

The halting problem

Definition. A register machine H decides the Halting Problem if for all $e, a_1, \dots, a_n \in \mathbb{N}$, starting H with

$$R_0 = 0 \quad R_1 = e \quad R_2 = \lceil [a_1, \dots, a_n] \rceil$$

and all other registers zeroed, the computation of H always halts with R_0 containing 0 or 1 ; moreover when the computation halts, $R_0 = 1$ if and only if

the register machine program with index e eventually halts when started with $R_0 = 0, R_1 = a_1, \dots, R_n = a_n$ and all other registers zeroed.

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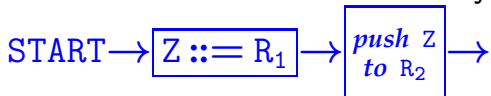
the register machine program with index e eventually halts when started with $R_0 = 0, R_1 = a_1, \dots, R_n = a_n$ and all other registers zeroed.

Theorem. No such register machine H can exist.

Proof of the theorem

Assume we have a RM H that decides the Halting Problem and derive a contradiction, as follows:

- ▶ Let H' be obtained from H by replacing $START \rightarrow$ by



(where Z is a register not mentioned in H 's program).

- ▶ Let C be obtained from H' by replacing each $HALT$ (& each erroneous halt) by $\longrightarrow R_0^- \overset{\curvearrowright}{\longleftarrow} R_0^+$.



- ▶ Let $c \in \mathbb{N}$ be the index of C 's program.

Proof of the theorem

Assume we have a RM H that decides the Halting Problem and derive a contradiction, as follows:

C started with $R_1 = c$ eventually halts
if & only if

H' started with $R_1 = c$ halts with $R_0 = 0$

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—contradiction!

Computable functions

Recall:

Definition. $f \in \mathbb{N}^n \rightarrow \mathbb{N}$ is (**register machine**) **computable** if there is a register machine M with at least $n + 1$ registers R_0, R_1, \dots, R_n (and maybe more) such that for all $(x_1, \dots, x_n) \in \mathbb{N}^n$ and all $y \in \mathbb{N}$,
the computation of M starting with $R_0 = 0$,
 $R_1 = x_1, \dots, R_n = x_n$ and all other registers set to 0 , halts with $R_0 = y$

if and only if $f(x_1, \dots, x_n) = y$.

Note that the same RM M could be used to compute a unary function ($n = 1$), or a binary function ($n = 2$), etc. From now on we will concentrate on the unary case...

Enumerating computable functions

For each $e \in \mathbb{N}$, let $\varphi_e \in \mathbb{N} \rightarrow \mathbb{N}$ be the unary partial function computed by the RM with program $\text{prog}(e)$. So for all $x, y \in \mathbb{N}$:

$\varphi_e(x) = y$ holds iff the computation of $\text{prog}(e)$ started with $R_0 = 0, R_1 = x$ and all other registers zeroed eventually halts with $R_0 = y$.

Thus

$$e \mapsto \varphi_e$$

defines an onto function from \mathbb{N} to the collection of all computable partial functions from \mathbb{N} to \mathbb{N} .

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So $\mathbb{N} \rightarrow \mathbb{N}$ (uncountable, by Cantor) contains uncomputable functions

An uncomputable function

Let $f \in \mathbb{N} \rightarrow \mathbb{N}$ be the partial function with graph $\{(x, 0) \mid \varphi_x(x) \uparrow\}$.

$$\text{Thus } f(x) = \begin{cases} 0 & \text{if } \varphi_x(x) \uparrow \\ \text{undefined} & \text{if } \varphi_x(x) \downarrow \end{cases}$$

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f is not computable, because if it were, then $f = \varphi_e$ for some $e \in \mathbb{N}$ and hence

- ▶ if $\varphi_e(e) \uparrow$, then $f(e) = 0$ (by def. of f); so $\varphi_e(e) = 0$ (since $f = \varphi_e$), hence $\varphi_e(e) \downarrow$
- ▶ if $\varphi_e(e) \downarrow$, then $f(e) \downarrow$ (since $f = \varphi_e$); so $\varphi_e(e) \uparrow$ (by def. of f)

—contradiction! So f cannot be computable.

(Un)decidable sets of numbers

Given a subset $S \subseteq \mathbb{N}$, its characteristic function

$\chi_S \in \mathbb{N} \rightarrow \mathbb{N}$ is given by: $\chi_S(x) \triangleq \begin{cases} 1 & \text{if } x \in S \\ 0 & \text{if } x \notin S. \end{cases}$

(Un)decidable sets of numbers

Definition. $S \subseteq \mathbb{N}$ is called (register machine) **decidable** if its characteristic function $\chi_S \in \mathbb{N} \rightarrow \mathbb{N}$ is a register machine computable function. Otherwise it is called **undecidable**.

So S is decidable iff there is a RM M with the property: for all $x \in \mathbb{N}$, M started with $R_0 = 0, R_1 = x$ and all other registers zeroed eventually halts with R_0 containing **1** or **0**; and $R_0 = 1$ on halting iff $x \in S$.

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Basic strategy: to prove $S \subseteq \mathbb{N}$ undecidable, try to show that decidability of S would imply decidability of the Halting Problem.

For example...

Claim: $S_0 \triangleq \{e \mid \varphi_e(0) \downarrow\}$ is undecidable.

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Proof (sketch): Suppose M_0 is a RM computing χ_{S_0} . From M_0 's program (using the same techniques as for constructing a universal RM) we can construct a RM H to carry out:

let $e = R_1$ *and* $\lceil [a_1, \dots, a_n] \rceil = R_2$ *in*
 $R_1 ::= \lceil (R_1 ::= a_1) ; \dots ; (R_n ::= a_n) ; \text{prog}(e) \rceil ;$
 $R_2 ::= \mathbf{0} ;$
run M_0

Then by assumption on M_0 , H decides the Halting Problem—contradiction. So no such M_0 exists, i.e. χ_{S_0} is uncomputable, i.e. S_0 is undecidable.

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Proof (sketch): Suppose M_1 is a RM computing χ_{S_1} . From M_1 's program we can construct a RM M_0 to carry out:

*let $e = R_1$ in $R_1 ::= \lceil R_1 ::= 0; \text{prog}(e) \rceil$;
run M_1*

Then by assumption on M_1 , M_0 decides membership of S_0 from previous example (i.e. computes χ_{S_0})—contradiction. So no such M_1 exists, i.e. χ_{S_1} is uncomputable, i.e. S_1 is undecidable.

[Exercise #4]

If $f \in \mathbb{N} \rightarrow \mathbb{N}$ computable,

$S_0, S_1 \subseteq \mathbb{N}$,

& $(\forall x \in \mathbb{N}) x \in S_0 \Leftrightarrow f(x) \in S_1$

Then S_1 decidable $\Rightarrow S_0$ decidable

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Proof: **this** says $\chi_{S_0} = \chi_{S_1} \circ f$

So χ_{S_1} computable $\Rightarrow \chi_{S_1} \circ f$ computable

$\Rightarrow \chi_{S_0}$ computable

□

For S_0, S_1 as in notes, find
computable $f \in \mathbb{N} \rightarrow \mathbb{N}$ so that

$$(\forall x) \varphi_{f(e)}(x) \equiv \varphi_e(0)$$

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