The Network Stack (2)

Lecture 6, Part 1: TCP

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The Network Stack (2)

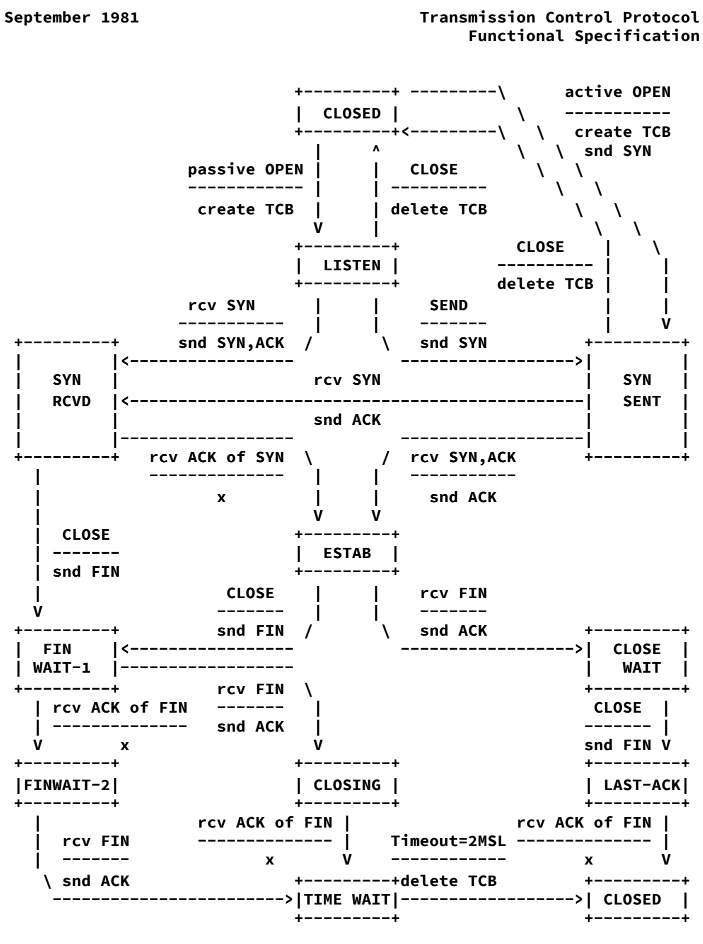
- The Transmission Control Protocol (TCP)
  - The TCP state machine
  - TCP congestion control
  - TCP implementations and performance
  - The evolving TCP stack
  - Lab 3 on TCP
- Wrapping up the Advanced Operating Systems lecture series

Lecture 6, Part 1

Lecture 6, Part 2

Lecture 6, Part 3

The Transmission Control Protocol (TCP)



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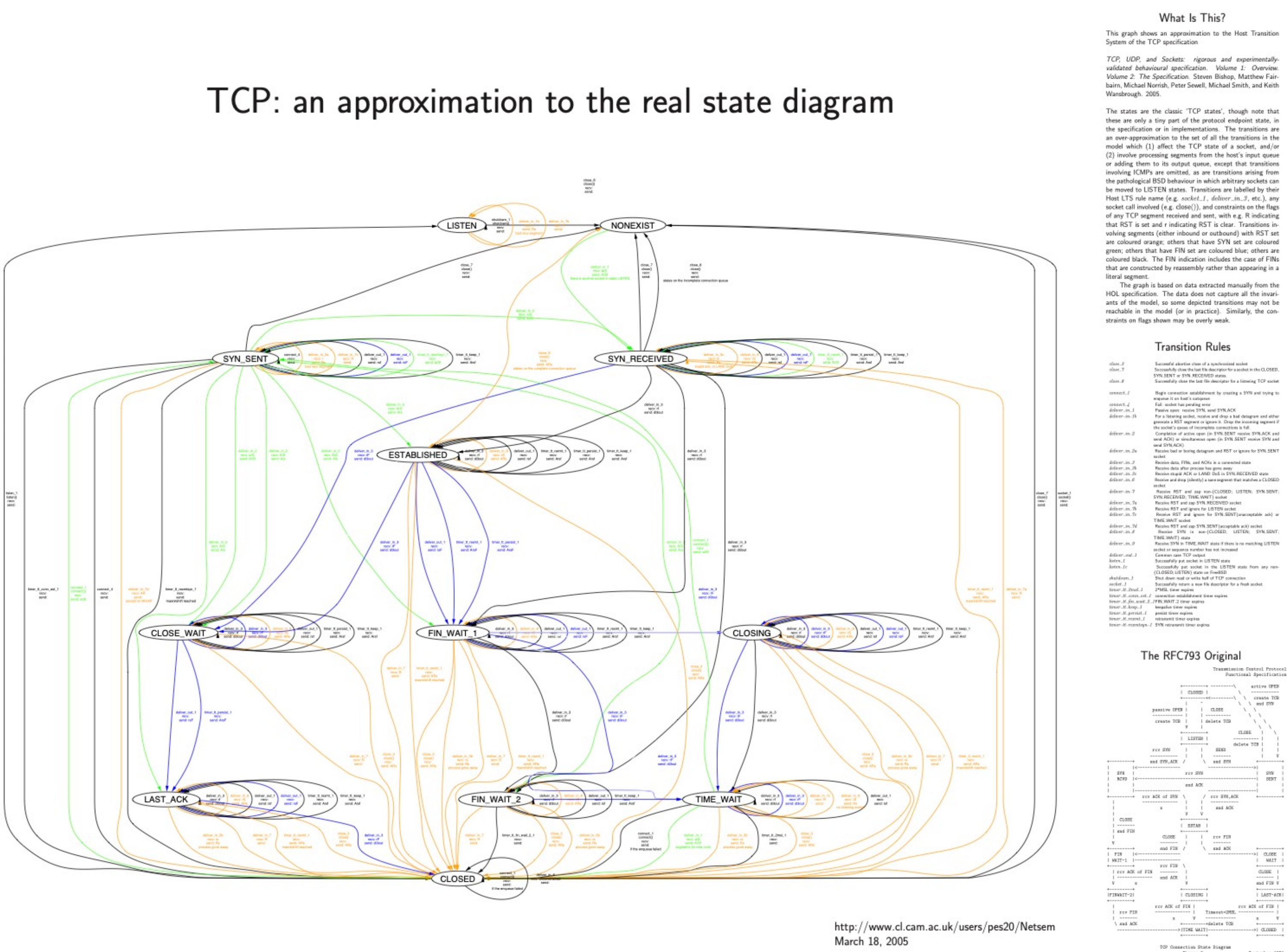
September 1981                                    Transmission Control Protocol
                                                       Functional Specification

                              +---------+ ---------\      active OPEN
                              |  CLOSED |            \    -----------
                              +---------+<---------\   \   create TCB
                                |     ^              \   \  snd SYN
                   passive OPEN |     |   CLOSE        \   \
                   ------------ |     | ----------       \   \
                    create TCB  |     | delete TCB         \   \
                                V     |                      \   \
                              +---------+            CLOSE    |    \
                              |  LISTEN |          ---------- |     |
                              +---------+          delete TCB |     |
                   rcv SYN      |     |     SEND              |     |
                  -----------   |     |    -------            |     V
 +---------+      snd SYN,ACK  /       \   snd SYN          +---------+
 |         |<-----------------           ------------------>|         |
 |   SYN   |                    rcv SYN                     |   SYN   |
 |   RCVD  |<-----------------------------------------------|   SENT  |
 |         |                    snd ACK                     |         |
 |         |------------------           -------------------|         |
 +---------+   rcv ACK of SYN  \       /  rcv SYN,ACK       +---------+
   |           --------------   |     |   -----------
   |                  x         |     |     snd ACK
   |                            V     V
   |  CLOSE                   +---------+
   | -------                  |  ESTAB  |
   | snd FIN                  +---------+
   |                   CLOSE    |     |    rcv FIN
   V                  -------   |     |    -------
 +---------+          snd FIN  /       \   snd ACK          +---------+
 |  FIN    |<-----------------           ------------------>|  CLOSE  |
 | WAIT-1  |------------------                              |   WAIT  |
 +---------+          rcv FIN  \                            +---------+
   | rcv ACK of FIN   -------   |                            CLOSE  |
   | --------------   snd ACK   |                           ------- |
   V        x                   V                           snd FIN V
 +---------+                  +---------+                   +---------+
 |FINWAIT-2|                  | CLOSING |                   | LAST-ACK|
 +---------+                  +---------+                   +---------+
   |                rcv ACK of FIN |                 rcv ACK of FIN |
   |  rcv FIN       -------------- |    Timeout=2MSL -------------- |
   |  -------              x       V    ------------        x       V
    \ snd ACK                 +---------+delete TCB         +---------+
     ------------------------>|TIME WAIT|------------------>| CLOSED  |
                              +---------+                   +---------+
```

TCP Connection State Diagram
Figure 6.

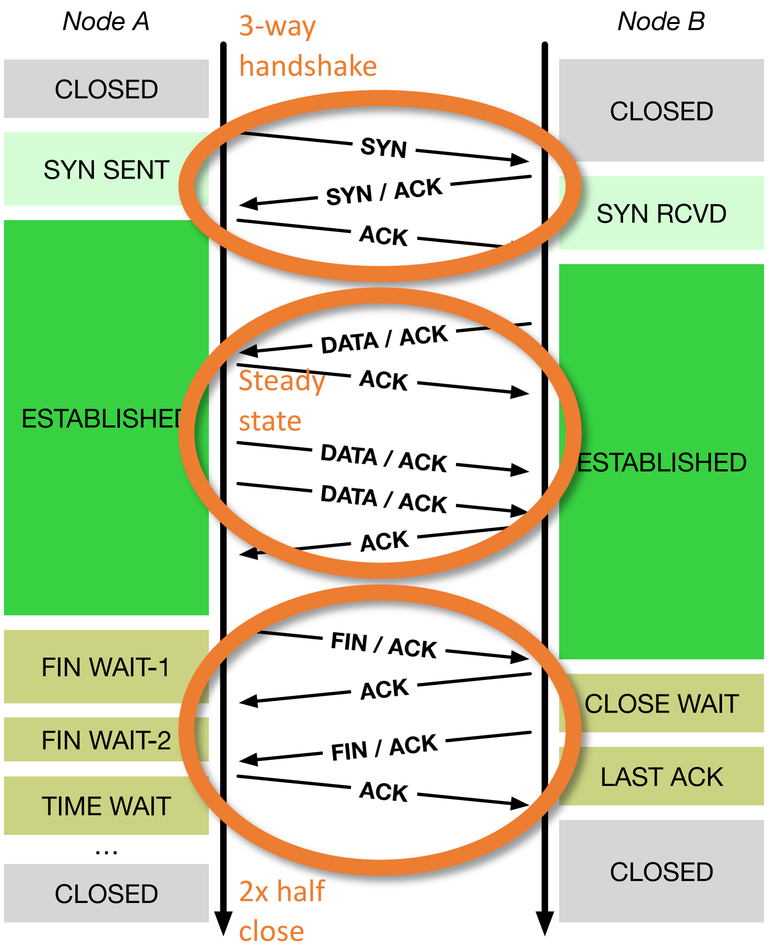
- V. Cerf, K. Dalal, and C. Sunshine, ***Transmission Control Protocol (version 1)***, INWG General Note #72, December 1974.
- In practice: J. Postel, Ed., ***Transmission Control Protocol: Protocol Specification***, RFC 793, September, 1981.

Compare to Bishop, et al (2005)



Steve Bishop, Matthew Fairbairn, Michael Norrish, Peter Sewell, Michael Smith, and Keith Wansbrough. **Rigorous Specification and Conformance Testing Techniques for Network Protocols, as Applied to TCP, UDP, and Sockets**. Proceedings of SIGCOMM 2005, ACM, 2005.

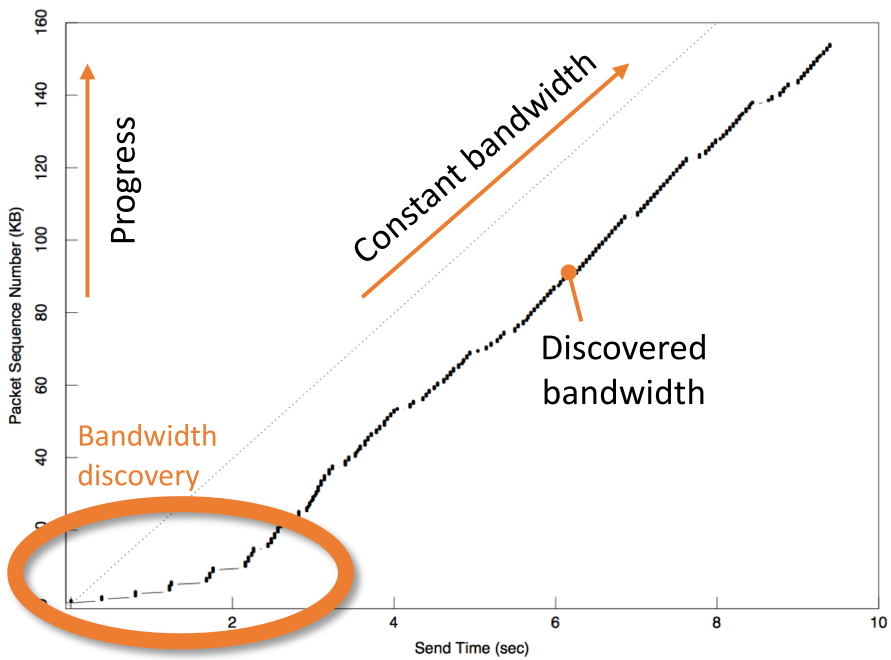
TCP principles and properties



- Assumptions: Network may delay, (reorder), drop, corrupt IP packets
- TCP implements reliable, ordered, stream transport protocol over IP
- Three-way handshake: SYN / SYN-ACK / ACK (mostly!)
- Steady state
  - Sequence numbers ACK'd
  - Round-Trip Time (RTT) measured to time out loss
  - Data retransmitted on loss
  - Flow control via advertised window size in ACKs
  - Congestion control ('fairness') detects congestion via loss (and, recently, via delay: BBR)
- NB: "Half close" allows communications in one direction to end while the other continues

TCP congestion control and avoidance

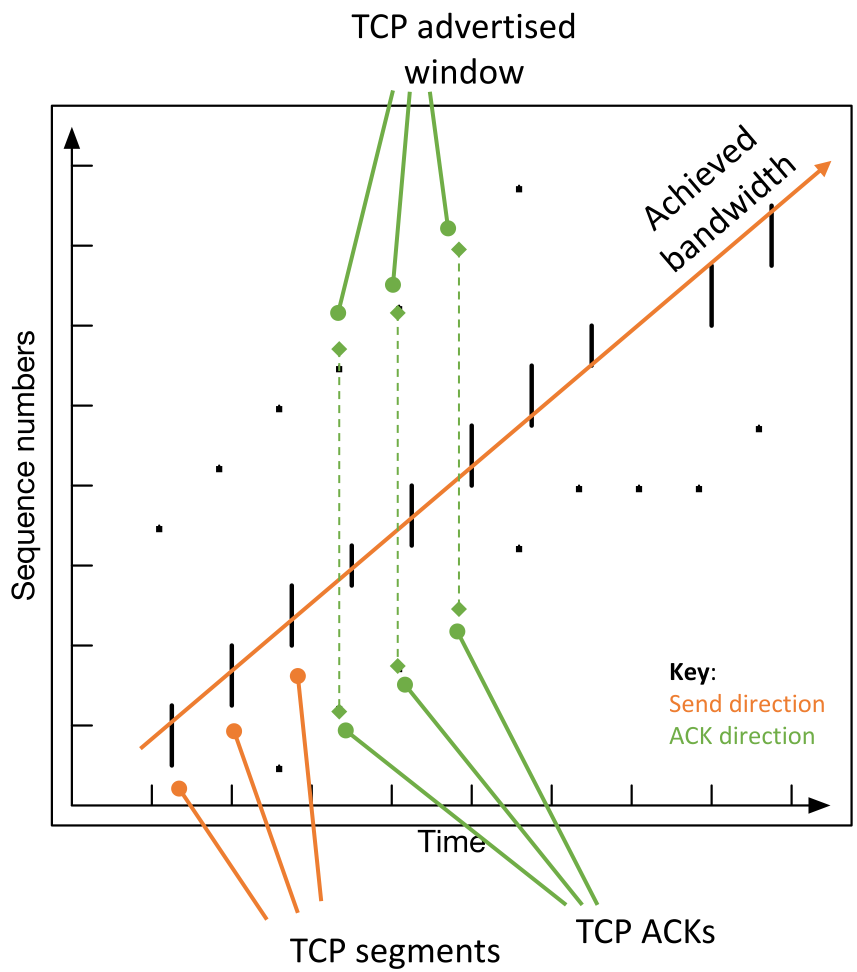
Figure 4: Startup behavior of TCP with Slow-start



Same conditions as the previous figure (same time of day, same Suns, same network path, same buffer and window sizes), except the machines were running the 4.3^{+}TCP with slow-start. No bandwidth is wasted on retransmits but two seconds is spent on the slow-start so the effective bandwidth of this part of the trace is 16 KBps — two times better than figure 3. (This is slightly misleading: Unlike the previous figure, the slope of the trace is 20 KBps and the effect of the 2 second offset decreases as the trace lengthens. E.g., if this trace had run a minute, the effective bandwidth would have been 19 KBps. The effective bandwidth without slow-start stays at 7 KBps no matter how long the trace.)

- 1986 Internet CC collapse
  - 32Kbps → **40bps**
- Van Jacobson, SIGCOMM 1988
  - Don't send more data than the network can handle!
  - **Conservation of packets** via ACK clocking
  - Exponential retransmit timer, slow start, aggressive receiver ACK, dynamic window sizing on congestion, and (later) ABC
- ECN (RFC 3168), ABC (RFC 3465), Compound (Tan, et al, INFOCOM 2006), Cubic (Rhee and Xu, ACM OSR 2008), BBR (Cardwell, ACM Queue 2016)

TCP time/sequence graphs (Van Jacobson)



- Extracted from TCP packet traces (e.g., via `tcpdump`)
- Visualize windows, congestion response, buffering, RTT, etc:
  - X: Time
  - Y: Sequence number
- We can extract this data from the network stack directly using DTrace
  - Allows correlation/plotting with respect to other variables / events
  - E.g., TCP and socket-buffer state
- TCP time/sequence diagrams have since been extended to represent additional information
  - E.g., SACK (selective acknowledgement) blocks