

MFPS–LICS Special Session Honouring Dana Scott

Symmetric Scott

Andrew Pitts



80 years of Dana Scott

- ▶ automata theory
- ▶ set theory
- ▶ sheaves & logic
- ▶ lambda calculus
- ▶ domain theory
- ▶ . . .






Symmetric Scottery

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- ▶ set theory
- ▶ sheaves & logic
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- ▶ domain theory
- ▶ ...

Mathematics of **group actions** allows MFPS & LICS access to two related, interesting and useful notions of finiteness:

finite support and **orbit-finiteness**

Symmetric Scottery

- ▶ automata theory  for languages over infinite alphabets
- ▶ set theory  Fraenkel-Mostowski sets
- ▶ sheaves & logic  atomic toposes (e.g. nominal sets)
- ▶ lambda calculus  calculi for name abstraction & locally scoped names
- ▶ domain theory  nominal Scott domains
- ▶ ...

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finite support and orbit-finiteness

Orbit-finiteness example

Infinitely many names $a \in \mathbb{A}$.

For properties that are that are **equivariant**

$$\varphi(a_1, \dots, a_n) \Rightarrow \varphi(\pi a_1, \dots, \pi a_n)$$

with respect to permutations $\pi : \mathbb{A} \cong \mathbb{A}$,

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with respect to permutations $\pi : \mathbb{A} \cong \mathbb{A}$,

the validity of $\exists a_1, \dots, a_n. \varphi(a_1, \dots, a_n)$

is equivalent to the validity of a finite disjunction of instances of φ ,

because \mathbb{A}^n has only finitely many orbits.

e.g. orbits of \mathbb{A}^2 are $\{(a, a) \mid a \in \mathbb{A}\}$ and $\{(a, b) \mid a \neq b \in \mathbb{A}\}$

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Orbit-finiteness \rightsquigarrow π -calculus model-checking with HD-automata
[Montanari & Pistori, MFCS 2000]

automata theory for infinite alphabets
[Bojańczyk *et al*, LICS 2011]

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Finite support example

- ▶ Infinitely many names $\mathbb{A} = \{a_0, a_1, \dots\}$.
Booleans $\mathbf{2} = \{0, 1\}$.

- ▶ Flat domains $\mathbb{A}_\perp, \mathbf{2}_\perp$.

- ▶ Existential quantifier

$$f \in (\mathbb{A}_\perp \rightarrow \mathbf{2}_\perp) \mapsto \text{exists } f \triangleq \begin{cases} 1 & \text{if } (\exists a \in \mathbb{A}) f a = 1 \\ 0 & \text{if } (\forall a \in \mathbb{A}) f a = 0 \\ \perp & \text{otherwise} \end{cases}$$

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does not give a **continuous** function $\text{exists} : (\mathbb{A}_\perp \rightarrow \mathbf{2}_\perp) \rightarrow \mathbf{2}_\perp$



e.g. consider limit of $f_n : a_i \mapsto \begin{cases} 0 & i < n \\ \perp & i \geq n \end{cases}$

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but it does when restricted to **finitely supported** functions...

Finite support

- ▶ Infinitely many names $a \in \mathbb{A}$.
- ▶ Sets equipped with an **action** $\pi, x \mapsto \pi \cdot x$

$$\mathbf{id} \cdot x = x$$

$$\pi' \cdot (\pi \cdot x) = (\pi' \circ \pi) \cdot x$$

of (finite) permutations $\pi : \mathbb{A} \cong \mathbb{A}$

Finite support

- ▶ Infinitely many names $a \in \mathbb{A}$.
- ▶ Nominal set = set D equipped with a permutation action for which each $x \in D$ possess a **finite support** $A \subseteq_{\text{fin}} \mathbb{A}$:

$$(\forall \pi) ((\forall a \in A) \pi a = a) \Rightarrow \pi \cdot x = x$$

if there is such an A , there's
a least one, written **supp** x

Finite support

- ▶ Infinitely many names $a \in A$.
- ▶ Nominal set = set D equipped with a permutation action for which each $x \in D$ possess a finite support
- ▶ Category of nominal sets & action-preserving functions is a well-known (2-valued) topos.

Exponentials: $D \rightarrow_{\text{fs}} E \triangleq$ all functions $f : D \rightarrow E$ that are finitely supported w.r.t. action $\pi \cdot f : x \mapsto \pi \cdot (f(\pi^{-1} \cdot x))$

group inverses make exponentials much simpler than for more general monoid/category actions

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$$\text{exists } f \triangleq \begin{cases} 1 & \text{if } (\exists a \in \mathbb{A}) f a = 1 \\ 0 & \text{if } (\forall a \in \mathbb{A}) f a = 0 \\ \perp & \text{otherwise} \end{cases}$$

is a continuous function $\text{exists} : (\mathbb{A}_\perp \rightarrow_{\text{fs}} \mathbf{2}_\perp) \rightarrow \mathbf{2}_\perp$,

because $(\forall a \in \mathbb{A}) f a = 0$ if $(\forall a \in A) f a = 0$, where $A = \text{supp } f \uplus \{a\}$ is finite.

Nominal Scott Domains

[Turner & Winskel, CSL 2009] [Lösch & Pitts, POPL 2013]

- ▶ Posets in topos of nominal sets.
- ▶ Require limits/continuity only for directed sets whose elements have a common finite support ('uniform-directed' subsets).

Associated notion of compactness is more liberal than classical one – replace 'finite' by 'orbit-finite'

e.g. compact functions are joins of orbit-finite consistent sets of step functions

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- ▶ Category of NSDs is cartesian closed and has fixpoint recursion for both morphisms and objects.


But it also models name abstraction and locally scoped names.

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$$\begin{aligned} \text{NSD } [A]D &= \{ \langle a \rangle d \mid a \in A \wedge d \in D \} \\ &\quad \text{where } \langle a \rangle d = \langle a' \rangle d' \text{ iff} \\ (a \ b) \cdot d &= (a' \ b) \cdot d' \text{ for some/any } b \notin \text{supp}(a, d, a', d') \end{aligned}$$

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using morphisms $\nu : [A]D \rightarrow D$ satisfying

$$\nu(\langle a \rangle d) = d \text{ if } a \notin \text{supp } d$$

$$\nu \langle a \rangle (\nu \langle b \rangle d) = \nu \langle b \rangle (\nu \langle a \rangle d)$$

Higher-order computable functions with local names

Plotkin's **PCF**



Programming language for **C**omputable **F**unctions:
simply typed λ -calculus over ground types **bool** & **nat**,
with arithmetic and boolean operations and fixpoint recursion.

[Plotkin, *LCF Considered as a Programming Language*, TCS 5(1977)223–255]

Higher-order computable functions with local names

PCFA = Plotkin's **PCF** extended with a type **name**.

Denotational semantics using nominal Scott domains:

$$\begin{aligned} \llbracket \text{name} \rrbracket &\triangleq \mathbb{A}_\perp \\ \llbracket \text{bool} \rrbracket &\triangleq \mathbf{2}_\perp \\ \llbracket \text{nat} \rrbracket &\triangleq \mathbb{N}_\perp \\ \llbracket \tau \rightarrow \tau' \rrbracket &\triangleq \llbracket \tau \rrbracket \rightarrow_{\text{fs}} \llbracket \tau' \rrbracket \end{aligned}$$

supports the interpretation of terms for name-equality test,
name-swapping and (Odersky-style) **locally scoped** names, *va.e*.

Local scoping example

[suggested by Tzevelekos]

$$F_1 \triangleq \lambda q : (\text{name} \rightarrow \text{bool}) \rightarrow \text{bool}. \nu a. q \text{ eq}_a$$

$$F_2 \triangleq \lambda q : (\text{name} \rightarrow \text{bool}) \rightarrow \text{bool}. q \text{ k}_F$$

are contextually equivalent **PCFA** terms of type

$$((\text{name} \rightarrow \text{bool}) \rightarrow \text{bool}) \rightarrow \text{bool}$$

where

$$\begin{cases} \text{eq}_a & \triangleq \lambda x : \text{name}. \text{if } x = a \text{ then T else F} \\ \text{k}_F & \triangleq \lambda x : \text{name}. \text{F} \end{cases}$$

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but $\llbracket F_1 \rrbracket \neq \llbracket F_2 \rrbracket$, because $\begin{cases} \llbracket F_1 \rrbracket \text{ exists} & = 1 \\ \llbracket F_2 \rrbracket \text{ exists} & = 0 \end{cases}$

Full abstraction for PCFA^+

Plotkin's classic **full abstraction** result for $\text{PCF} + \text{por}$:

contextual preorder (operational)

$$e \leq_{\text{ctx}} e' : \tau$$

information order (denotational)

$$\llbracket e \rrbracket \sqsubseteq \llbracket e' \rrbracket$$

\Leftrightarrow

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$$e \leq_{\text{ctx}} e' : \tau \Leftrightarrow \llbracket e \rrbracket \sqsubseteq \llbracket e' \rrbracket$$

Theorem. [Lösch & Pitts, POPL 2013]

The NSD model is fully abstract for $\text{PCFA}^+ = \text{PCFA}$ extended with

parallel or	<code>por : bool → bool → bool</code>
exists name	<code>exists : (name → bool) → bool</code>
definite name description	<code>the : (name → bool) → name</code>

`the` $f \triangleq \begin{cases} \text{unique } a \text{ s.t. } f a = 1, & \text{if it exists} \\ \perp, & \text{otherwise} \end{cases}$

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Proof has novel aspects (use of retracts – thanks Dana).

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- ▶ sheaves & logic ← atomic toposes (e.g. nominal sets)
- ▶ lambda calculus ← calculi for name abstraction & locally scoped names
- ▶ domain theory ← nominal Scott domains
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Finite Support and Orbit-Finiteness

- ▶ Can make ‘finite support’ automatic by working in choice-free classical HOL/set theory.
- ▶ We understand ‘orbit-finite’ category-theoretically (= finitely presentable), but don’t really understand its logical status: how to predict where to replace ‘finite’ by ‘orbit-finite’ in computation theory?
- ▶ Permutations of \mathbb{A} (= name-inequality symmetry) is not the only group of interest – useful to consider automorphisms of various relational structures on \mathbb{A} (linear orders, undirected graphs, ...).
See recent work of Bojańczyk *et al.*
- ▶ For much more on nominal sets, see...

Commercial break



Nominal Sets *Names and Symmetry in Computer Science*

Cambridge Tracts in Theoretical
Computer Science, Vol. 57
(CUP, 2013)