

PCF

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TERMS AND TYPES

Types:

$$\tau ::= \text{nat} \mid \text{bool} \mid \tau \rightarrow \tau$$

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Terms:

$$t ::= 0 \mid \text{succ}(t) \mid \text{pred}(t) \mid \\ \text{true} \mid \text{false} \mid \text{zero?}(t) \mid \text{if } t \text{ then } t \text{ else } t \\ x \mid \text{fun } x:\tau. t \mid t t \mid \text{fix}(t)$$

$\Gamma \vdash t : \tau$  The term  $t$  has type  $\tau$  in context  $\Gamma$

$$\text{ZERO} \frac{}{\Gamma \vdash 0 : \text{nat}}$$

$$\text{SUCC} \frac{\Gamma \vdash t : \text{nat}}{\Gamma \vdash \text{succ}(t) : \text{nat}}$$

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## TYPING FOR PCF (I)

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$$\text{TRUE} \frac{}{\Gamma \vdash \text{true} : \text{bool}}$$

$$\text{FALSE} \frac{}{\Gamma \vdash \text{false} : \text{bool}}$$

$$\text{ISZ} \frac{\Gamma \vdash t : \text{nat}}{\Gamma \vdash \text{zero?}(t) : \text{bool}}$$

$$\text{IF} \frac{\Gamma \vdash b : \text{bool} \quad \Gamma \vdash t : \tau \quad \Gamma \vdash t' : \tau}{\Gamma \vdash \text{if } b \text{ then } t \text{ else } t' : \tau}$$

$$\text{VAR} \frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau}$$

$$\text{FUN} \frac{\Gamma, x:\sigma \vdash t : \tau}{\Gamma \vdash \text{fun } x:\sigma. t : \sigma \rightarrow \tau}$$

$$\text{APP} \frac{\Gamma \vdash f : \sigma \rightarrow \tau \quad \Gamma \vdash u : \sigma}{\Gamma \vdash f u : \tau}$$

$$\text{FIX} \frac{\Gamma \vdash f : \tau \rightarrow \tau}{\Gamma \vdash \text{fix}(f) : \tau}$$

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$$\text{PCF}_{\Gamma, \tau} \stackrel{\text{def}}{=} \{t \mid \Gamma \vdash t : \tau\}$$

$$\text{PCF}_{\tau} \stackrel{\text{def}}{=} \text{PCF}_{\cdot, \tau}$$



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OPERATIONAL SEMANTICS

Values:

$$v ::= \underbrace{0 \mid \text{succ}(v)}_{\underline{n}} \mid \text{true} \mid \text{false} \mid \text{fun } x:\tau. t$$

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$$\text{SUCC} \frac{t \Downarrow_{\text{nat}} v}{\text{succ}(t) \Downarrow_{\text{nat}} \text{succ}(v)}$$

$$\text{PRED} \frac{t \Downarrow_{\text{nat}} \text{succ}(v)}{\text{pred}(t) \Downarrow_{\text{nat}} v}$$

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$$\text{ZEROZ} \frac{t \Downarrow_{\text{nat}} 0}{\text{zero?}(t) \Downarrow_{\text{bool}} \text{true}}$$

...

$$\text{IFT} \frac{b \Downarrow_{\text{bool}} \text{true} \quad t_1 \Downarrow_{\tau} v}{\text{if } b \text{ then } t_1 \text{ else } t_2 \Downarrow_{\tau} v}$$

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$$\text{FUN} \frac{t \Downarrow_{\sigma \rightarrow \tau} \text{fun } x:\sigma. t' \quad t'[u/x] \Downarrow_{\tau} v}{t u \Downarrow_{\tau} v}$$

$$\text{FIX} \frac{t(\text{fix}(t)) \Downarrow_{\tau} v}{\text{fix}(t) \Downarrow_{\tau} v}$$

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$$\text{FIX} \frac{t(\text{fix}(t)) \Downarrow_{\tau} v}{\text{fix}(t) \Downarrow_{\tau} v}$$

Alternatively: small-step  $t \rightsquigarrow_{\tau} u$ , we have  $t \Downarrow_{\tau} v$  iff  $t \rightsquigarrow_{\tau}^* u$ .

```
plus def = fun x:nat. fix(fun(p:nat → nat)(y:nat).  
    if zero?(y) then x else succ(p pred(y)))
```

```
plus 3 1 ↓nat 4
```



plus  $\stackrel{\text{def}}{=} \text{fun } x:\text{nat}. \text{fix}(\text{fun}(p:\text{nat} \rightarrow \text{nat})(y:\text{nat}).$   
     if zero?(y) then x else succ(p pred(y)))

plus 3 1  $\Downarrow_{\text{nat}}$  4

$\Omega_\tau \stackrel{\text{def}}{=} \text{fix}(\text{fun } x:\tau. x)$

$\Omega_\tau \Uparrow_\tau$  (diverges)

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Try it out!

PCF is **Turing-complete**: for every partial recursive function  $\phi$ , there is a PCF term  $\underline{\phi}$  such that for all  $n \in \mathbb{N}$ , if  $\phi(n)$  is defined then  $\underline{\phi} \ n \Downarrow_{\text{nat}} \ \underline{\phi(n)}$ .

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(Later on:  $\phi = \llbracket \underline{\phi} \rrbracket$ ).

Evaluation in PCF is **deterministic**: if both  $t \Downarrow_{\tau} v$  and  $t \Downarrow_{\tau} v'$  hold, then  $v = v'$ .

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By (rule) induction on evaluation  $\Downarrow$ :

$$\{(t, \tau, v) \mid t \Downarrow_{\tau} v \wedge \forall v'. (t \Downarrow_{\tau} v' \Rightarrow v = v')\}$$

Intuition: there is always exactly one rule which applies.

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CONTEXTUAL EQUIVALENCE

Two phrases of a programming language are **contextually equivalent** if any occurrences of the first phrase in a **complete program** can be replaced by the second phrase without affecting the **observable results** of executing the program.



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The intuitive notion of **program equivalence** for programmers.

$$\begin{aligned} \mathcal{C} ::= & - \mid \text{succ}(\mathcal{C}) \mid \text{pred}(\mathcal{C}) \mid \text{zero?}(\mathcal{C}) \mid \\ & \text{if } \mathcal{C} \text{ then } t \text{ else } t \mid \text{if } t \text{ then } \mathcal{C} \text{ else } t \mid \text{if } t \text{ then } t \text{ else } \mathcal{C} \mid \\ & \text{fun } x:\tau. \mathcal{C} \mid \mathcal{C} t \mid t \mathcal{C} \mid \text{fix}(\mathcal{C}) \end{aligned}$$

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Typing extended to evaluation contexts:  $\Gamma \vdash_{\Delta, \sigma} \mathcal{C} : \tau$ .

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Typing extended to evaluation contexts:  $\Gamma \vdash_{\Delta, \sigma} \mathcal{C} : \tau$ .

$$\frac{}{\Gamma \vdash_{\Gamma, \tau} - : \tau} \qquad \frac{\Gamma \vdash_{\Delta, \sigma} \mathcal{C} : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash u : \tau_1}{\Gamma \vdash_{\Delta, \sigma} \mathcal{C} u : \tau_2} \qquad \dots$$

Given a type  $\tau$ , a typing context  $\Gamma$  and terms  $t, t' \in \text{PCF}_{\Gamma, \tau}$ , **contextual equivalence**, written  $\Gamma \vdash t \cong_{\text{ctx}} t' : \tau$  is defined to hold if for all evaluation contexts  $\mathcal{C}$  such that  $\cdot \vdash_{\Gamma, \tau} \mathcal{C} : \gamma$ , where  $\gamma$  is `nat` or `bool`, and for all values  $v \in \text{PCF}_{\gamma}$ ,

$$\mathcal{C}[t] \Downarrow_{\gamma} v \Leftrightarrow \mathcal{C}[t'] \Downarrow_{\gamma} v.$$

When  $\Gamma$  is the empty context, we simply write  $t \cong_{\text{ctx}} t' : \tau$  for  $\cdot \vdash t \cong_{\text{ctx}} t' : \tau$ .

PCF

INTRODUCING DENOTATIONAL SEMANTICS

- a mapping of PCF types  $\tau$  to domains  $\llbracket \tau \rrbracket$ ;
- a mapping of closed, well-typed PCF terms  $\cdot \vdash t : \tau$  to elements  $\llbracket t \rrbracket \in \llbracket \tau \rrbracket$ ;
- denotation of open terms will be continuous functions.

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- denotation of open terms will be continuous functions.

**Compositionality:**  $\llbracket t \rrbracket = \llbracket t' \rrbracket \Rightarrow \llbracket c[t] \rrbracket = \llbracket c[t'] \rrbracket$ .

**Soundness:** for any type  $\tau$ ,  $t \Downarrow_{\tau} v \Rightarrow \llbracket t \rrbracket = \llbracket v \rrbracket$ .

**Adequacy:** for  $\gamma = \text{bool}$  or  $\text{nat}$ , if  $t \in \text{PCF}_{\gamma}$  and  $\llbracket t \rrbracket = \llbracket v \rrbracket$  then  $t \Downarrow_{\gamma} v$ .



**Proof principle:** to show

$$t_1 \cong_{\text{ctx}} t_2 : \tau$$

it suffices to establish

$$\llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket \in \llbracket \tau \rrbracket$$

# THE POWER OF DENOTATIONAL SEMANTICS

Proof principle: to show

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it suffices to establish

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$$\begin{aligned} \mathcal{C}[t_1] \Downarrow_{\text{nat}} \nu &\Rightarrow \llbracket \mathcal{C}[t_1] \rrbracket = \llbracket \nu \rrbracket && \text{(soundness)} \\ &\Rightarrow \llbracket \mathcal{C}[t_2] \rrbracket = \llbracket \nu \rrbracket && \text{(compositionality on } \llbracket t_1 \rrbracket = \llbracket t_2 \rrbracket \text{)} \\ &\Rightarrow \mathcal{C}[t_2] \Downarrow_{\text{nat}} \nu && \text{(adequacy)} \end{aligned}$$

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Proof principle: to show

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and symmetrically for  $\mathcal{C}[t_2] \Downarrow_{\text{nat}} \nu \Rightarrow \mathcal{C}[t_1] \Downarrow_{\text{nat}} \nu$ , and similarly for **bool**.

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Denotational equality is **sound**, but is it **complete**?

Does equality in the model imply contextual equivalence?

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Denotational equality is **sound**, but is it **complete**?

Does equality in the model imply contextual equivalence?

**Full abstraction.**

# DENOTATIONAL SEMANTICS FOR PCF

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## TYPES AND CONTEXTS

$$\llbracket \text{nat} \rrbracket \stackrel{\text{def}}{=} \mathbb{N}_\perp$$

(flat domain)

$$\llbracket \text{bool} \rrbracket \stackrel{\text{def}}{=} \mathbb{B}_\perp$$

(flat domain)

$$\llbracket \tau \rightarrow \tau' \rrbracket \stackrel{\text{def}}{=} \llbracket \tau \rrbracket \rightarrow \llbracket \tau' \rrbracket$$

(function domain)



$$\llbracket \Gamma \rrbracket \stackrel{\text{def}}{=} \prod_{x \in \text{dom}(\Gamma)} \llbracket \Gamma(x) \rrbracket \quad (\Gamma\text{-environments})$$

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- $\llbracket \cdot \rrbracket = \mathbb{1}$  (one element set)
- $\llbracket x : \tau \rrbracket = (\{x\} \rightarrow \llbracket \tau \rrbracket) \cong \llbracket \tau \rrbracket$
- $\llbracket x_1 : \tau_1, \dots, x_n : \tau_n \rrbracket = \llbracket \tau_1 \rrbracket \times \dots \times \llbracket \tau_n \rrbracket$

# DENOTATIONAL SEMANTICS FOR PCF TERMS

To every typing judgement

$$\Gamma \vdash t : \tau$$

we associate a continuous function

$$\llbracket \Gamma \vdash t : \tau \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket \tau \rrbracket$$

between domains. In other words,

$$\llbracket - \rrbracket : \text{PCF}_{\Gamma, \tau} \rightarrow \llbracket \Gamma \rrbracket \rightarrow \llbracket \tau \rrbracket$$

# DENOTATION OF OPERATIONS ON $\mathbb{B}$ AND $\mathbb{N}$

$\text{succ} : \mathbb{N} \rightarrow \mathbb{N}$   
 $n \mapsto n + 1$

$\text{pred} : \mathbb{N} \rightarrow \mathbb{N}$   
 $0 \mapsto \text{undefined}$   
 $n + 1 \mapsto n$

$\text{zero?} : \mathbb{N} \rightarrow \mathbb{B}$   
 $0 \mapsto \text{true}$   
 $n + 1 \mapsto \text{false}$

# DENOTATION OF OPERATIONS ON $\mathbb{N}$ AND $\mathbb{N}$

$$\begin{aligned} \text{succ}_{\perp} : \mathbb{N}_{\perp} &\rightarrow \mathbb{N}_{\perp} \\ n &\mapsto n + 1 \\ \perp &\mapsto \perp \end{aligned}$$

$$\begin{aligned} \text{pred}_{\perp} : \mathbb{N}_{\perp} &\rightarrow \mathbb{N}_{\perp} \\ 0 &\mapsto \perp \\ n + 1 &\mapsto n \\ \perp &\mapsto \perp \end{aligned}$$

$$\begin{aligned} \text{zero?}_{\perp} : \mathbb{N}_{\perp} &\rightarrow \mathbb{B}_{\perp} \\ 0 &\mapsto \text{true} \\ n + 1 &\mapsto \text{false} \\ \perp &\mapsto \perp \end{aligned}$$

## DENOTATION OF OPERATIONS ON $\mathbb{B}$ AND $\mathbb{N}$

$$\begin{aligned} \llbracket 0 \rrbracket(\rho) &\stackrel{\text{def}}{=} 0 && \in \mathbb{N}_\perp \\ \llbracket \text{true} \rrbracket(\rho) &\stackrel{\text{def}}{=} \text{true} && \in \mathbb{B}_\perp \\ \llbracket \text{false} \rrbracket(\rho) &\stackrel{\text{def}}{=} \text{false} && \in \mathbb{B}_\perp \end{aligned}$$

## DENOTATION OF OPERATIONS ON $\mathbb{B}$ AND $\mathbb{N}$

$\llbracket 0 \rrbracket (\rho)$	$\stackrel{\text{def}}{=} 0$	$\in \mathbb{N}_\perp$
$\llbracket \text{true} \rrbracket (\rho)$	$\stackrel{\text{def}}{=} \text{true}$	$\in \mathbb{B}_\perp$
$\llbracket \text{false} \rrbracket (\rho)$	$\stackrel{\text{def}}{=} \text{false}$	$\in \mathbb{B}_\perp$
$\llbracket \text{succ}(t) \rrbracket (\rho)$	$\stackrel{\text{def}}{=} \text{succ}_\perp(\llbracket t \rrbracket (\rho))$	$\in \mathbb{N}_\perp$
$\llbracket \text{pred}(t) \rrbracket (\rho)$	$\stackrel{\text{def}}{=} \text{pred}_\perp(\llbracket t \rrbracket (\rho))$	$\in \mathbb{N}_\perp$
$\llbracket \text{zero?}(t) \rrbracket (\rho)$	$\stackrel{\text{def}}{=} \text{zero?}_\perp(\llbracket t \rrbracket (\rho))$	$\in \mathbb{B}_\perp$

$$\llbracket \text{succ}(t) \rrbracket = \text{succ}_\perp \circ \llbracket t \rrbracket$$



## DENOTATION OF OPERATIONS ON $\mathbb{B}$ AND $\mathbb{N}$

$\llbracket 0 \rrbracket (\rho)$	$\stackrel{\text{def}}{=} 0$	$\in \mathbb{N}_\perp$
$\llbracket \text{true} \rrbracket (\rho)$	$\stackrel{\text{def}}{=} \text{true}$	$\in \mathbb{B}_\perp$
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$\llbracket \text{pred}(t) \rrbracket (\rho)$	$\stackrel{\text{def}}{=} \text{pred}_\perp(\llbracket t \rrbracket (\rho))$	$\in \mathbb{N}_\perp$
$\llbracket \text{zero?}(t) \rrbracket (\rho)$	$\stackrel{\text{def}}{=} \text{zero?}_\perp(\llbracket t \rrbracket (\rho))$	$\in \mathbb{B}_\perp$
$\llbracket \text{if } b \text{ then } t \text{ else } t' \rrbracket$	$\stackrel{\text{def}}{=} \text{if}(\llbracket b \rrbracket (\rho), \llbracket t \rrbracket (\rho), \llbracket t' \rrbracket (\rho))$	$\in \llbracket \tau \rrbracket$
$\llbracket \text{if } b \text{ then } t \text{ else } t' \rrbracket = \text{if} \circ \langle \llbracket b \rrbracket, \langle \llbracket t \rrbracket, \llbracket t' \rrbracket \rangle \rangle$		