

A locally continuous functor $F: \text{Dom}_1^{\text{op}} \times \text{Dom}_1 \rightarrow \text{Dom}_1$

is given by

- domains $D, E \mapsto$ domain $F(D, E)$
- strict cts functions $f \in D' \rightarrow D$
 $g \in E \rightarrow E'$ \mapsto strict cts function $F(f, g) \in F(D, E) \rightarrow F(D', E')$

satisfying

- $F(\text{id}, \text{id}) = \text{id}$

- $$F(D, E) \xrightarrow{F(f, g)} F(D', E') \xrightarrow{F(f', g')} F(D'', E'')$$

$$\xrightarrow{F(f \circ f', g' \circ g)}$$

} functoriality

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satisfying

monotonicity

- $f \sqsubseteq f' \ \& \ g \sqsubseteq g' \quad \supset \quad F(f, g) \sqsubseteq F(f', g')$

- $F(\bigsqcup_n f_n, \bigsqcup_m g_m) = \bigsqcup_k F(f_k, g_k)$

continuity

Minimal invariants

An **invariant** for locally cts functor $F: \text{Dom}_1^{\text{op}} \times \text{Dom}_1 \rightarrow \text{Dom}_1$ is given by

domain D + isomorphism $i: F(D, D) \cong D$

(D, i) is a **minimal invariant** if

least fixed point of $(D \rightarrow D) \rightarrow (D \rightarrow D)$
 $e \mapsto i \circ F(e, e) \circ i^{-1}$
is the identity id_D .

Minimal invariants

An **invariant** for locally cts functor $F: \text{Dom}_1^{\text{op}} \times \text{Dom}_1 \rightarrow \text{Dom}_1$ is given by

domain D + isomorphism $i: F(D, D) \cong D$

(D, i) is a **minimal invariant** if $\text{id}_D = \bigsqcup_{n \geq 0} \pi_n$ in $D \rightarrow D$, where

$$\begin{cases} \pi_0 \triangleq \perp_{D \rightarrow D} = \lambda d \in D. \perp_D \\ \pi_{n+1} \triangleq i \circ F(\pi_n, \pi_n) \circ i^{-1} \end{cases}$$

Main Theorem

Every locally continuous $F: \text{Dom}_1^{\text{op}} \times \text{Dom}_1 \rightarrow \text{Dom}_1$ possesses a minimal invariant $i: F(D, D) \cong D$

Existence

and it is unique up to isomorphism:

if $i': F(D', D') \cong D'$ is another, then there is an isomorphism $\delta: D \cong D'$ such that

$$\begin{array}{ccc} F(D, D) & \xrightarrow{i} & D \\ F(\delta^{-1}, \delta) \downarrow \cong & & \cong \downarrow \delta \\ F(D', D') & \xrightarrow{i'} & D' \end{array} \quad \text{Commutates.}$$

Uniqueness

Uniqueness

Given two min.invariants $\begin{cases} i: F(D, D) \cong D \\ i': F(D', D') \cong D' \end{cases}$

consider

$$\begin{array}{ccc} (D' \circ D) \times (D \circ D') & \xrightarrow{s} & (D' \circ D') \times (D \circ D) \\ \Phi \downarrow & & \downarrow \bar{\Psi} \\ (D' \circ D) \times (D \circ D') & \xrightarrow{s} & (D' \circ D') \times (D \circ D) \end{array}$$

where $\begin{cases} s(\delta', \delta) \triangleq (\delta \circ \delta', \delta' \circ \delta) \\ \Phi(\delta', \delta) \triangleq (i \circ F(\delta, \delta') \circ i'^{-1}, i' \circ F(\delta', \delta) \circ i^{-1}) \\ \bar{\Psi}(e', e) \triangleq (i' \circ F(e', e') \circ i'^{-1}, i \circ F(e, e) \circ i^{-1}) \end{cases}$

$$\begin{array}{ccc}
 (D' \multimap D) \times (D \multimap D') & \xrightarrow{s} & (D' \multimap D') \times (D \multimap D) \\
 \Phi \downarrow & & \downarrow \Psi \\
 (D' \multimap D) \times (D \multimap D') & \xrightarrow{s} & (D' \multimap D') \times (D \multimap D)
 \end{array}$$

Since $\Psi \circ s = s \circ \Phi$ & s is strict, by
Plotkin's Uniformity Principle $(\delta', \delta) \triangleq \text{fix}(\Phi)$
 satisfies
 $(\delta \circ \delta', \delta' \circ \delta) = s(\delta', \delta) = s(\text{fix} \Phi) = \text{fix}(\Psi)$.

$$\begin{array}{ccc}
 (D' \rightarrow D) \times (D \rightarrow D') & \xrightarrow{s} & (D' \rightarrow D') \times (D \rightarrow D) \\
 \Phi \downarrow & & \downarrow \Psi \\
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$$(\delta \circ \delta', \delta' \circ \delta) = s(\delta', \delta) = s(\text{fix} \Phi) = \text{fix}(\Psi).$$

But $\text{fix}(\Psi) = (\text{fix}(\lambda e'. i' \circ F(e', e') \circ i'^{-1}), \text{fix}(\lambda e. i \circ F(e, e) \circ i^{-1}))$

Exercise: prove $\text{fix}(f' \times f) = (\text{fix}(f'), \text{fix}(f))$
for any $f' \in D \rightarrow D$ & $f \in D \rightarrow D$

$$\begin{array}{ccc}
 (D' \multimap D) \times (D \multimap D') & \xrightarrow{s} & (D' \multimap D') \times (D \multimap D) \\
 \Phi \downarrow & & \downarrow \Psi \\
 (D' \multimap D) \times (D \multimap D') & \xrightarrow{s} & (D' \multimap D') \times (D \multimap D)
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 $= (\text{id}_{D'}, \text{id}_D)$ by min. inv. property of D' & D

$$\begin{array}{ccc}
 (D' \multimap D) \times (D \multimap D') & \xrightarrow{s} & (D' \multimap D') \times (D \multimap D) \\
 \Phi \downarrow & & \downarrow \Psi \\
 (D' \multimap D) \times (D \multimap D') & \xrightarrow{s} & (D' \multimap D') \times (D \multimap D)
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$$(\delta \circ \delta', \delta' \circ \delta) = s(\delta', \delta) = s(\text{fix} \Phi) = \text{fix}(\Psi).$$

But $\text{fix}(\Psi) = (\text{fix}(\lambda e'. i' \circ F(e', e') \circ i'^{-1}), \text{fix}(\lambda e. i \circ F(e, e) \circ i^{-1}))$

$$= (\text{id}_{D'}, \text{id}_D) \text{ by min. inv. property of } D' \text{ \& } D$$

So $\delta \circ \delta' = \text{id}_{D'}$ & $\delta' \circ \delta = \text{id}_D$

i.e. $\delta : D \rightarrow D'$ is an iso (with inverse δ').

$$(\delta^{-1}, \delta) = (\delta', \delta)$$

$$= \text{fix}(\Phi)$$

$$= \Phi(\text{fix}(\Phi))$$

from above

by definition of δ' & δ
fixed point!

$$(\delta^{-1}, \delta) = (\delta', \delta) \quad (\text{from above})$$

$$\stackrel{(1)}{=} \text{fix}(\Phi)$$

$$= \Phi(\text{fix}(\Phi))$$

$$= \Phi(\delta^{-1}, \delta)$$

(by definition of δ' & δ)

fixed point!

by (1)

$$\begin{aligned}
(\delta^{-1}, \delta) &= (\delta', \delta) && \text{(from above)} \\
&\stackrel{(1)}{=} \text{fix}(\Phi) && \text{(by definition of } \delta' \text{ \& } \delta) \\
&= \bar{\Phi}(\text{fix}(\Phi)) && \text{fixed point!} \\
&= \bar{\Phi}(\delta^{-1}, \delta) && \text{by (1)} \\
&= (\dots, i' \circ F(\delta^{-1}, \delta) \circ i^{-1}) && \text{by def}^n \text{ of } \bar{\Phi}
\end{aligned}$$

So $\delta = i' \circ F(\delta^{-1}, \delta) \circ i^{-1}$, hence

$$\begin{array}{ccc}
F(D, D) & \xrightarrow{i} & D \\
F(\delta^{-1}, \delta) \downarrow \cong & & \cong \downarrow \delta \\
F(D', D') & \xrightarrow{i'} & D'
\end{array}$$

as required for **uniqueness**. \square

Existence: construction of min. inv. for F

$$D^{\Delta} = \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \sqsubseteq d_n \right\}$$

Countable product of domains F_n defined by

$$\begin{cases} F_0 = \{\perp\} \\ F_{n+1} = F(F_n, F_n) \end{cases}$$

Elements of $\prod_{n < \omega} F_n$ are tuples $d = (d_n \mid n < \omega)$ of elements $d_n \in F_n$.

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$


strict continuous functions $\varphi_{m,n} \in F_m \rightarrow F_n$

$$\text{defined by: } \begin{cases} \varphi_{0,n} \triangleq \perp \\ \varphi_{m,0} \triangleq \perp \\ \varphi_{m+1,n+1} \triangleq F(\varphi_{n,m}, \varphi_{m,n}) \end{cases}$$

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$

D is a domain because it is a subset of $\prod_{n < \omega} F_n$

which $\left\{ \begin{array}{l} \text{is closed under lubs of chains} \\ \text{contains the least element.} \end{array} \right.$  exercise

- $\perp_D = (\perp_{F_n} \mid n < \omega)$
- $d \subseteq d'$ in D iff $d_n \subseteq d'_n$ in F_n for all $n < \omega$
- $\bigcup_{k < \omega} d_k$ in D is $(\bigcup_{k < \omega} (d_k)_n \mid n < \omega)$

Lemmas about $\varphi_{m,n} \in F_m \rightarrow F_n$

- $\varphi_{m,m} = \text{id}_{F_m}$
- $\varphi_{k,n} \circ \varphi_{m,k} \subseteq \varphi_{m,n}$
- $\varphi_{k,n} \circ \varphi_{m,k} = \varphi_{m,n}$ if $k > \min\{m,n\}$

(Exercise: prove these by induction over \mathbb{N} .)

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$

Define $\begin{cases} p_n \in D \rightarrow F_n \\ e_m \in F_m \rightarrow D \end{cases}$ by $\begin{cases} p_n(d) \triangleq d_n \\ e_m(x) \triangleq (\varphi_{m,n}(x) \mid n < \omega) \end{cases}$

$e_m \in D$ because of

$$\forall k, m, n. \varphi_{k,n} \circ \varphi_{m,k} \subseteq \varphi_{m,n}$$

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$

Define $\begin{cases} p_n \in D \rightarrow F_n \\ e_m \in F_m \rightarrow D \end{cases}$ by $\begin{cases} p_n(d) \triangleq d_n \\ e_m(x) \triangleq (\varphi_{m,n}(x) \mid n < \omega) \end{cases}$

These satisfy:

$$(EP1) \quad p_n \circ e_m = \varphi_{m,n}$$

$$(EP2) \quad p_n \circ e_n = \text{id}_{F_n}$$

$$(EP3) \quad \bigsqcup_{n < \omega} e_n \circ p_n = \text{id}_D$$

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$

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(EP3) $\bigsqcup_{n < \omega} e_n \circ p_n = \text{id}_D$

follows directly from
defⁿ of p_n & e_n

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$

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(EP1) $p_n \circ e_m = \varphi_{m,n}$

(EP2) $p_n \circ e_n = \text{id}_{F_n}$

(EP3) $\bigsqcup_{n < \omega} e_n \circ p_n = \text{id}_D$

Since

$$\varphi_{n,n} = \text{id}_{F_n}$$

Existence: construction of min. inv. for F

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These satisfy:

(EP1) $p_n \circ e_m = \varphi_{m,n}$

(EP2) $p_n \circ e_n = \text{id}_{F_n}$

(EP3) $\bigsqcup_{n < \omega} e_n \circ p_n = \text{id}_D$

use defⁿ. of D plus

$$\forall k > \min\{m, n\}.$$

$$\varphi_{k,n} \circ \varphi_{m,k} = \varphi_{m,n}$$

to see that $e_0 p_0 \subseteq e_1 p_1 \subseteq \dots$
& that lub is id_D

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$

Define $\begin{cases} p_n \in D \rightarrow F_n \\ e_m \in F_m \rightarrow D \end{cases}$ by $\begin{cases} p_n(d) \triangleq d_n \\ e_m(x) \triangleq (\varphi_{m,n}(x) \mid n < \omega) \end{cases}$

Then $\begin{cases} F(D, D) \xrightarrow{F(e_n, p_n)} F(F_n, F_n) = F_{n+1} \xrightarrow{e_{n+1}} D \\ D \xrightarrow{p_{n+1}} F_{n+1} = F(F_n, F_n) \xrightarrow{F(p_n, e_n)} F(D, D) \end{cases}$

satisfy $\begin{cases} \forall n. e_{n+1} \circ F(e_n, p_n) \subseteq e_{n+2} \circ F(e_{n+1}, p_{n+1}) \\ \forall n. F(p_n, e_n) \circ p_{n+1} \subseteq F(p_{n+1}, e_{n+1}) \circ p_{n+2} \end{cases}$

Existence: construction of min. inv. for F

$$D \triangleq \left\{ d \in \prod_{n < \omega} F_n \mid \forall m, n < \omega. \varphi_{m,n}(d_m) \subseteq d_n \right\}$$

Define $\begin{cases} p_n \in D \rightarrow F_n \\ e_m \in F_m \rightarrow D \end{cases}$ by $\begin{cases} p_n(d) \triangleq d_n \\ e_m(x) \triangleq (\varphi_{m,n}(x) \mid n < \omega) \end{cases}$
and then

$$\begin{cases} i \triangleq \bigcup_{n < \omega} e_{n+1} \circ F(e_n, p_n) \in F(D, D) \rightarrow D \\ i' \triangleq \bigcup_{n < \omega} F(p_n, e_n) \circ p_{n+1} \in D \rightarrow F(D, D) \end{cases}$$

Some Lemmas

$$F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

Proof: $F(e_n, p_n)F(p_m, e_m) = F(p_m e_n, p_n e_m)$

$$= F(\varphi_{n,m}, \varphi_{m,n})$$

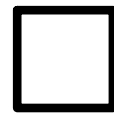
$$= \varphi_{m+1, n+1}$$

$$= p_{n+1} e_{m+1}$$

by def.ⁿ of
 p & e

by def.ⁿ of
 $\varphi_{-, -}$

by def.ⁿ of
 p & e



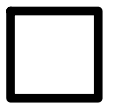
Some lemmas

$$(*) \quad F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

$$i \circ F(p_n, e_n) = e_{n+1}$$

Proof:

$$\begin{aligned} i \circ F(p_n, e_n) &\triangleq \left(\bigcup_k e_{k+1} \circ F(e_k, p_k) \right) \circ F(p_n, e_n) \\ &= \bigcup_k e_{k+1} F(e_k, p_k) F(p_n, e_n) \\ &= \bigcup_k e_{k+1} p_{k+1} e_{n+1} \quad \text{by } (*) \\ &= \left(\bigcup_k e_{k+1} p_{k+1} \right) \circ e_{n+1} \\ &= e_{n+1} \quad \text{by (EP3)} \end{aligned}$$



Some Lemmas

$$F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

$$i \circ F(p_n, e_n) = e_{n+1} \quad \& \quad F(e_n, p_n) \circ i' = p_{n+1}$$

proved
similarly
to this

Some lemmas

$$F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

$$(*) \quad i \circ F(p_n, e_n) = e_{n+1} \quad \& \quad F(e_n, p_n) \circ i' = p_{n+1}$$

$$i \circ i' = \text{id}_D$$

Proof: $ii' \triangleq i(\bigsqcup_m F(p_m, e_m) p_{m+1})$

$$= \bigsqcup_m e_{m+1} p_{m+1} \quad \text{by } (*)$$

$$= \text{id} \quad \text{by (EP3)}$$



Some lemmas

$$(*) \quad F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

$$i \circ F(p_n, e_n) = e_{n+1} \quad \& \quad F(e_n, p_n) \circ i' = p_{n+1}$$

$$i \circ i' = \text{id}_D \quad \& \quad i' \circ i = \text{id}_{F(D, D)}$$

Proof: $i' \circ i \stackrel{\Delta}{=} \left(\bigcup_m F(p_m, e_m) p_{m+1} \right) \left(\bigcup_n e_{n+1} F(e_n, p_n) \right)$

$$= \bigcup_k F(p_k, e_k) p_{k+1} e_{k+1} F(e_k, p_k)$$
$$= \bigcup_k F(p_k, e_k) F(e_k, p_k) F(p_k, e_k) F(e_k, p_k) \quad \text{by } (*)$$
$$= \bigcup_k F(e_k p_k, e_k p_k) F(e_k p_k, e_k p_k)$$
$$= F(\text{id}, \text{id}) F(\text{id}, \text{id}) \quad \text{by (EP3)}$$
$$= \text{id}$$



Some lemmas

$$F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

$$i \circ F(p_n, e_n) = e_{n+1} \quad \& \quad F(e_n, p_n) \circ i' = p_{n+1}$$

$$i \circ i' = \text{id}_D \quad \& \quad i' \circ i = \text{id}_{F(D, D)}$$

So $i: F(D, D) \rightarrow D$ is an iso with $i^{-1} = i'$ and we just need to prove the min. inv. property

property $\text{id}_D = \bigcup_n \pi_n$ where

$$\begin{cases} \pi_0 \triangleq \perp \\ \pi_{n+1} \triangleq i \circ F(\pi_n, \pi_n) \circ i^{-1} \end{cases}$$

Some lemmas

$$F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

$$i \circ F(p_n, e_n) = e_{n+1} \quad \& \quad F(e_n, p_n) \circ i' = p_{n+1}$$

$$i \circ i' = \text{id}_D \quad \& \quad i' \circ i = \text{id}_{F(D, D)}$$

$$e_n \circ p_n = \pi_n$$

Proof: Since $F_0 = \{\perp\}$,
 $e_0 = \perp$ & $p_0 = \perp$, so $e_0 p_0 = \perp = \pi_0$.

Some lemmas

$$F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

$$(*) \quad i \circ F(p_n, e_n) = e_{n+1} \quad \& \quad F(e_n, p_n) \circ i' = p_{n+1}$$

$$i \circ i' = \text{id}_D \quad \& \quad i' \circ i = \text{id}_{F(D, D)}$$

$$e_n \circ p_n = \pi_n$$

Proof: Since $F_0 = \{\perp\}$,
 $e_0 = \perp$ & $p_0 = \perp$, so $e_0 p_0 = \perp = \pi_0$.

And if $e_n p_n = \pi_n$, then

$$e_{n+1} p_{n+1} = i F(p_n, e_n) F(e_n p_n) i' \quad \text{by } (*)$$

$$= i F(e_n p_n, e_n p_n) i'$$

$$= i F(\pi_n, \pi_n) i'$$

$$= \pi_{n+1} \quad \text{since } i' = i^{-1} \quad \text{by ind. hyp.} \quad \square$$

Some lemmas

$$F(e_n, p_n) \circ F(p_m, e_m) = p_{n+1} \circ e_{m+1}$$

$$i \circ F(p_n, e_n) = e_{n+1} \quad \& \quad F(e_n, p_n) \circ i' = p_{n+1}$$

$$i \circ i' = \text{id}_D \quad \& \quad i' \circ i = \text{id}_{F(D, D)}$$

$$e_n \circ p_n = \pi_n$$

and hence

$$\bigcup_n \pi_n = \bigcup_n e_n p_n = \text{id}_D \quad \text{by (EP3)}.$$

So (D, i) is a min.-inv. for F .

