

# Lecture 4

## Scott Induction

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### Chain-closed and admissible subsets

Let  $D$  be a cpo. A subset  $S \subseteq D$  is called **chain-closed** iff for all chains  $d_0 \sqsubseteq d_1 \sqsubseteq d_2 \sqsubseteq \dots$  in  $D$

$$(\forall n \geq 0. d_n \in S) \Rightarrow \left( \bigsqcup_{n \geq 0} d_n \right) \in S$$

If  $D$  is a domain,  $S \subseteq D$  is called **admissible** iff it is a chain-closed subset of  $D$  and  $\perp \in S$ .

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A property  $\Phi(d)$  of elements  $d \in D$  is called *chain-closed* (resp. *admissible*) iff  $\{d \in D \mid \Phi(d)\}$  is a *chain-closed* (resp. *admissible*) subset of  $D$ .

1

3

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### Scott's Fixed Point Induction Principle

Let  $f : D \rightarrow D$  be a continuous function on a domain  $D$ .

For any admissible subset  $S \subseteq D$ , to prove that the least fixed point of  $f$  is in  $S$ , i.e. that

$$fix(f) \in S,$$

it suffices to prove

$$\forall d \in D (d \in S \Rightarrow f(d) \in S).$$

2

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### Building chain-closed subsets (I)

Let  $D, E$  be cpos.

#### Basic relations:

- For every  $d \in D$ , the subset

$$\downarrow(d) \stackrel{\text{def}}{=} \{x \in D \mid x \sqsubseteq d\}$$

of  $D$  is chain-closed.

- The subsets

$$\{(x, y) \in D \times D \mid x \sqsubseteq y\}$$

and

$$\{(x, y) \in D \times D \mid x = y\}$$

of  $D \times D$  are chain-closed.

4

### Example (I): Least pre-fixed point property

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Let  $D$  be a domain and let  $f : D \rightarrow D$  be a continuous function.

$$\forall d \in D. f(d) \sqsubseteq d \implies \text{fix}(f) \sqsubseteq d$$

Proof by Scott induction.

Let  $d \in D$  be a pre-fixed point of  $f$ . Then,

$$\begin{aligned} x \in \downarrow(d) &\implies x \sqsubseteq d \\ &\implies f(x) \sqsubseteq f(d) \\ &\implies f(x) \sqsubseteq d \\ &\implies f(x) \in \downarrow(d) \end{aligned}$$

Hence,

$$\text{fix}(f) \in \downarrow(d) .$$

5

### Example (II)

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Let  $D$  be a domain and let  $f, g : D \rightarrow D$  be continuous functions such that  $f \circ g \sqsubseteq g \circ f$ . Then,

$$f(\perp) \sqsubseteq g(\perp) \implies \text{fix}(f) \sqsubseteq \text{fix}(g) .$$

Proof by Scott induction.

Consider the admissible property  $\Phi(x) \equiv (f(x) \sqsubseteq g(x))$  of  $D$ .

Since

$$f(x) \sqsubseteq g(x) \implies g(f(x)) \sqsubseteq g(g(x)) \implies f(g(x)) \sqsubseteq g(g(x))$$

we have that

$$f(\text{fix}(g)) \sqsubseteq g(\text{fix}(g)) .$$

7

### Building chain-closed subsets (II)

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**Inverse image:**

Let  $f : D \rightarrow E$  be a continuous function.

If  $S$  is a chain-closed subset of  $E$  then the inverse image

$$f^{-1}S = \{x \in D \mid f(x) \in S\}$$

is an chain-closed subset of  $D$ .

6

### Building chain-closed subsets (III)

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**Logical operations:**

- If  $S, T \subseteq D$  are chain-closed subsets of  $D$  then

$$S \cup T \quad \text{and} \quad S \cap T$$

are chain-closed subsets of  $D$ .

- If  $\{S_i\}_{i \in I}$  is a family of chain-closed subsets of  $D$  indexed by a set  $I$ , then  $\bigcap_{i \in I} S_i$  is a chain-closed subset of  $D$ .

- If a property  $P(x, y)$  determines a chain-closed subset of  $D \times E$ , then the property  $\forall x \in D. P(x, y)$  determines a chain-closed subset of  $E$ .

8

### Example (III): Partial correctness

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Let  $\mathcal{F} : State \rightarrow State$  be the denotation of

**while**  $X > 0$  **do**  $(Y := X * Y; X := X - 1)$  .

Then

$$\forall x, y \geq 0. \mathcal{F}(x, y) \downarrow \implies \mathcal{F}(x, y) = (0, !x \cdot y)$$

where  $(a, b)$  denotes the state  $s$  such that  $s(X) = a$  and  $s(Y) = b$ .

Recall that

$$\mathcal{F} = \text{fix}(f)$$

where  $f : (State \rightarrow State) \rightarrow (State \rightarrow State)$  is given by

$$f(w) = \lambda(x, y) \in State. \begin{cases} (x, y) & \text{if } x \leq 0 \\ w(x - 1, x \cdot y) & \text{if } x > 0 \end{cases}$$

9

10

Proof by Scott induction.

We consider the admissible subset of  $(State \rightarrow State)$  given by

$$S = \{ w \mid \forall x, y \geq 0. w(x, y) \downarrow \implies w(x, y) = (0, !x \cdot y) \}$$

and show that

$$w \in S \implies f(w) \in S .$$