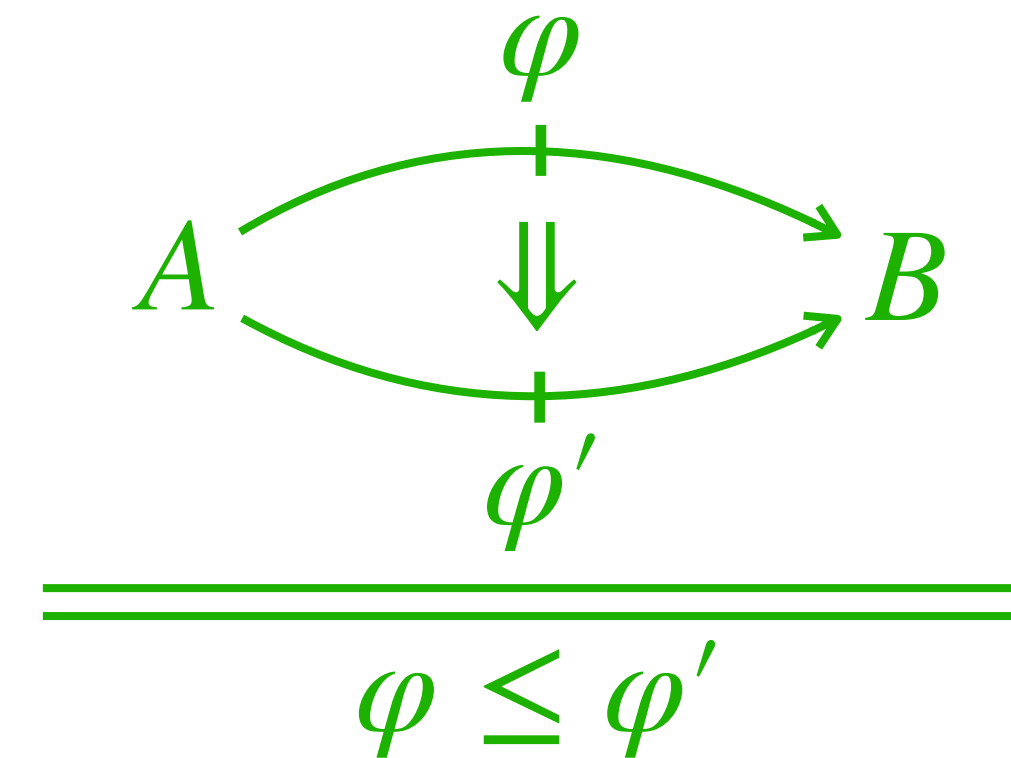
$(\psi \circ \varphi)(h) = \bigvee \{ \psi(g) \otimes \varphi(f) \mid f: A \rightarrow B, g: B \rightarrow C, g \circ f = h \}$

“Singleton” morphism

$$\frac{A \xrightarrow{f} B}{\frac{A \xrightarrow{\{f\}} B}{\{f\} : [A, B] \rightarrow Q}}$$

Id. $A \xrightarrow{\{\text{id}_A\}} A$

2-cell



$g \mapsto \begin{cases} e & \text{if } g = f \\ \perp_Q & \text{otherwise} \end{cases}$

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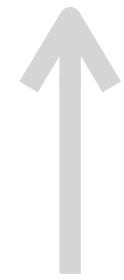
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$\uparrow Q = 2$

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Q : quantale

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$\mathcal{Q} = (Q, \leq, e, \otimes)$: quantale

A \mathcal{Q} -valued CSP instance $I = (V, D, \mathcal{C})$ consists of:

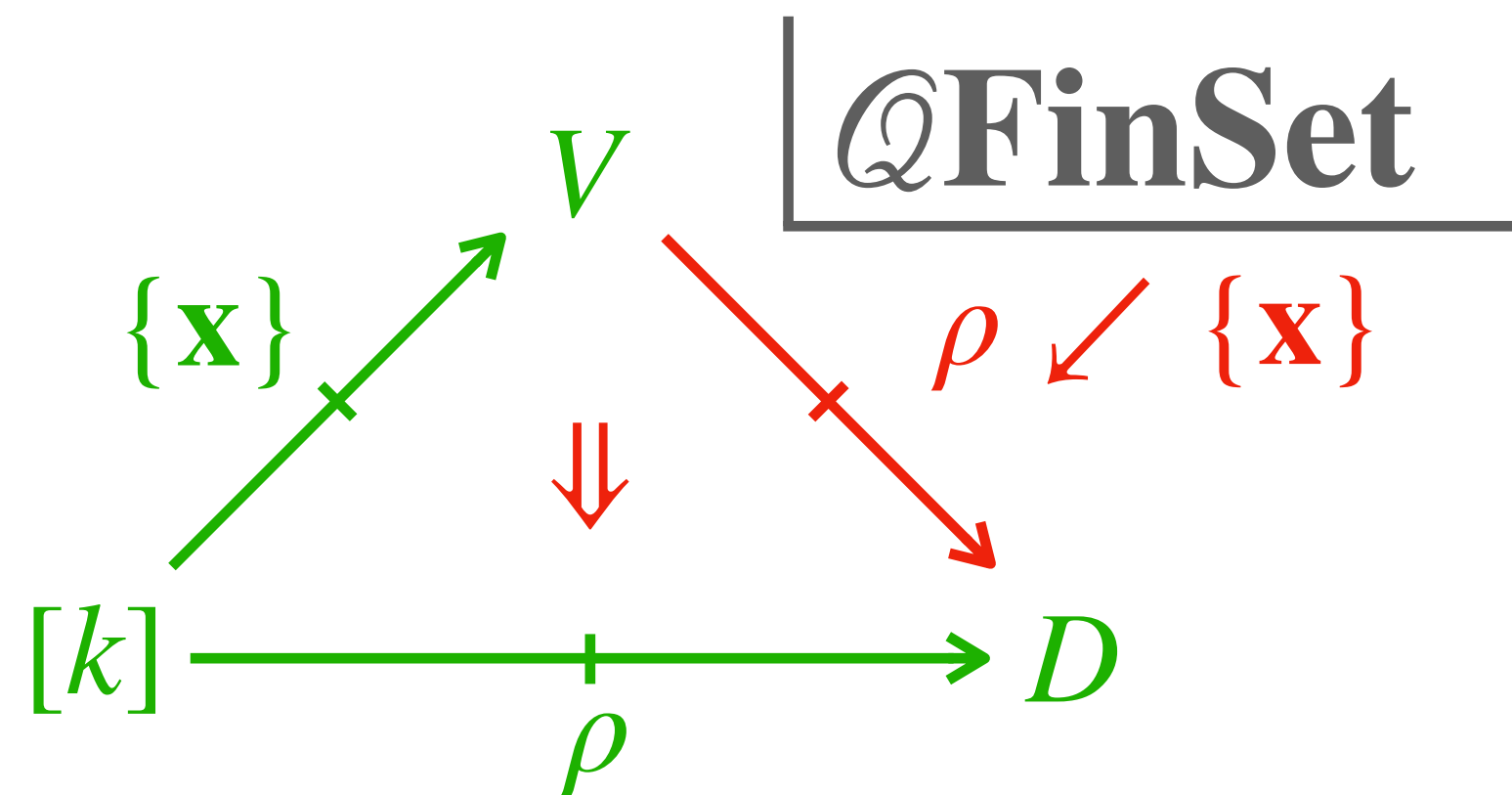
- V : finite set of variables
- D : finite set called the domain
- \mathcal{C} : finite set of " \mathcal{Q} -valued constraints"

A \mathcal{Q} -valued constraint is (k, \mathbf{x}, ρ) where

- $k \in \mathbb{N}$, $\mathbf{x} \in V^k$, $\rho \subseteq D^k$.

$$\frac{\rho: [k] \twoheadrightarrow D \text{ in } \mathcal{Q}\text{FinSet}}{\rho: D^k \rightarrow Q}$$

Each \mathcal{Q} -valued constraint (k, \mathbf{x}, ρ) yields



$$\mathcal{S}(I) = \bigwedge_{(k, \mathbf{x}, \rho) \in \mathcal{C}} \rho \swarrow \{\mathbf{x}\} : V \twoheadrightarrow D$$

$$\mathcal{S}(I): [V, D] \rightarrow Q$$

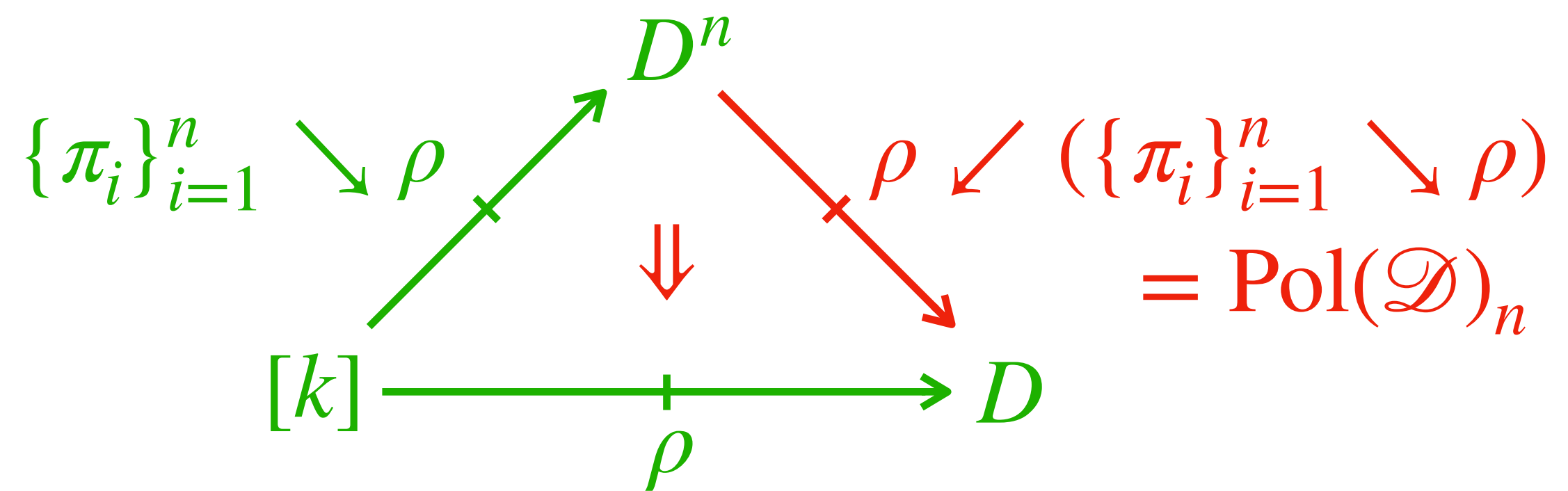
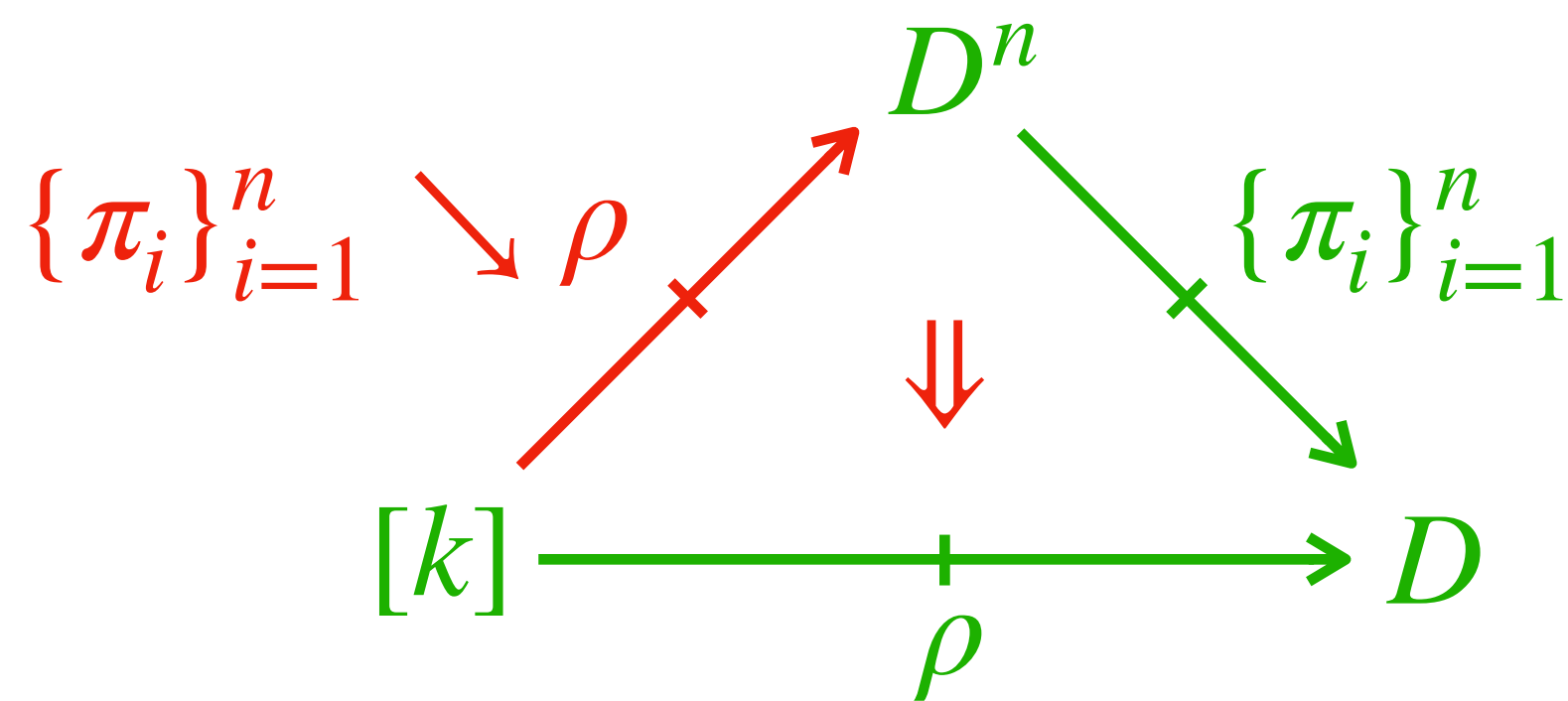
A \mathcal{Q} -valued constraint language \mathcal{D} consists of

- D : finite set
- ~~$(\rho_i \subseteq D^{k_i})_{i \in I}$: finite family of relations on D .~~
- $(\rho_i: [k_i] \dashrightarrow D)_{i \in I}$: finite family of morphisms in $\mathcal{Q}\mathbf{FinSet}$

Assume I : singleton, so that $\mathcal{D} = (D, \rho: [k] \dashrightarrow D)$.

Then $\text{Pol}(\mathcal{D})_n: D^n \dashrightarrow D$ is given by:

$\text{Pol}(\mathcal{D})_n: [D^n, D] \rightarrow \mathcal{Q}$ $\text{Pol}(\mathcal{D})_n(f) \in \mathcal{Q}$: the “degree” to which $f: D^n \rightarrow D$ is a polymorphism of \mathcal{D}



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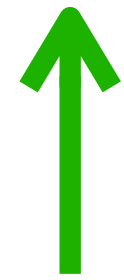
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Q -valued polymorphisms



Q : quantale

TVCS (Optimisation problem)



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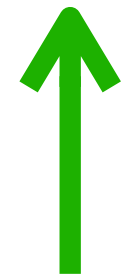
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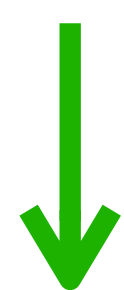
Polymorphisms

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Q -valued polymorphisms

Q : quantale

$\downarrow Q = \overline{\mathbb{R}}$

TVCSP (Optimisation problem)

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Letting $\mathcal{Q} = \overline{\mathbb{R}} = (\mathbb{R} \cup \{\pm\infty\}, \geq, 0, +)$ (cf. [Lawvere 1973]), we obtain a class of optimisation problems:

$$\inf_{s: V \rightarrow D} \sup_{(k, \mathbf{x}, \rho) \in \mathcal{C}} \rho(s(x_1), \dots, s(x_k))$$

which we call “tropical valued CSPs”.

Dichotomy theorem for TVCSPs.*

\mathcal{D} : $\overline{\mathbb{R}}$ -valued constraint language

- TVCSP(\mathcal{D}) is in P if there exists a Siggers operation $f: D^4 \rightarrow D$ with $0 \geq \text{Pol}(f)_4$.
- TVCSP(\mathcal{D}) is NP-hard otherwise.

* For a slightly more expressive version of TVCSPs.

Summary

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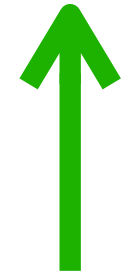
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Dichotomy theorem



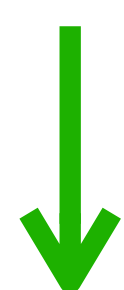
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Q : quantale

$Q = \overline{\mathbb{R}}$

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Dichotomy theorem