## **Information Retrieval**

# **Lecture 6: Information Extraction and Bootstrapping**

Computer Science Tripos Part II



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- Range of problems that make named entity recognition (NE) hard
- Mikheev et al's (1998) cascading NE system
- NE is the simplest kind of IE task: no relations between entities must be determined
- NIST MUC conferences pose three kinds of harder IE tasks
- Today: more of the full task (scenario templates), and on learning

- "Flattened-out" semantic representations with lexemes directly hardwired into them
- String-based matching with type of semantic category to be found directly expressed in lexical pattern
- Problem with all string-based mechanisms: generalisation to other strings with similar semantics, and to only those
- Do generalisation by hand...
  - < Perpetrator> (APPOSITION) {blows/blew/has blown} {himself/herself} up
  - <Perpetrator> detonates
  - {blown up/detonated} by < Perpetrator>
- Manual production of patterns is time-consuming, brittle, and not portable across domains

- UMASS participant system in MUC-4: AutoSlog
- Lexico-semantic patterns for MUC-3 took 1500 person hours to build  $\rightarrow$  knowledge engineering bottleneck
- AutoSlog achieved 98% performance of manual system; AutoSlog dictionary took 5 person hours to build
- "Template mining:"
  - Use MUC training corpus (1500 texts + human answer keys; 50% non-relevant texts) to learn contexts
  - Have human check the resulting templates (30% 70% retained)

- 389 Patterns ("concept nodes") with enabling syntactic conditions, e.g. active or passive:
  - kidnap-passive: <VICTIM> expected to be subject
  - kidnap-active: < PERPETRATOR> expected to be subject
- Hard and soft constraints for fillers of slots
  - Hard constraints: selectional restrictions; soft constraints: semantic preferences
- Semantic lexicon with 5436 entries (including semantic features)

# Heuristics for supervised template mining (Riloff 1993) 6

- Stylistic conventions: relationship between entity and event made explicit in first reference to the entity
- Find key word there which triggers the pattern: *kidnap, shot,*
- Heuristics to find these trigger words
- Given: filled template plus raw text. Algorithm:
  - Find first sentence that contains slot filler
  - Suggest good conceptual anchor point (trigger word)
  - Suggest a set of enabling conditions

"the diplomat was kidnapped" + VICTIM: the diplomat

Suggest: <SUBJECT> passive-verb + trigger=kidnap

System uses 13 heuristics:

<victim> was <u>murdered</u></victim>	( <subject>, passive-verb)</subject>
<perpetrator> bombed</perpetrator>	( <subject>, active-verb)</subject>
<pre><perpetrator> attempted to kill</perpetrator></pre>	( <subject> verb infinitive)</subject>
<victim> was victim</victim>	(subject auxiliary <noun>)</noun>
<u>killed</u> <victim></victim>	(passive-verb <dobj>)</dobj>
bombed <target></target>	(active-verb <dobj>)</dobj>
to <u>kill</u> <victim></victim>	(infinitive <dobj>)</dobj>
threatened to <u>attack</u> <target></target>	(verb infinitive <dobj>)</dobj>
killing <victim></victim>	(gerund <dobj>)</dobj>
fatality was <victim></victim>	(noun auxiliary <dobj>)</dobj>
bomb against <target></target>	noun prep <np></np>
killed with <instrument></instrument>	active-verb prep <np></np>
was <u>aimed</u> at <target></target>	passive-verb prep <np></np>

ID: DEV-MUC4-0657 Slot Filler: "public buildings" Sentence: IN LA OROYA, JUNIN DEPARTMENT, IN THE CENTRAL PERUVIAN MOUNTAIN RANGE, PUBLIC BUILDINGS WERE BOMBED AND A CAR-BOMB WAS DETONATED.

#### CONCEPT NODE

Name:	target-subject-passive-verb-bombed
Trigger:	bombed
Variable slots:	(target (*S* 1))
Constraints:	(class phys-target *S*)
Constant slots:	(type bombing)
Enabling Conditions:	((passive))

ID: DEV-MUC4-0071 Slot Filler: "guerrillas Sentence: THE SALVADORAN GUERRILLAS ON MAR\_12\_89, TODAY, THREAT-ENED TO MURDER INDIVIDUALS INVOLVED IN THE MAR\_19\_88 PRESIDENTIAL ELECTIONS IF THEY DO NOT RESIGN FROM THEIR POSTS.

#### CONCEPT NODE

Name:	perpetrator-subject-verb-infinitive-threatened-to-murder
Trigger:	murder
Variable slots:	(perpetrator (*S* 1))
Constraints:	(class perpetrator *S*)
Constant slots:	(type perpetrator)
Enabling Conditions:	((active) (trigger-preceded-by? 'to 'threatened))

#### ID: DEV-MUC4-1192 Slot Filler: "gilberto molasco Sentence: THEY TOOK 2-YEAR-OLD GILBERTO MOLASCO, SON OF PATRICIO RODRIGUEZ, AND 17-OLD ANDRES ALGUETA, SON OF EMIMESTO ARGUETA.

#### **CONCEPT NODE**

Name:	victim-active-verb-dobj-took
Trigger:	took
Variable slots:	(victim (*DOBJ* 1))
Constraints:	(class victim *DOBJ*)
Constant slots:	(type kidnapping)
Enabling Conditions:	((active))

System/Test Set	Recall	Prec	F-measure
MUC-4/TST3	46	56	50.5
AutoSlog/TST3	43	56	48.7
MUC-4/TST4	44	40	41.9
AutoSlog/TST4	39	45	41.8

- 5 hours of sifting through AutoSlog's patterns
- Porting to new domain in less than 10 hours of human interaction
- But: creation of training corpus ignored in this calculation

• Find locations of headquarters of a company and the corresponding company name (< *o*, *l* > tuples)

Organisation	Location of Headquarters
Microsoft	Redmond
Exxon	Irving
IBM	Armonk
Boeing	Seattle
Intel	Santa Clara

"Computer servers at Microsoft's headquarters in Redmond"

- Use minimal human interaction (handful of positive examples)
  - no manually crafted patterns
  - no large annotated corpus (IMass system at MUC-6)
- Automatically learn extraction patterns
- Less important to find every occurrence of patterns; only need to fill table with confidence



- Start from table containing some < *o*, *l* > tuples (which must exist in document collection)
- Perform NE (advantage over prior system DIPRE (Brin 98))
- System searches for occurrences of the example < o, l > tuples in documents
- System learns extraction patterns from these example contexts, e.g.:

<ORGANIZATION> 's headquarters in <LOCATION> <LOCATION>-based <ORGANIZATION>

- Evaluate patterns; use best ones to find new < o, l > tuples
- Evaluate new tuples, choose most reliable ones as new seed tuples
- Iteratively repeat the process

## Agichtein, Gravano (2000): Context generalisation and patterns

### A SNOWBALL pattern is a 5-tuple <left,tag1,middle,tag2,right>

left	Tag1	middle	Tag2	right
The	Irving	-based	Exxon Corporation	
<{ <the, 0.2="">},</the,>	LOCATION,	{<-,0.5> <based, 0.5="">},</based,>	ORGANIZATION,	{} >

- Associate term weights as a function of frequency of term in context
- Normalize each vector so that norm is 1; then multipy with weights  $W_l eft, W_r ight, W_m id$ .
- Degree of match between two patterns  $t_p = < l_p, t_1, m_p, t_2, r_p >$  and  $t_s = < l_s, t'_1, m_s, t'_2, r_s >$ :

 $match(t_p, t_s) = l_p l_s + m_p m_s + r_p r_s$  (if tags match, 0 otherwise)

- Similar contexts form a pattern
  - Cluster vectors using a clustering algorithm (minimum similarity threshold  $\tau_{sim}$ )
  - Vectors represented as cluster centroids  $\bar{l_s}, \bar{m_s}, \bar{r_s}$
- Generalised Snowball pattern defined via centroids:

 $<\bar{l_s},tag_1,\bar{m_s},tag_2,\bar{r_s}>$ 

- Remember for each Generalised Snowball pattern
  - All contexts it came from
  - The distances of contexts from centroid

# Agichtein, Gravano (2000): Productivity/Reliability

- We want productive and reliable patterns (and tuples produced by these)
  - productive but not reliable:

 $<\{\}, ORGANIZATION, \{<'','', 1>\}, LOCATION, \{\}>$ 

"Intel, Santa Clara, announced that..."

"Invest in Microsoft, New York-based analyst Jane Smith said..."

- reliable but not productive:

 $<\{\}, ORGANIZATION, \{< whose, 0.1 >, < headquarter, 0.4 >, < is, 0.1 > < located, 0.3 >, < in, 0.09 >, < nearby, 0.01 > \}, LOCATION, \{\} >$ 

"Exxon, whose headquarter is located in nearby Irving..."

• Eliminate patterns supported by less than  $\tau_{sup} < o, l >$  tuples

### $P = <\{\}, ORGANIZATION, \{<'', '', 1>\}, LOCATION, \{\} >$

- Pattern *P* matches in three contexts (returns three tuples):
  - Exxon, Irving, said
  - Intel, Santa Clara, cut prices
  - invest in Microsoft, New York-based analyst Jane Smith said
- We know that
  - < Exxon, Irving> and < Intel, Santa Clara> are correct
  - <Microsoft, New York> cannot be correct (as <Microsoft, Redmont> is in our table)
- If *P* predicts tuple t = < o, l > and there is already tuple t' = < o, l' >with high confidence, then: if  $l = l' \rightarrow P.positive++$ , otherwise *P.negative++* (uniqueness constraints: organization is key)

• 
$$Conf(P) = \frac{P.positive}{P.positive + P.negative} = \frac{2}{2+1}$$
 (range [0..1])

• Consider productivity, not just reliability:

$$Conf_{RlogF}(P) = Conf(P)log_2(P.positive)$$

• Normalized  $Conf_{RlogFNorm}(P)$ :

$$Conf_{RlogFNorm}(P) = \frac{Conf_{RlogF}(P)}{max_{i \in \mathcal{P}}Conf(i)}$$

(this brings  $Conf_{RlogFNorm}(P)$  into range [0...1])

- max<sub>i∈P</sub>Conf(i) is the largest confidence value seen with any pattern
- $Conf_{RlogFNorm}(P)$  is a rough estimate of the probability of pattern P producing a valid tuple

 Confidence of a tuple T is probability that at least one valid tuple is produced:

$$Conf(T) = 1 - \prod_{i=0}^{|P|} (1 - Conf(P_i)Match(C_i, P_i))$$

• Reason: probability of every pattern matched incorrectly:

$$Prob(T \text{ is NOT valid}) = \prod_{i=0}^{|P|} (1 - P(i))$$

 $P = \{P_i\}$  is the set of patterns that generated T $C_i$  is the context associated with an occurrence of T $Match(C_i, P_i)$  is goodness of match between  $P_i$  and  $C_i$ 

 Formula due to the assumption that for an extracted tuple T to be valid, it is sufficient that at least one pattern matched the "correct" text context of T. • Then reset confidence of patterns:

$$Conf(P) = Conf_{new}(P)W_{updt} + Conf_{old}(P)(1 - W_{updt})$$

 $W_{updt}$  controls learning rate: does system trust old or new occurrences more? Here:  $W_{updt} = 0.5$ 

• Throw away tuples with confidence  $< \tau_t$ 

Conf	middle	right
1	<based, .53="">, <in, .53=""></in,></based,>	<"," ,.01>
.69	<""", .42>, <s, .42="">,<headquarters, .42="">,<in,.42></in,.42></headquarters,></s,>	
.61	<(,.93>	<),.12>

- Use training corpus to set parameters:  $\tau_{sim}$ ,  $\tau_t$ ,  $\tau_{sup}$ ,  $I_{max}$ ,  $W_{left}$ ,  $W_{right}$ ,  $W_{middle}$
- Only input: 5 < o, l > tuples
- Punctuation matters: performance decreases when punctuation is removed
- Recall b/w .78 and .87 ( $\tau_{sup} > 5$ ); precision .90 ( $\tau_{sup} > > 4$ )
- High precision possible (.96 with  $\tau_t$  = .8); remaining problems come from NE recognition
- Pattern evaluation step responsible for most improvement over DIPRE

- Possible to learn simple relations from positive examples (Snowball)
- Possible to learn more diverse relations from annotated training corpus (Riloff)
- Even modest performance can be useful
  - Later manual verification
  - In circumstances where there would be no time to review source documents, so incomplete extracted information is better than none

Current methods perform well if

- Information to be extracted is expressed directly (no complex inference is required)
- Information is predominantly expressed in a relatively small number of forms
- Information is expressed locally within the text

Difference between IE and QA (next time):

• IE is domain dependent, open-domain QA is not

- Ellen Riloff, Automatically constructing a dictionary for information extraction tasks. In Proc. 11th Ann. Conference of Artificial Intelligence, p 811-816, 1993
- Eugene Agichtein, Luis Gravano: Snowball: Extracting Relations from Large Plain-Text Collections, Proceedings of the Fifth ACM International Conference on Digital Libraries, 2000