Lecture 5

PCF

PCF syntax

Types

$$\tau ::= nat \mid bool \mid \tau \to \tau$$

Expressions

$$\begin{aligned} M \quad ::= \quad & \mathbf{0} \mid \mathbf{succ}(M) \mid \mathbf{pred}(M) \\ \mid \quad & \mathbf{true} \mid \mathbf{false} \mid \mathbf{zero}(M) \\ \mid \quad & x \mid \mathbf{if}\ M\ \mathbf{then}\ M\ \mathbf{else}\ M \\ \mid \quad & \mathbf{fn}\, x : \tau \,.\, M \mid M\ M \mid \mathbf{fix}(M) \end{aligned}$$

where $x \in \mathbb{V}$, an infinite set of variables.

Technicality: We identify expressions up to α-conversion of bound variables (created by the **fn** expression-former): by definition a PCF term is an α-equivalence class of expressions.

PCF typing relation, $\Gamma \vdash M : \tau$

- Γ is a type environment, *i.e.* a finite partial function mapping variables to types (whose domain of definition is denoted $dom(\Gamma)$)
- M is a term
- τ is a type.

Notation:

$M : \tau$ means M is closed and $\emptyset \vdash M : \tau$ holds.

$\mathrm{PCF}_\tau \stackrel{\text{def}}{=} \{M \mid M : \tau\}$.

PCF typing relation (sample rules)

$$(:_{\mathrm{fn}}) \quad \frac{\Gamma[x \mapsto \tau] \vdash M : \tau'}{\Gamma \vdash \mathbf{fn}\, x : \tau \,.\, M : \tau \to \tau'} \quad \text{if } x \notin dom(\Gamma)$$

$$(:_{\mathrm{app}}) \quad \frac{\Gamma \vdash M_1 : \tau \to \tau' \quad \Gamma \vdash M_2 : \tau}{\Gamma \vdash M_1\, M_2 : \tau'}$$

$$(:_{\mathrm{fix}}) \quad \frac{\Gamma \vdash M : \tau \to \tau}{\Gamma \vdash \mathbf{fix}(M) : \tau}$$

Partial recursive functions in PCF

- Primitive recursion.

$$\begin{cases} h(x,0) = f(x) \\ h(x,y+1) = g(x,y,h(x,y)) \end{cases}$$

- Minimisation.

$$m(x) \ = \ \text{the least } y \geq 0 \text{ such that } k(x,y) = 0$$

PCF evaluation relation

takes the form

$$M \Downarrow_\tau V$$

where

- τ is a PCF type
- $M, V \in \mathrm{PCF}_\tau$ are closed PCF terms of type τ
- V is a value,

$$V ::= \mathbf{0} \mid \mathbf{succ}(V) \mid \mathbf{true} \mid \mathbf{false} \mid \mathbf{fn}\, x : \tau \,.\, M.$$

PCF evaluation (sample rules)

$$(\Downarrow_{\mathrm{val}}) \quad V \Downarrow_\tau V \qquad (V \text{ a value of type } \tau)$$

$$(\Downarrow_{\mathrm{cbn}}) \quad \frac{M_1 \Downarrow_{\tau \to \tau'} \mathbf{fn}\, x : \tau \,.\, M_1' \qquad M_1'[M_2/x] \Downarrow_{\tau'} V}{M_1\, M_2 \Downarrow_{\tau'} V}$$

$$(\Downarrow_{\mathrm{fix}}) \quad \frac{M\, \mathbf{fix}(M) \Downarrow_\tau V}{\mathbf{fix}(M) \Downarrow_\tau V}$$

Contextual equivalence

Two phrases of a programming language are contextually equivalent if any occurrences of the first phrase in a complete program can be replaced by the second phrase without affecting the observable results of executing the program.

Contextual equivalence of PCF terms

Given PCF terms M_1, M_2, PCF type τ, and a type environment Γ, the relation $\boxed{\Gamma \vdash M_1 \cong_{\mathrm{ctx}} M_2 : \tau}$ is defined to hold iff

- Both the typings $\Gamma \vdash M_1 : \tau$ and $\Gamma \vdash M_2 : \tau$ hold.
- For all PCF contexts $\mathcal{C}$ for which $\mathcal{C}[M_1]$ and $\mathcal{C}[M_2]$ are closed terms of type γ, *where* $\gamma = nat$ *or* $\gamma = bool$, and for all values $V : \gamma$,

$$\mathcal{C}[M_1] \Downarrow_\gamma V \ \Leftrightarrow \ \mathcal{C}[M_2] \Downarrow_\gamma V.$$

PCF denotational semantics — aims

- PCF types $\tau \;\mapsto\;$ domains $[\![\tau]\!]$.

- Closed PCF terms $M : \tau \;\mapsto\;$ elements $[\![M]\!] \in [\![\tau]\!]$.

  Denotations of open terms will be continuous functions.

- Compositionality.

  In particular: $[\![M]\!] = [\![M']\!] \;\Rightarrow\; [\![\mathcal{C}[M]]\!] = [\![\mathcal{C}[M']]\!]$.

- Soundness.

  For any type τ, $M \Downarrow_\tau V \;\Rightarrow\; [\![M]\!] = [\![V]\!]$.

- Adequacy.

  For $\tau = bool$ or nat, $[\![M]\!] = [\![V]\!] \in [\![\tau]\!] \implies M \Downarrow_\tau V$.

Theorem. *For all types τ and closed terms $M_1, M_2 \in \mathrm{PCF}_\tau$, if $[\![M_1]\!]$ and $[\![M_2]\!]$ are equal elements of the domain $[\![\tau]\!]$, then $M_1 \cong_{\mathrm{ctx}} M_2 : \tau$.*

Proof.

$$\mathcal{C}[M_1] \Downarrow_{nat} V \Rightarrow [\![\mathcal{C}[M_1]]\!] = [\![V]\!] \quad \text{(soundness)}$$

$$\Rightarrow [\![\mathcal{C}[M_2]]\!] = [\![V]\!] \quad \text{(compositionality on } [\![M_1]\!] = [\![M_2]\!]\text{)}$$

$$\Rightarrow \mathcal{C}[M_2] \Downarrow_{nat} V \quad \text{(adequacy)}$$

and symmetrically. □

Proof principle

To prove

$$M_1 \cong_{\mathrm{ctx}} M_2 : \tau$$

it suffices to establish

$$[\![M_1]\!] = [\![M_2]\!] \text{ in } [\![\tau]\!]$$

? The proof principle is sound, but is it complete? That is, is equality in the denotational model also a necessary condition for contextual equivalence?