

# Complexity Theory

## Lecture 6

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<http://www.cl.cam.ac.uk/teaching/2223/Complexity>

# Independent Set

Given a graph  $G = (V, E)$ , a subset  $X \subseteq V$  of the vertices is said to be an *independent set*, if there are no edges  $(u, v)$  for  $u, v \in X$ .

The natural algorithmic problem is, given a graph, find the largest independent set.

To turn this *optimisation problem* into a *decision problem*, we define IND as:

*The set of pairs  $(G, K)$ , where  $G$  is a graph, and  $K$  is an integer, such that  $G$  contains an independent set with  $K$  or more vertices.*

IND is clearly in NP. We now show it is NP-complete.

# Reduction

We can construct a reduction from 3SAT to IND.

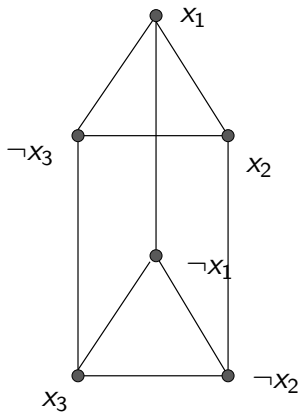
A Boolean expression  $\phi$  in 3CNF with  $m$  clauses is mapped by the reduction to the pair  $(G, m)$ , where  $G$  is the graph obtained from  $\phi$  as follows:

*$G$  contains  $m$  triangles, one for each clause of  $\phi$ , with each node representing one of the literals in the clause.*

*Additionally, there is an edge between two nodes in different triangles if they represent literals where one is the negation of the other.*

# Example

$$(x_1 \vee x_2 \vee \neg x_3) \wedge (x_3 \vee \neg x_2 \vee \neg x_1)$$



# Clique

Given a graph  $G = (V, E)$ , a subset  $X \subseteq V$  of the vertices is called a *clique*, if for every  $u, v \in X$ ,  $(u, v)$  is an edge.

As with **IND**, we can define a decision problem:

**CLIQUE** is defined as:

*The set of pairs  $(G, K)$ , where  $G$  is a graph, and  $K$  is an integer, such that  $G$  contains a clique with  $K$  or more vertices.*

## Clique 2

CLIQUE is in NP by the algorithm which *guesses* a clique and then verifies it.

CLIQUE is NP-complete, since

$\text{IND} \leq_P \text{CLIQUE}$

by the reduction that maps the pair  $(G, K)$  to  $(\bar{G}, K)$ , where  $\bar{G}$  is the complement graph of  $G$ .

# $k$ -Colourability

A graph  $G = (V, E)$  is  $k$ -colourable, if there is a function

$$\chi : V \rightarrow \{1, \dots, k\}$$

such that, for each  $u, v \in V$ , if  $(u, v) \in E$ ,

$$\chi(u) \neq \chi(v)$$

This gives rise to a decision problem for each  $k$ .

2-colourability is in P.

For all  $k > 2$ ,  $k$ -colourability is NP-complete.

# 3-Colourability

3-Colourability is in NP, as we can *guess* a colouring and verify it.

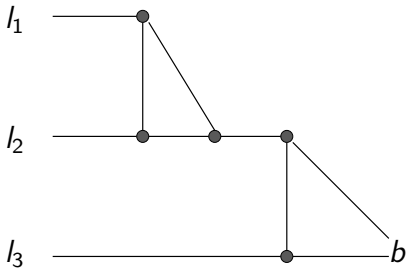
To show NP-completeness, we can construct a reduction from 3SAT to 3-Colourability.

For each variable  $x$ , we have two vertices  $x, \bar{x}$  which are connected in a triangle with the vertex  $a$  (common to all variables).

In addition, for each clause containing the literals  $l_1, l_2$  and  $l_3$  we have a gadget.



# Gadget



With a further edge from  $a$  to  $b$ .

# Hamiltonian Graphs

Recall the definition of **HAM**—the language of Hamiltonian graphs.

Given a graph  $G = (V, E)$ , a *Hamiltonian cycle* in  $G$  is a path in the graph, starting and ending at the same node, such that every node in  $V$  appears on the cycle *exactly once*.

A graph is called *Hamiltonian* if it contains a Hamiltonian cycle.

The language **HAM** is the set of encodings of Hamiltonian graphs.

# Hamiltonian Cycle

We can construct a reduction from **3SAT** to **HAM**

Essentially, this involves coding up a Boolean expression as a graph, so that every satisfying truth assignment to the expression corresponds to a Hamiltonian circuit of the graph.

This reduction is much more intricate than the one for **IND**.

# Travelling Salesman

Recall the travelling salesman problem

Given

- $V$  — a set of nodes.
- $c : V \times V \rightarrow \mathbb{N}$  — a cost matrix.

Find an ordering  $v_1, \dots, v_n$  of  $V$  for which the total cost:

$$c(v_n, v_1) + \sum_{i=1}^{n-1} c(v_i, v_{i+1})$$

is the smallest possible.

# Travelling Salesman

As with other optimisation problems, we can make a decision problem version of the Travelling Salesman problem.

The problem **TSP** consists of the set of triples

$$(V, c : V \times V \rightarrow \mathbb{N}, t)$$

such that there is a tour of the set of vertices  $V$ , which under the cost matrix  $c$ , has cost  $t$  or less.

# Reduction

There is a simple reduction from **HAM** to **TSP**, mapping a graph  $(V, E)$  to the triple  $(V, c : V \times V \rightarrow \mathbb{N}, n)$ , where

$$c(u, v) = \begin{cases} 1 & \text{if } (u, v) \in E \\ 2 & \text{otherwise} \end{cases}$$

and  $n$  is the size of  $V$ .